



## *Near-Road Mitigation Measures and Technologies*

**November 21, 2013**

### **Agenda**

- 9:00 a.m. Welcome**  
*Barry Wallerstein, Executive Officer, SCAQMD*
- 9:10 a.m. Overview of Pollutants Found in the Near-road Environment**  
*Suzanne Paulson, Professor, Dept. of Atmospheric and Oceanic Sciences, UCLA*
- 9:35 a.m. Overview of Health Effects Due to Exposure to Near-road Pollutants**  
*Rob McConnell, Associate Professor, Division of Occupational and Environmental Health, USC*
- 10:00 a.m. On-Road Motor Vehicle Emission Control Programs**  
Zero or near-zero emission tailpipe control technologies, regulatory and incentive programs, future goals and efforts  
*Mike McCarthy, Manager, Advanced Engineering Section, CARB*
- 10:30 a.m. Break (10 minutes)**
- 10:40 a.m. Exposure Mitigation I – Roadway Characteristics and Barriers**  
Effects of sound walls/noise barriers, vegetation, and roadway features on near-road exposure  
*Rich Baldauf, Physical Scientist/Engineer, U.S. EPA*  
*Akula Venkatram, Professor, Dept. of Mechanical Engineering, UCR*  
*Marko Princevac, Associate Professor, Dept. of Mechanical Engineering, UCR*  
*Cathy Fitzgerald, Scientist, The Planning Center*  
*Frank Di Genova, Scientist; Sierra Research*
- 12:30 p.m. Lunch (60 minutes)**
- 1:30 p.m. Exposure Mitigation II – Near Roadway Environment Features**  
Effectiveness of buffer zones, building and in-cabin air filtration, and other similar strategies in reducing air pollution exposure near roadways  
*Paul Roberts, Executive Vice President, STI*  
*Andrea Polidori, Manager, Quality Assurance Branch, SCAQMD*  
*Yifang Zhu, Associate Professor, Dept. of Environmental Health Sciences, UCLA*

**2:30 p.m. Planning and Policy Discussion**

*Moderator: Philip Fine, Assistant Deputy Executive Officer, Science & Technology Advancement, SCAQMD*

*Panelists: Connie Chung, Supervising Regional Planner, LADRP  
David Vintze, Manager; Planning and Research Division, BAAQMD  
Huasha Liu, Director, Dept. of Land Use and Environmental Planning, SCAG  
Mike McCarthy, Manager, Advanced Engineering Section, CARB  
Terry Roberts, Director, American Lung Association*

**3:45 p.m. Wrap-Up/Closing Remarks**

**Participating Agencies / Institutions / Organizations**

*American Lung Association*

*BAAQMD – Bay Area Air Quality Management District*

*CARB – California Air Resource Board*

*LADRP – Los Angeles Department of Regional Planning*

*SCAG – Southern California Association of Governments*

*SCAQMD – South Coast Air Quality Management District*

*Sierra Research*

*STI – Sonoma Technology, Inc.*

*The Planning Center*

*UCLA – University of California, Los Angeles*

*UCR - University of California, Riverside*

*USC – University of Southern California*

*U.S. EPA – U.S. Environmental Protection Agency*

# Spatial Heterogeneity of Roadway Pollutants in Los Angeles



Wonsik Choi,<sup>1</sup> Shishan Hu,<sup>2</sup> Meilu He,<sup>1</sup> Kathleen Kozawa,<sup>2</sup> Steve Mara,<sup>2</sup> Scott Fruin,<sup>3</sup> Arthur Winer<sup>1</sup> and  
**Suzanne Paulson<sup>1</sup>**

<sup>1</sup>UCLA

<sup>2</sup>California Air Resources Board

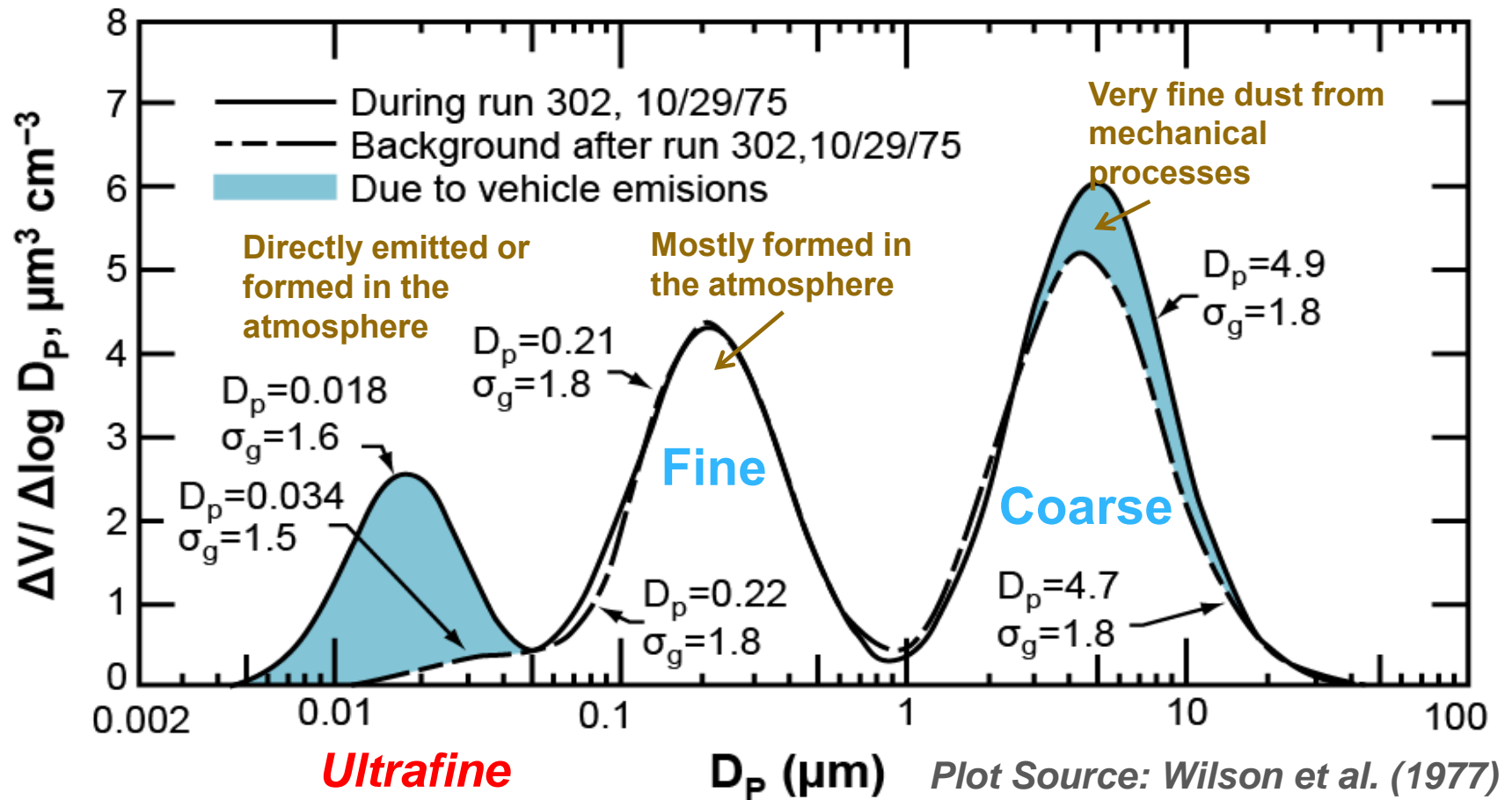
<sup>3</sup>USC

Supported by the California Air Resources Board

# Outline

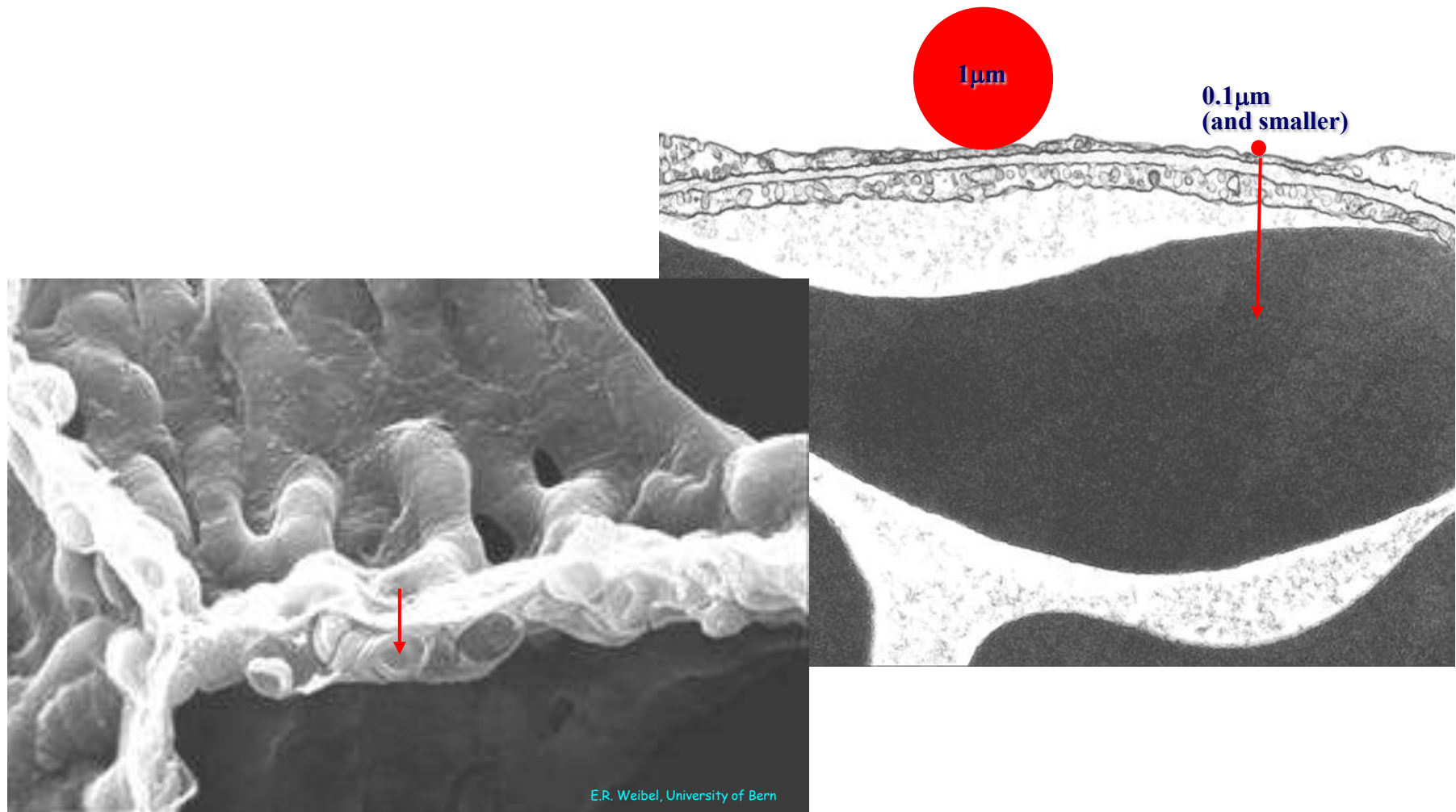
- Roadway pollutants and their daytime decay curves
- Early morning plumes
- Inter-neighborhood variations in pollutants

# Size Distribution of Atmospheric Particles



- Mostly from vehicular emissions highly concentrated on UFP region: **~80% of the total number** conc. but **negligible in mass** conc. [Kumar et al., 2010]
- Formed generally by condensation in the diluting exhaust plume (semi-volatile hydrocarbons and hydrated sulfuric acid) [Shi et al., 2000]

# TRANSLOCATION FROM AIR TO BLOOD



Courtesy of Peter Gehr, U. Bern

## Measurement Parameter

Particle Number (10 nm ~ 1 $\mu$ m), but dominated by **ultrafine particles**

**Particle size distribution (5.6~560 nm)**

PM<sub>2.5</sub> and PM<sub>10</sub> mass

**Particle bound PAHs**

**Black Carbon**

CO<sub>2</sub>

CO

NO, NO<sub>2</sub>

Temperature, Relative humidity, Wind speed/direction

GPS

Vertical profiles of temperature, *RH*, wind speed/direction

Video record

----- **Wish List** -----

**Speciated Volatile Organics**

**Particle Chemical Composition**

# Pollutants & Measurements



**ARB's electric vehicle**



**SmartTether™**

# Pollutant Concentrations Near Roadways Vary A LOT

- Fleet emissions
  - Traffic density, fleet composition, driving conditions
- Atmospheric Dispersion
  - Wind speed and direction, atmospheric stability, vehicle wakes, topography
- Built Environment
  - Roadway geometry, buildings, soundwalls, other nearby roadways & vegetation
- Observed Roadway Pollutant Spatial Distributions Also Depends on the relationship between the **Peak and Background Concentrations.**



# Observed decay of pollutants depends on the difference between the peak and background concentration

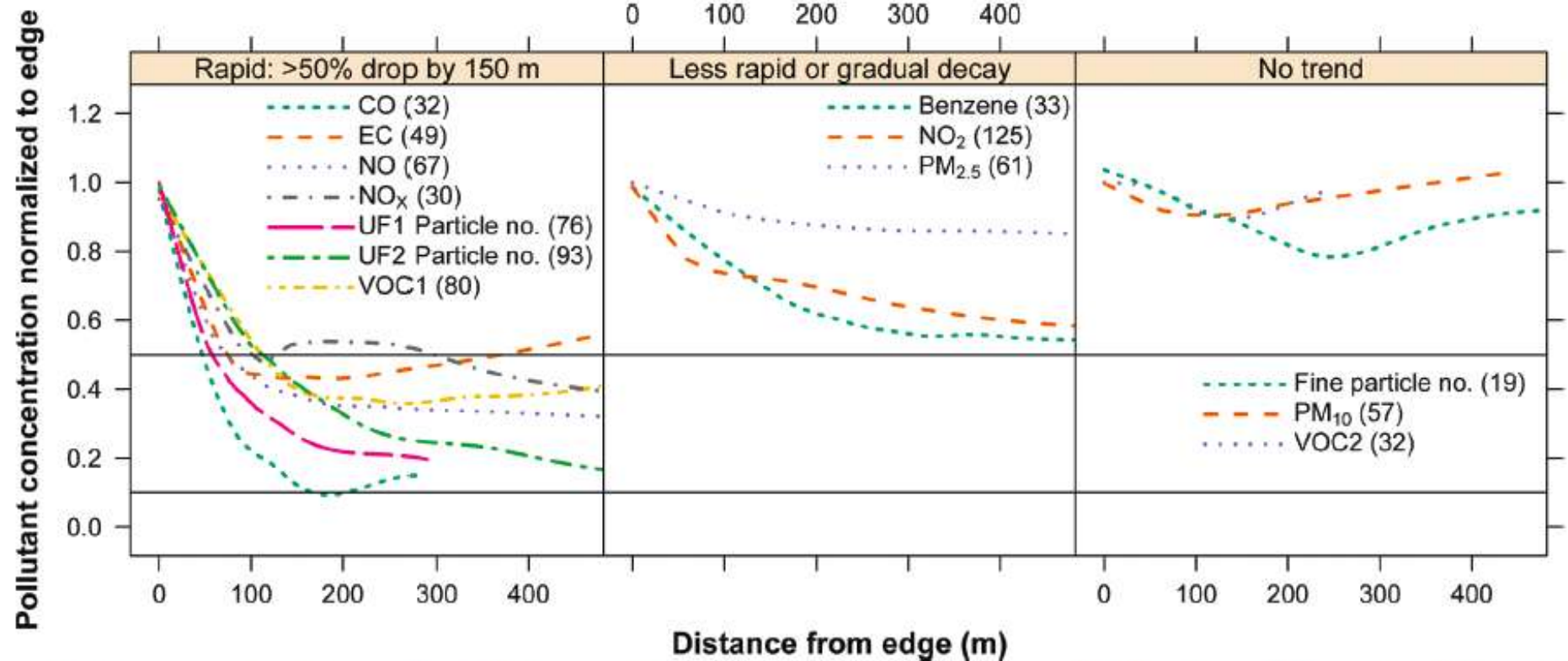
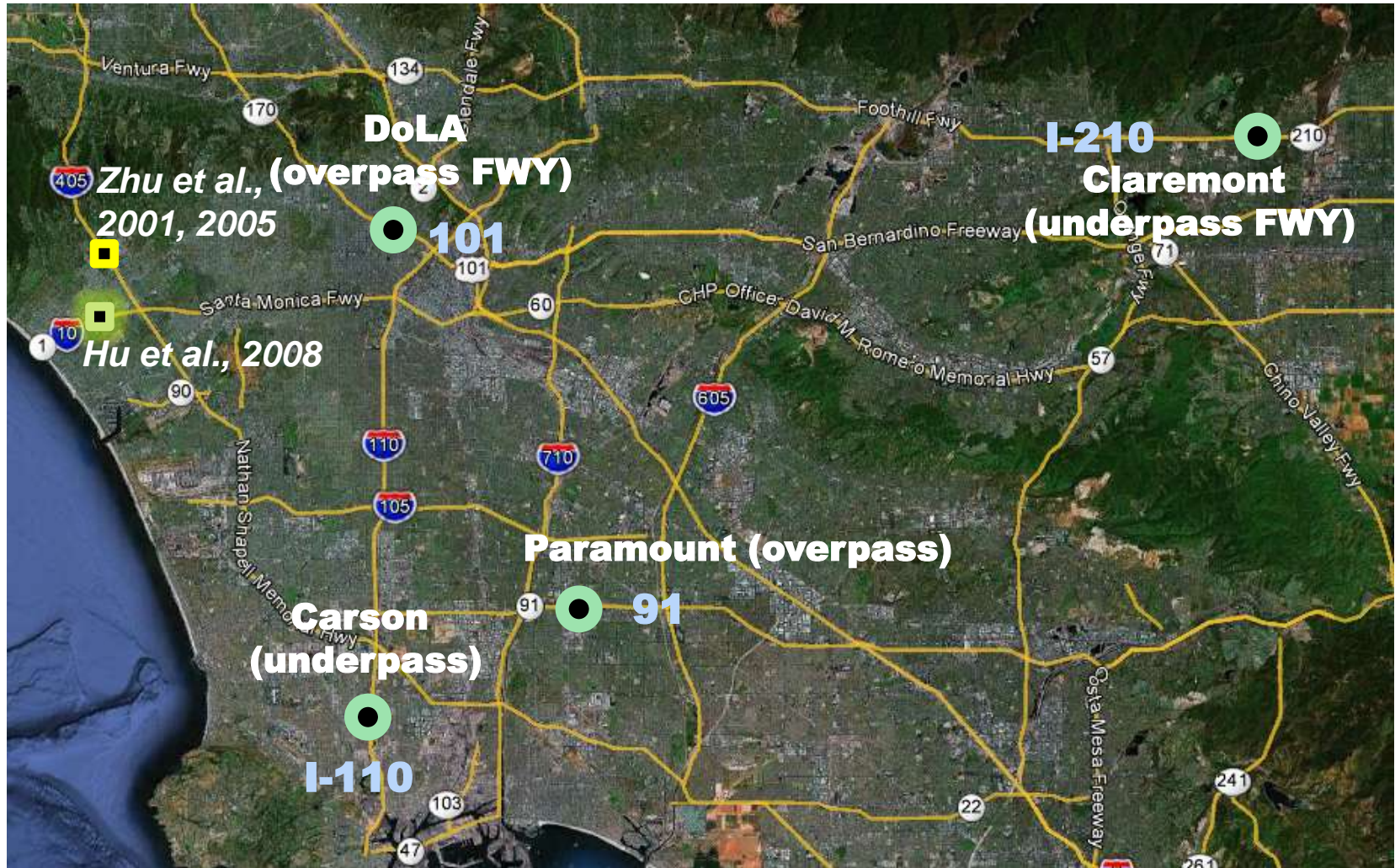


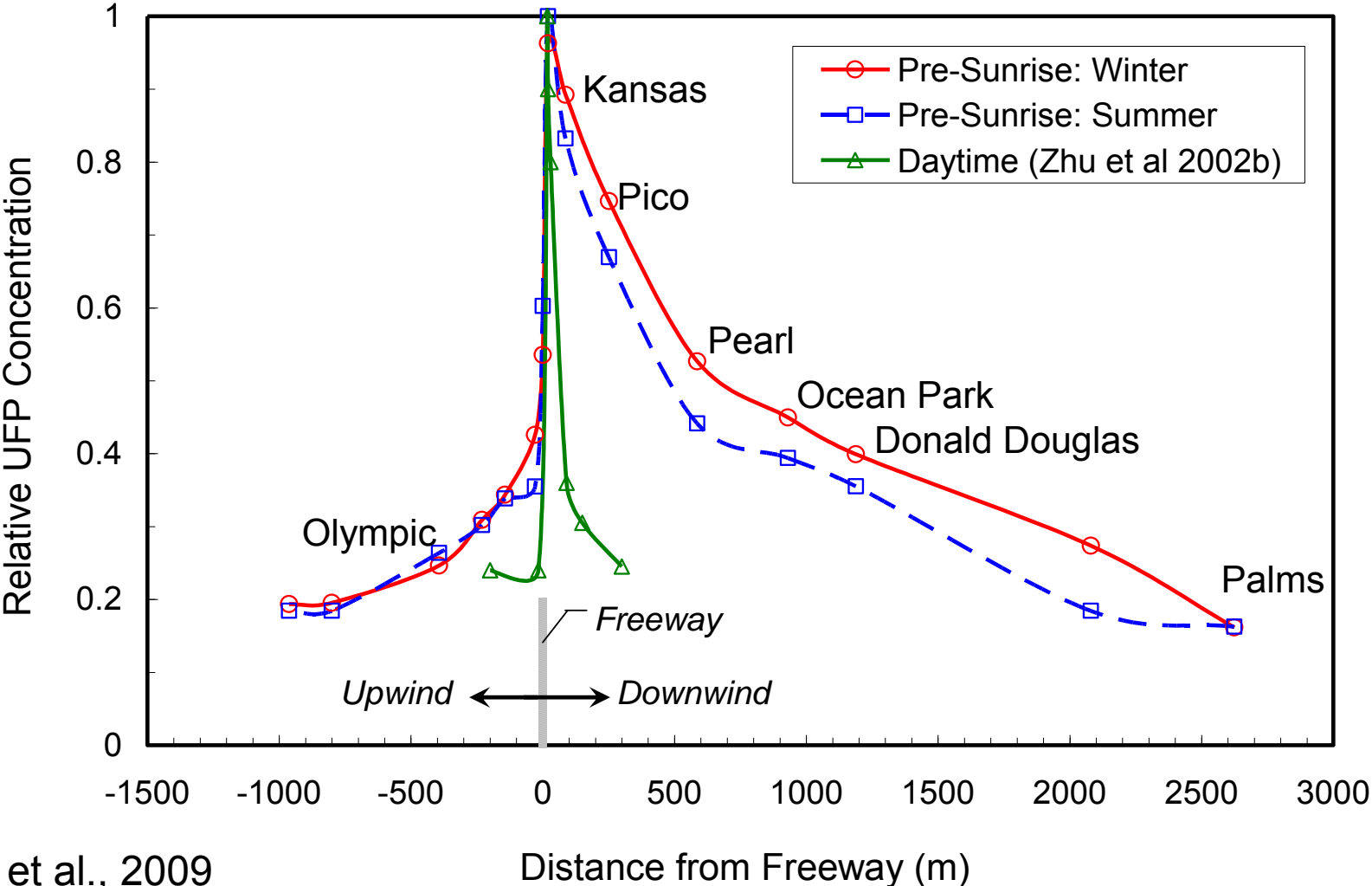
FIGURE 3. Local regression of edge normalized concentrations on distance. The horizontal black lines show a reduction from the edge-of-road concentration of 90% (at 0.1) and 50% (at 0.5). A loess smoother ( $\alpha = 0.70$ , degree = 1) was fitted to pollutant data which was placed in one of three groups. The regression sample size,  $n$ , is given in parentheses after each pollutant. The  $n$  includes an estimated (not in the literature) edge-of-road value to facilitate normalization.

# **Freeway plumes in the early morning**

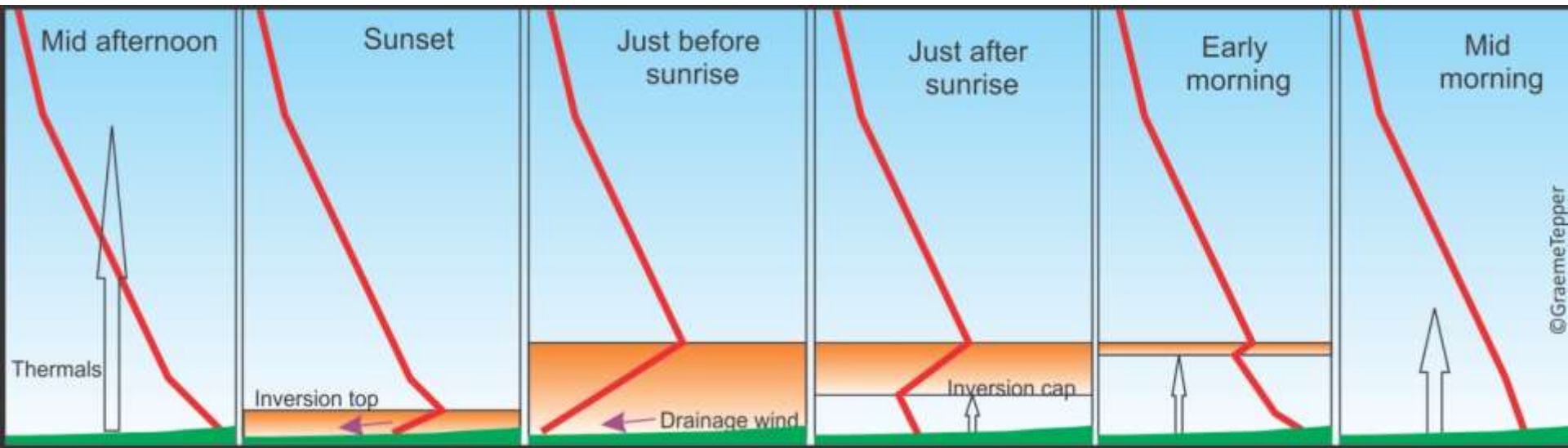
# Sampling Area and Transects



# The Freeway Imprint is Many Times Larger Before and Just After Sunrise (normalized data)

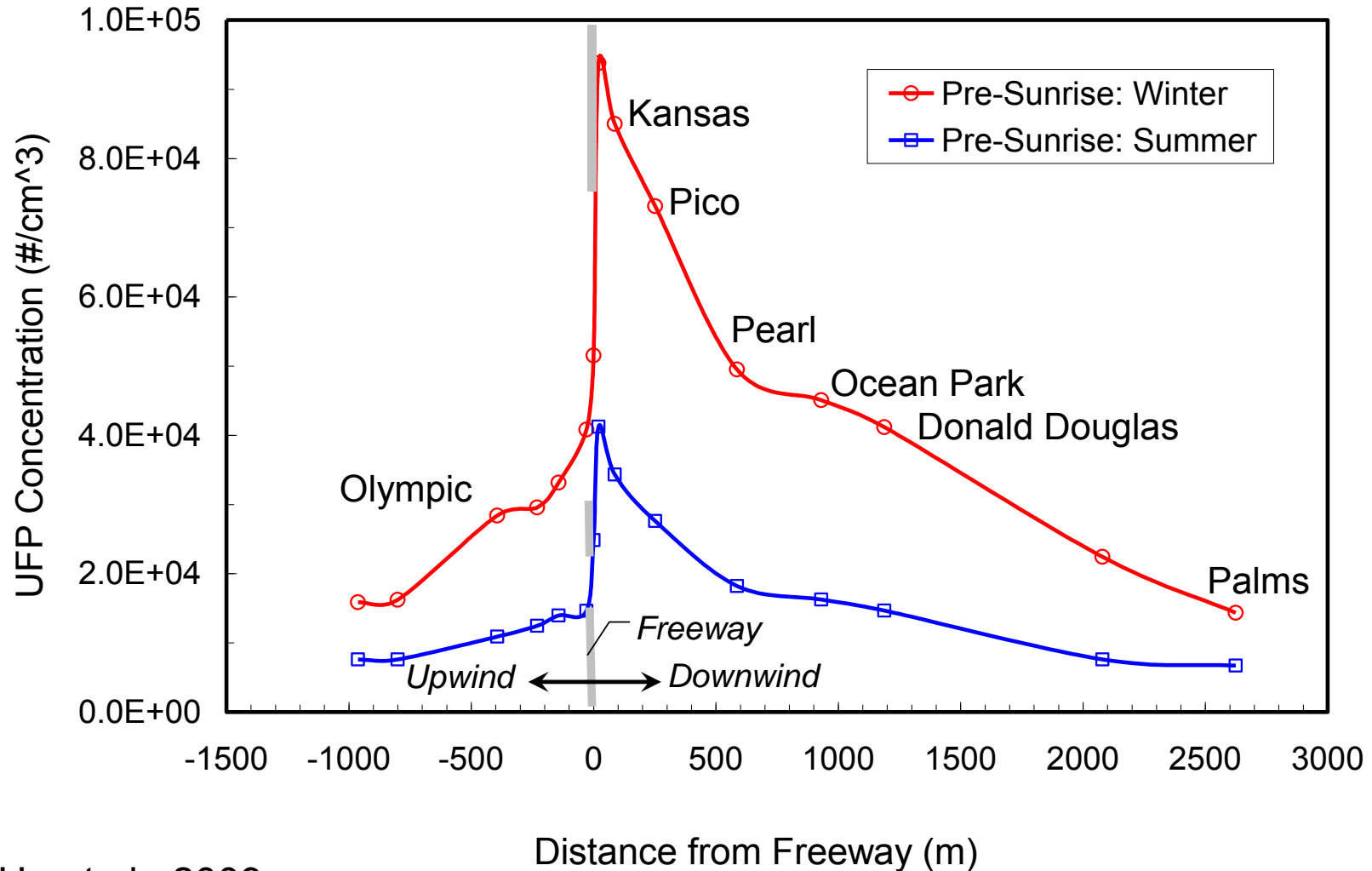


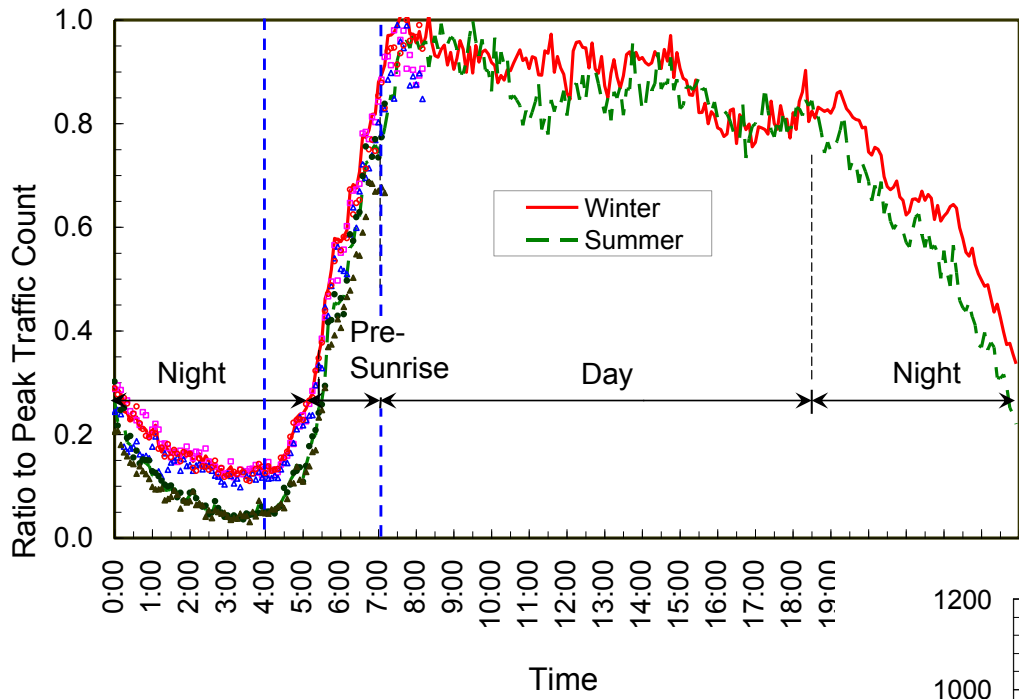
# The Atmosphere Strongly Traps Pollution Near the Surface in the Early Morning



Red line indicates temperature profile

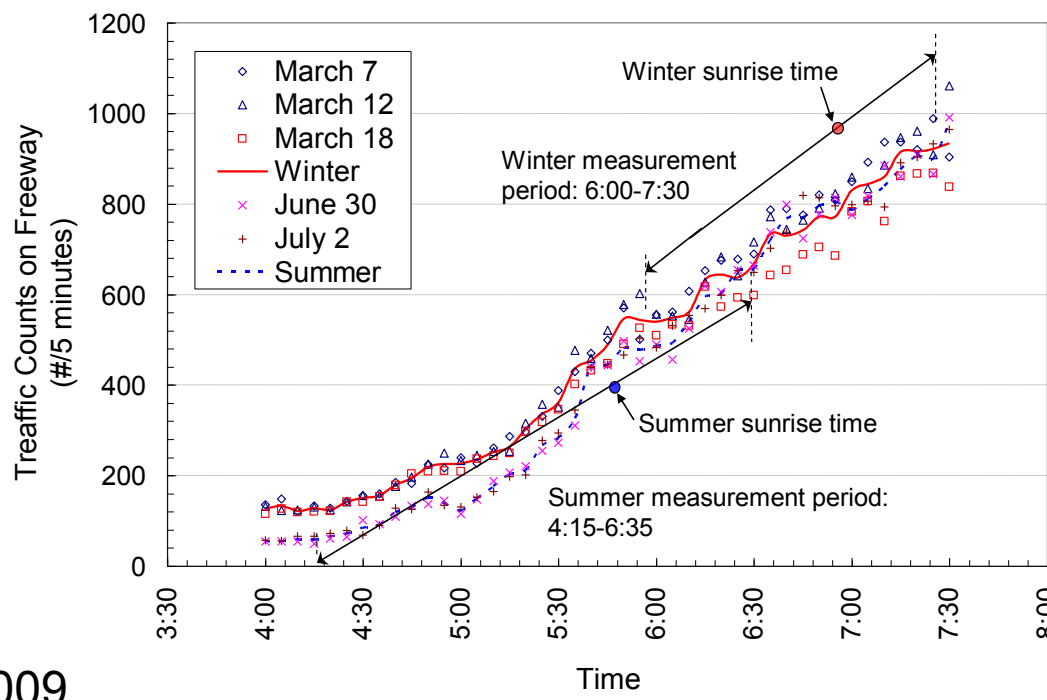
# Santa Monica: Summer is Cleaner; why?



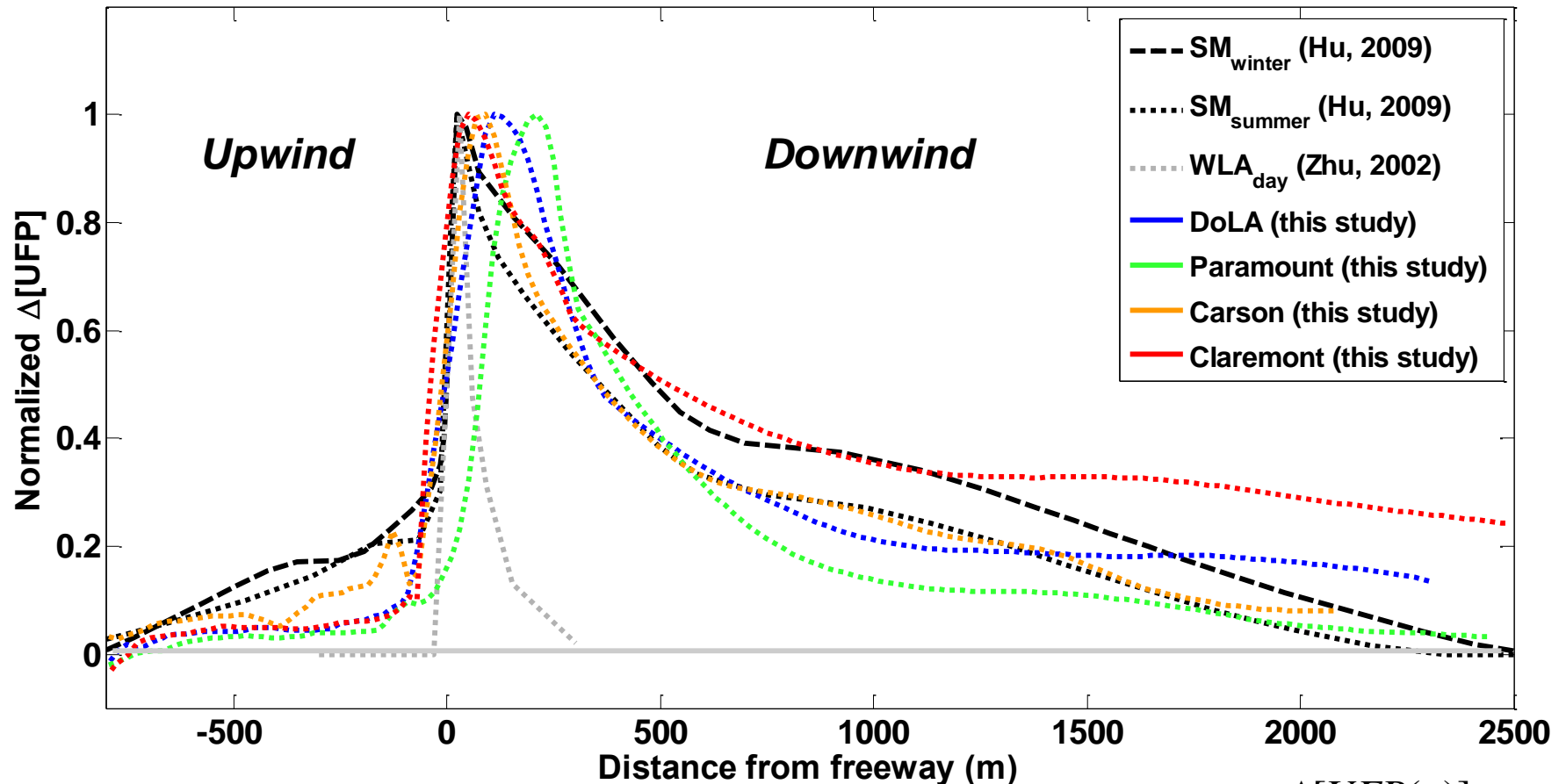


# Traffic Counts Increase Rapidly in the Early AM

Summer is cleaner because there is less traffic during the pre-sunrise period



# Early Morning Freeway Plumes at Other Locations in Southern California

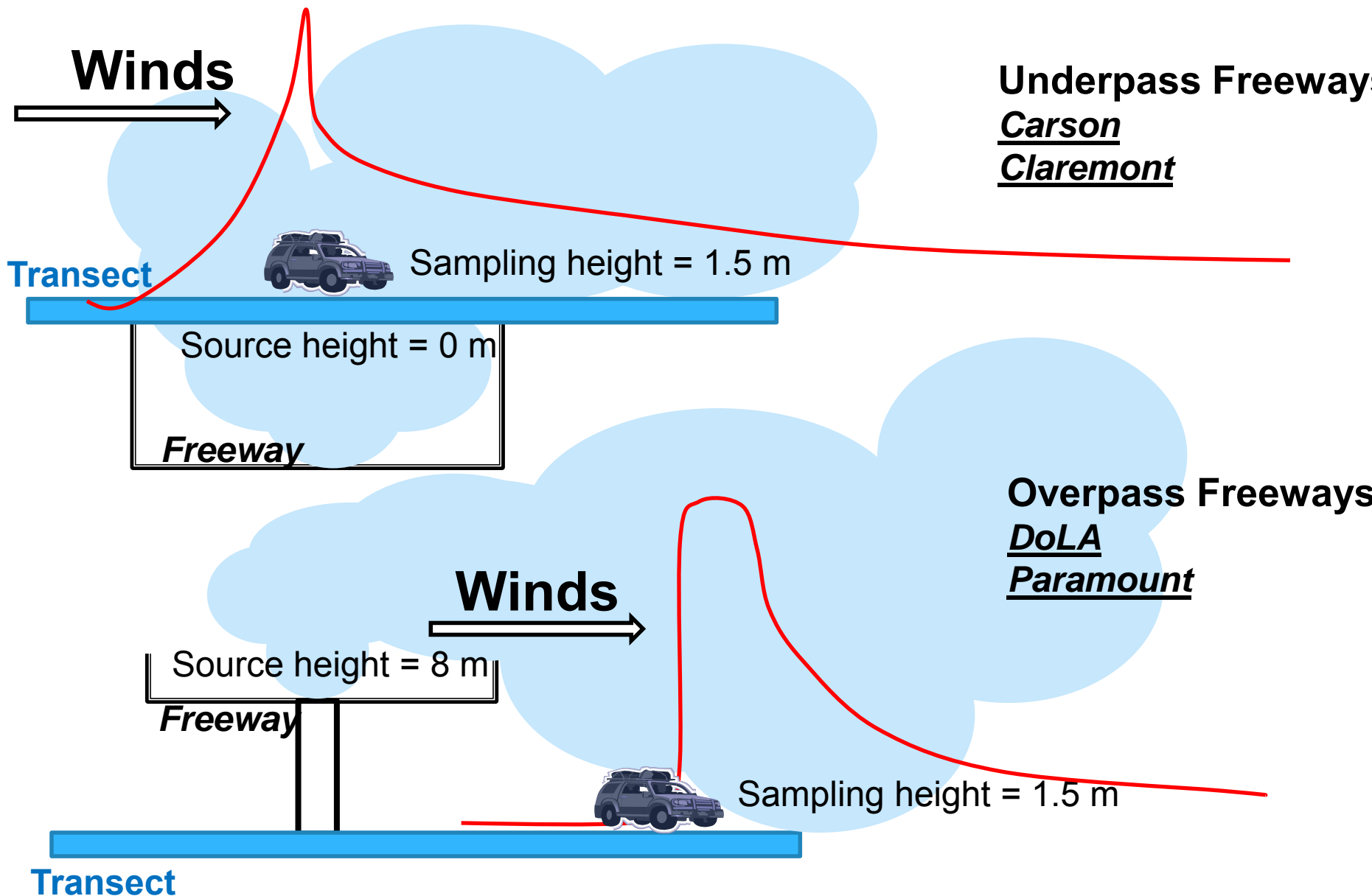


$$\Delta[UFP] = [UFP] - [UFP]_{bkgnd}$$

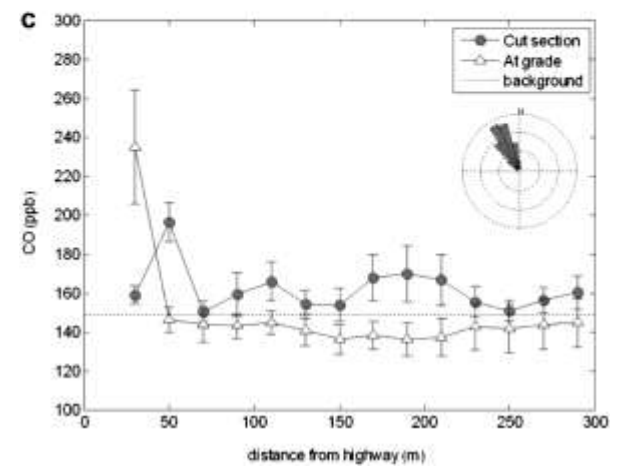
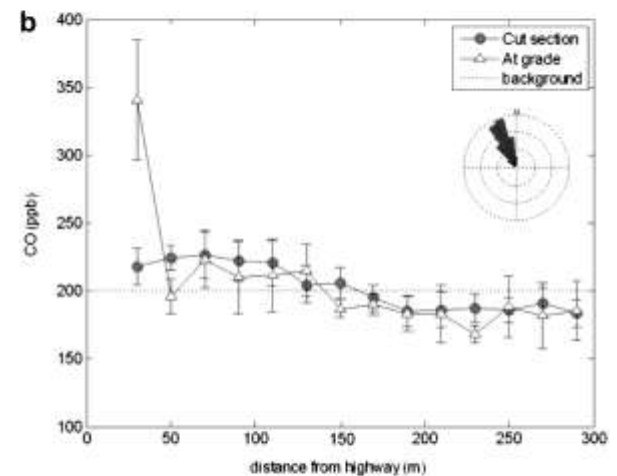
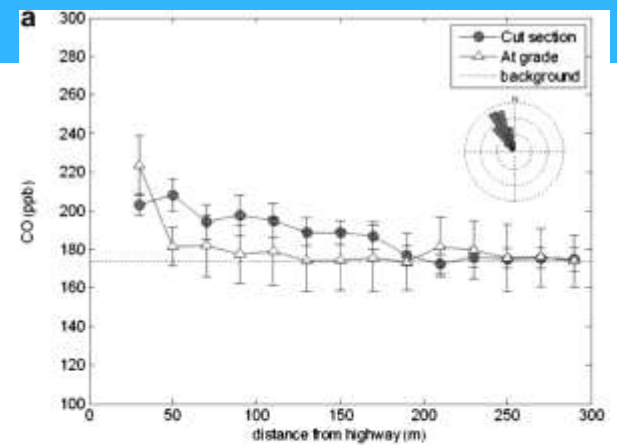
$$\text{Normalized } \Delta[UFP(x)] = \frac{\Delta[UFP(x)]}{\Delta[UFP]_{peak}}$$



# Freeway-Transect Geometry



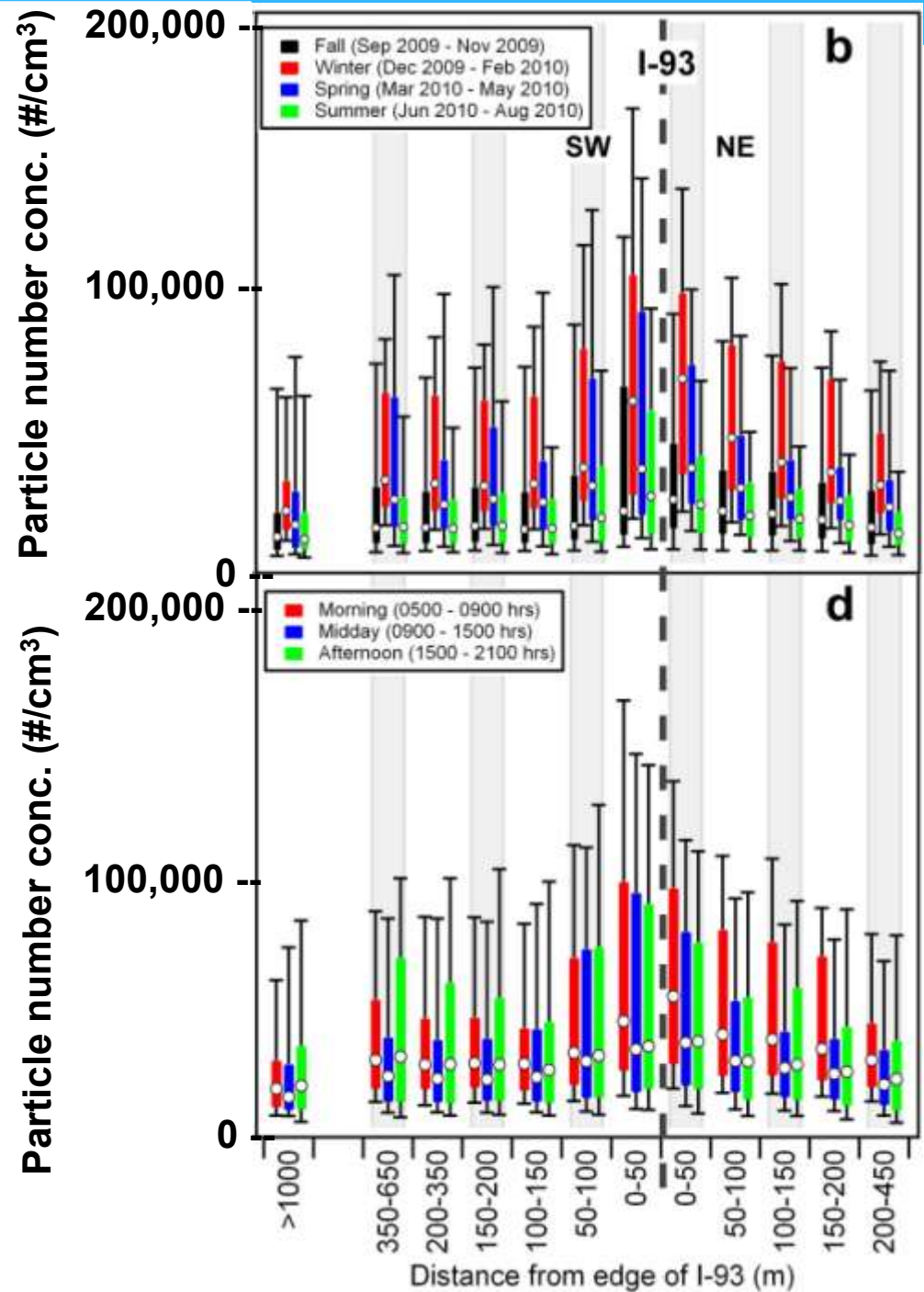
# Impact of Freeway Geometry



Baldauf et al.,  
Atmos. Environ  
2013

Measurements from  
Sommerville, Massachusetts  
around I-93

Luz et al., Atmos  
Environ 2012



# Fits Model to Observed Profiles to Extract Emission Factor and Dispersion Coefficients

[Choi et al., submitted]

## Gaussian Plume Dispersion model

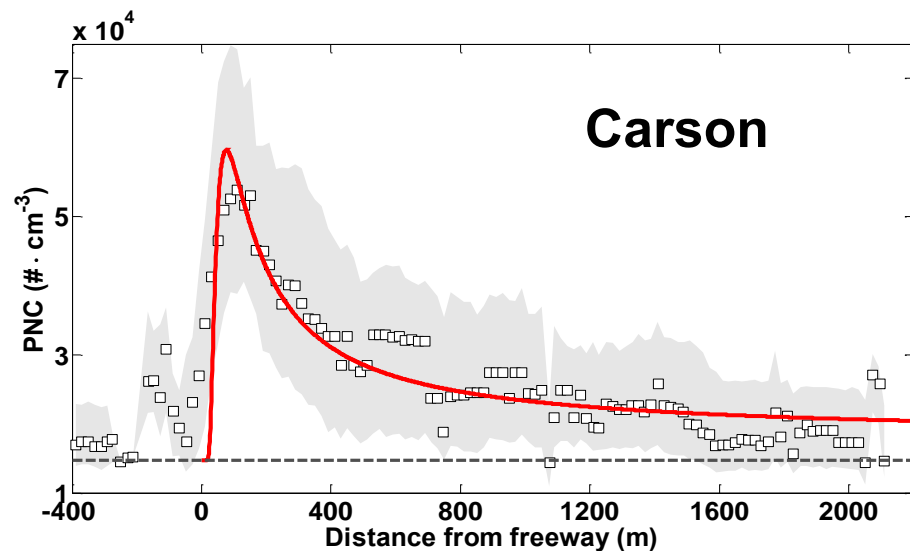
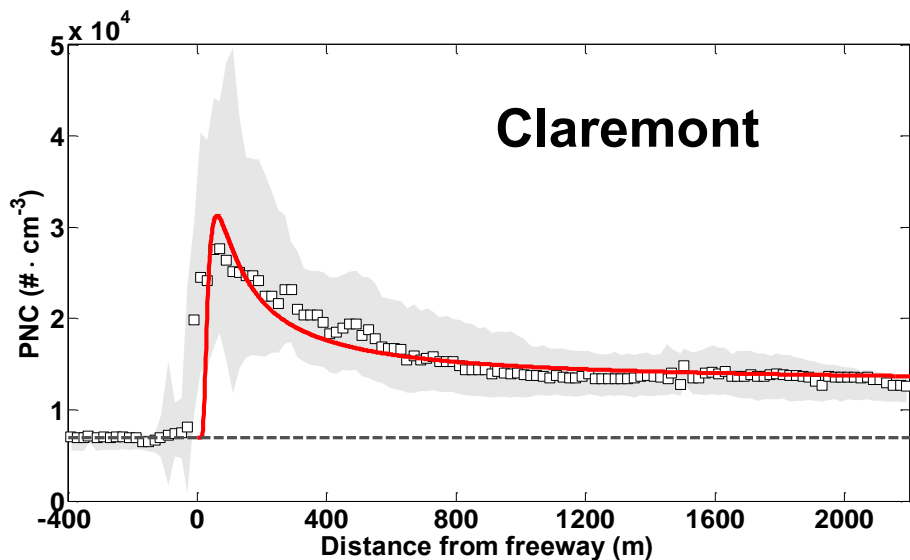
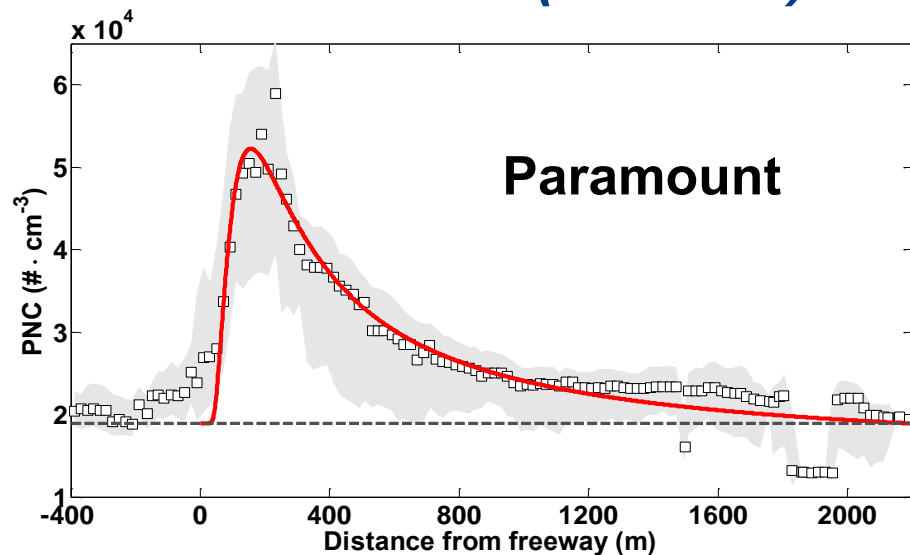
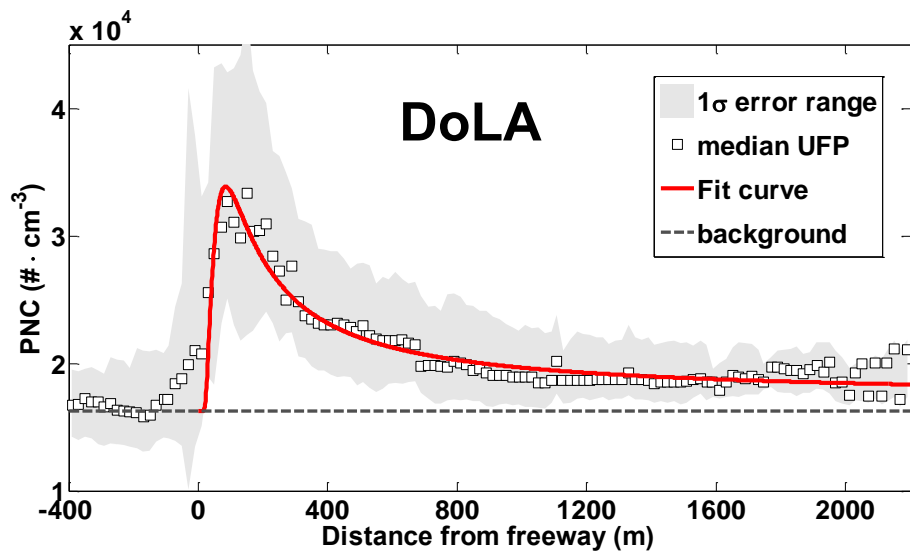
- $Q_c$  = Emission rate corrected with wind speeds
- $H$  = Source height
- 1.5m = Measurement height
- $\sigma_z$  = Dispersion parameter
- $x$  = Horizontal distance from the source

$$C(x, 1.5m) = \frac{Q_c}{\sigma_z} \left[ \exp\left(-\frac{(1.5m + H)^2}{2\sigma_z^2}\right) + \exp\left(-\frac{(1.5m - H)^2}{2\sigma_z^2}\right) \right]$$

References	Equation form	Land use	Stability Class	Dispersion coefficients
Briggs (1973)	$\sigma_z = \frac{\alpha \cdot x}{(1 + \beta \cdot x)}$ <p style="text-align: center;"> <span style="margin-right: 50px;">distance</span> <span>↖</span> </p>	Rural	E <sup>a</sup> (slightly stable)	$\alpha = 0.03$ $\beta = 0.3 \times 10^{-3}$
		Urban	E – F <sup>a</sup> (stable)	$\alpha = 0.08$ $\beta = 1.5 \times 10^{-3}$

Dispersion Parameter

# The Model Fits the Observations Well ( $R^2 > 0.9$ )



# Estimating the Particle Number Emission Factor

$$Q_c = \frac{q_{veh} \times (\text{Traffic flow})}{2\sqrt{2\pi}U_e}$$



$$q_{veh} = \frac{\sqrt{2\pi}Q_c \cdot U_e}{(\text{traffic flow})}$$

$$= \frac{\sqrt{2\pi} \times (8.12 \times 10^4) \times (0.64 \text{ m/s} + 0.2 \text{ m/s}) \times 10^6 \text{ cm}^3 / \text{m}^3 \times 300 \text{ s} / 5 \text{ min}}{(680.2 \text{ vehicles} / 5 \text{ min})}$$

$$= 7 \times 10^{13} \text{ particles} \cdot \text{mi}^{-1} \cdot \text{vehicle}^{-1}$$

$Q_c$	= Wind speed-corrected Emission rate (#·m·cm <sup>-3</sup> )
$q_{veh}$	= Particle number emission factor (PNEF) (#·mile <sup>-1</sup> ·vehicle <sup>-1</sup> )
Traffic flow	= vehicles·s <sup>-1</sup>
$U_e$	= Effective wind speeds [Chock, AE, 1978] (wind speed + speed correction factor due to traffic wake)

with the mean values obtained from observations

**This is 15% of the Particle Emission Factor measured in West LA in 2001**

4.9×10<sup>14</sup> particles·mi<sup>-1</sup>·vehicle<sup>-1</sup> in 2001 [Zhu and Hinds, AE, 2005]

# Night and Day



**As much as 50% of population lives within 1.5 km of freeways in California South Coast Air Basin [Polidori et al., 2009]**

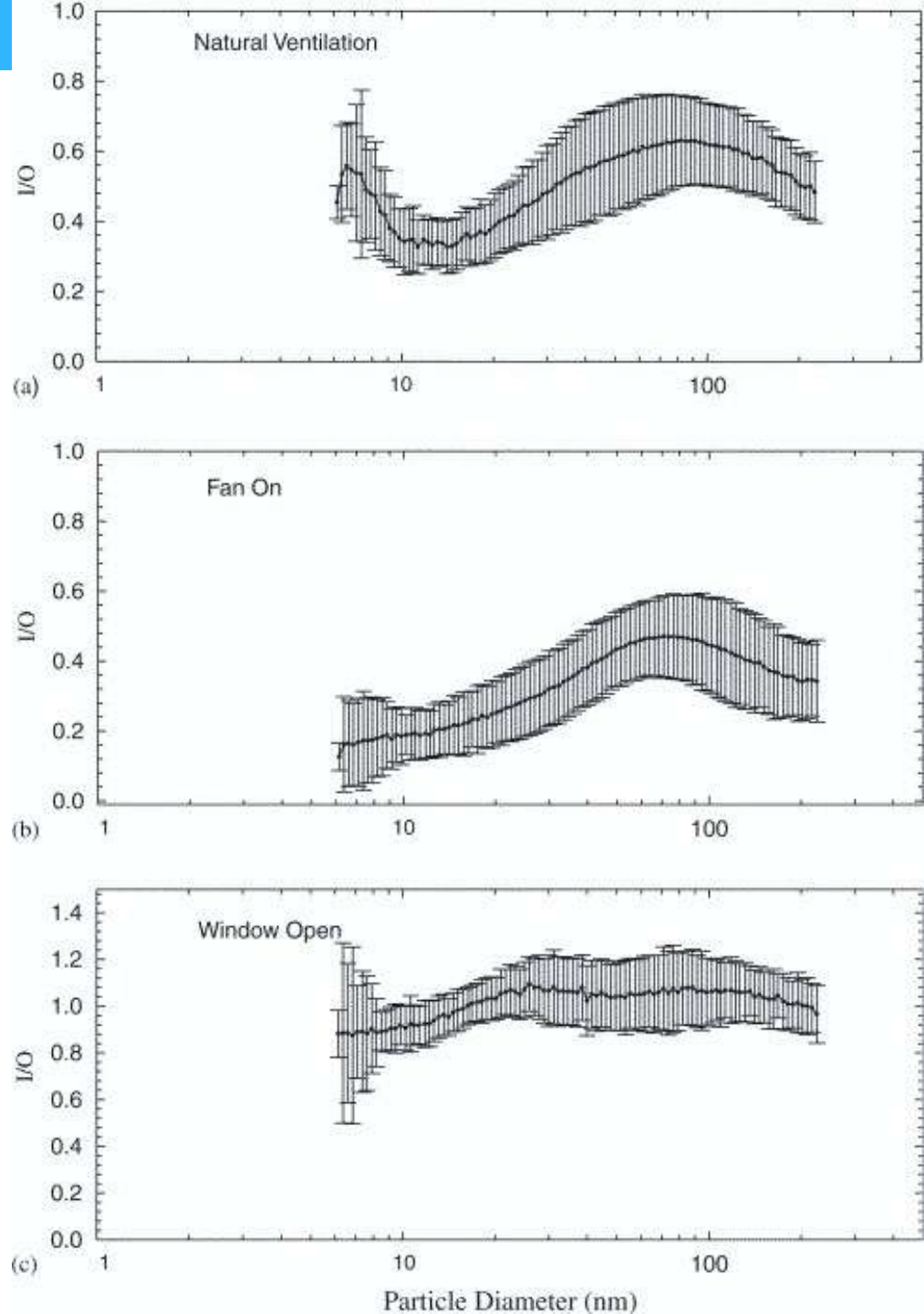
**About 11% of US households are located within 100 m of 4-lane highways [Brugge et al., 2007]**

**Extension of pre-sunrise freeway plume up to 2 km has potentially significant implication for human exposure to UFP as well as other pollutants**

# Penetration of Ultrafine Particles into Indoor Spaces is Significant

Avg. Indoor/Outdoor for particle number concentration was **0.95**. For 18 homes in Massachusetts during summer (1900 – 1949 construction) Fuller et al., 2013 J. Exp. Sci. & Env. Epi.

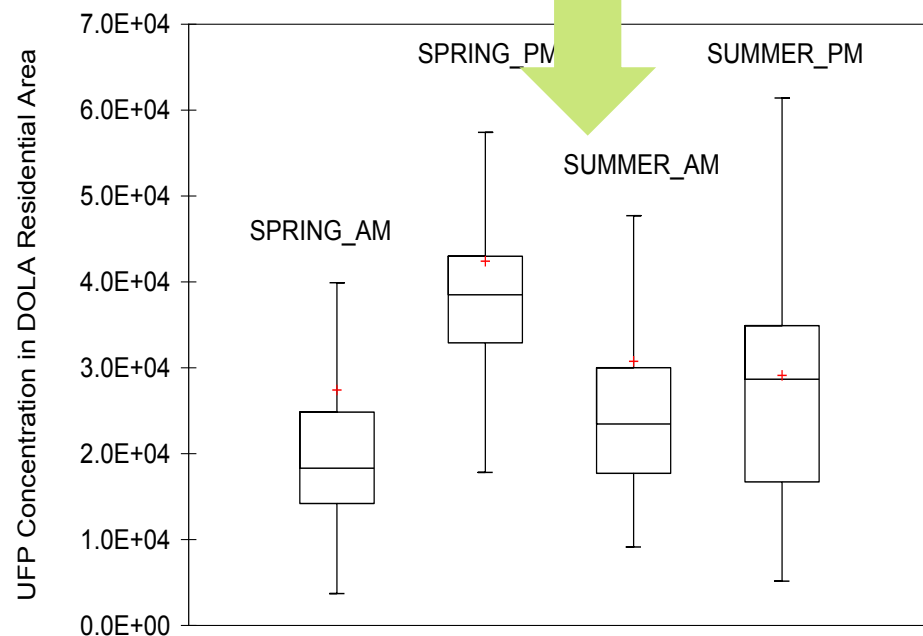
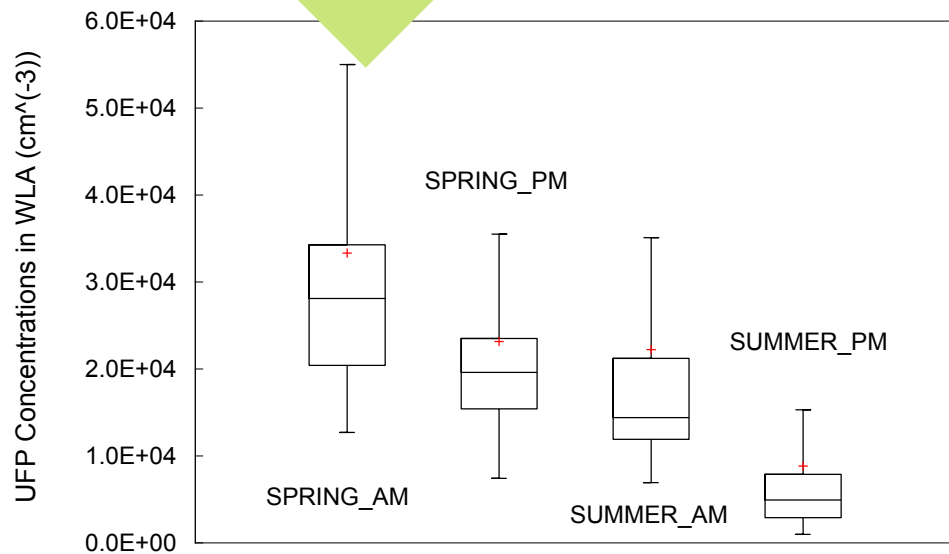
UCLA Sepulveda & Sawtelle student housing  
Zhu et al., J. Aerosol Sci. 2005



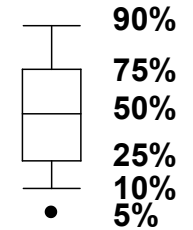


# **Air Quality in Several Los Angeles Neighborhoods**

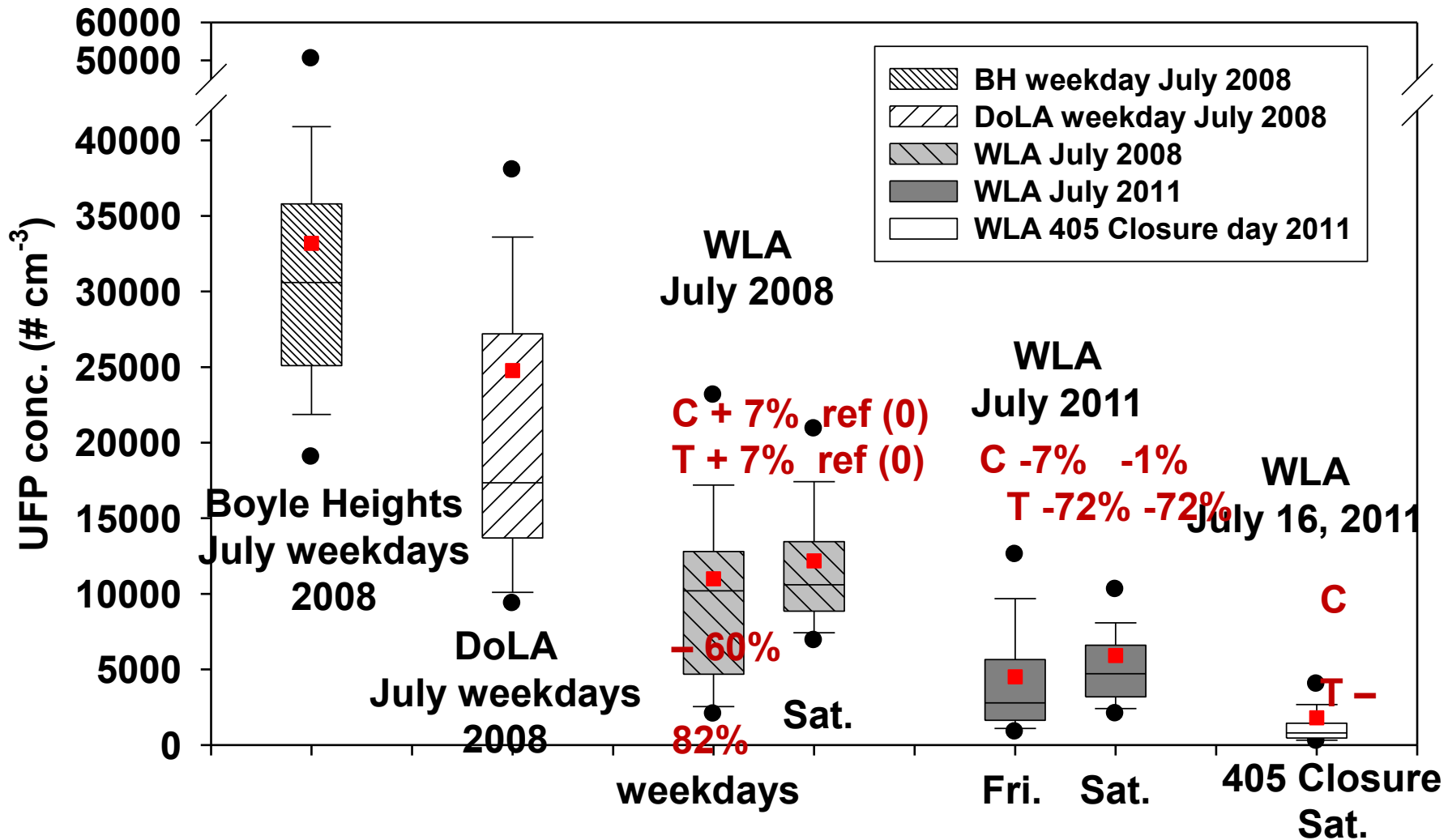
# Temporal trends are quite area dependent. (Data are for residential areas only.)



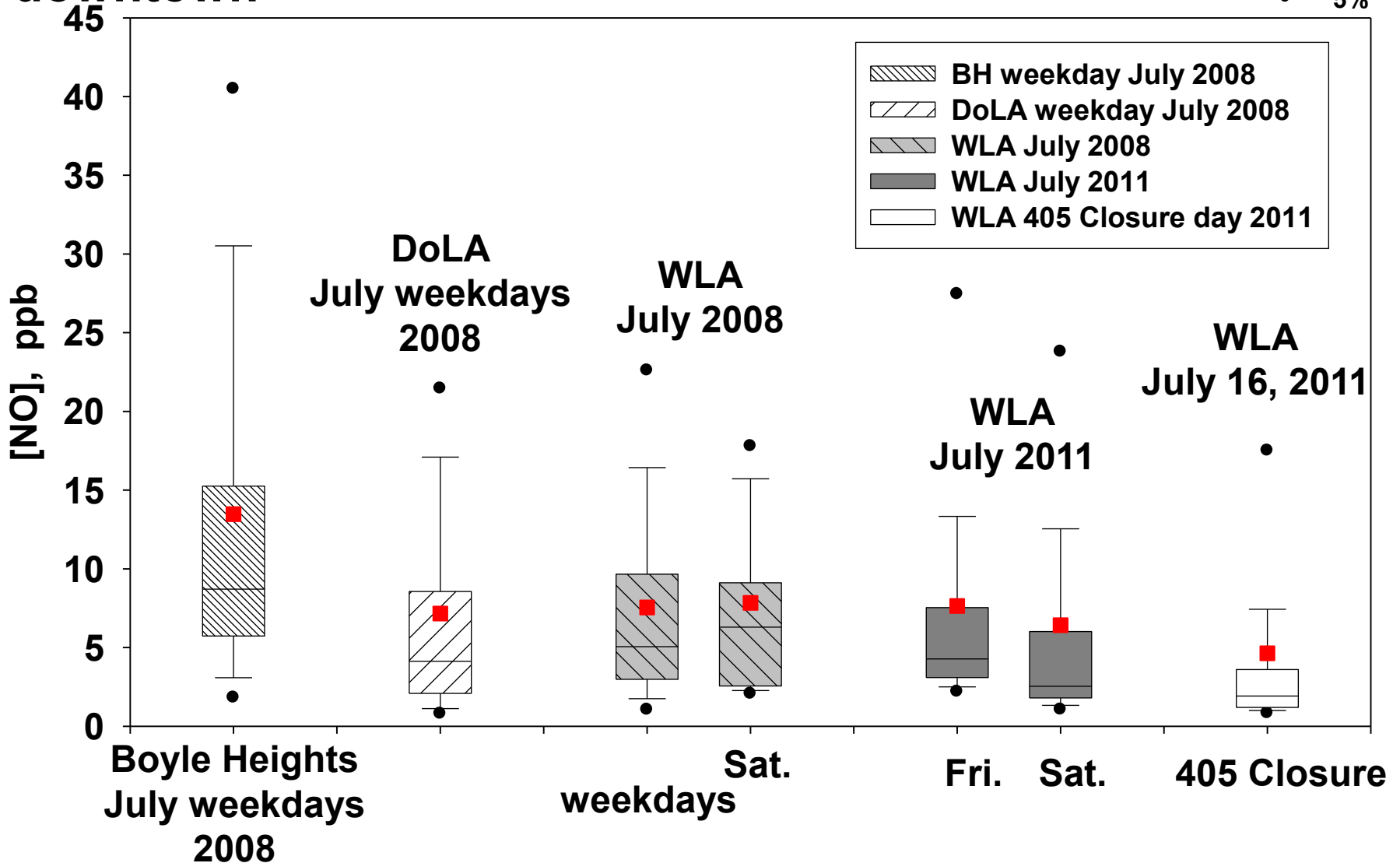
• 95 %



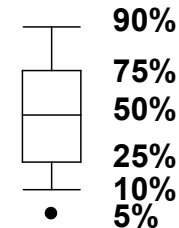
# Afternoon UFP Concentrations in Residential Neighborhoods: Much higher in Downtown.



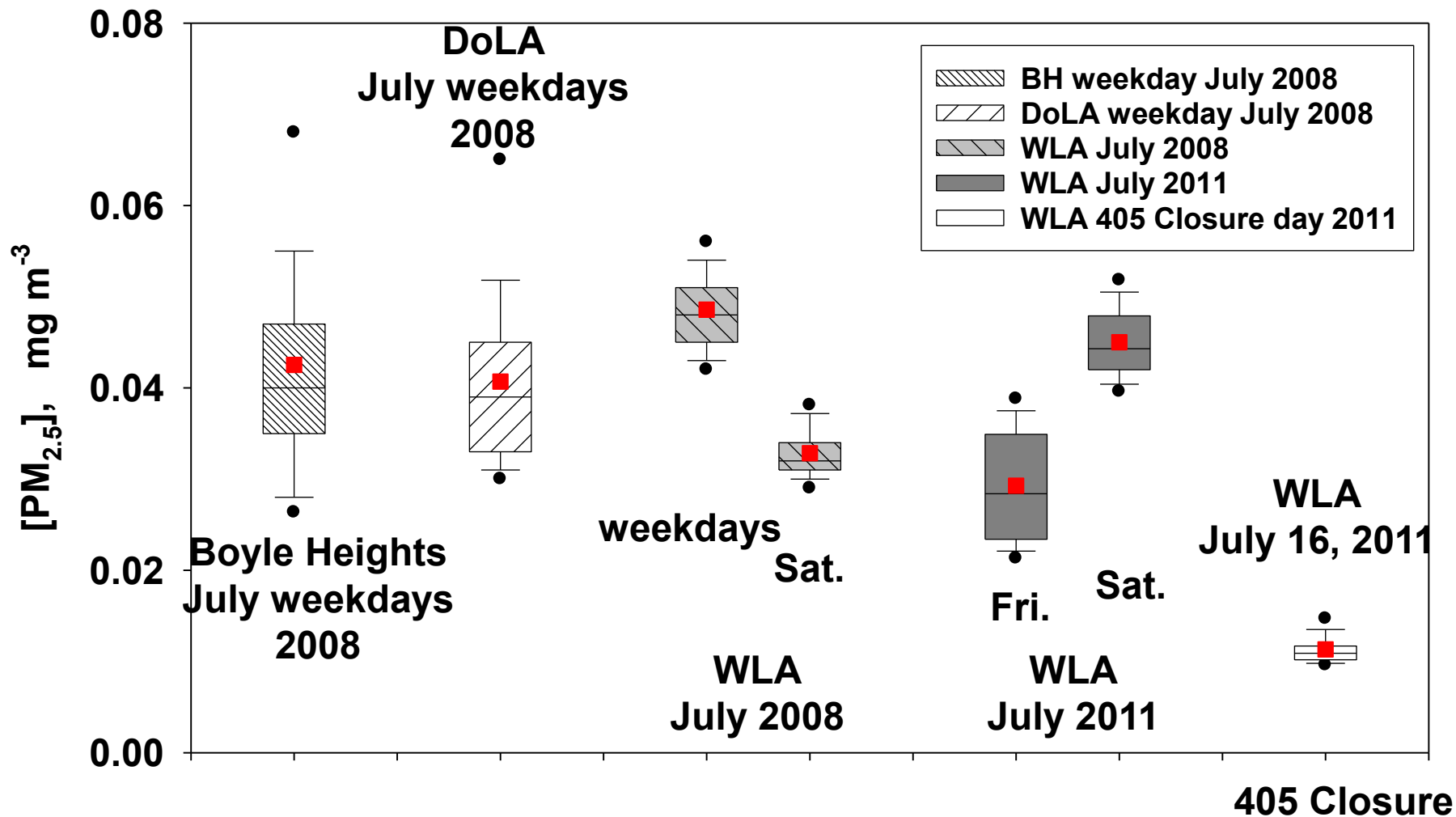
**NO has a similar trend but much less variability than UFP; trend may be dampened by higher O<sub>3</sub> in downtown**



• 95 %



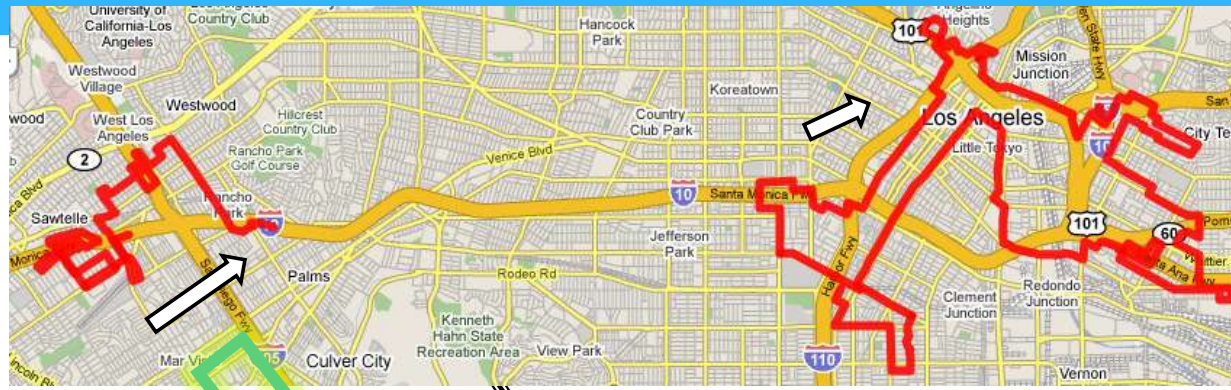
**PM<sub>2.5</sub> was similar throughout except during “Carmageddon” (all afternoon data)**



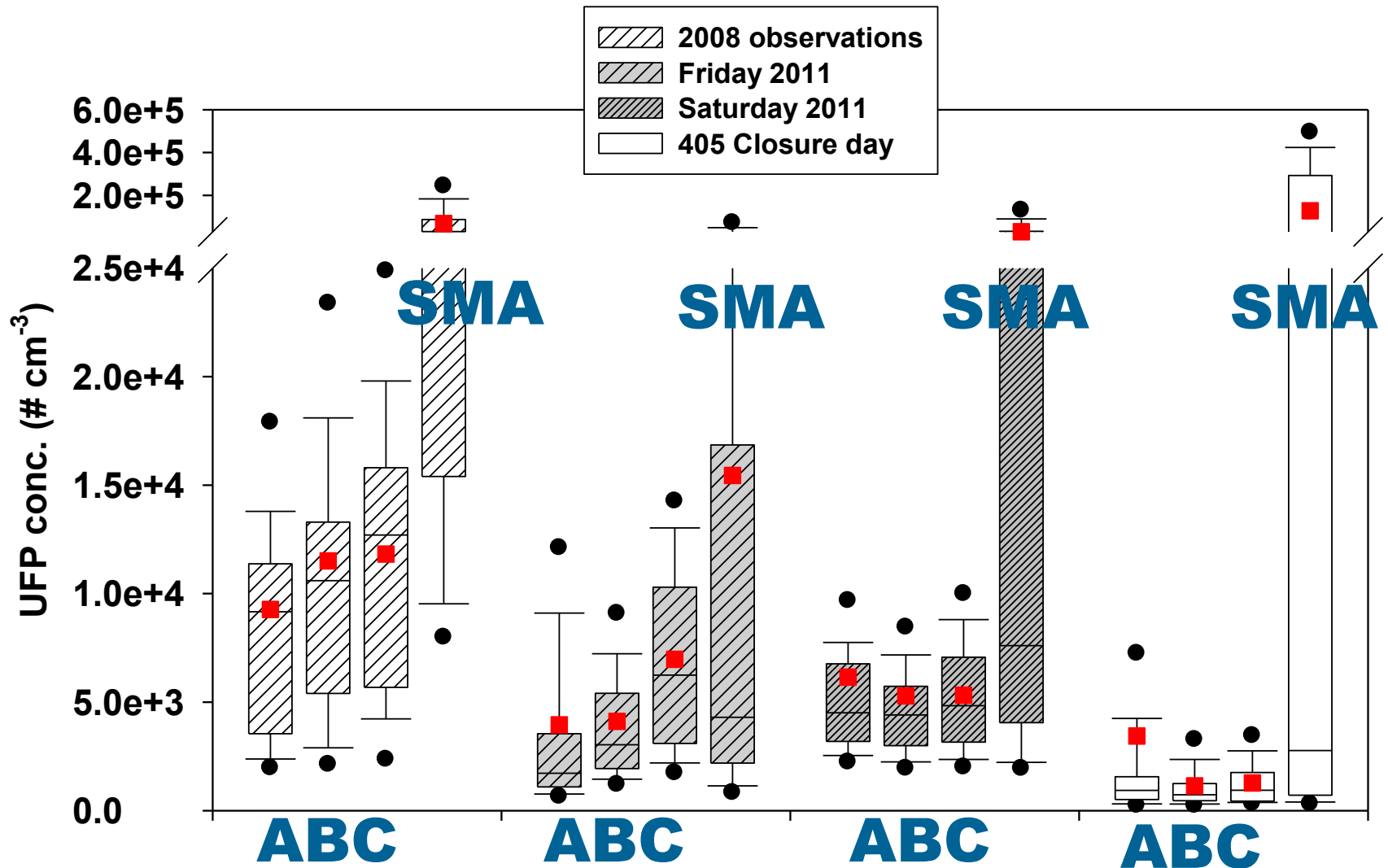
# **Neighborhood-Scale Air Quality in West Los Angeles**

⇒ 2 m/s

# West Los Angeles residential measurement areas in 2008 and 2011



# Ultrafine Particle Concentrations Vary Substantially between Neighborhoods





# Summary

- 1. Freeway plumes are complex, and best traced by species with low urban backgrounds like ultrafine particles.**
- 2. Early morning extension of freeway plumes far downwind (> 2 km) is a general phenomenon.**
- 3. Data indicate a strong drop in emissions of ultrafine particles over the past decade.**
- 4. Plume intensity as well as met. parameters control pollutant plume lengths downwind of freeways.**
- 5. Behavior of UFP concentrations in neighborhoods is sufficiently complex as to be easy to explain but somewhat difficult to predict.**

**Thank you for your attention**



# The Credit is Really Due to:

Wonsik Choi

Shishan Hu

Hwajin Kim

Meilu He

Kathleen Kozawa\*

Steve Mara\*

Dilhara Ranasinghe

Karen Bunavage

Rodrigo Siguel

Juan De La Cruz

Vincent Barbesant

Arthur Winer

\*California Air Resources Board

**Supported by the California Air Resources Board,  
and the National Science Foundation**

# URBAN AIR POLLUTION AND CHILDHOOD ASTHMA: Burden of Disease

Rob McConnell  
Professor of Preventive Medicine  
Keck School of Medicine  
University of Southern California  
November 21, 2013

# Prior Evidence From Time Series and Panel Studies: Acute Effects

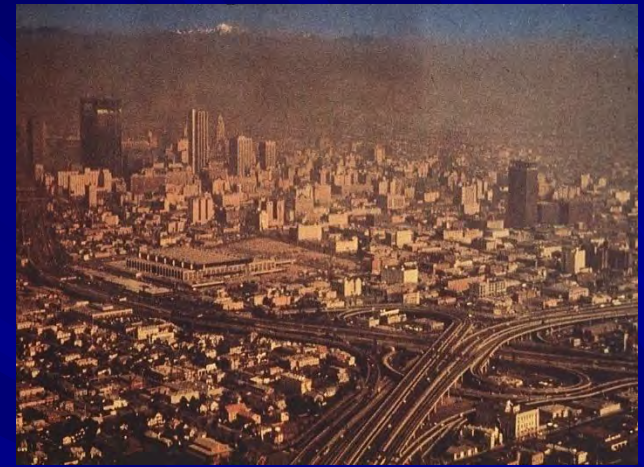
- Ozone and Particles Make Asthma Worse
  - More symptoms
  - More medications used
  - More respiratory illnesses
  - More clinic visits
  - More emergency room visits
  - More hospitalizations

# “Common Wisdom” About Air Pollution and Asthma

- Air pollution exacerbates asthma, but does not cause asthma (*Eder W, et al: The asthma epidemic, NEJM 2006;355:2226*)
  - Rates of asthma generally are not greater in communities with more regional air pollution

# CHILDREN'S HEALTH STUDY RESEARCH QUESTIONS

- What is the health impact of increases in regulated regional pollutants?
- What is the health impact of increases in local near-roadway pollutants which are not currently regulated?



Regulated



Largely Unregulated

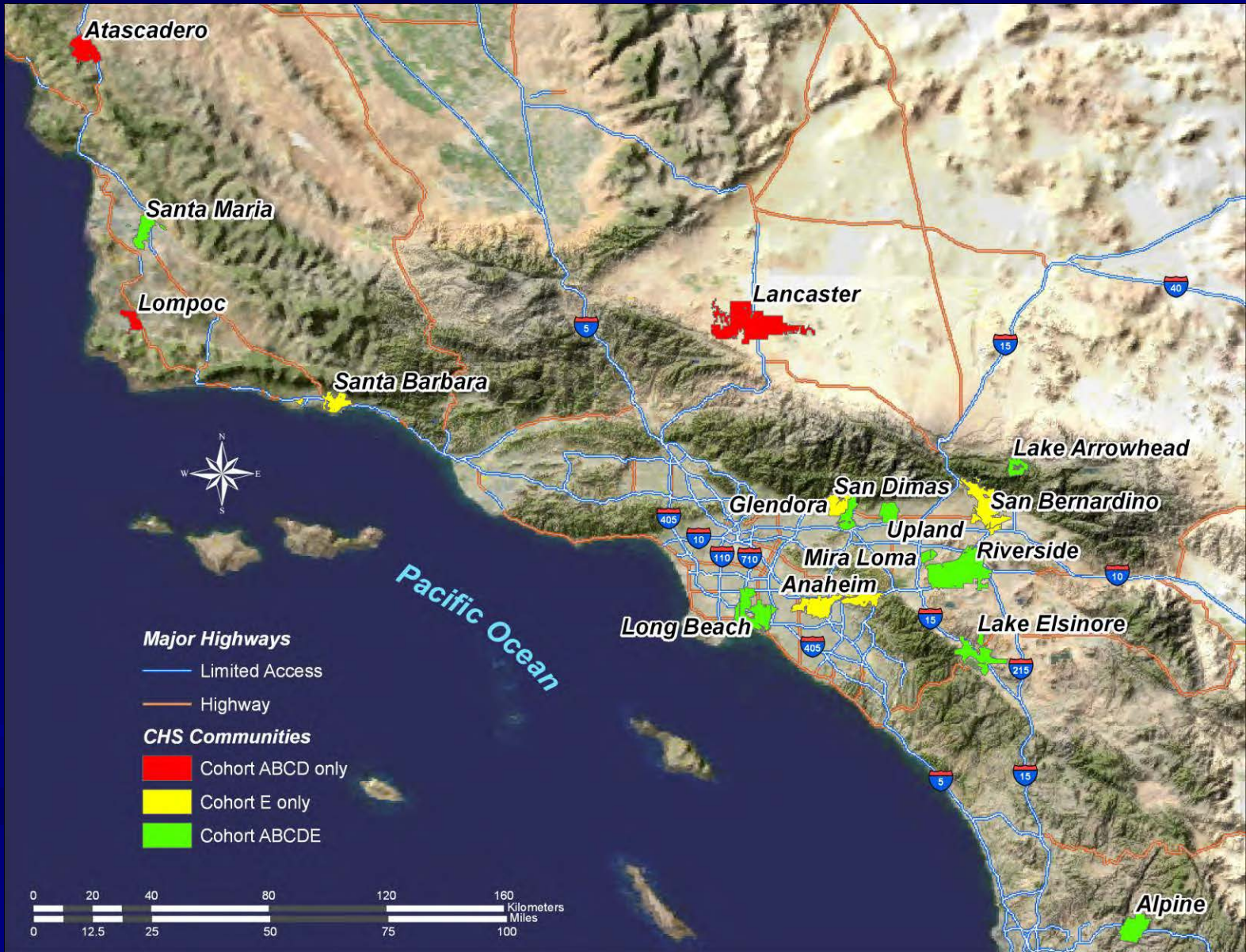
# “Common Wisdom” About Air Pollution and Asthma

- Emerging evidence indicates that near-roadway air pollution that varies within communities causes asthma
- We'd been looking at the wrong pollutant mixture!



# Overview of Presentation

- Children's Health Study design
- Evidence for causal relationship of asthma with near-roadway exposure
- Cumulative impact of near-roadway and regional pollution on asthma exacerbation
- Some policy implications





# MAIN OUTCOMES

- Lung function (spirometry)
- Asthma
- Respiratory symptoms (eg. bronchitis)
- Exhaled nitric oxide
- Respiratory school absences
- Carotid intima medial thickness, arterial stiffness, blood pressure
- Obesity, metabolic disease
- Epigenetic marks

# Asthma Definition

- Lifetime MD asthma at study entry
  - Further characterized by age of wheeze onset or diagnosis
- New onset asthma
  - New report of MD asthma or severe wheeze
  - Nurse practitioner interview
  - Subset with skin prick test for allergy and exercise challenge for airway reactivity



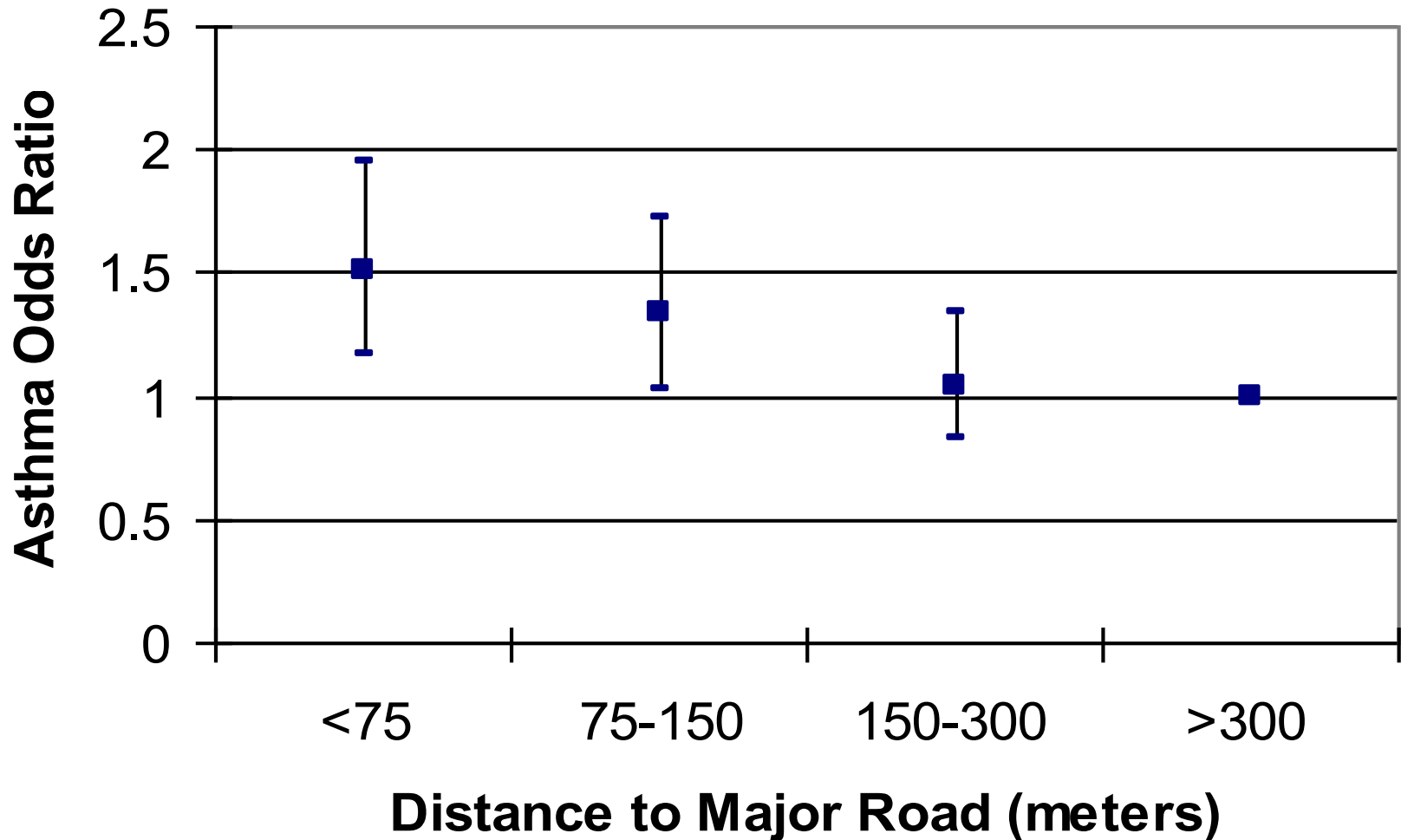
# Exposure Assessment (Ambient)

- One Station Per Community
  - Ozone (hourly)
  - Nitrogen dioxide (hourly)
  - PM<sub>10</sub> (hourly) and PM<sub>2.5</sub> (2-week) mass
    - Chemistry (EC/OC, metals, PAHs)
  - Acid vapor (primarily nitric; 2-week)

# Traffic Pollution Metrics

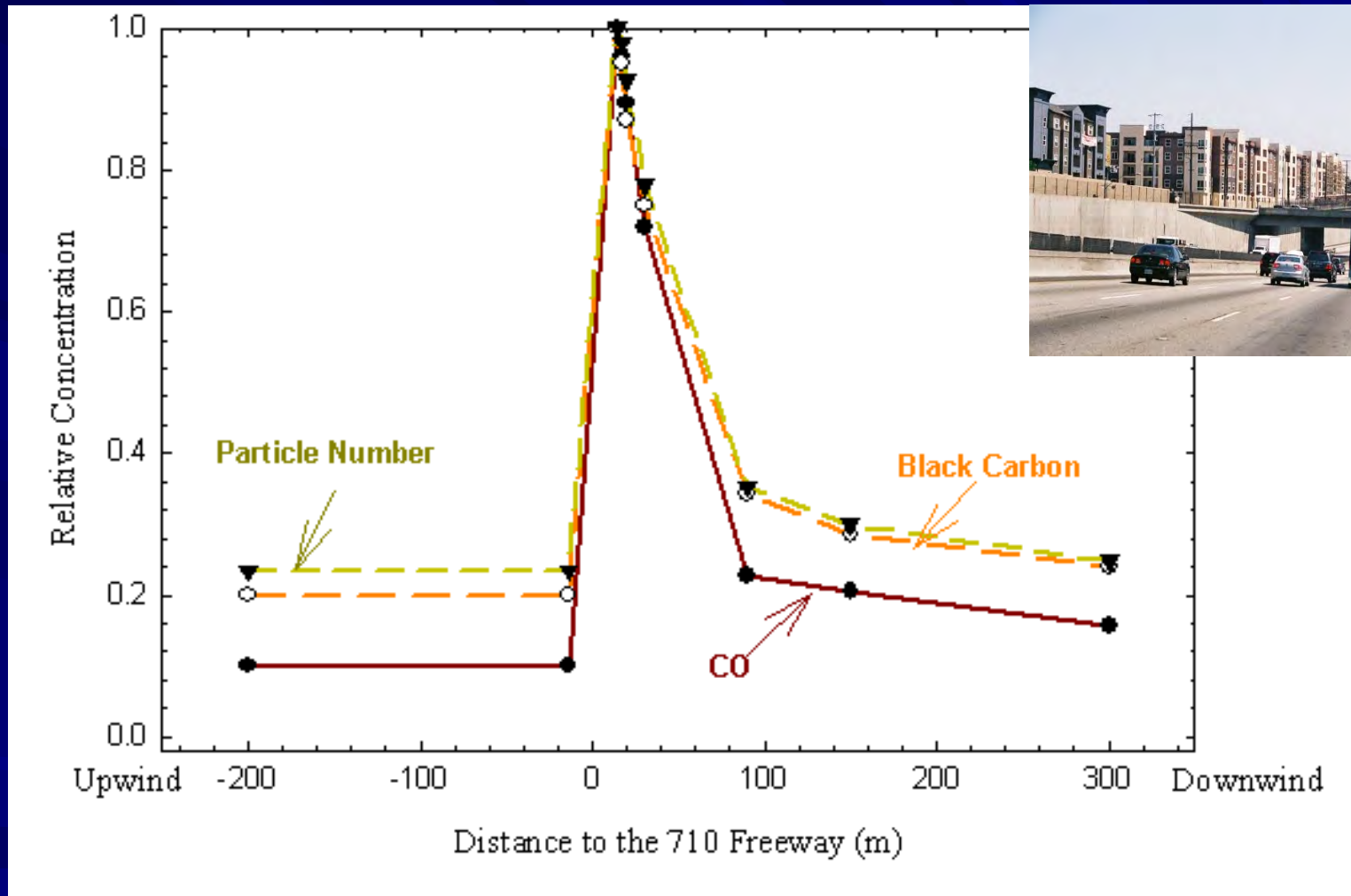
- Assigned to homes and schools
  - Distance to a freeway or major road
  - Average annual daily traffic density within 150 meters (distance weighted)
  - Modeled near-roadway exposure
    - CALINE4 line source dispersion model
  - Measured NO<sub>x</sub>, size-fractionated PM and PM composition at a sample of homes in each community
    - Used to develop prediction models

# There is more asthma in children living within 150 meters of a major road





# Air Quality is Worse Near a Freeway



Other pollutants are also high near freeway (e.g. NO<sub>2</sub>, benzene,...)

(Zhu et al., 2002, 2006)

# Incident Asthma and Traffic-Related Pollution (TRP) at School and Home

Traffic-related exposure <sup>a</sup>	Home		School		Combined <sup>b</sup>	
	HR	(95% CI)	HR	(95% CI)	HR	(95% CI)
Non-freeway TRP	1.51	(1.25-1.81)*	1.45	(1.06-1.98)	1.61	(1.29-2.00)*
Freeway TRP	1.12	(0.95-1.31)	1.08	(0.86-1.34)	1.12	(0.94-1.35)
Total TRP	1.32	(1.08-1.61)**	1.20	(0.91-1.58)	1.34	(1.07-1.68)

<sup>a</sup>Scaled to the IQR at homes for each metric

<sup>b</sup>Combined weighted for time at home and school

\*P<0.001; \*\*P<0.01

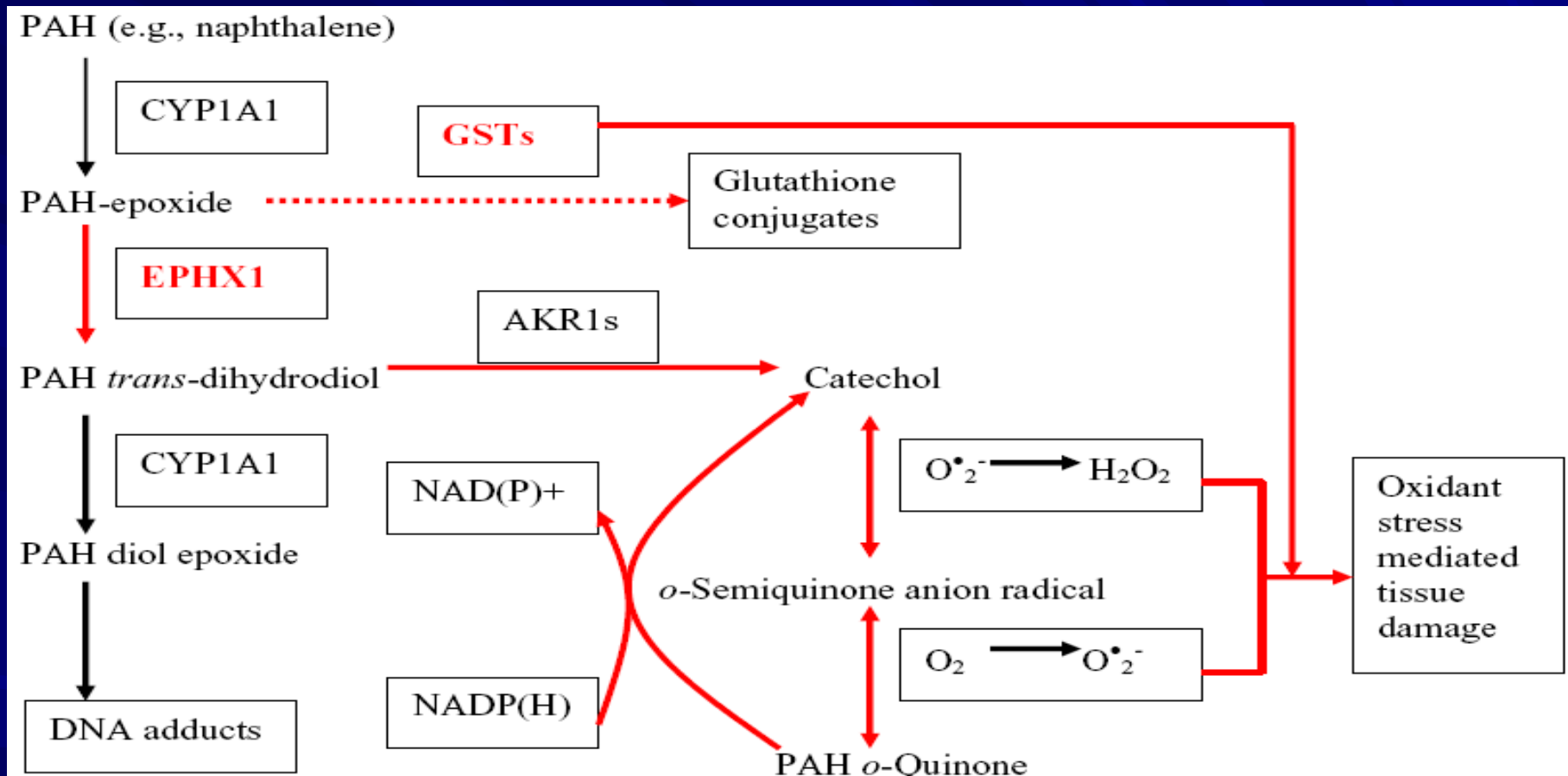
# Confounders

- Demographic characteristics
- Allergic symptoms, BMI, ultraviolet light exposure
- Family history
- SES
  - Parental education
  - Household income
  - By community (eg. census data, crime statistics)
  - By school (eg. school lunch, Title 1, ethnic mix, performance)
- Housing conditions
  - Pets, pests, mold, water damage
  - Second hand tobacco smoke exposure
- *In utero* tobacco smoke exposure

# Biologically Plausible?

- Oxidative stress and inflammation fundamental to the pathogenesis of asthma
- Ultrafine particulate matter has strong oxidant properties and generates inflammatory responses

# PAH, EPHX1, GST and Asthma



## Results - EPHX1 Phenotypes and Asthma

<b>EPHX1 phenotypes</b>	<b>Lifetime asthma OR (95% CI)</b>
Low	1.0
Intermediate	1.07 (0.85-1.35)
High	1.51 (1.14-1.98)

# *EPHX1* Phenotypes & Asthma, Stratified by *GSTP1* Ile105Val

<b>EPHX1 phenotypes</b>	<b><i>GSTP1</i> Ile105Val</b>		
	<b><i>Ile/Ile</i> OR (95% CI)</b>	<b><i>Ile/Val</i> OR (95% CI)</b>	<b><i>Val/Val</i> OR (95% CI)</b>
Low/intermediate	1.0	1.0	1.0
High	1.12 (0.74-1.70)	1.34 (0.92-1.94)	4.01 (1.97-8.16)
	P-interaction = 0.006		

# EPHX1 Phenotype and Asthma, Stratified by Residential Distance from a Major Road

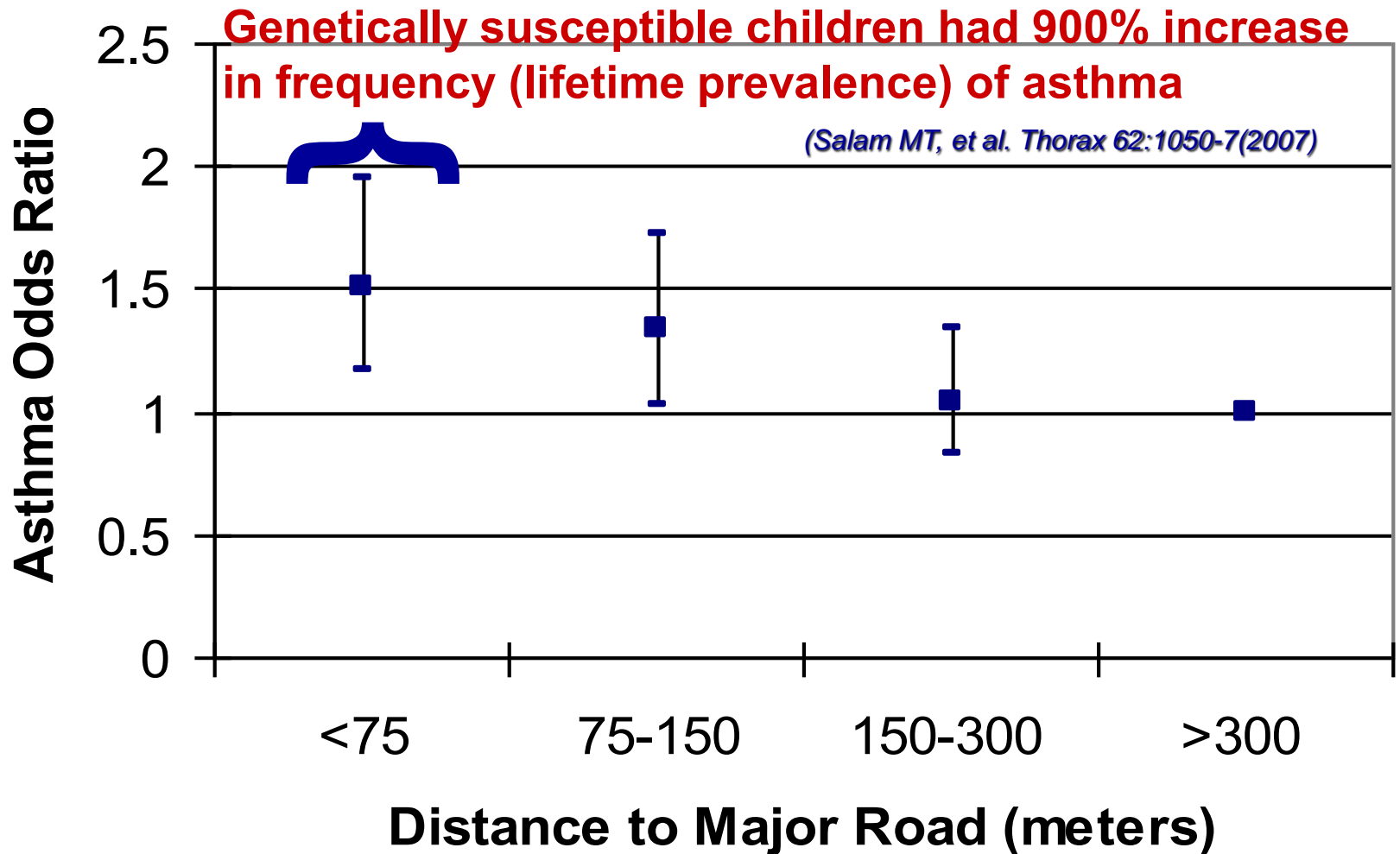
EPHX1 phenotypes	Residential distance from major road	
	≥75m	<75m
	OR (95% CI)	OR (95% CI)
Low/intermediate	1.0	1.0
High	1.25 (0.93-1.69)	<b>3.24 (1.75-6.00)</b>
	P-interaction = 0.03	



# Joint Effects of Traffic, EPHX1 Phenotypes, and GSTP1 Ile105Val on Asthma

Residential distance from major road	GSTP1 <i>Ile105Val</i>	EPHX1 phenotypes	Lifetime Asthma OR <sup>†</sup> (95% CI)
≥75m	<i>Ile/Ile</i>	Low/Intermediate	1.0
≥75m	<i>Ile/Val</i>	Low/Intermediate	1.19 (0.88 to 1.61)
≥75m	<i>Val/Val</i>	Low/Intermediate	0.94 (0.59 to 1.50)
≥75m	<i>Ile/Ile</i>	High	1.03 (0.61 to 1.71)
≥75m	<i>Ile/Val</i>	High	1.35 (0.85 to 2.15)
≥75m	<i>Val/Val</i>	High	<b>2.63 (1.34 to 5.18)</b>
<75m	<i>Ile/Ile</i>	Low/Intermediate	1.01 (0.60 to 1.69)
<75m	<i>Ile/Val</i>	Low/Intermediate	0.89 (0.54 to 1.44)
<75m	<i>Val/Val</i>	Low/Intermediate	1.46 (0.71 to 3.03)
<75m	<i>Ile/Ile</i>	High	1.71 (0.75 to 3.87)
<75m	<i>Ile/Val</i>	High	<b>2.61 (1.22 to 5.58)</b>
<75m	<i>Val/Val</i>	High	<b>8.91 (2.40 to 33.12)</b>
			P-interaction=0.04

# Some children are more susceptible to near roadway pollution...



# Summary Near-roadway Pollution Effects

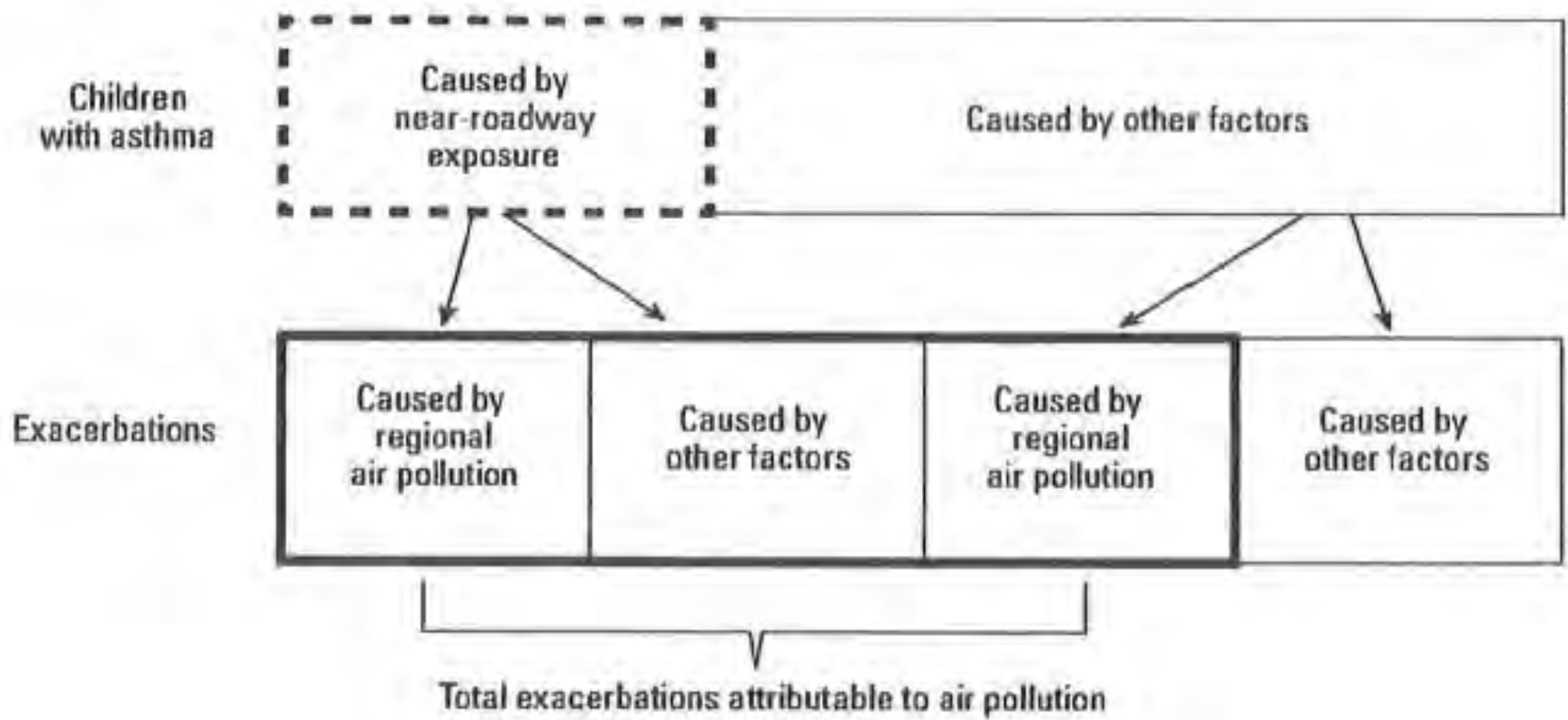
- Pattern of genetic susceptibility seen in CHS hard to explain based on confounding
- Many studies in U.S. and in Europe show that living near busy roads and freeways has been linked to asthma

Anderson HR, Atmosphere & Health 2011, 1-10

# What's the Cost of Inaction?

- Addressed by risk assessments and health impact assessments
- Generally examine only regional pollution effects.
- Potential enhancements:
  - Burden of local traffic proximity pollutants included
  - Provide estimates of burden of disease in high impact locations
  - Examine costs

# Cumulative Near-roadway and Regional Pollution Effects Framework for Risk Assessment



# What's the Cost of Inaction

- Number of childhood asthma cases attributable to traffic proximity
  - Long Beach – 1600 (9%)
  - Riverside – 690 (6%)

(Perez, Am J Public Health 2009)

# Cumulative Regional and Near-roadway Burden of Asthma

	Baseline Estimate, No.	Attributable to Air Pollution, No. (95% CI)	Attributable to Other Causes, <sup>a</sup> No. (95% CI)	Total <sup>b</sup>	
				No. Cases (95% CI)	% (95% CI)
Branchitis episodes among those with asthma	6767	3400 (1200, 4900)	310 (170, 530)	3700 (1700, 5100)	54.7 (25.1, 75.4)
Emergency room visits for asthma	10166	160 (20, 300)	930 (860, 1000)	1100 (950, 1200)	10.8 (9.3, 11.8)
Clinic visits for asthma	12410	500 (30, 860)	1100 (1000, 1200)	1600 (1200, 2000)	12.9 (9.7, 16.1)
Hospital admissions for asthma	264	30 (24, 35)	22 (20, 24)	51 (46, 57)	19.3 (17.4, 21.6)

(Perez, Am J Public Health 2009)

## ■ Economic cost of pollution-attributable asthma exacerbation \$18 million yearly

- 2010 budget equivalent: 6% H&W Riverside County; 21% DHHS Long Beach
- Per family 7-8% average HH income (5% is sustainable)

(Brandt , Eur Respiratory J 2012)

# What's the Cost of Inaction (L.A. County)

- Number of asthma cases attributable to traffic proximity
  - Entire County using more complete exposure information:
    - 20,000 – 30,000 cases

Perez, et al. EHP 2012



# Is Action Warranted to Prevent Childhood Disease?

- There is strong evidence that exposures within 500 feet of roadways with heavy traffic cause asthma and asthma exacerbation

# Other Air Pollution “Cumulative Respiratory Impacts”

- Susceptibility, eg. Genetics, comorbidity
- Co-exposure effects, eg. Secondhand tobacco smoke and in utero exposure to maternal smoking

# CHS Acknowledgments

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- John Peters
- Towhid Salam
- Duncan Thomas



- South Coast Air Quality Management District
- National Institute for Environmental Health Sciences
- US Environmental Protection Agency
- National Health, Lung and Blood Institute
- Hastings Foundation

Questions?

# The Role of California's Motor Vehicle Control Programs in Reducing Near-Road Exposure

Michael McCarthy  
California Air Resources Board

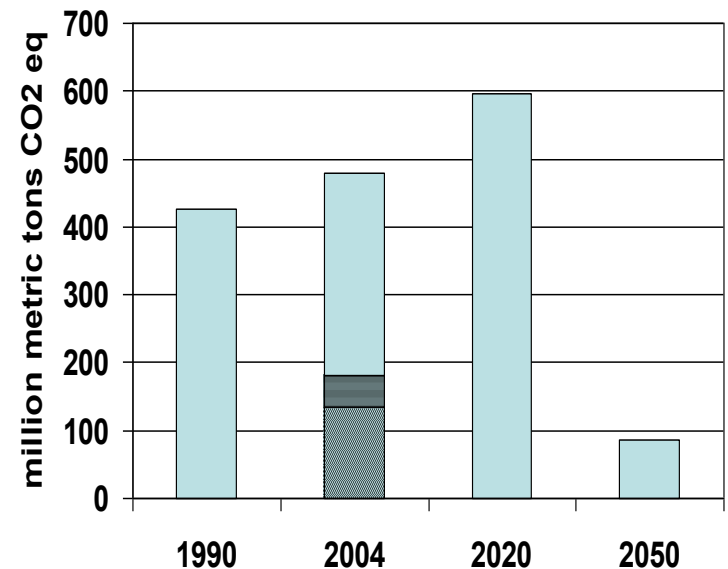
Near-Road Mitigation Measures and Technologies Forum  
South Coast Air Quality Management District  
November 21, 2013

# Overview

- California's Air Quality Program
- Progress to Date
- Recent Regulations
- Future Plans

# Mobile Source Priorities

- Meeting regional air quality needs
  - Ozone, PM<sub>2.5</sub>
- Achieving climate goals
  - 1990 levels by 2020
  - 80% below 1990 levels by 2050
- Reducing near-source exposure
  - Toxics (diesel PM, VOCs, metals)



# Keys to Past Success

- Cleaner burning fuels
- New vehicle emission standards
  - Advanced aftertreatment technologies
  - Improved engine combustion
- In-use control programs



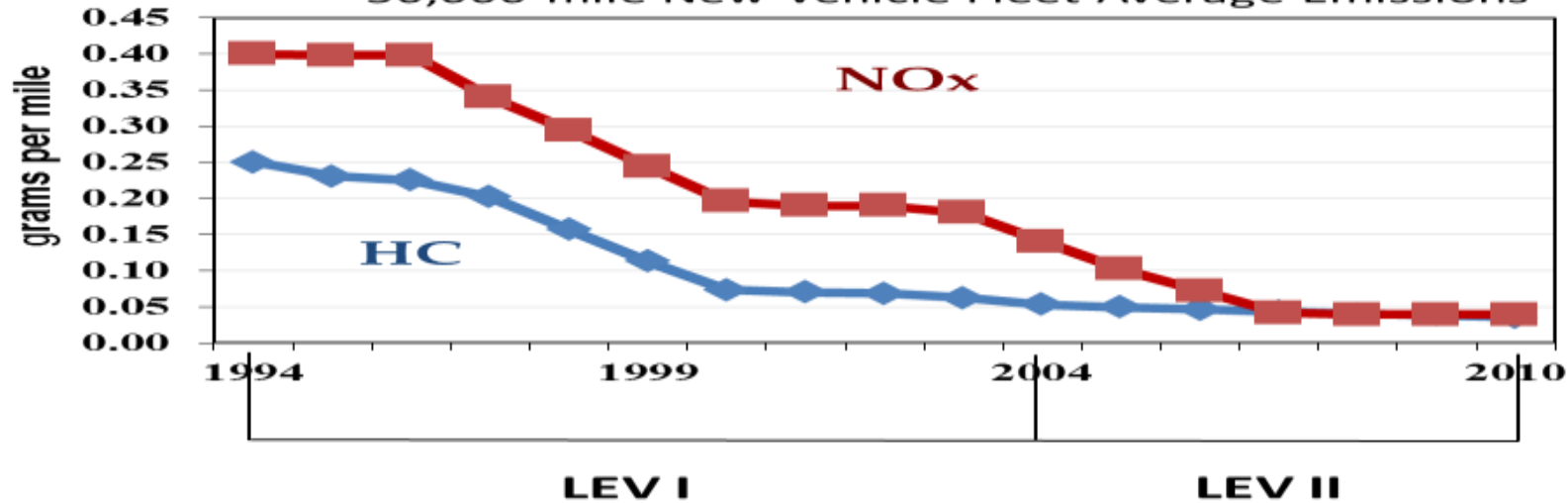
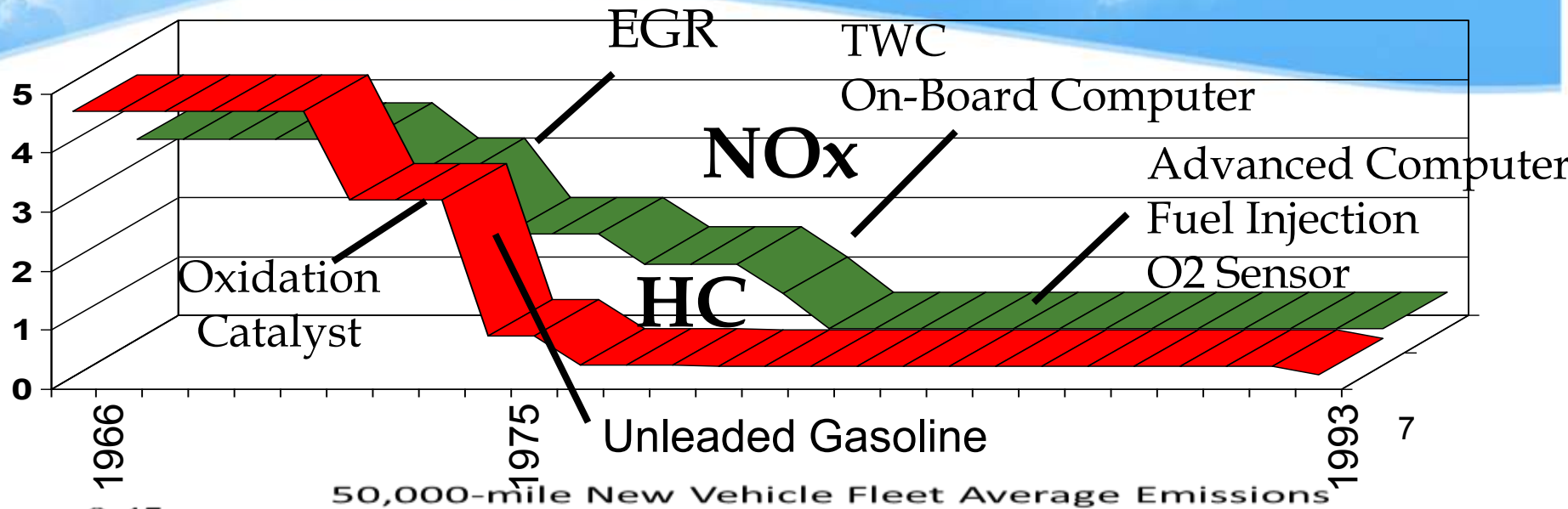
# Cleaner Burning Gasoline

- Gasoline
  - 1992: Phase I
    - Eliminated lead
    - RVP reduced from 9.0 to 7.8 psi
    - Required 10% oxygenates
  - 1996: Phase II
    - Sulfur reduced from 151 to 30 ppm
    - RVP reduced to 7.0 psi
  - 2002: Phase III
    - Prohibited MTBE as oxygenate (replaced by ethanol)
    - Sulfur reduced to 15 ppm

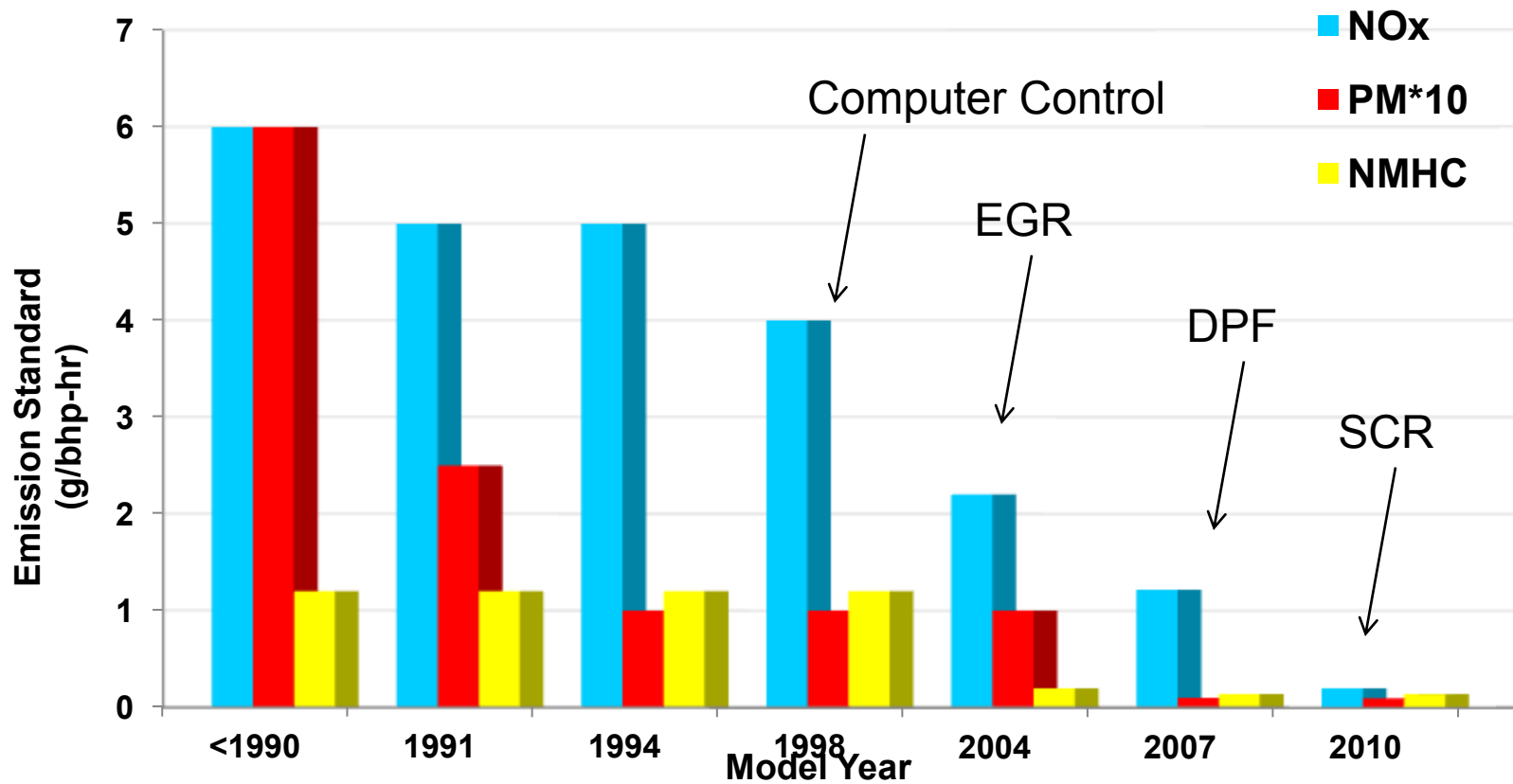
# Cleaner Burning Diesel

- Diesel
  - 1993: Phase I
    - Reduced sulfur to 500 ppm
      - Lower SO<sub>2</sub> and sulfate emissions
    - Reduced aromatic hydrocarbon to 10%
      - Lower PM and NOx emissions
  - 2006: Phase II
    - Sulfur reduced to 15 ppm
      - Enables effective aftertreatment (PM, NOx)
      - Heavy- and light-duty diesel vehicles

# Light-Duty Emission Standards



# Heavy-Duty Emissions Standards

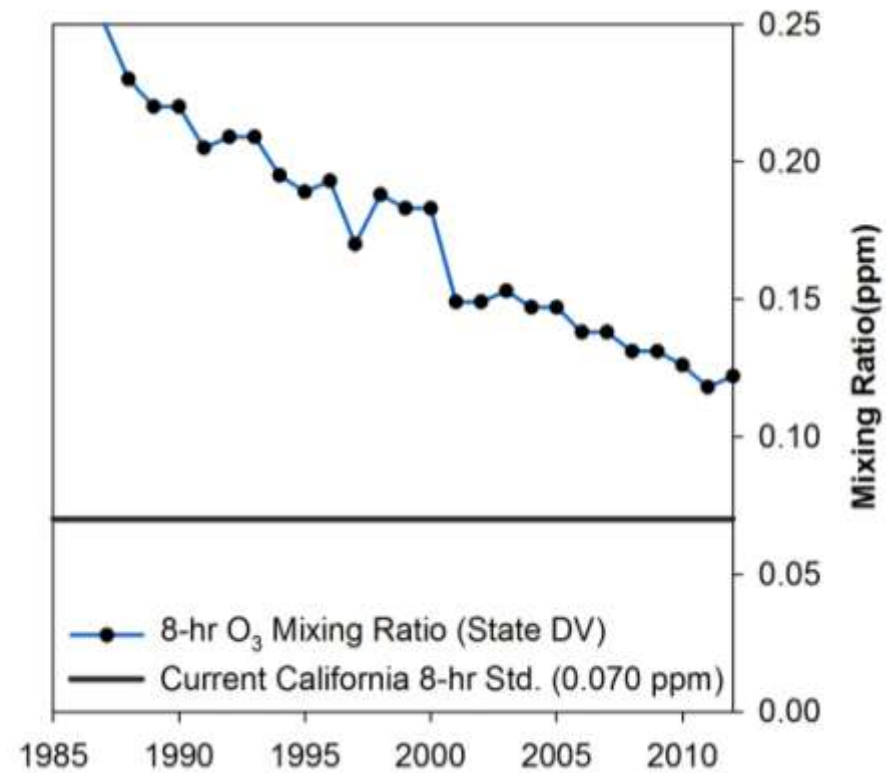
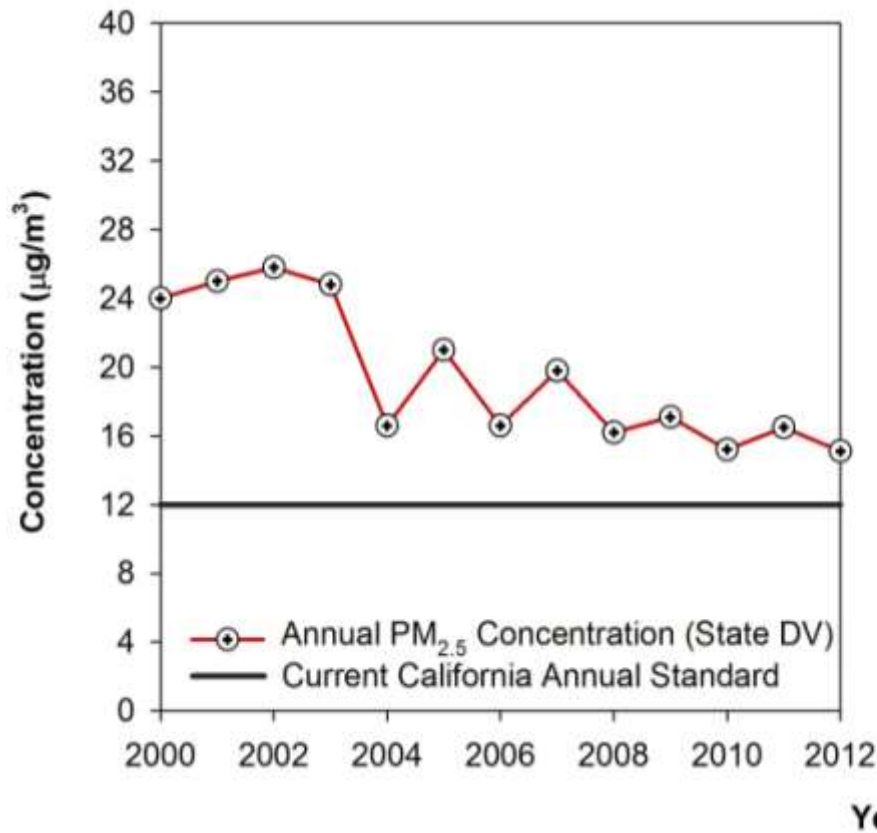


# Overview

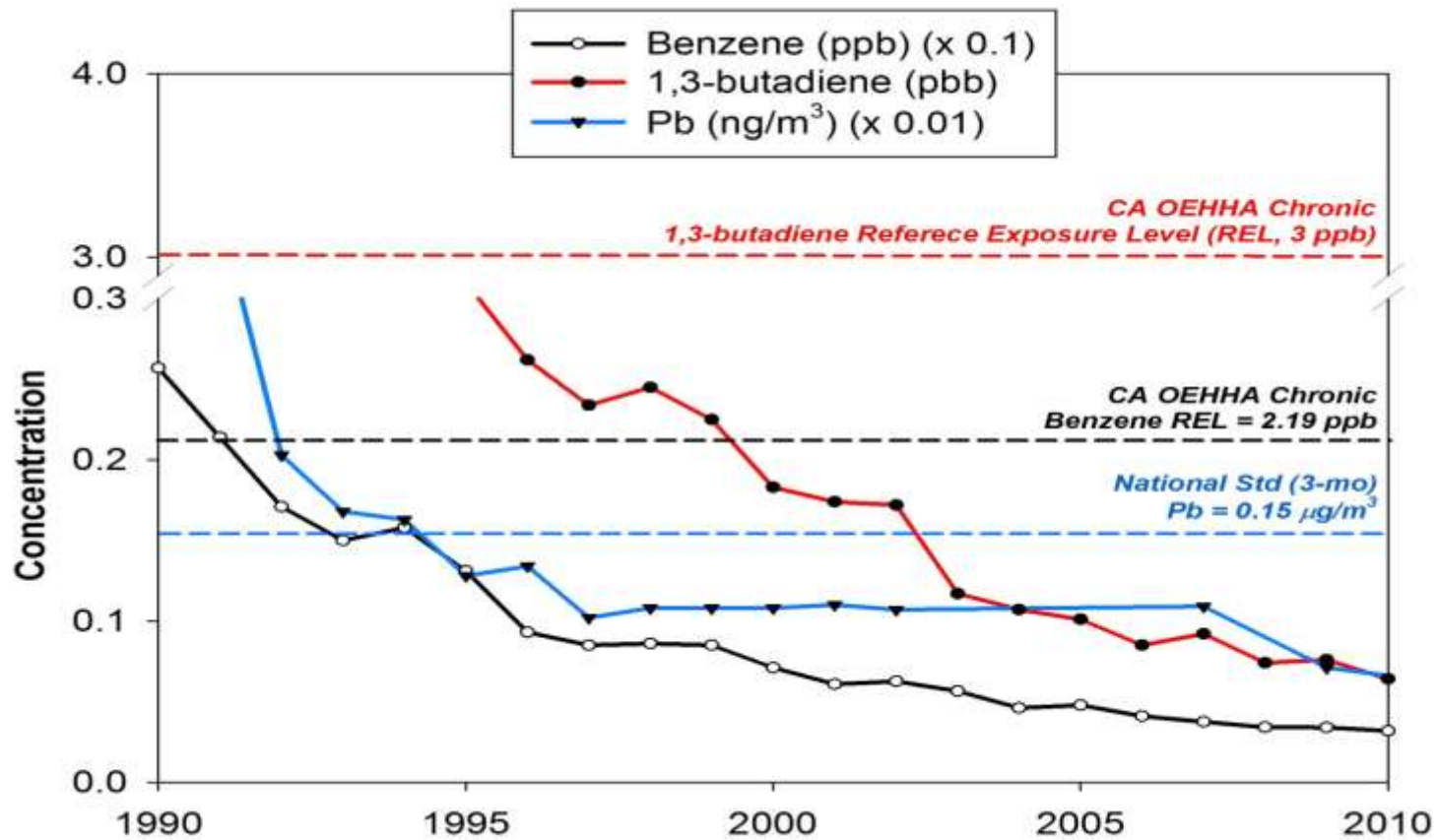
- California's Air Quality Program
- **Progress to Date**
- Recent Regulations
- Future Plans

# Trends in Ambient PM<sub>2.5</sub> and Ozone

## South Coast Air Basin



# Ambient Toxics Trends Statewide



# Reductions in Near-Roadway Ultrafines

	Downwind - Upwind ( $\Delta$ #/cm <sup>3</sup> )	I-405 Flow (vehicles/hr)	# UFP / vehicle
<b>2001</b>	$1.1 \times 10^5$	13,900	8.1
<b>2011</b>	$5.5 \times 10^4$	17,100	3.2
$\Delta$	<u>-50%</u>	+23%	<u>-60%</u>

- UCLA I-405 freeway study
- Light and heavy duty fleet
- ~60% reduction in per-vehicle ultrafine emissions between 2001 and 2011
- Attributed to newer car and truck fleets, cleaner burning fuels



Quiros et al. (2013). Air quality impacts of a scheduled 36-h<sup>12</sup> closure of a major highway. Atmospheric Environment 67(0).

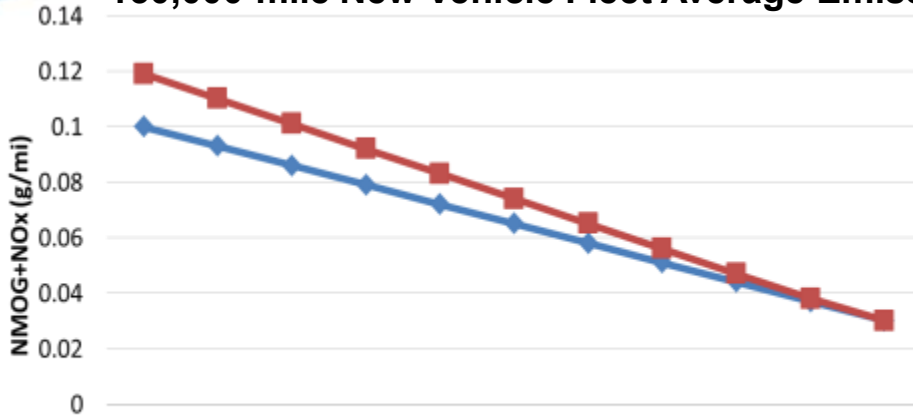


# Overview

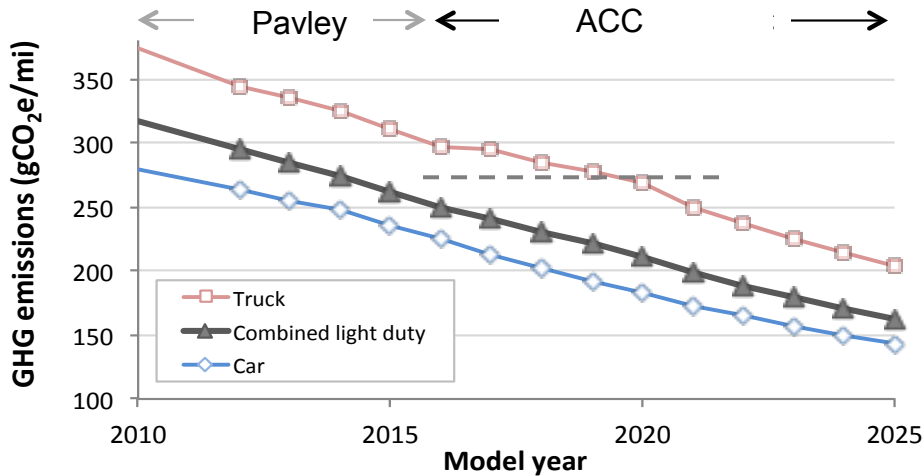
- California's Air Quality Program
- Progress to Date
- **Recent Regulations**
- Future Plans

# LEV III

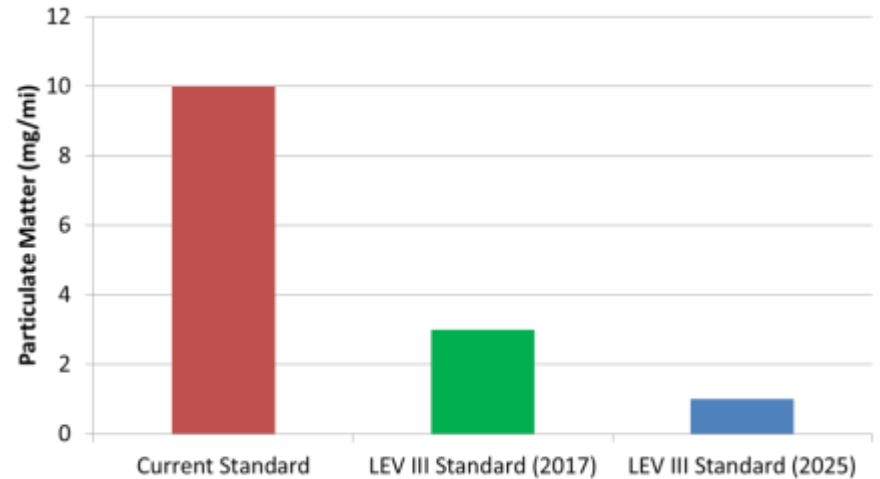
**150,000-mile New Vehicle Fleet Average Emissions**



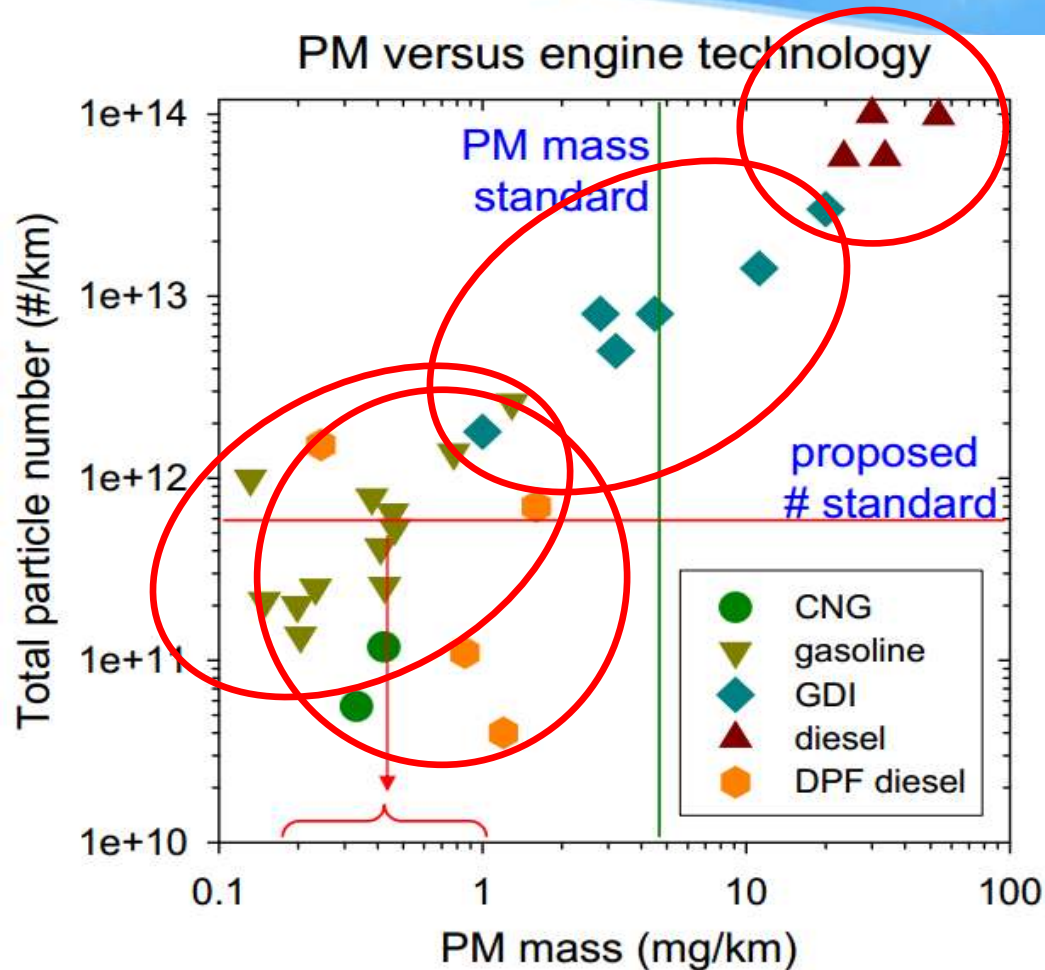
- 75% Reduction 2015-2025
- 34% GHG reduction from 2016 to 2025



**LEV III Particulate Matter Standards**

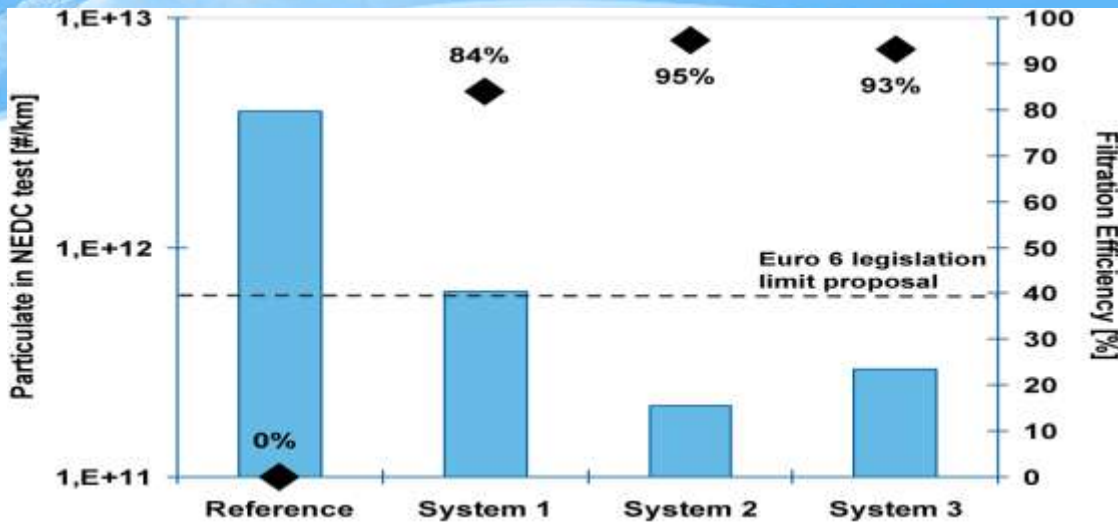


# Interaction of Standards

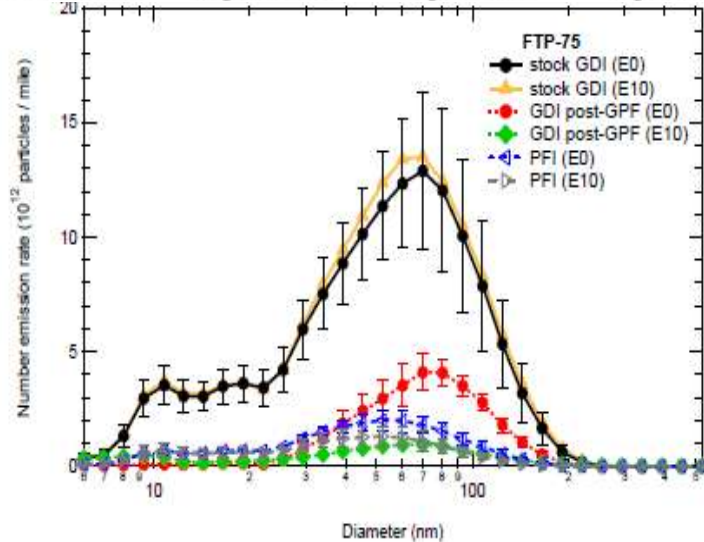


Maricq, M. (2009). HEI Annual Conference. How are emissions of nuclei-mode particles affected by new control technologies and fuels? 15

# Prototype Gasoline Particulate Filter



- Catalyzed GPF can have significant reductions
  - SPN >23
- Impact across all sizes
  - SPN >4



2012-01-1727

Evaluation of a Gasoline Particulate Filter to Reduce Particle Emissions from a Gasoline Direct Injection Vehicle.

2012-01-1244

Application of Catalyzed Gasoline Particulate Filters to GDI Vehicles

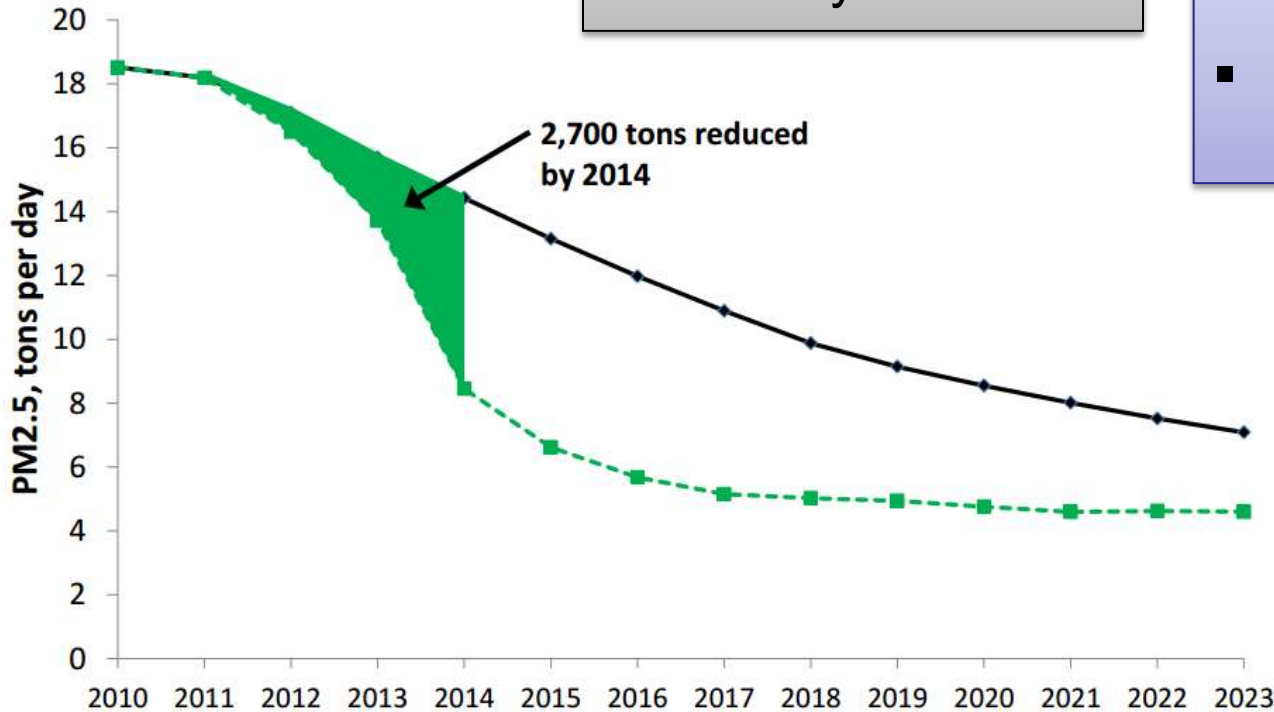
# Truck and Bus Rule Reductions

## Lighter trucks/buses:

- Upgrade to 2010 MY+ by 2023

## Heavier vehicles (>33,000 GVWR):

- DPF required by 2014
- Upgrade to 2010+ engine by 2023



# Localized Benefits Confirmed

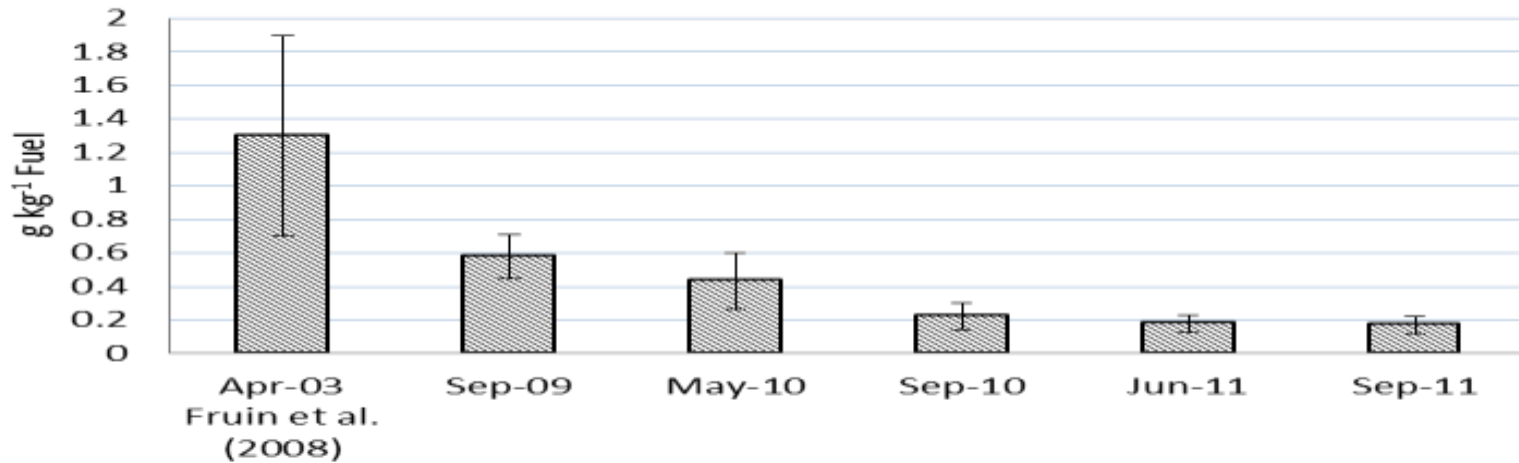
- July 2007 and July 2010 L.A./Long Beach study\*
  - Measurements at busy intersections
  - Black carbon and NO<sub>x</sub> levels reduced 50%
- November 2009 to June 2010 Oakland study\*\*
  - Black carbon emissions reduced 54%, NO<sub>x</sub> by 41%
  - BC reduction of 40% at Caldecott Tunnel took 9 years

\* K.H. Kozawa and S.L. Mara (2010) Exposures at Busy Intersections: Effect of State/Local Regulations, CRC Mobile Air Toxics Workshop, Sacramento, CA, November 30-December 2.

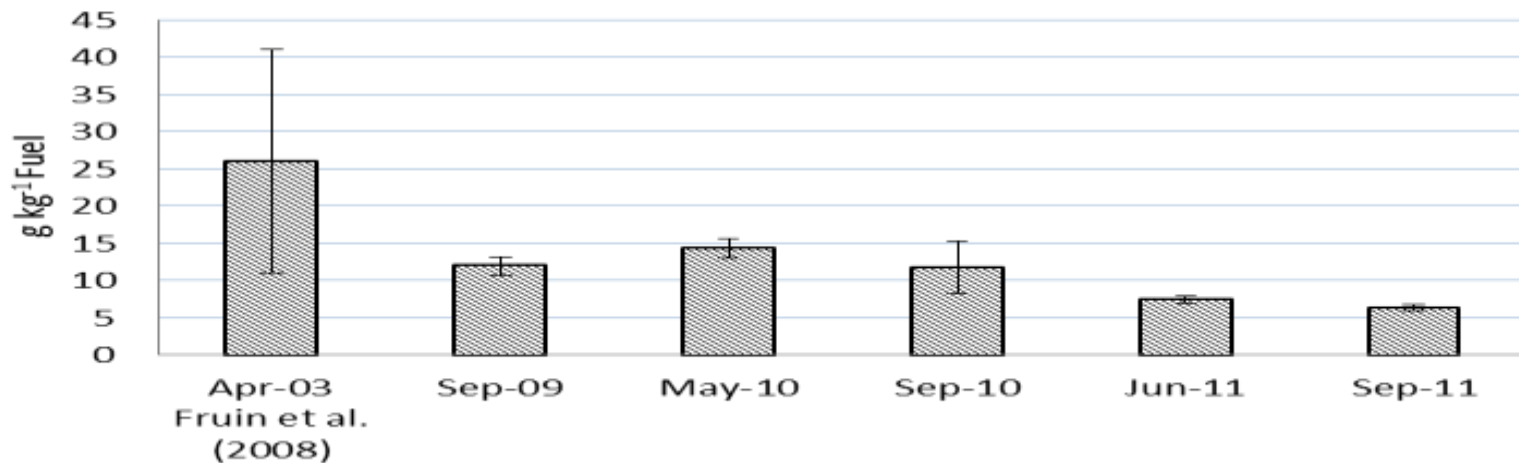
\*\* T.R. Dallmann, R.A. Harley, and T.W. Kirchstetter (2011) Effects of Diesel Particle Filter Retrofits and Accelerated Fleet Turnover on Drayage Truck Emissions at the Port of Oakland, *Environmental Science & Technology*, **45**, 10773-10779.

# Mobile Platform Confirms Reductions in Emissions Near Ports

**BC**



**NOx**



# In-Vehicle Exposures

- In-Vehicle
  - Centerline of Road exposure > Roadside >> Ambient
- Examples of in-vehicle-to-ambient ratios
  - Benzene: 4-8x higher, 15-20% of total exposure (LA)<sup>1</sup>
  - Diesel PM: 5-15x, 30-55% of total exposure (CA)<sup>2</sup>
  - 1,3-Butadiene: 50-100x higher<sup>3</sup>

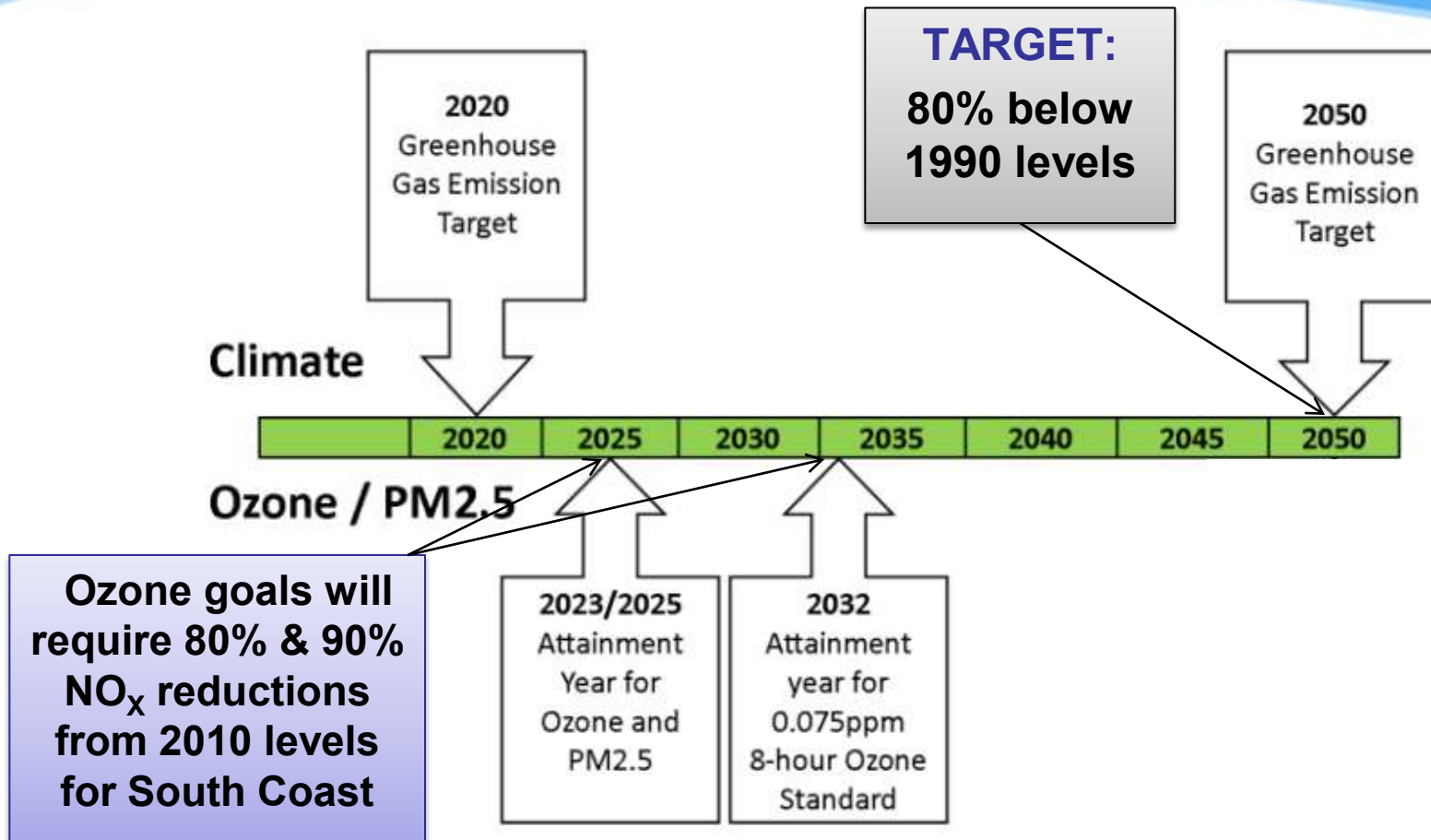
<sup>1</sup>Rodes, et al. (1998) <sup>2</sup>Fruin, et al. (2004) <sup>3</sup>Duffy and Nelson (1997)



# Overview

- California's Air Quality Program
- Progress to Date
- Recent Regulations
- **Future Plans**

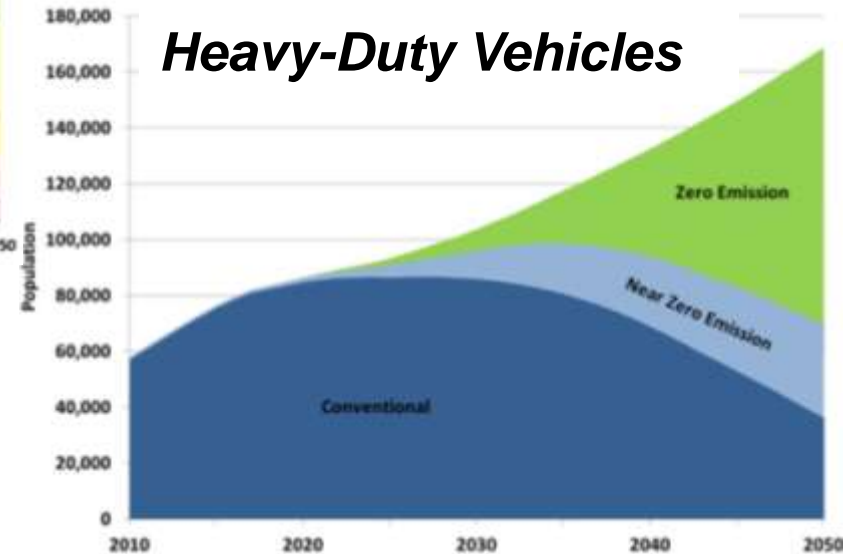
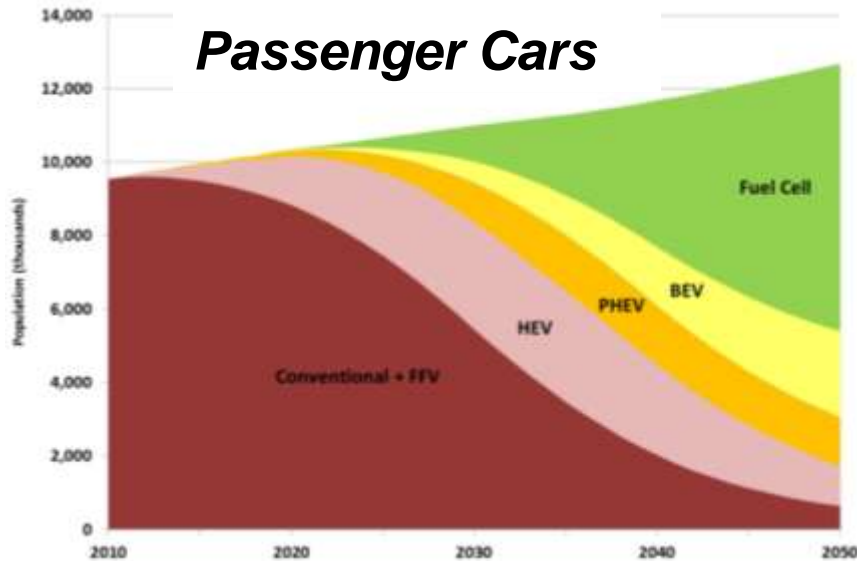
# Future Challenges: Climate and Ozone Planning Horizons



# Keys to Meeting New Goals

- Cleaner burning and lower carbon fuels
- New vehicle emission standards
  - Investigating 90% lower std for HD
  - Improve goods movement
  - Keep in-use vehicles as clean as possible
- Near-zero and zero-emission technologies
  - Both LD and HD

# Transitioning to Zero-Emission Vehicles



# Summary

- Current mobile source programs have significantly reduced near-road exposure
- Long term transition to zero- and near-zero emission technologies will provide additional near-road co-benefits
- Critical to further reduce and control combustion sources during transitional period



# Ambient Air Mitigation Strategies for Reducing Exposures to Traffic Emissions

*Rich Baldauf, U.S. EPA*

*Nov. 21, 2013*

*South Coast Air Quality Management District  
Workshop*

*Diamond Bar, CA*



# Background

- Evidence of increased health risks for populations spending time near large roadways
- Elevated concentrations of many pollutants near large roads
- Public health concerns have raised interest in methods to mitigate these traffic emission impacts
- Transportation and land use planning options include:
  - Vehicle emission standards and voluntary programs
  - Reducing vehicle activity/Vehicle Miles Travelled (VMT)
  - Buffer/exclusion zones
  - Use of roadway design and urban planning
    - Road location and configuration
    - Roadside structures and vegetation

# Why study roadside features?

- Few other “short-term” mitigation options
  - Emission reductions take long to implement (fleet turnover required)
  - Planning and zoning involved in rerouting/VMT reduction programs
  - Buffer/exclusion zones may not be feasible
- Roadside features may already be present
- Roadside features often have other positive benefits

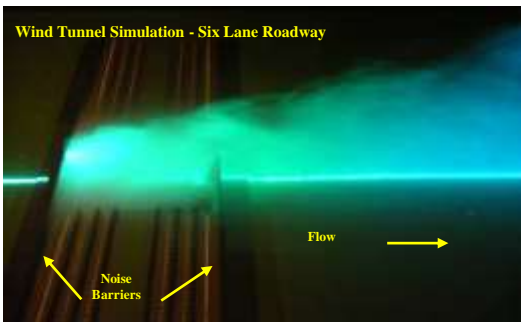
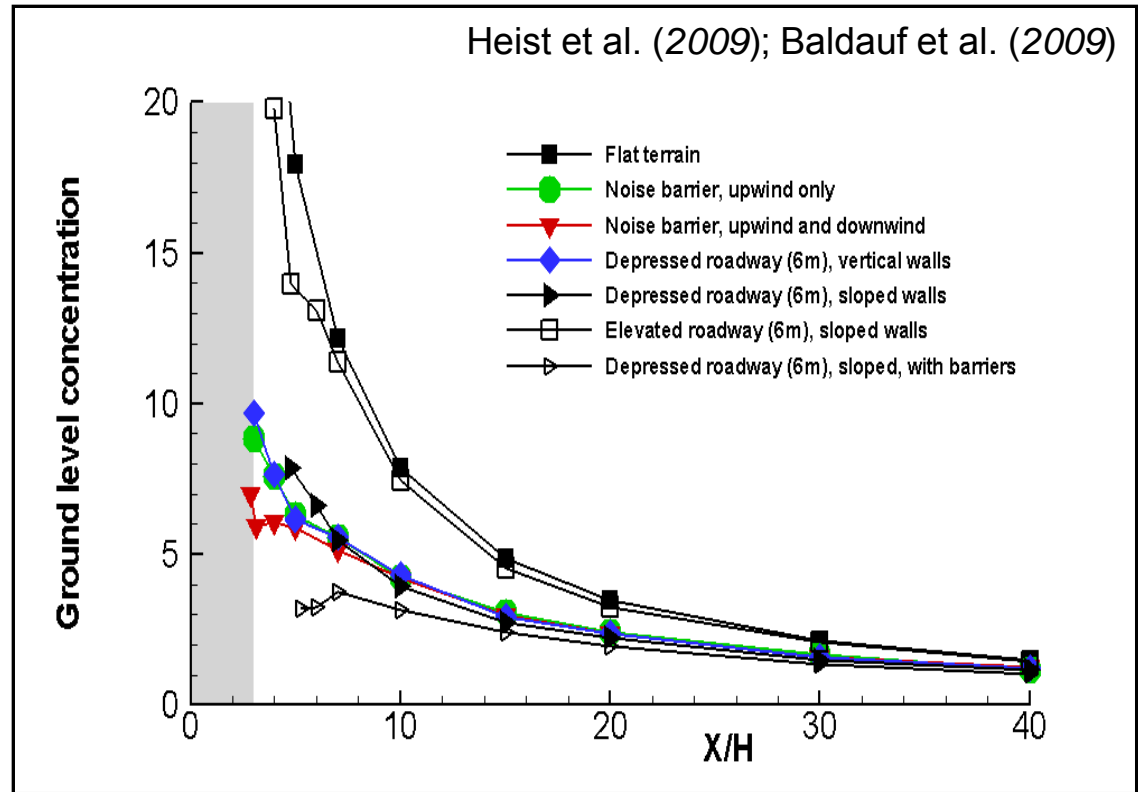
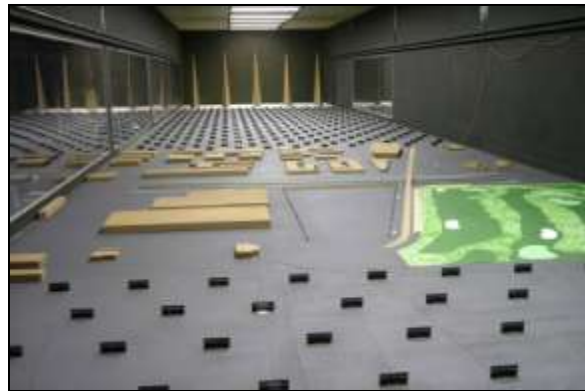




# Research Methodology

- EPA has initiated research to examine the role roadside features (noise barriers, vegetation) may play in reducing near-road air pollutant impacts
- Using combination of modeling and monitoring to characterize the impact of noise and vegetation barriers on near-road air quality
  - Wind tunnel assessments
  - CFD modeling
  - Field studies (Raleigh, Chapel Hill, Idaho Falls, Detroit, Phoenix)
- Developing new model algorithms for evaluating impacts of roadside structures and vegetation
  - Determine potential mitigation opportunities
  - Air quality characterization
  - Exposure assessment and characterization

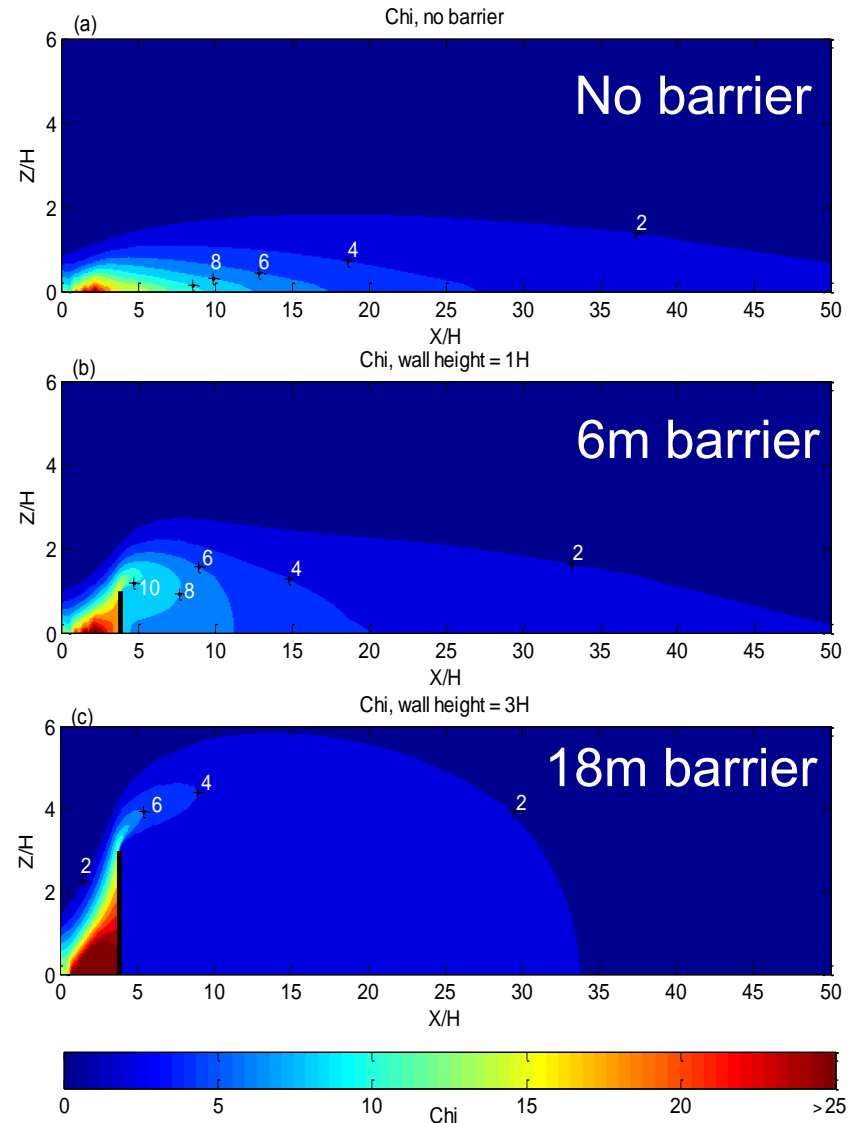
# Roadway Configuration Effects



*Wind tunnel simulations show roadway design effects on pollutant transport and dispersion. Highest levels occur with at-grade and elevated fill roads. Lowest levels occur with noise barriers and cut section roads*

# Noise Barriers

- CFD modeling suggest decreased concentrations downwind of barriers, but increased on-road concentrations
- Dispersion models being developed to quantify mitigation potential of barrier



(Hagler et al. 2011)

# Noise Barrier Effects

Tracer studies also indicate noise barriers significantly reduced downwind air pollutant concentrations under all stability conditions

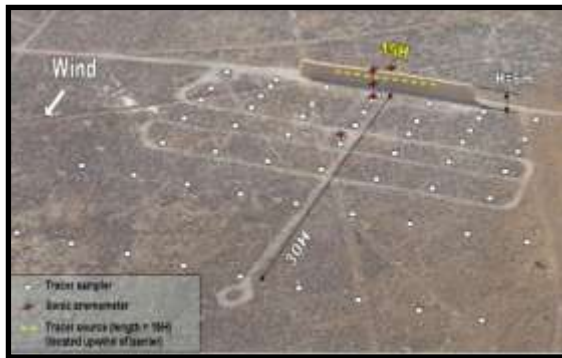
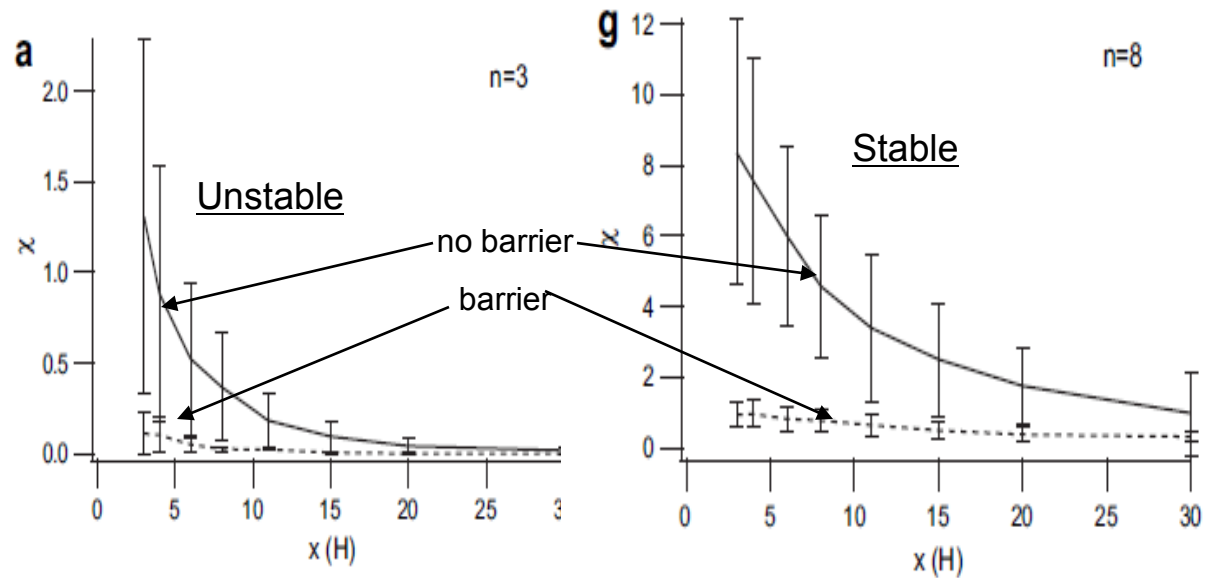


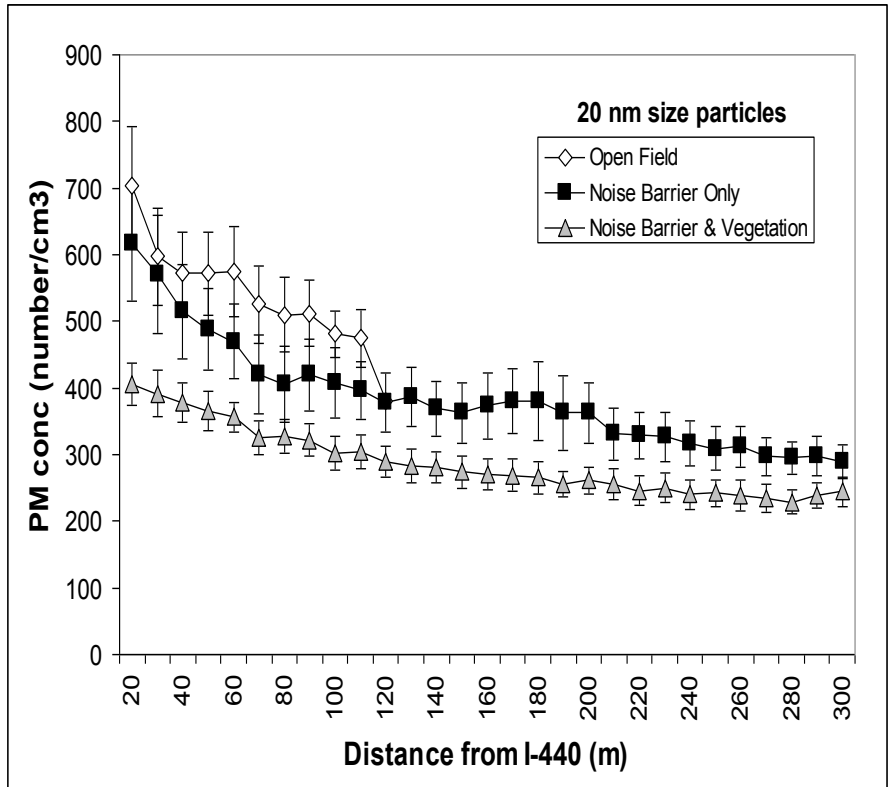
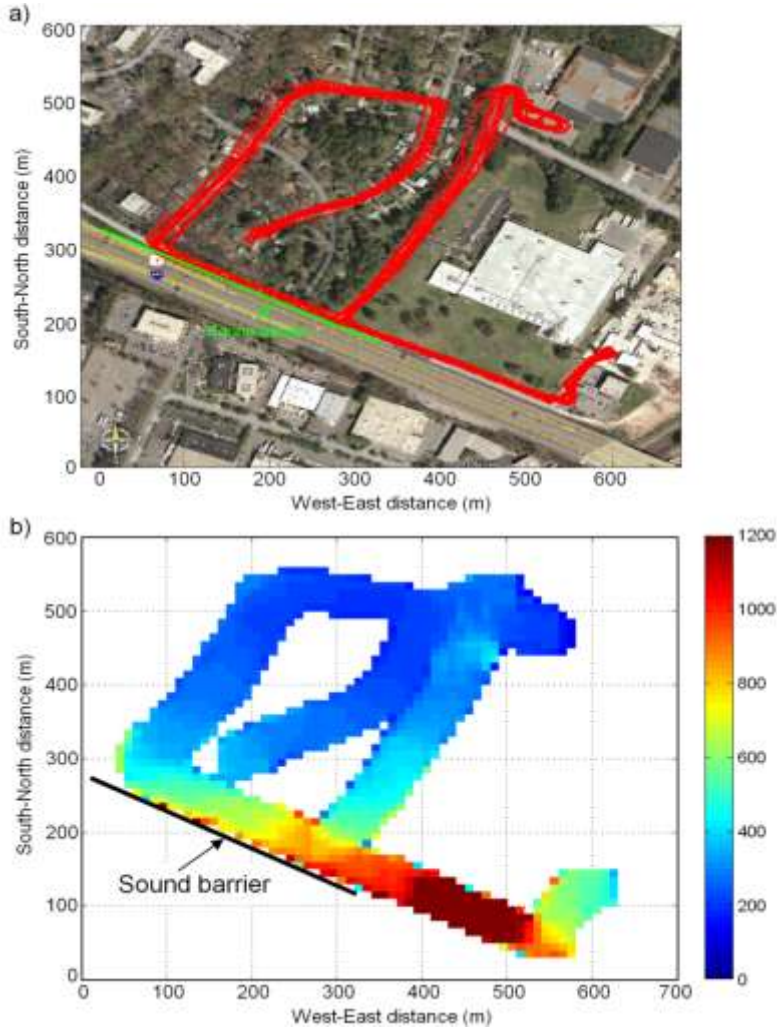
Fig. 3. Mock straw bale noise barrier, 6 m high and 20 m long.



Finn et al., (2010)

# Noise Barriers and Vegetation

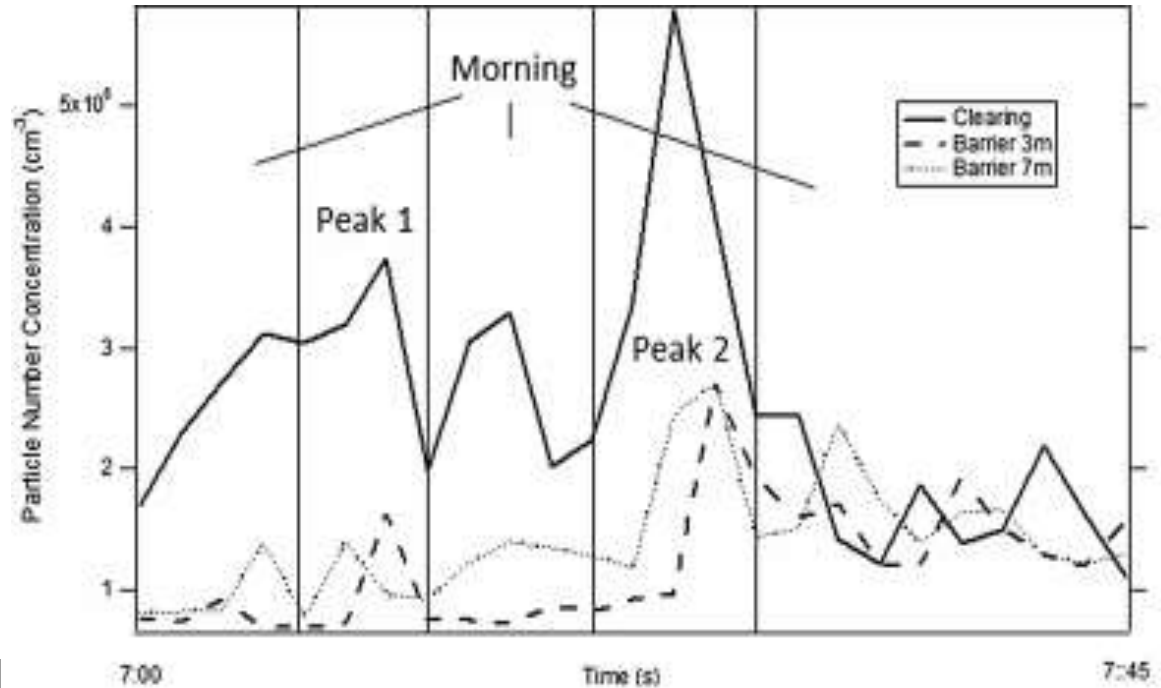
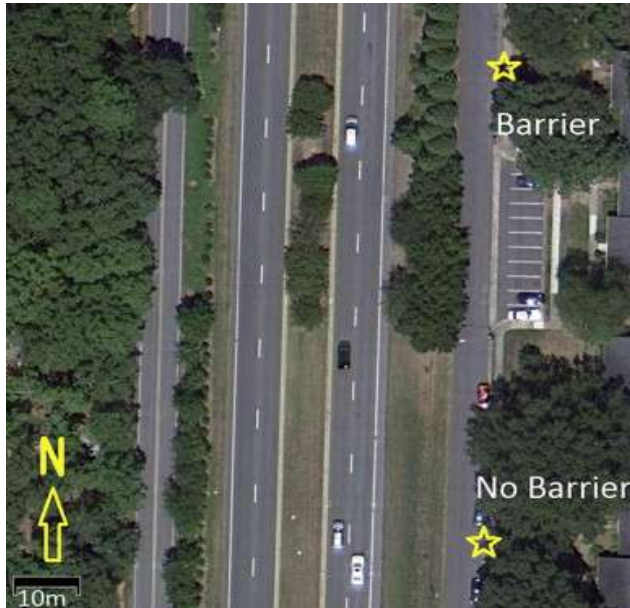
- Noise barriers reduced PM levels compared with a clearing
- Vegetation with noise barriers provided further PM reductions



(Baldauf et al., 2008a; 2008b)

# Vegetation Effects

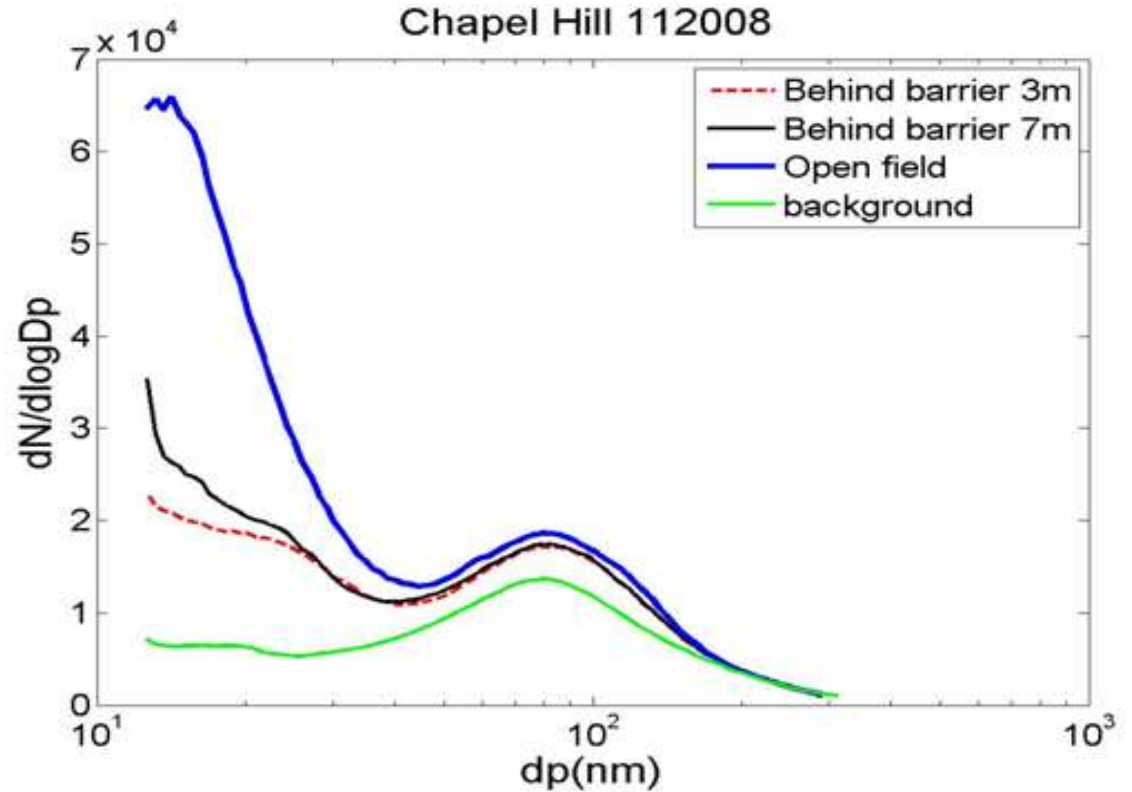
Steffens et al. (2012)



- Ultrafine PM number count generally reduced downwind of a vegetation stand
- Higher reductions most often occurred closer to ground-level
- Variable winds caused variable effects

# Vegetation Effects

Khlystov et al (2012)



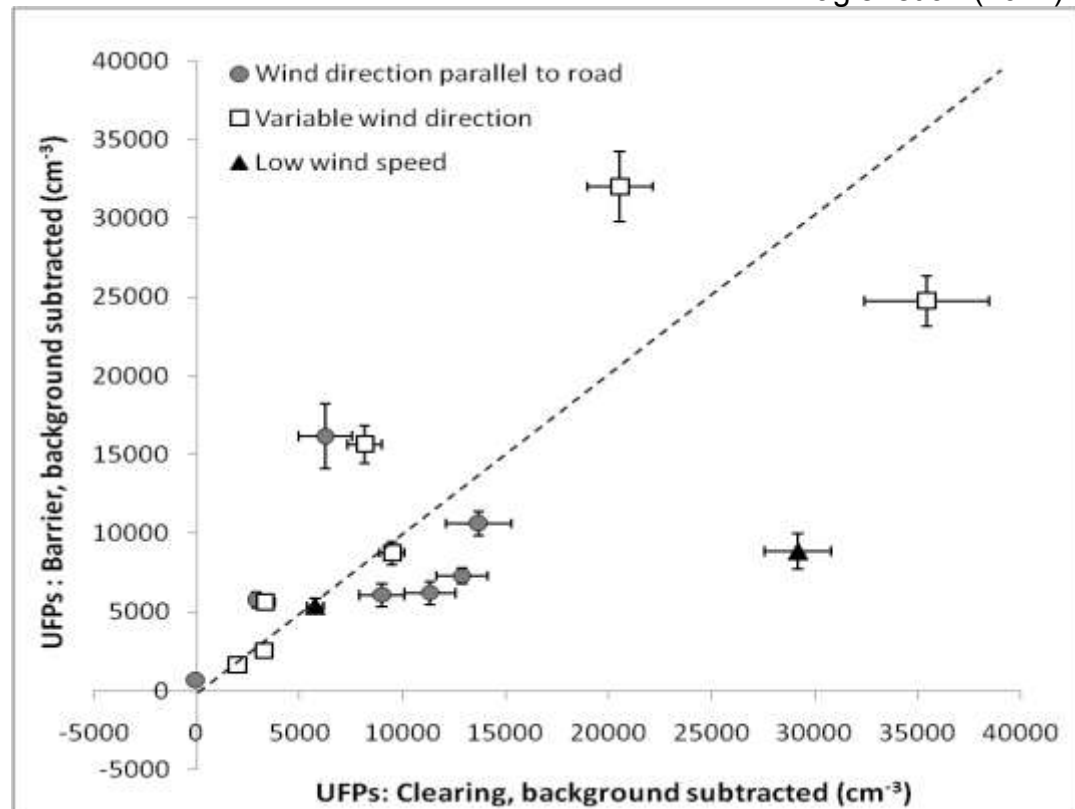
- Lower size fractions of PM most reduced downwind of the vegetation stand
- Effect most evident closer to ground-level

# Vegetation Effects

- For thin tree stands, variable results seen under changing wind conditions (e.g. parallel to road, low winds)
- Gaps/dead trees may have led to higher concentrations
- Future research looking into effects of lower porosity/wider tree stands



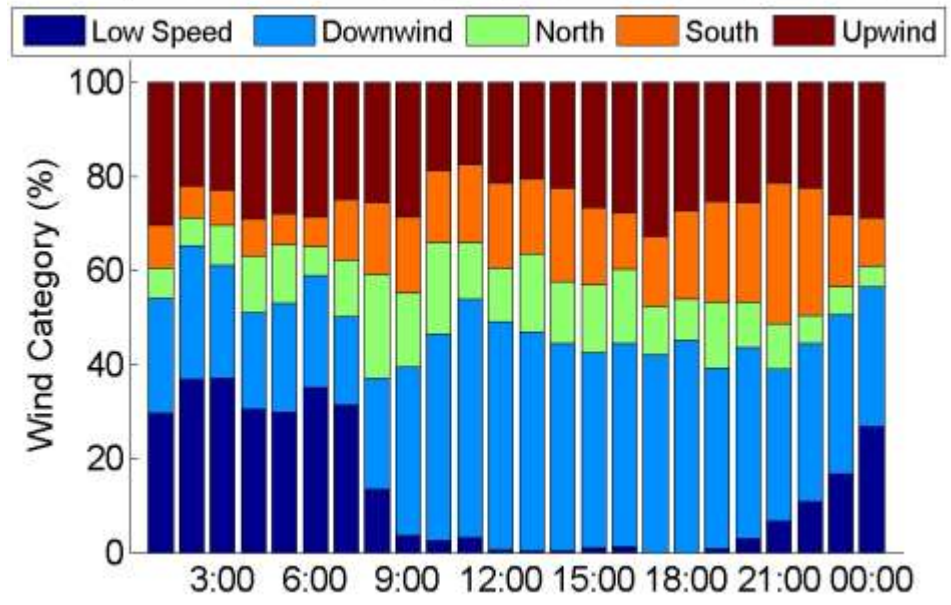
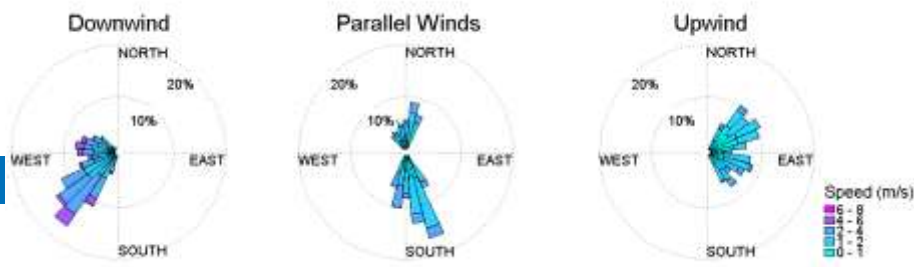
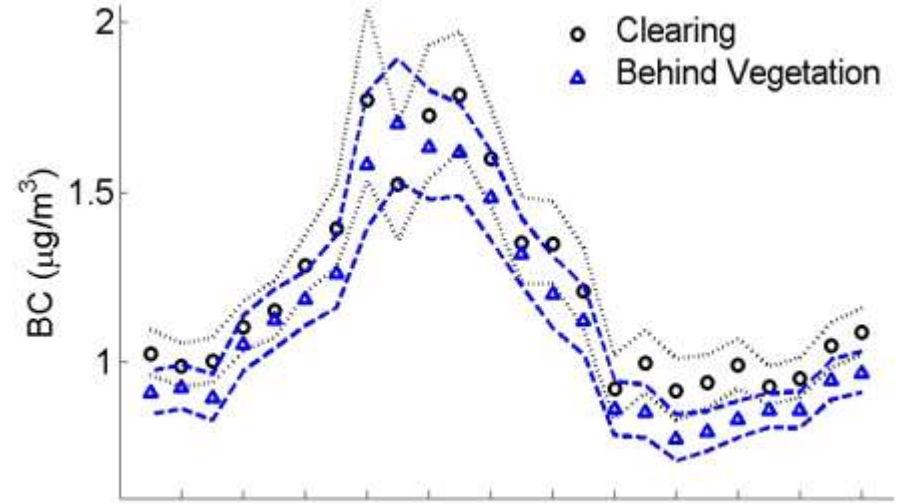
Hagler et al. (2011)





# Vegetation Effects

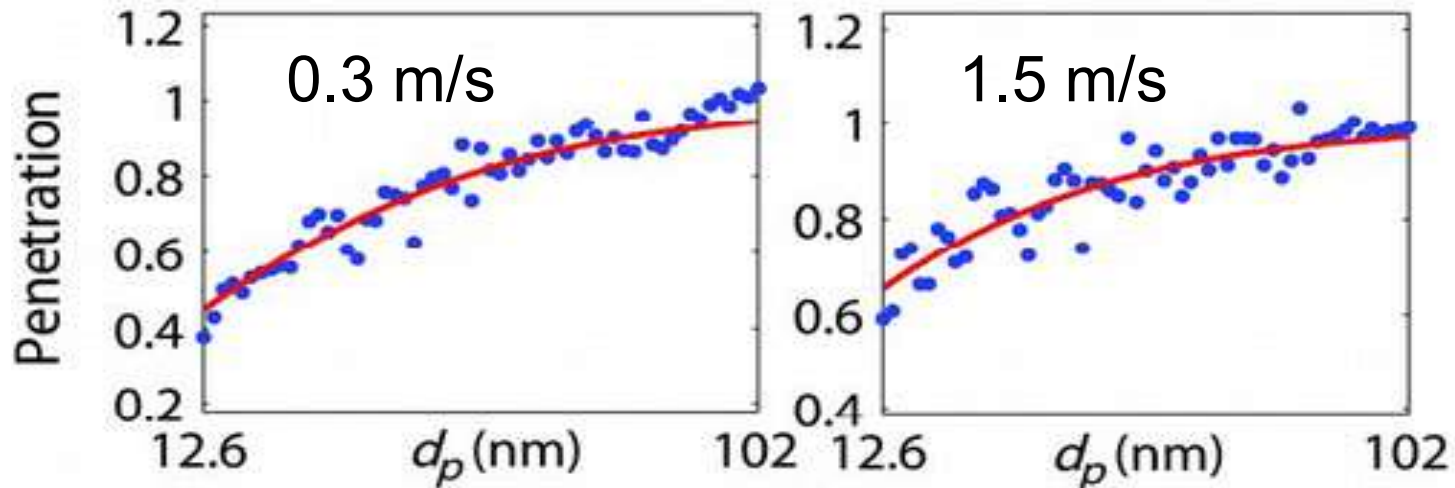
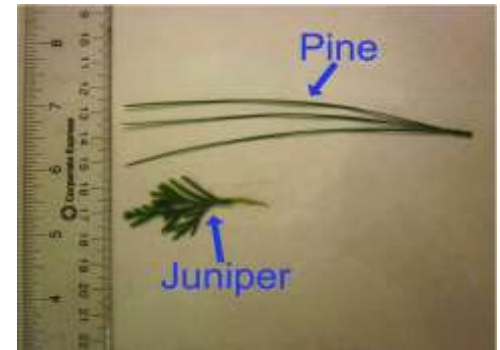
*Vegetation on average resulted in 15% lower BC levels compared to concentrations in a clearing*



# Vegetation Effects

(Cahill et al., 2010)

- Smaller size fractions of PM have higher removal efficiency
- Removal increases at lower wind velocities
- Shape and size of branches/leaves affects removal



# Summary

- Multiple options exist to mitigate traffic emission impacts on near-road air quality and population exposures
  - Reducing emissions
  - Reducing exposures
- Ambient air mitigation options focus on exposure reduction although some techniques may also remove air pollutants
- Each mitigation option has advantages and disadvantages in both short- and long-term air quality improvement and exposure reduction
- When implementing a strategy for reducing adverse health risks for near-road populations, a combination of these options should be considered
- Models will be important in evaluating mitigation options and designing future research studies

# Summary – Noise Barriers



- Research shows the ability for noise barriers to reduce downwind pollutant concentrations near roads
- Design considerations are very important:
  - Generally, the higher the barrier, the higher the pollution reduction
  - Pollutants can meander around edges (sides and top), so areas desired for reduced concentrations should avoid edge effects



# Summary – Noise Barriers

- Design considerations are very important:
  - Pollutants can be trapped on the upwind side of the structure
    - May lead to increased concentrations on the road
    - “Upwind” sources in the area may cause increased concentrations under some wind conditions
  - Some studies suggest that the traffic plume from the road re-attaches further downwind of the barrier
    - May have higher concentrations at further distances (~150 m) with a barrier than without
    - Generally, overall concentrations are lower at these further distances, so reduced concentrations closer to the road with the barrier often outweigh the increased concentrations further away
    - Plume reattachment effect not seen in most studies

# Summary - Vegetation

- Research shows the ability for roadside vegetation to reduce downwind pollutant concentrations near roads
- Design considerations are very important:
  - Generally, the higher and thicker the vegetation, the higher the pollution reduction
  - Pollutants can meander around edges or through gaps, so areas desired for reduced concentrations should avoid edge effects
  - Vegetation should be appropriate for the location of use
    - Native plants and trees preferred
    - Mature vegetation – trees take time to grow
    - Reasonable water use; water runoff control
    - Limited seasonal effects to ensure operational barrier year-round
    - Falling debris will not impact roadway

# Summary - Vegetation



- Areas desired for reduced concentrations should avoid edge effects
  - Vegetation barrier should provide coverage from the ground to the top of canopy
  - Barrier thickness should be adequate for complete coverage so gaps are avoided
- Pine/coniferous vegetation may be a good choice
  - No seasonal effects
  - Complex, rough, waxy surfaces

*Examples of full coverage, pine barriers*

# Summary - Vegetation

- Pollutants can meander around edges or through gaps
- Barrier thickness should be adequate for complete coverage to avoid gaps
  - No spaces between or under trees
  - No gaps from dead or dying vegetation; maintenance important



*Examples of inadequate barriers due to gaps*





# Summary - Barriers



- Combination of noise and vegetative barriers may provide the most benefits
  - Increase potential for pollutant dispersion and removal
  - May be solid barrier with vegetation behind and/or in front
  - Use of climbing vegetation and hedges with solid barrier may also provide additional benefits
    - Field study results mixed
    - Vegetation on solid wall should extend enough to allow air to flow through

*Examples of solid/vegetation barriers*

# Acknowledgements

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Kevin Black  
Mark Ferroni  
Adam Alexander

## **USFS**

David Nowak  
Greg McPherson

## **NOAA**

Dennis Finn  
Kirk Clawson



# For More Information

- Websites:

- <http://www.epa.gov/nrmrl/appcd/nearroadway/workshop.html>
- <http://www.epa.gov/ord/ca/quick-finder/roadway.htm>

- References

- Baldauf, R.W., A. Khlystov, V. Isakov, et al. 2008a. Atmos. Environ. 42: 7502–7507
- Baldauf, R.W., E. Thoma, M. Hays, et al. 2008b. J. Air & Waste Manage Assoc. 58:865–878
- Baldauf, R.W., N. Watkins, D.K. Heist, et al. 2009. J. of Air Quality, Atmosphere, & Health. Vol. 2: 1-9
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- Contact Information:

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[baldauf.richard@epa.gov](mailto:baldauf.richard@epa.gov)

## USING ROADSIDE BARRIERS TO REDUCE NEAR-ROAD CONCENTRATIONS OF TRAFFIC RELATED POLLUTANTS



Akula Venkatram, Nico Schulte and USEPA collaborators  
University of California, Riverside, CA

# Overview



- › Role of models in evaluating mitigation options
- › Effects of sound barriers
- › Effects of vegetative barriers
- › Summary

# Mitigation Options-Emission versus Exposure Reduction



- › Exposure Reduction
  - › Buffer zones
  - › Road geometry
    - › Road width
    - › Elevated or depressed roads
    - › Covered roads
  - › Dispersion and Removal structures
    - › Solid barriers
    - › Vegetative barriers

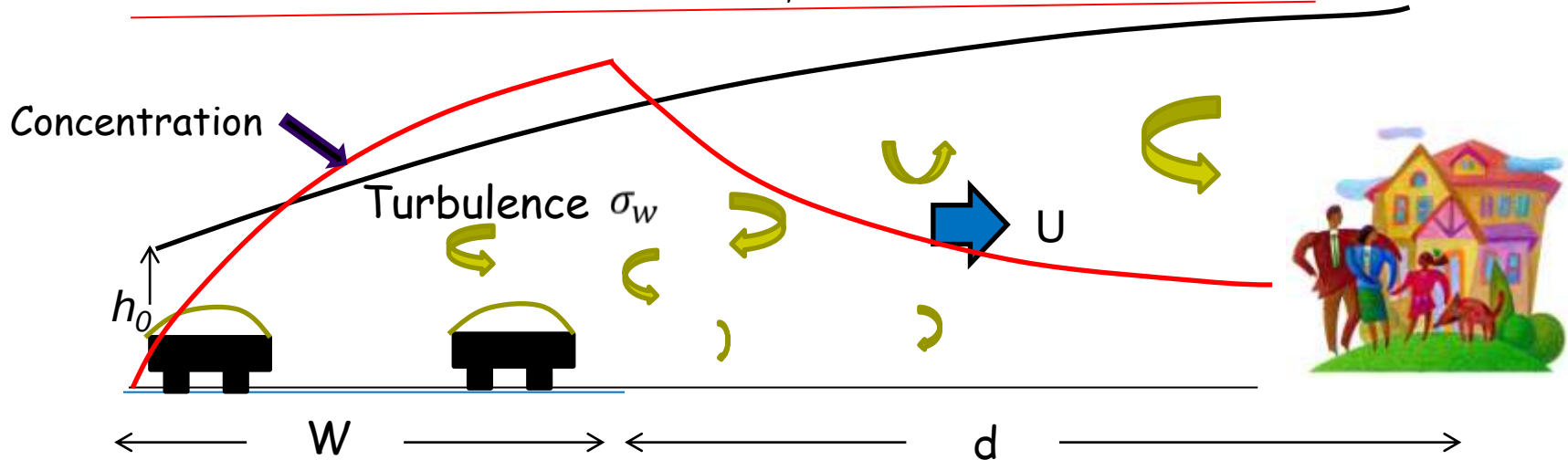
Models are essential for evaluating these mitigation options

# Developing and Applying Models to Estimate the Impact of Roadway Emissions

- › Obtain data from field studies and laboratory experiments
- › Develop tentative model for dispersion from roads
- › Evaluate model with data
- › Improve model to reduce discrepancies between model estimates and observations
- › Evaluated model becomes surrogate for reality
- › Use model to conduct sensitivity studies that would be impossible in the real world.

# Governing Processes

Boundary Layer  $z_i$



$$C = \sqrt{\frac{2}{\pi}} \frac{Te_f}{W} \frac{1}{\sigma_w} \ln \left( 1 + \frac{\sigma_w W}{h_0 U + \sigma_w d} \right)$$

$$C_{\min} = \frac{Te_f}{U z_i}$$



# Field Studies and Models

## Field and Laboratory Studies

- Dispersion of releases from sources close to the ground
  - *Green Glow, Prairie Grass* (1956)
- Field studies to understand road dispersion -*GM* tracer study (1980)- tracer released from 352 automobiles
- New road field studies
  - Caltrans (1980), Raleigh study (Baldauf et al., 2008), **Idaho Falls Study** (2008, Finn et al. 2010)

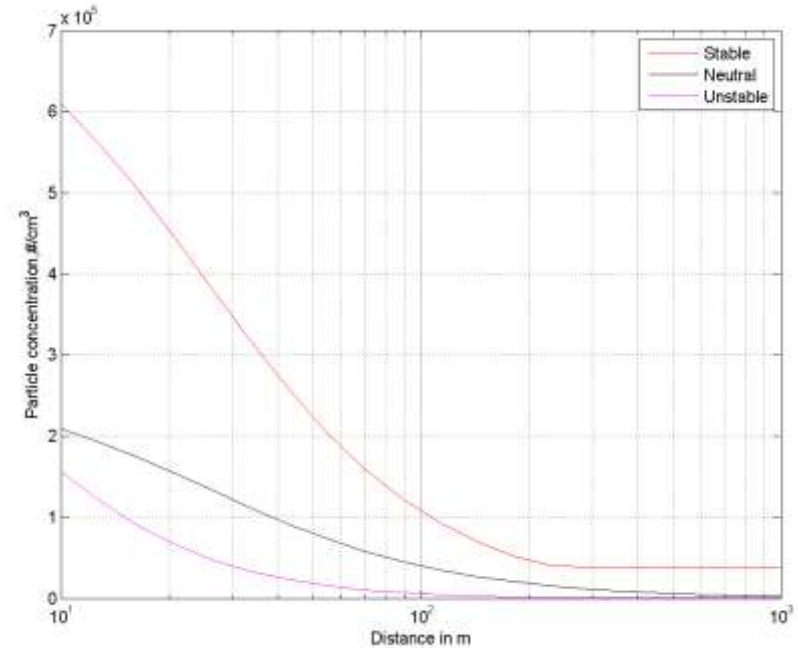
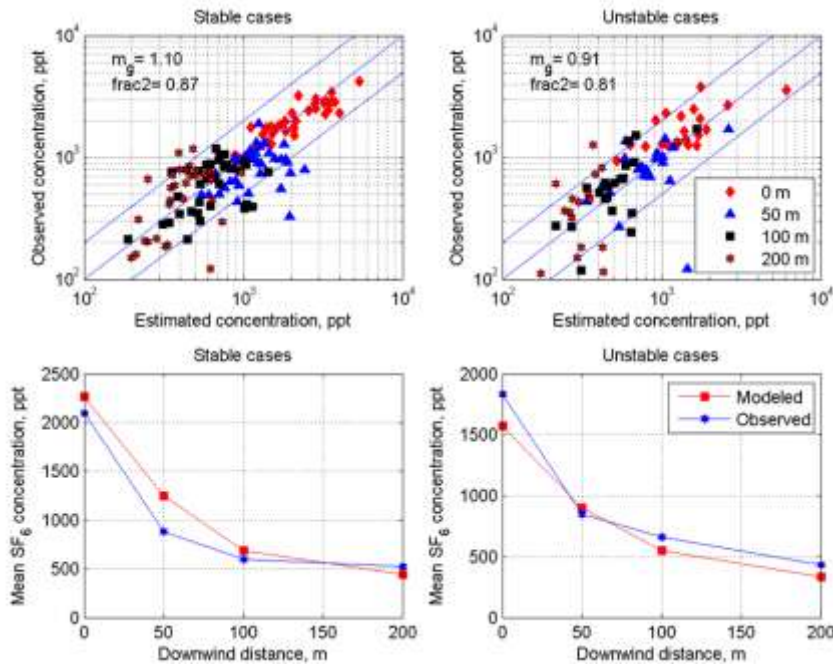
## Models

- EPA Highway Model (1970s)
- CALINE Model (Benson, 1989)
- RLINE (Snyder et al., 2013)
- C-LINE (Barzyk et al, 2013)

# Development of RLINE

1. [RLINE: A line source dispersion model for near-surface releases](#) .  
*Atmospheric Environment, Volume 77, October 2013, Pages 748-756*  
Michelle G. Snyder, Akula Venkatram, David K. Heist, Steven G. Perry, William B. Petersen, Vlad Isakov
2. [Re-formulation of plume spread for near-surface dispersion](#)  
*Atmospheric Environment, Volume 77, October 2013, Pages 846-855*  
Akula Venkatram, Michelle G. Snyder, David K. Heist, Steven G. Perry, William B. Petersen, Vlad Isakov
3. [Impact of wind direction on near-road pollutant concentrations](#)  
*Atmospheric Environment, Volume 80, December 2013, Pages 248-258*  
Akula Venkatram, Michelle Snyder, Vlad Isakov, Sue Kimbrough
4. [Modeling the impact of roadway emissions in light wind, stable and transition conditions](#)  
*Transportation Research Part D: Transport and Environment, Volume 24, October 2013, Pages 110-119*  
Akula Venkatram, Michelle Snyder, Vlad Isakov
5. [Estimating near-road pollutant dispersion: A model inter-comparison](#)  
*Transportation Research Part D: Transport and Environment, Volume 25, December 2013, Pages 93-105*  
David Heist, Vlad Isakov, Steven Perry, Michelle Snyder, Akula Venkatram, Christina Hood, Jenny Stocker, David Carruthers, Saravanan Arunachalam, R. Chris Owen
6. [Estimating the height of the nocturnal urban boundary layer for dispersion applications](#)  
*Atmospheric Environment, Volume 54, July 2012, Pages 611-623*  
Sam Pournazeri, Akula Venkatram, Marko Princevac, Si Tan, Nico Schulte
7. [Modeling the impacts of traffic emissions on air toxics concentrations near roadways](#)  
*Atmospheric Environment, Volume 43, Issue 20, June 2009, Pages 3191-3199*  
Akula Venkatram, Vlad Isakov, Robert Seila, Richard Baldauf
8. [Analysis of air quality data near roadways using a dispersion model](#)  
*Atmospheric Environment, Volume 41, Issue 40, December 2007, Pages 9481-9497*  
Akula Venkatram, Vlad Isakov, Eben Thoma, Richard Baldauf
9. [Approximating dispersion from a finite line source](#)  
*Atmospheric Environment, Volume 40, Issue 13, April 2006, Pages 2401-2408*  
Akula Venkatram, T.W. Horst
10. [Using a dispersion model to estimate emission rates of particulate matter from paved roads](#)  
*Atmospheric Environment, Volume 33, Issue 7, March 1999, Pages 1093-1102*  
Akula Venkatram, Dennis Fitz, Kurt Bumiller, Shuming Du, Michael Boeck, Chandragupta Ganguly

# Evaluation and Application



Tracer study conducted by Caltrans during the winter of 1981-82 along a 2.5 mile section of U.S. Highway 99 in Sacramento (Benson, 1989).

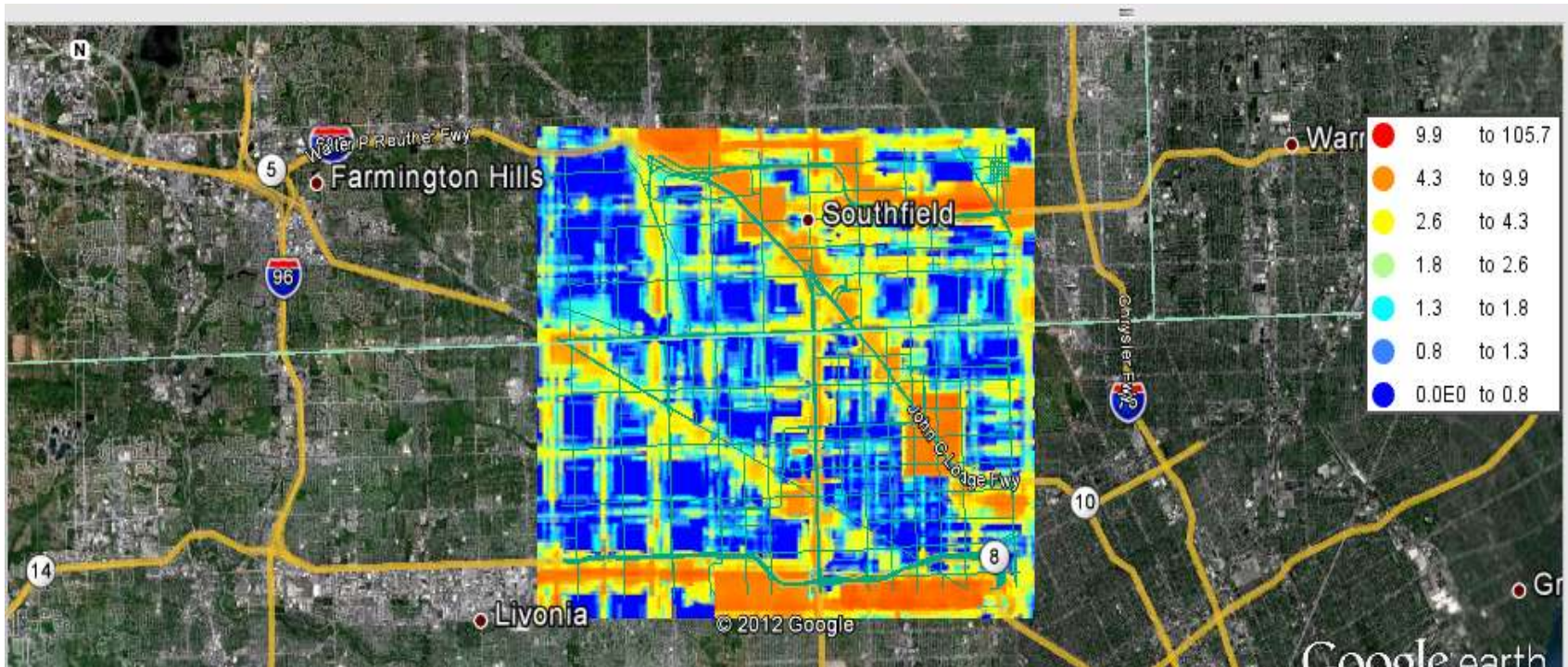
Impact of 10 lane freeway with traffic volume of 12000 cars/h

- Buffer zones depend on
1. Traffic volume and composition
  2. Road geometry
  3. Prevailing meteorology

# Application of RLINE

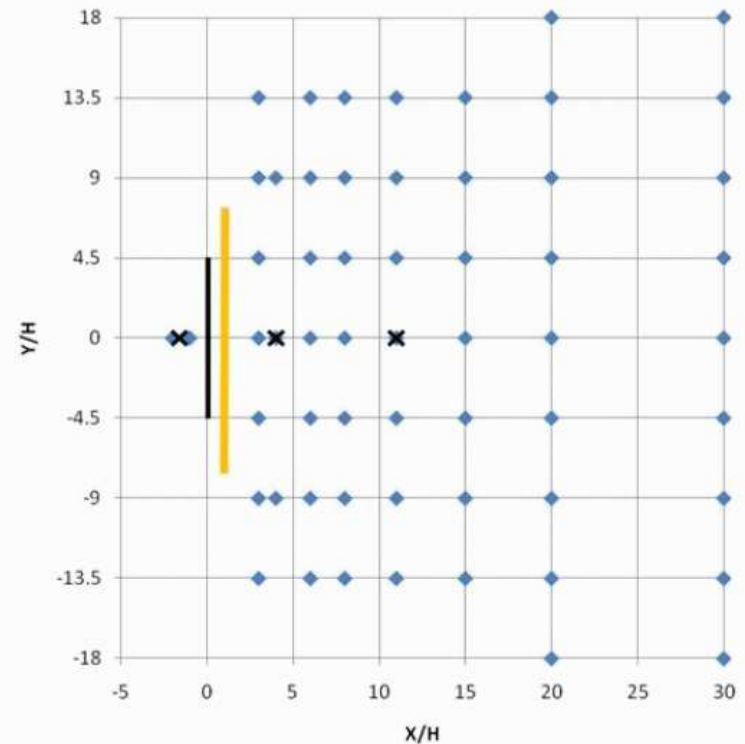
R-LINE algorithm is used in C-LINE, a decision support tool for evaluating effects of alternate transportation options on community health

## EPA's C-LINE Tool



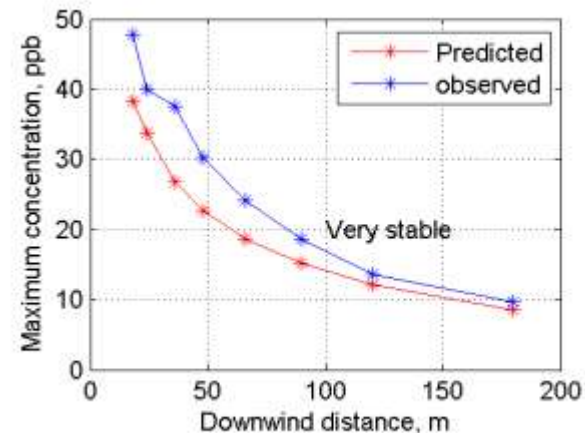
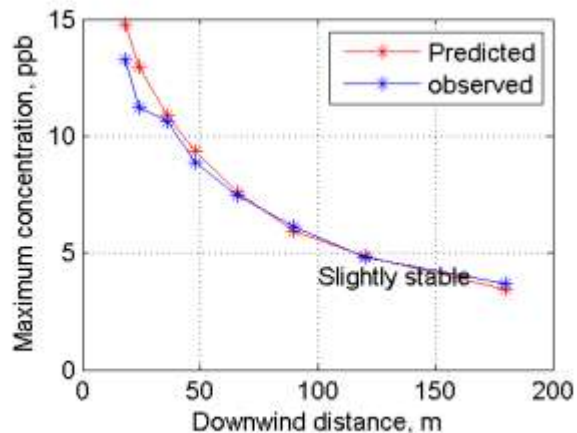
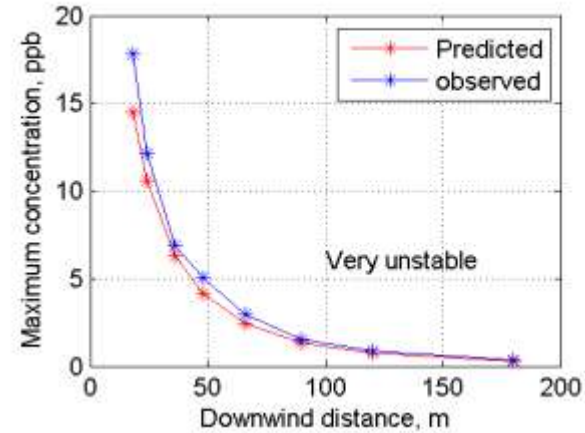
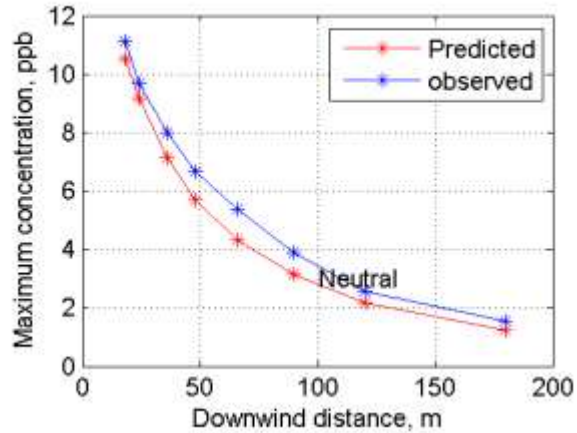
# Barrier Effects-Idaho Falls Study

(Finn et al. 2010, AE, 44, 204-214)



- $\text{SF}_6$  simultaneously released from two sources
- Concentrations measured at 56 receptors
- Spanned neutral, unstable, and stable conditions

# Idaho Falls Flat Terrain

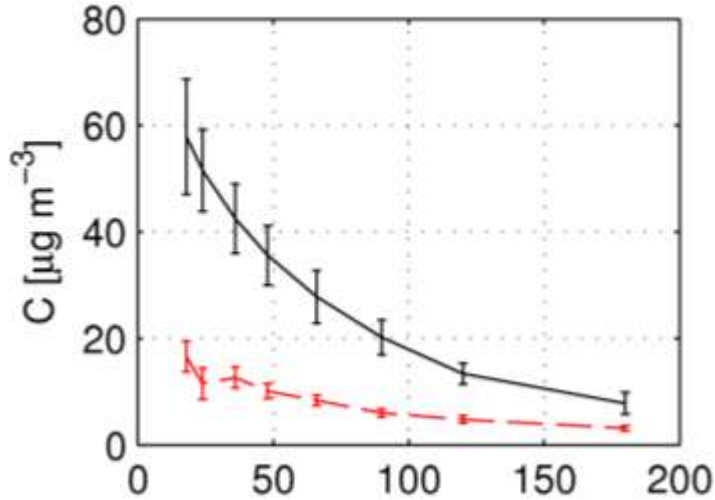


# Idaho Falls Study

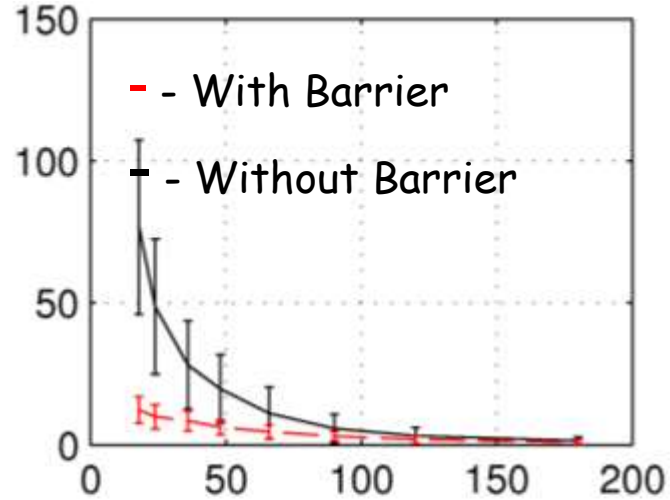
(Finn et al. 2010, AE, 44, 204-214)



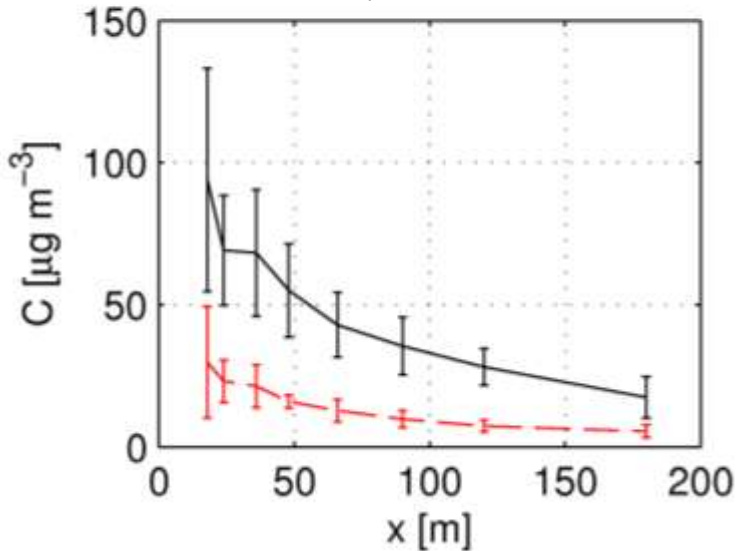
## Neutral



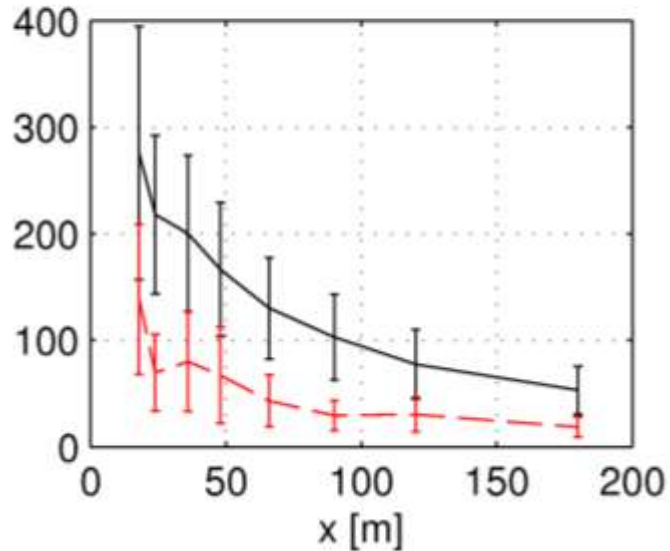
## Unstable



## Slightly Stable

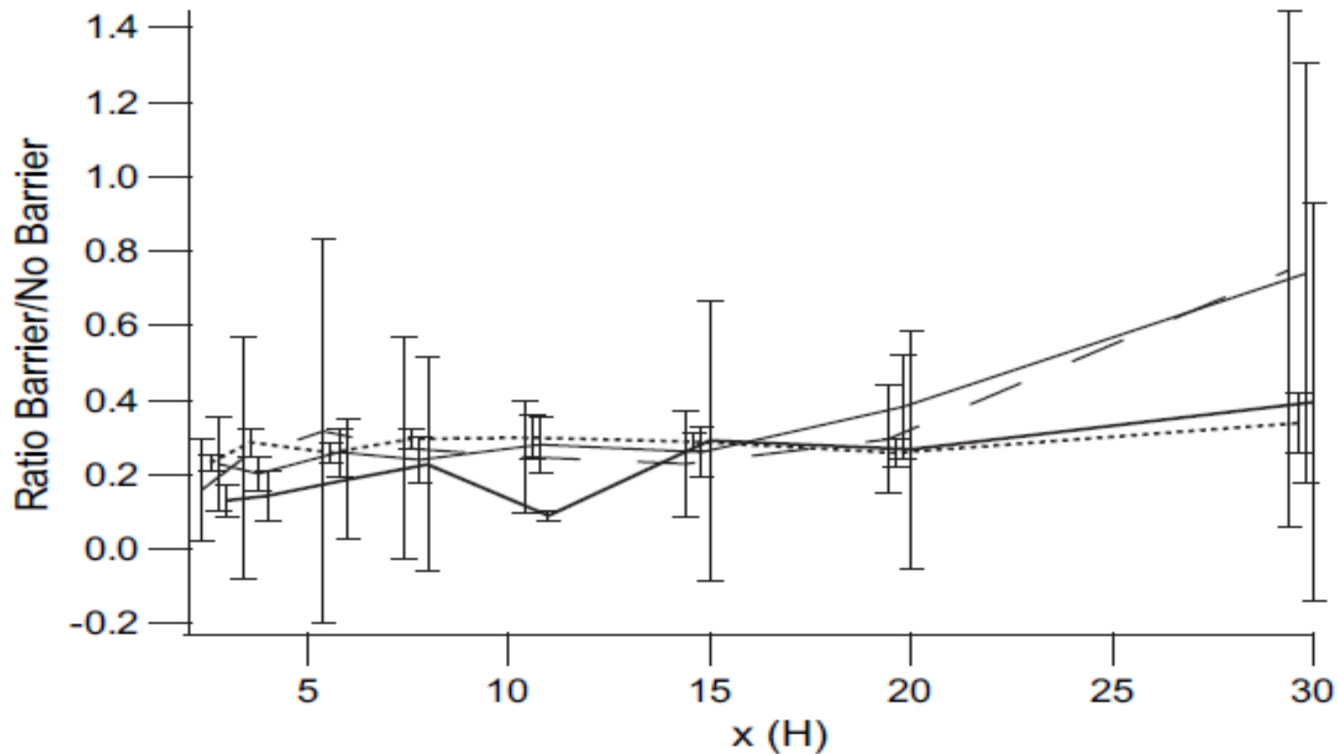


## Very Stable



# Effects of Barriers on Concentrations

(Finn et al. 2010, AE, 44, 204-214)

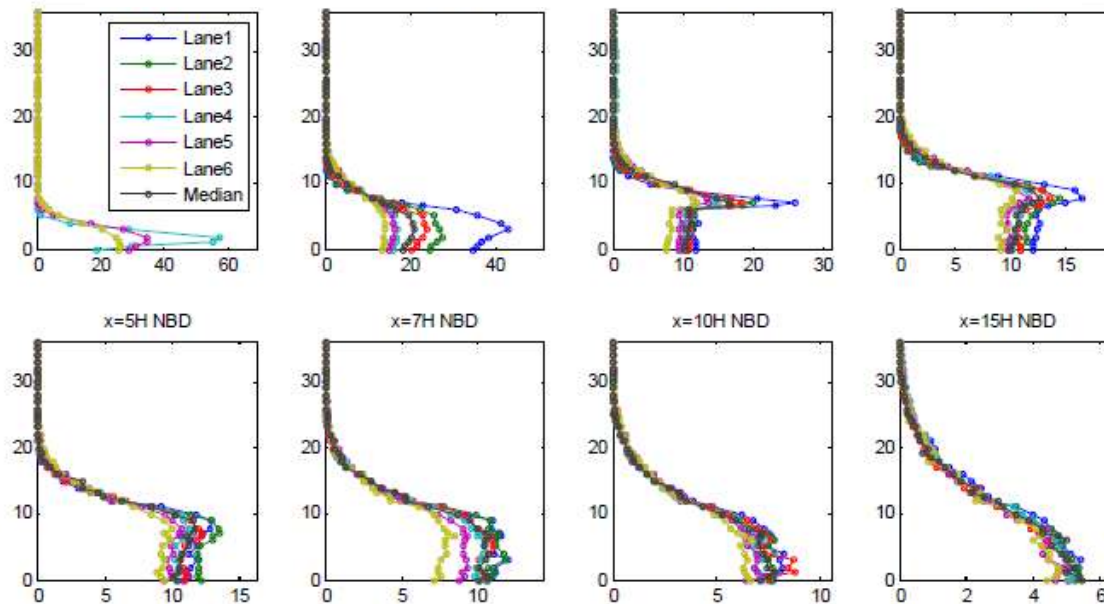
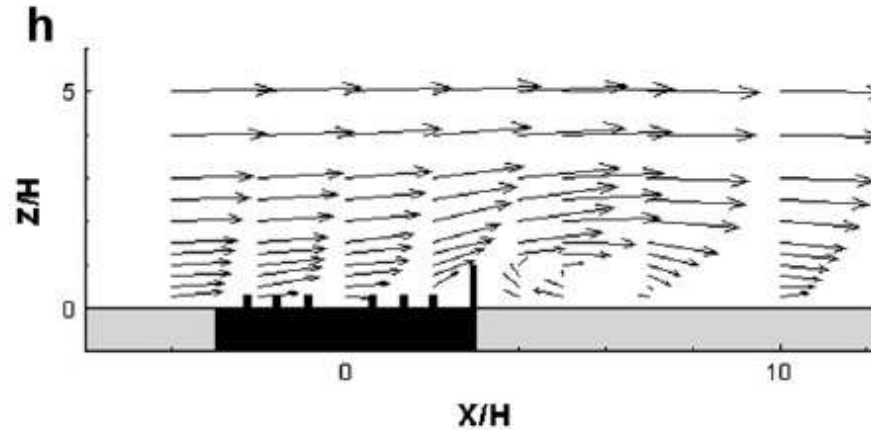


**Fig. 9.** Mean barrier/non-barrier normalized centerline concentration ratios for qualifying periods: unstable, bold; neutral, solid; weakly stable, dotted; stable, dashed. Error bars are standard deviations.



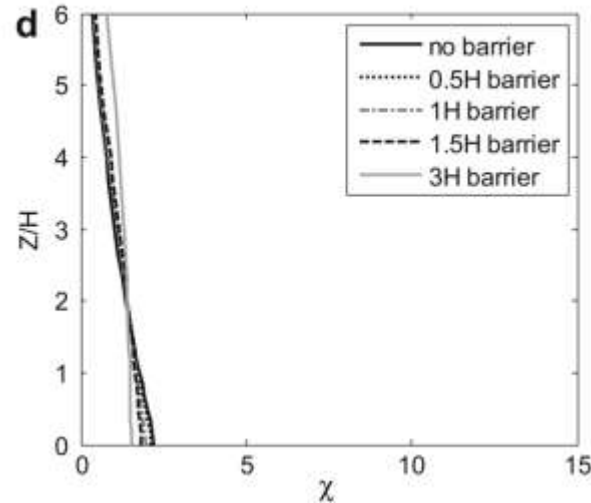
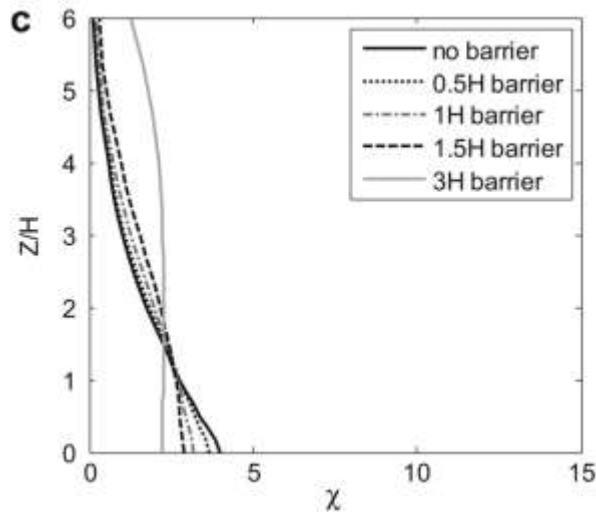
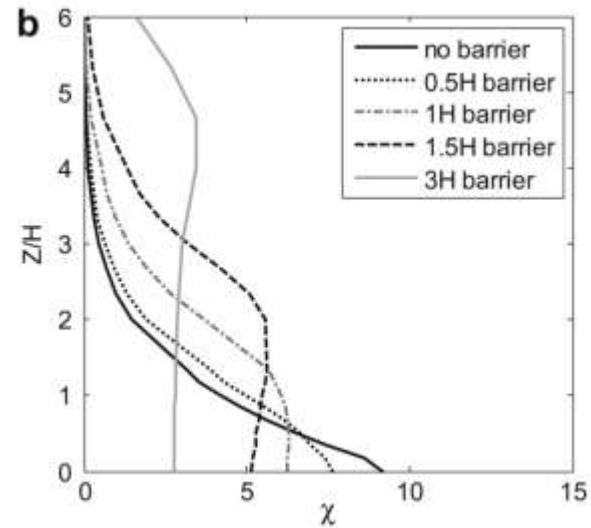
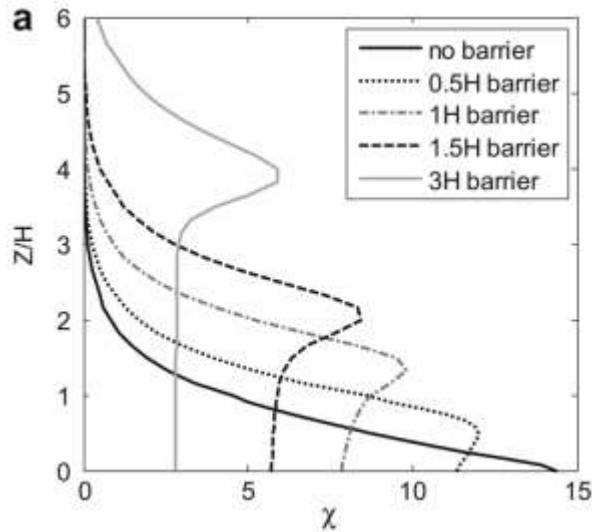
# Barrier Effects

Wind Tunnel Results (Heist et al, AE, 43, 5101-5111)



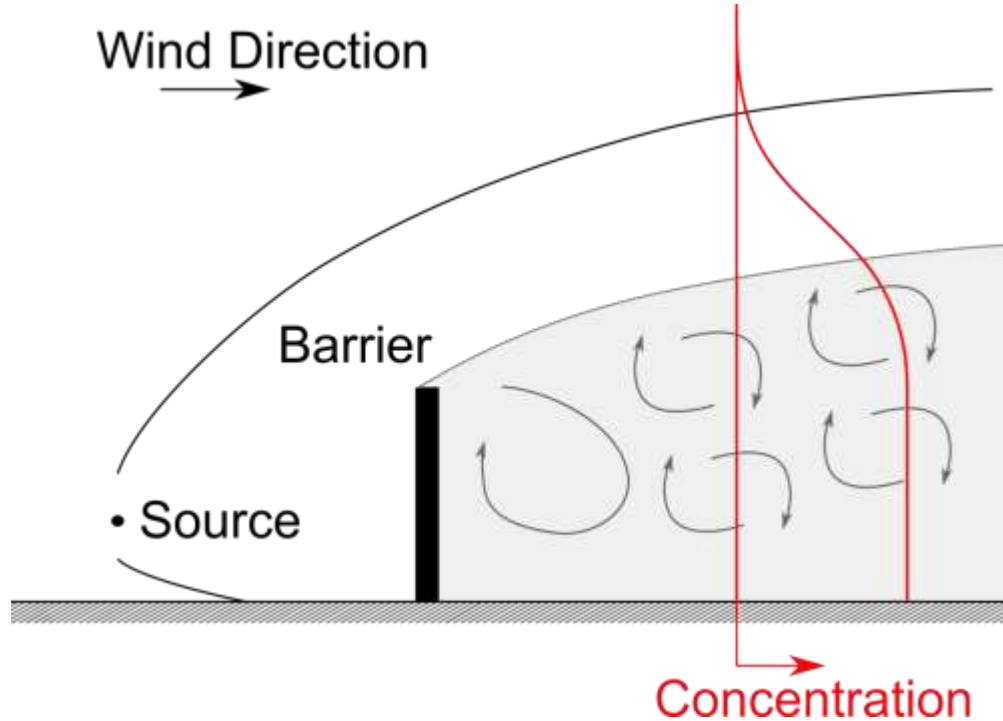
# Barrier Effects CFD Simulation

(Hagler et al., 2011, AE, 45, 2522-30)



# Mixed Wake Model

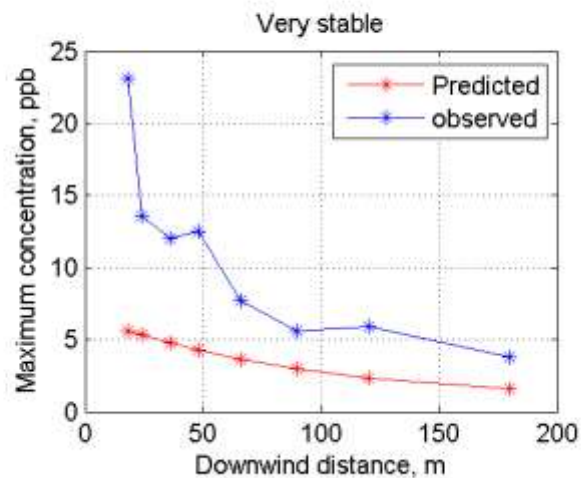
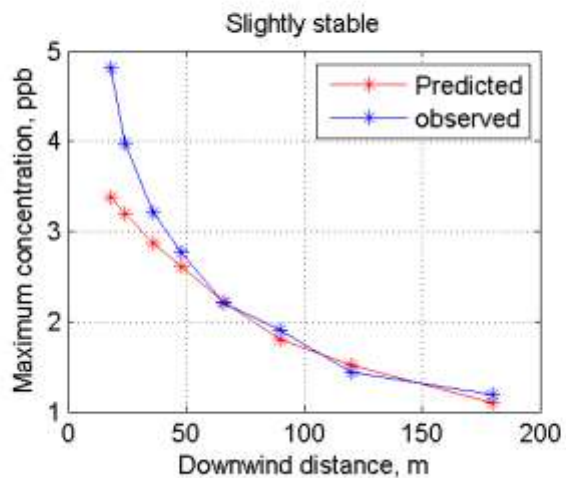
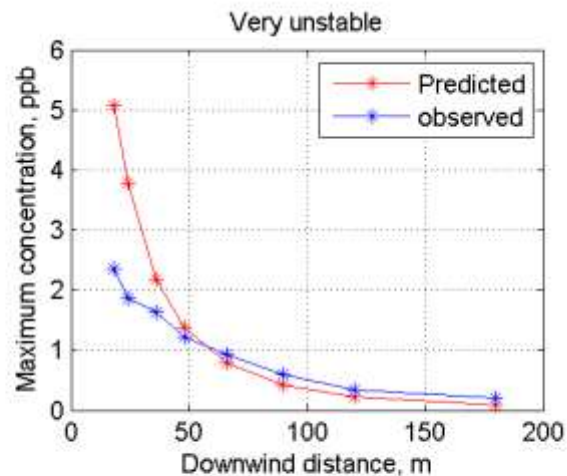
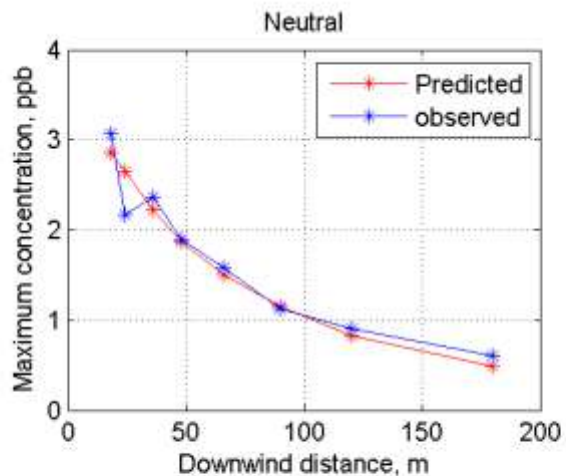
(Schulte and Venkatram, 2013, Harmo 15, May 6-9, Madrid, Spain)



- Concentration is well mixed over the height of the barrier,  $H$
- Vertical plume spread increased by a factor  $\alpha$

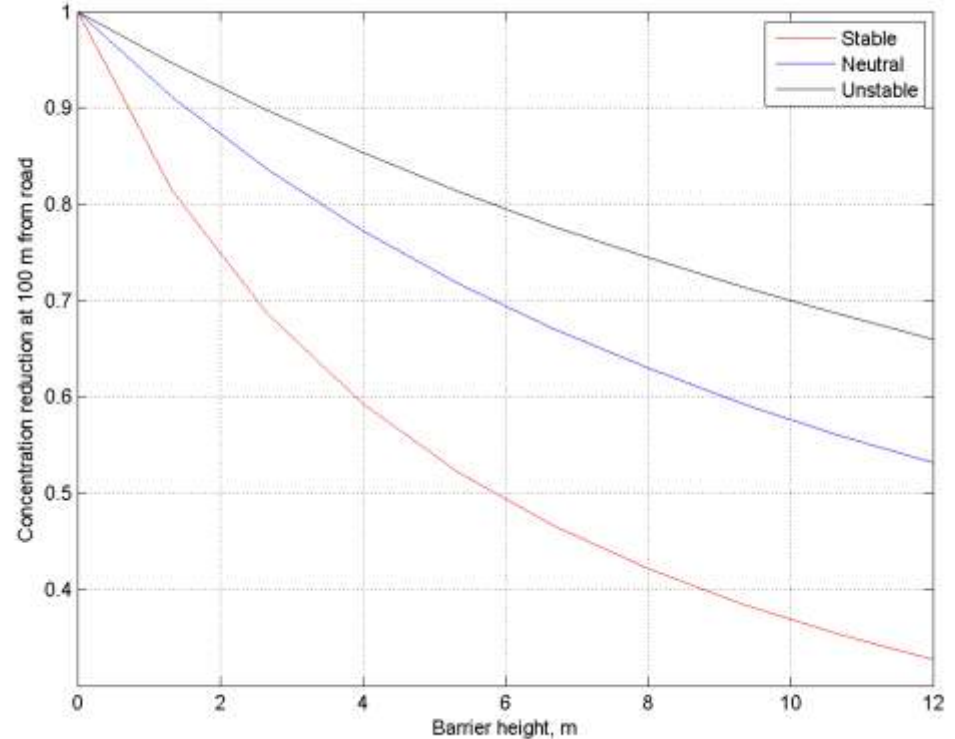
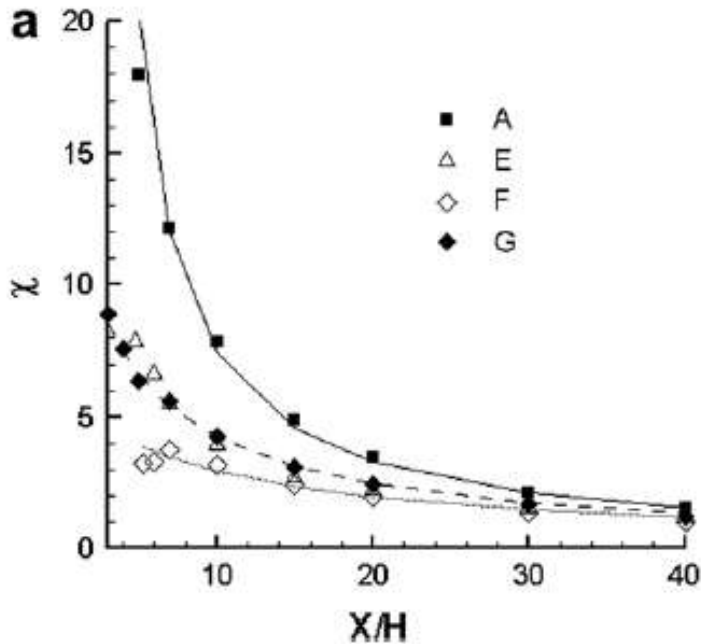
$$U\sigma_{zbarrier}(x) = U(z_{eff})\alpha\sigma_z(x) + U\left(\frac{H}{2}\right)\gamma\sqrt{\frac{\pi}{2}}H$$

# Idaho Falls with Barrier



# Barrier Shifts the Source Upwind

(Heist et al, 2009, AE, 43, 5101-5111)

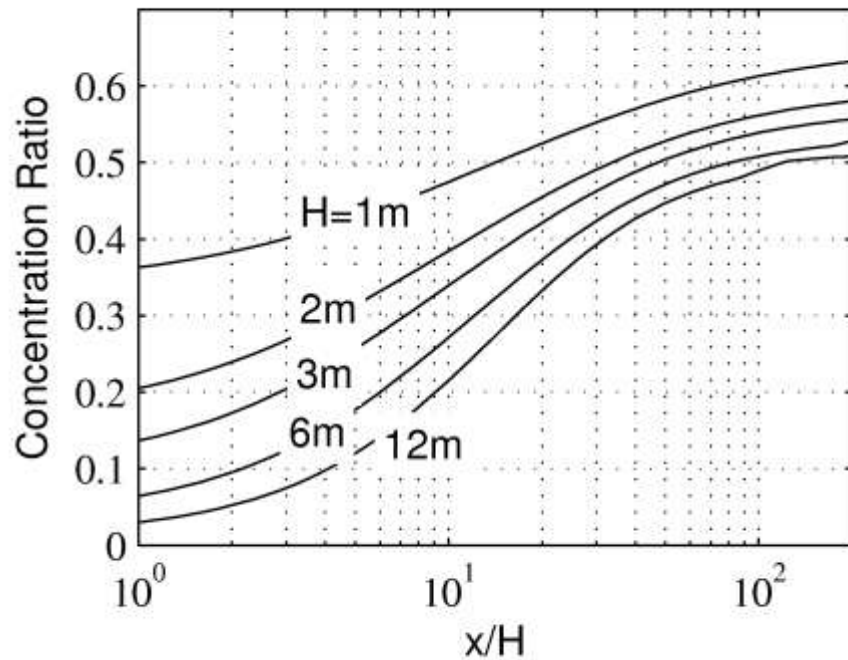


$$x_{eff} = h_0 \frac{U}{\sigma_w} + d$$

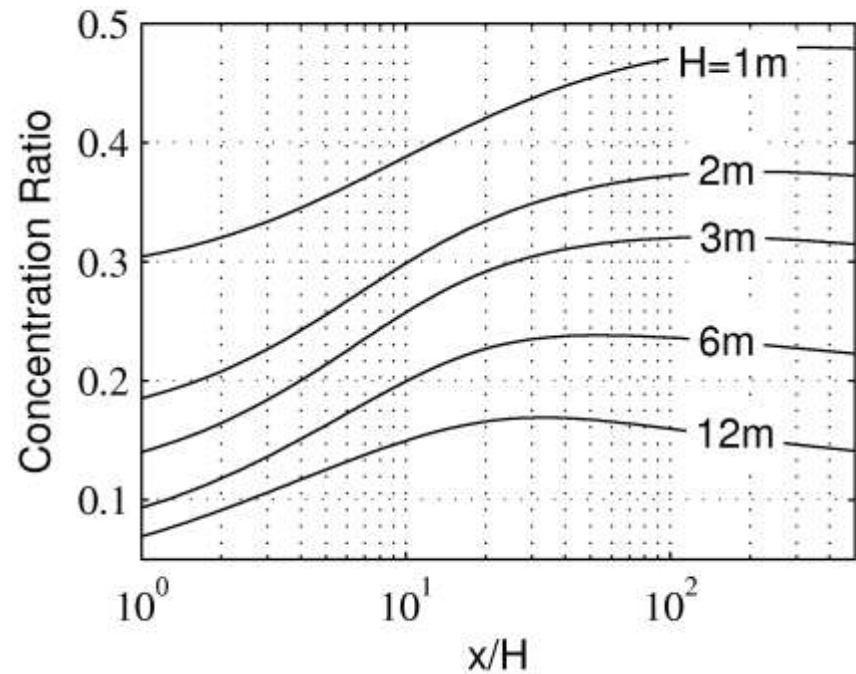
Barrier induced spread  $\rightarrow$   $h_0$   $\left( \frac{U}{\sigma_w} \right)$   $\leftarrow$  Atmospheric turbulence

# Sensitivity to Barrier Height

Unstable



Stable



# Windows Based Dispersion Model

**Road Dispersion Model**

**Model Overview**  
This model estimates the concentration of road emissions with and without a barrier next to the road.



**How to run the model**  
Model inputs are entered on the "Model Inputs" tab.  
Model outputs are viewed and saved on the "Output" tab.  
Mouse over the controls for help, or press F1 to open the manual.  
Begin by pressing "Enter model inputs" or go to the "Model inputs" tab.  
When you are finished entering model inputs, press the "Done Entering Inputs" button or return to the "Overview" tab and press the "Calculate" button. The results will be displayed and may be saved.

UCR UNIVERSITY OF CALIFORNIA RIVERSIDE

Authors:  
Nico Schulte  
Akula Vankatram

**Road Dispersion Model**

**Road Geometry**

Number of Lanes: 6 Units: m  
Lane Width: 12  
Shoulder Width: 18  
Median Width: 12

**Emissions**

Traffic Count: 800 Unit: Year<sup>-1</sup>  
Emission Factor: 0.2 Unit: g km<sup>-1</sup>  
Initial Speed: 1 Unit: m

**Road Categories**

Small road  Urban highway  
 Urban road  User defined

**Barriers**

Height: 5  
Road from file

**Recapitulation**

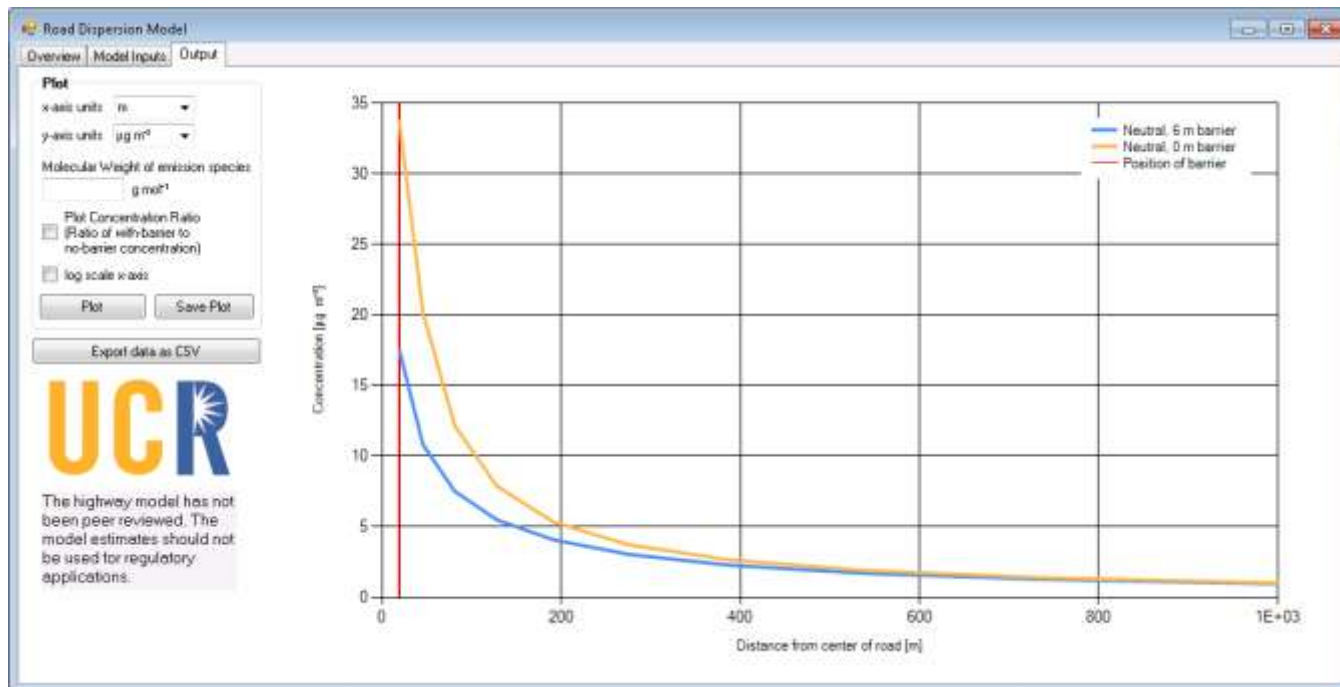
Distance: Distance  
Barrier Height: Barrier Height  
Receptor Height: Receptor Height

**Meteo-knowledge**

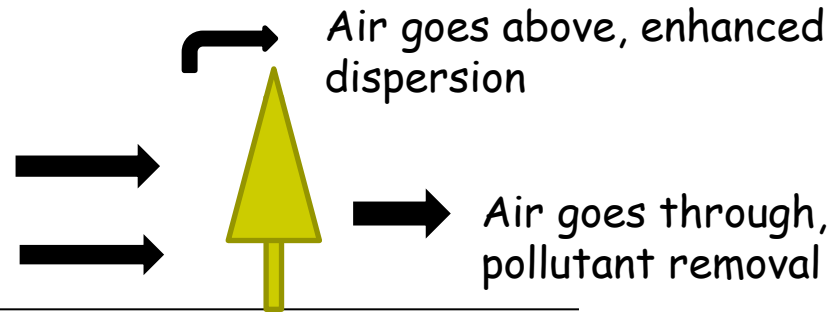
Include meteo-knowledge only representative of the following atmospheric conditions:  
 Neutral  Unstable (day)  Stable (night)

Wind Speed (m/s)	Barometer Height (m)	Wind Direction (Measured counter-clockwise from normal to road) (degrees)	Surface Friction Velocity (m/s)	MO Length (m)	Std. deviation of vertical velocity fluctuations (m/s)	Boundary Layer Height (m)	Plus Reverse

Done Entering Inputs Clear Inputs Defaults Road meteo-knowledge from file



# Vegetative Barriers

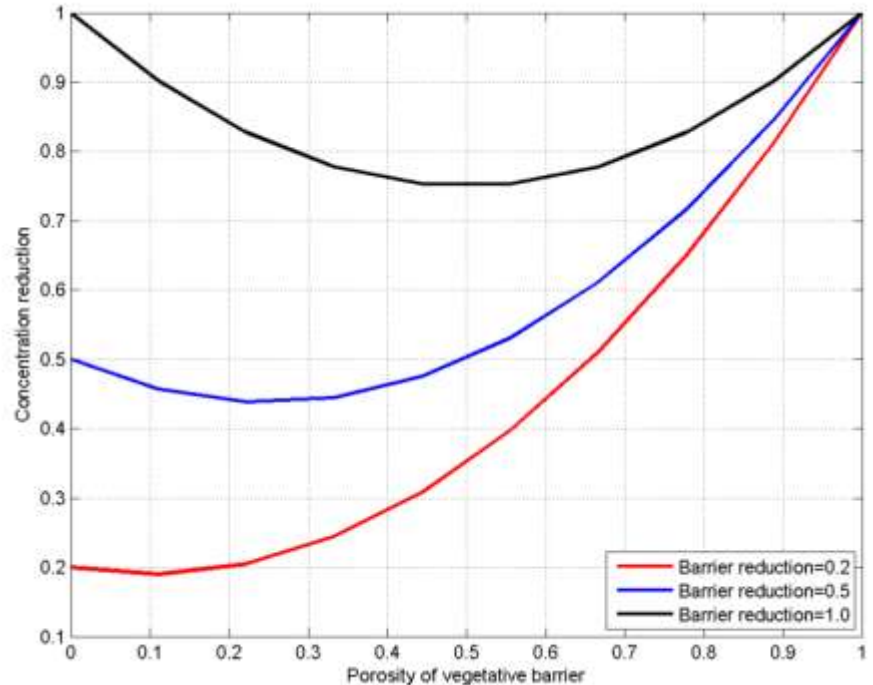


Increasing deposition by increasing barrier thickness reduces flow through barrier and increases dispersion

$$p = \exp(-\alpha X_{vegetation})$$

$$r_{vegetation} = (1 - p^n) r_{barrier} + p^{1+n}$$

Vegetation can be beneficial in combination with solid barriers  
 Field studies are *inconclusive*  
 (Hagler et al., 2012, *Sci. Tot. Environ.*, 419, 7-15)





# Summary

- › Sound walls reduce near-road exposure by enhancing vertical dispersion. Enhancement is proportional to barrier height.
  - › Gaps: Low wind speeds, stable conditions, vehicle induced turbulence, vertical concentration distribution
- › Vegetative barriers can be effective in combination with solid barriers. Models are preliminary. Need field studies to obtain better data.

# Acknowledgments



## Collaborators (USEPA):

Vlad Isakov, David Heist, Michele Snyder, Steven Perry, William Petersen, Richard Baldauf, Sarav Arunachalam(UNC)

## Funding Agencies:

- › South Coast Air Quality Management District
- › USEPA
- › California Air Resources Board

# UCR

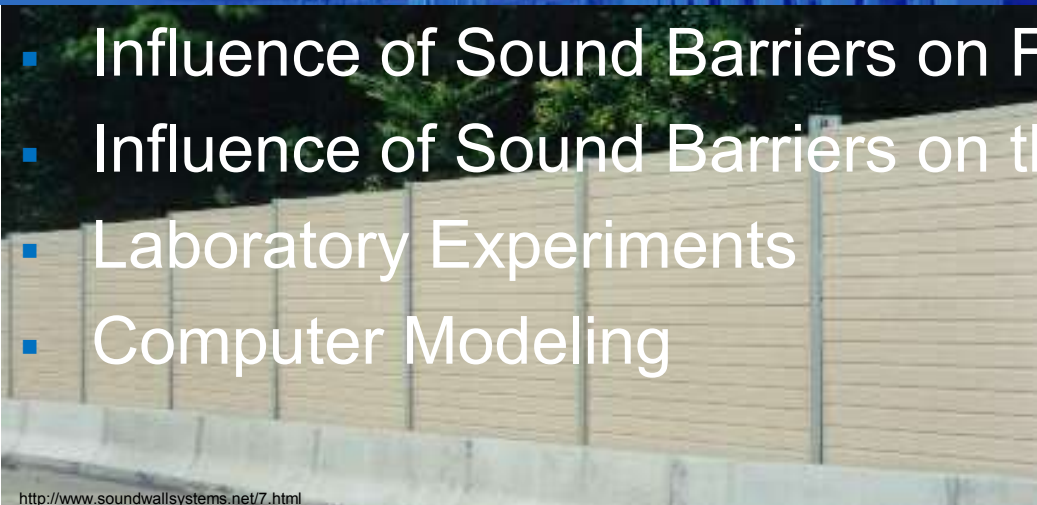
## Near Field Effects of Sound Barriers on Flow and Dispersion

Marko Princevac


Brandn Gazzolo, Sam Pournazeri, Matti  
Azard, Trevor Brown, Raul-Delga  
Delgadillo, Trent Nash, Senyeung Shu

Total Project Cost to SCAQMD: \$43,586


- Influence of Sound Barriers on Flow
- Influence of Sound Barriers on the Dispersion of Pollutants
- Laboratory Experiments
- Computer Modeling




<http://www.soundwallsystems.net/7.html>



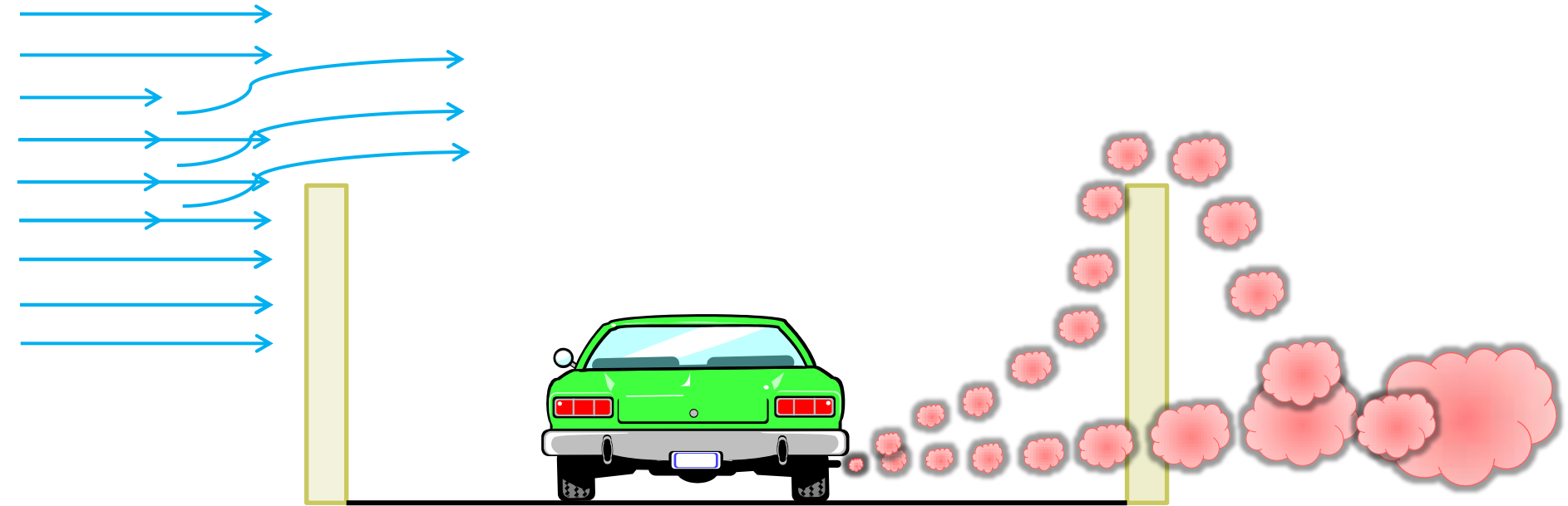
<http://www.aaroads.com/forum/index.php?topic=2967.0>

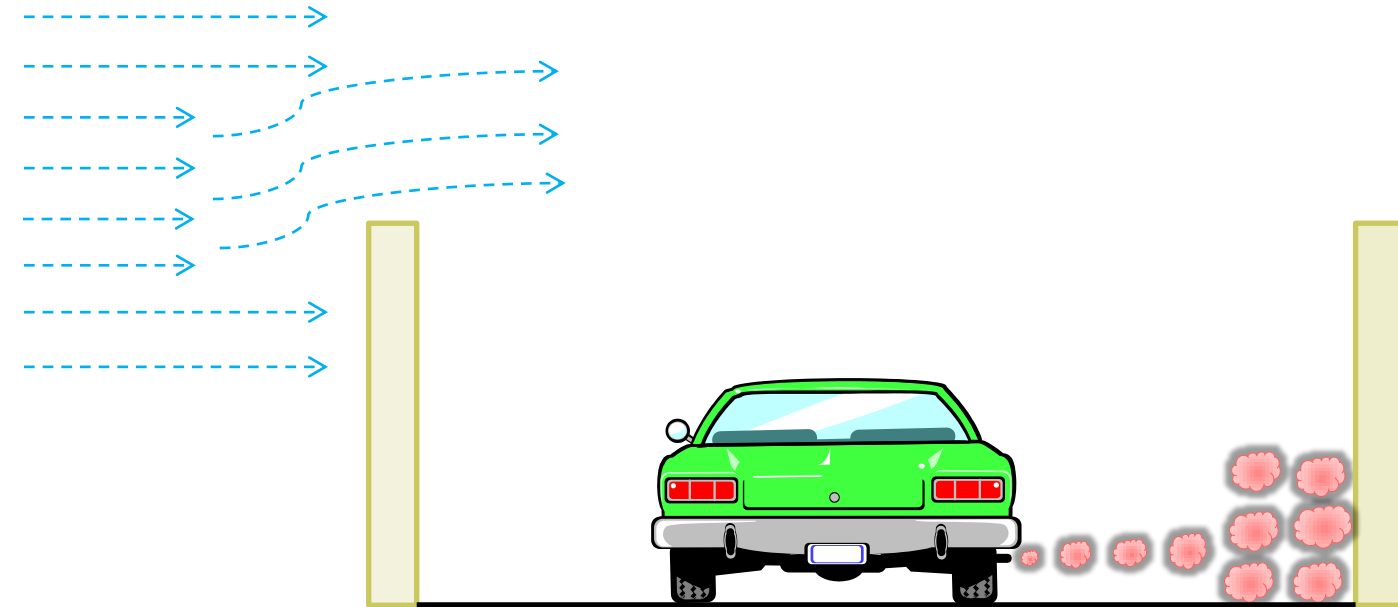


[http://www.avtinc.net/barrier\\_walls/highway\\_barriers.html](http://www.avtinc.net/barrier_walls/highway_barriers.html)

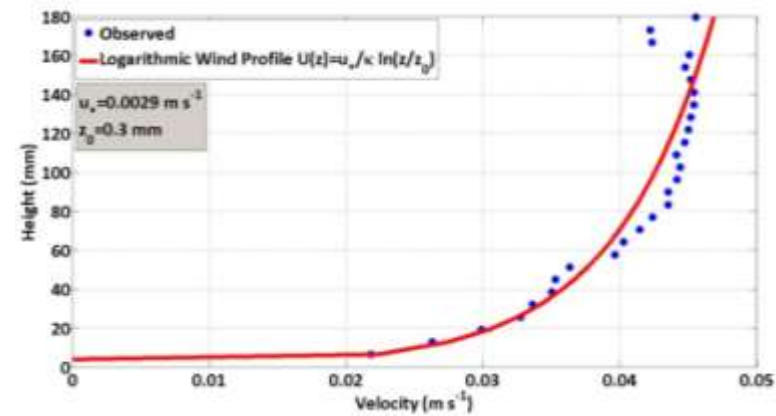
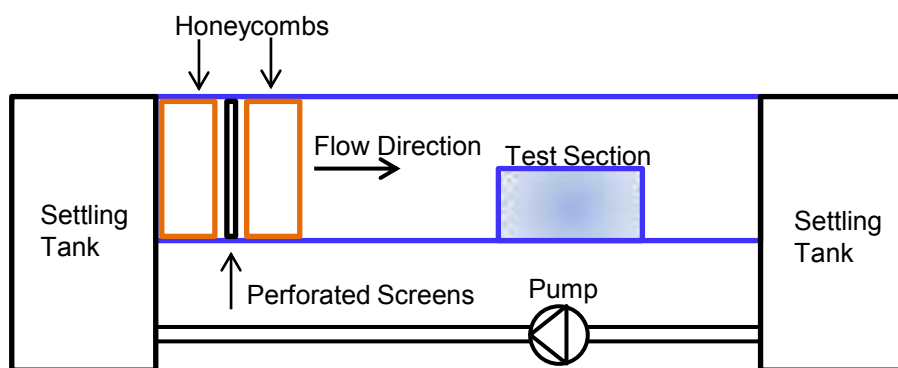


<http://www.gettyimages.com/detail/200171389-001/Photographers-Choice>

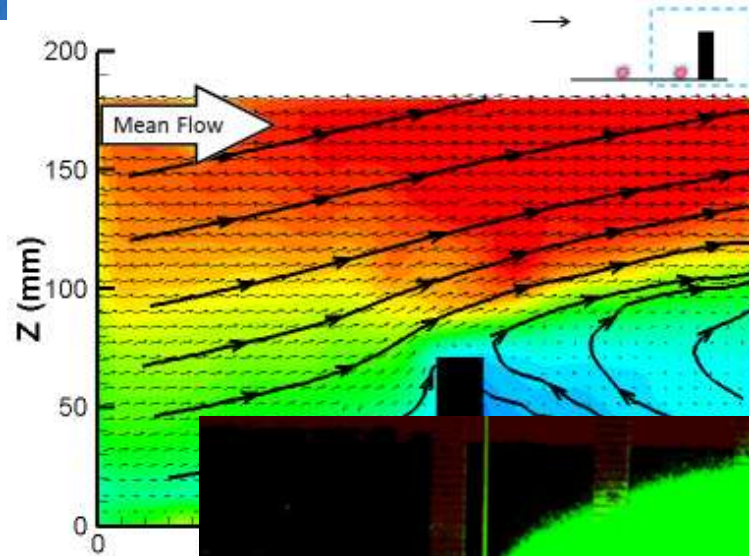




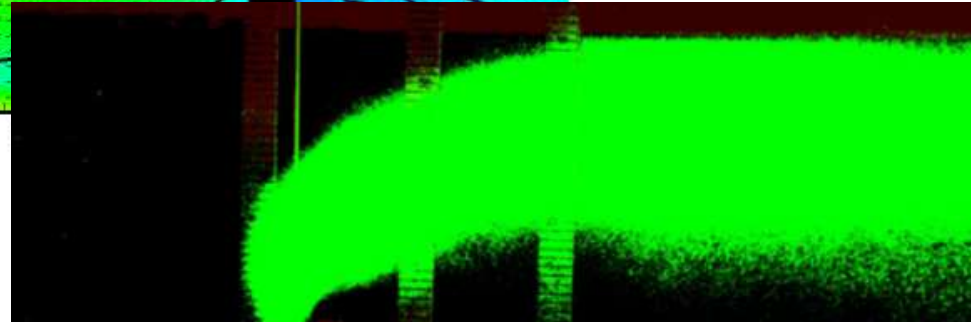
- Laboratory for Environmental Flow Modeling (LEFM)



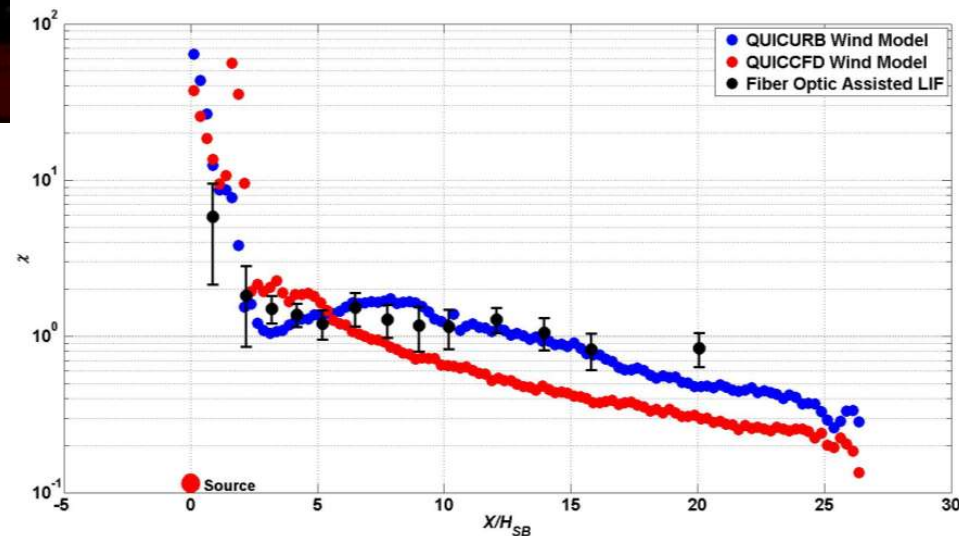
- ▶ Velocity Fields



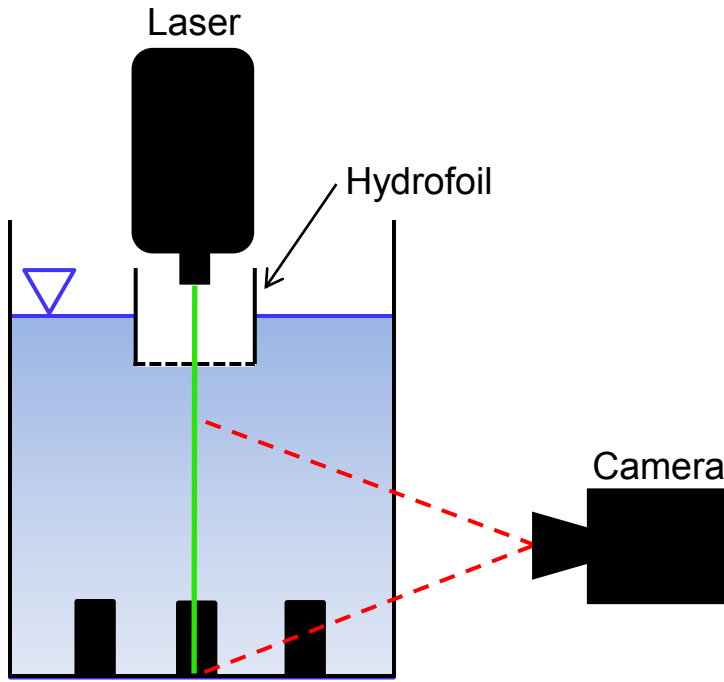
- ▶ Plume Visualizations



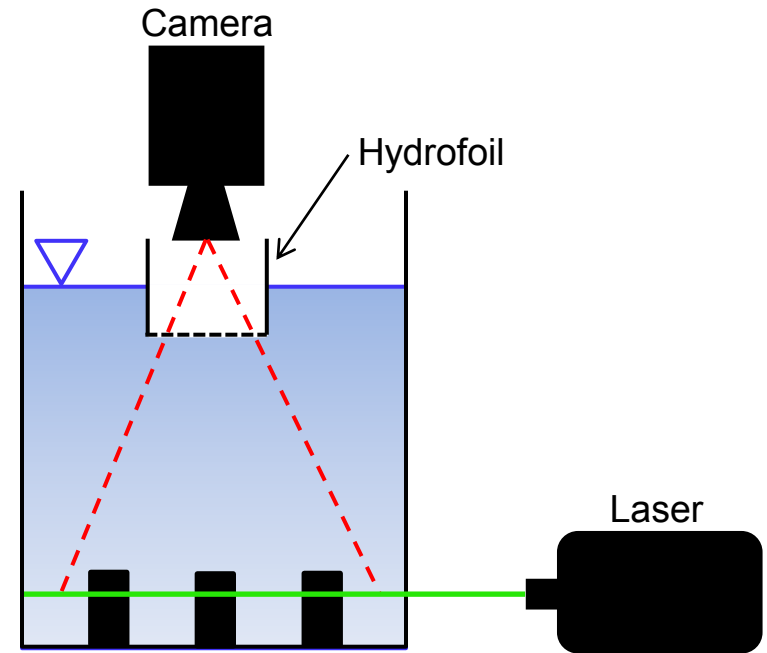
- ▶ Plume Concentration



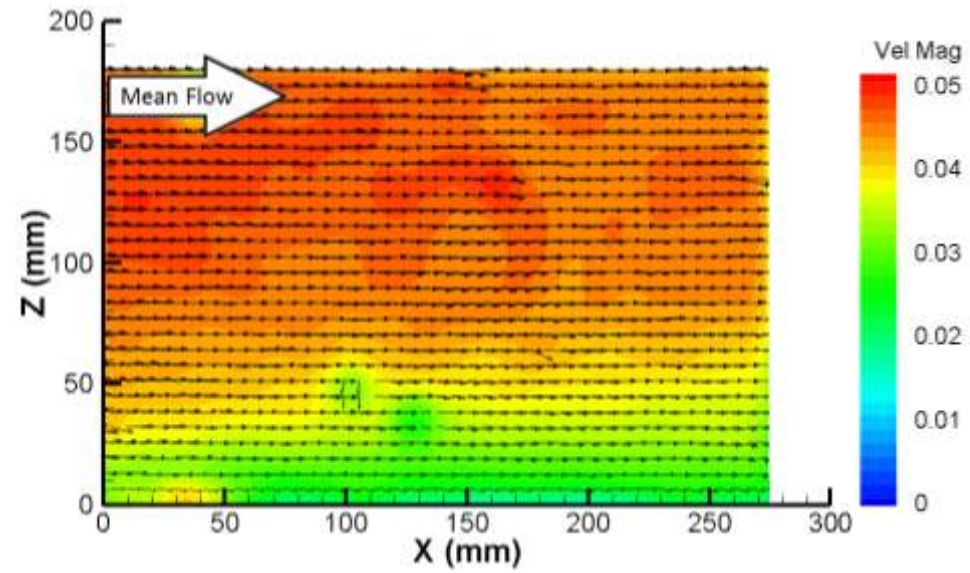
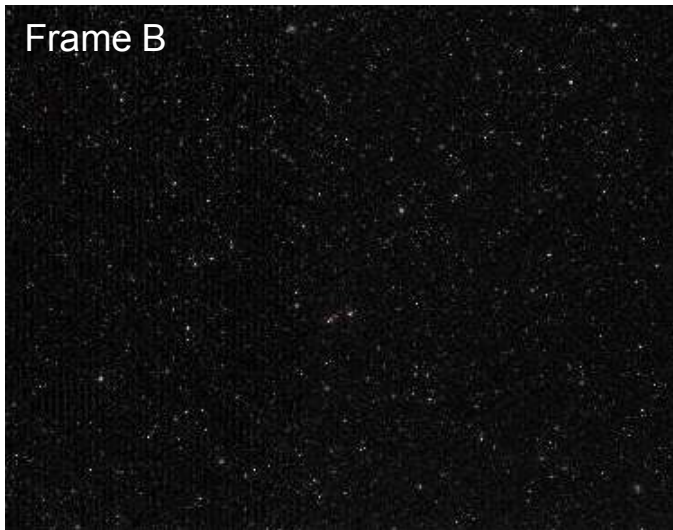
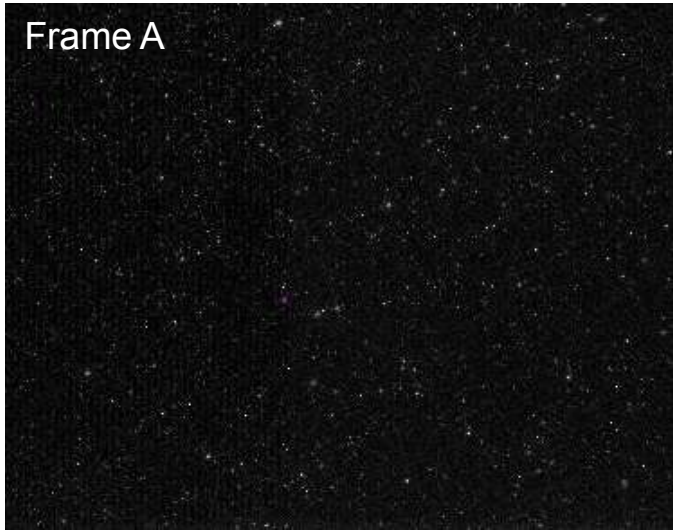


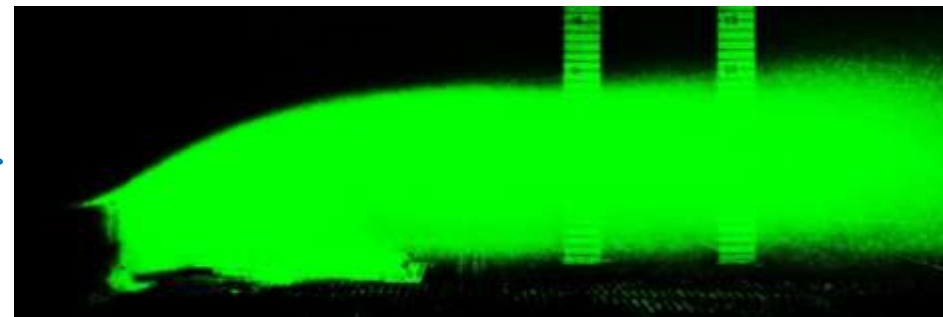
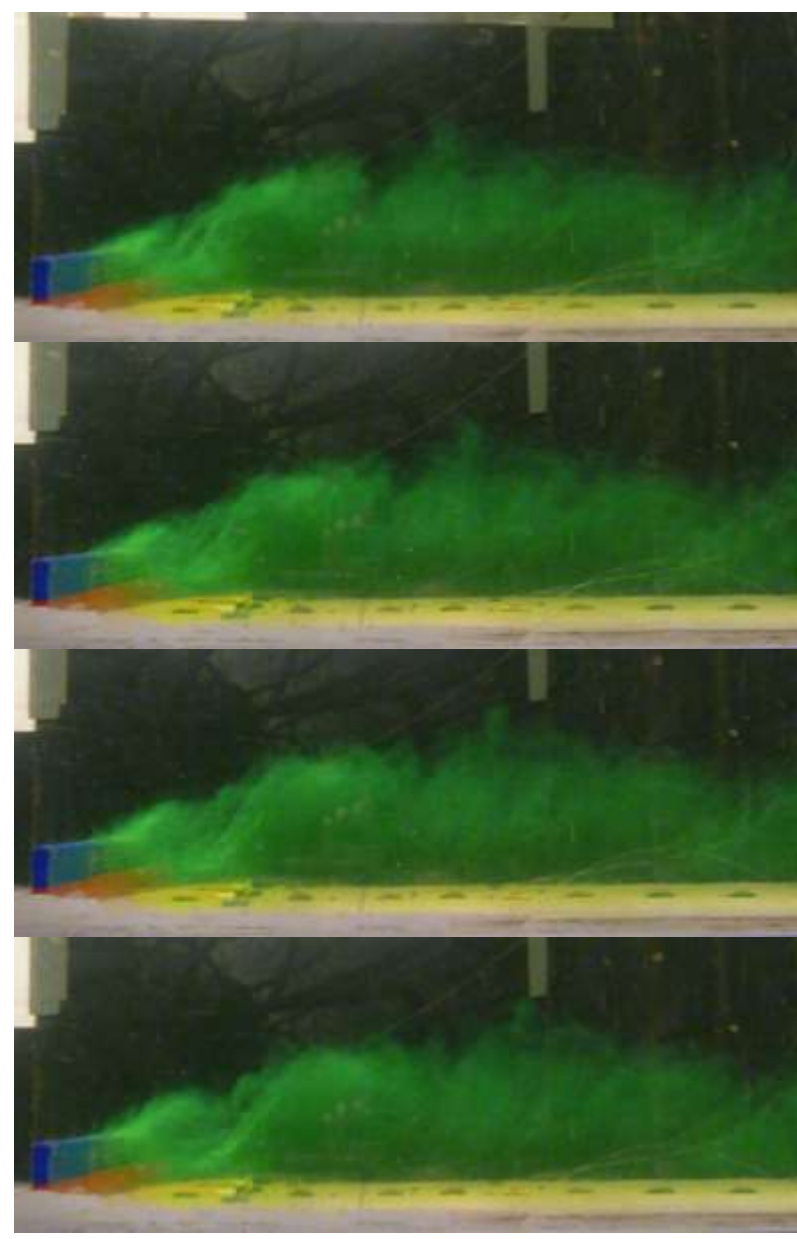


Vertical Plane



Horizontal Plane

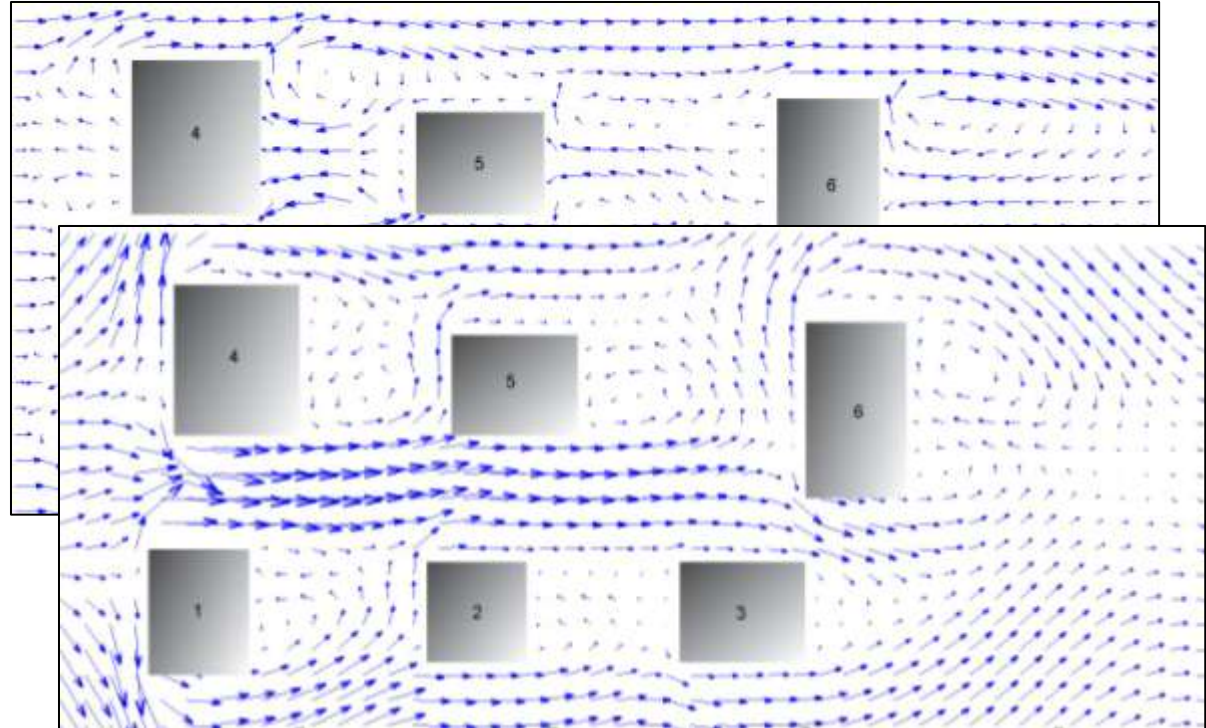




- Quick Urban and Industrial Complex (QUIC)

- QUIC URB

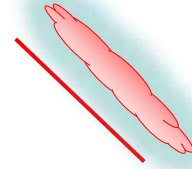
- QUIC CFD



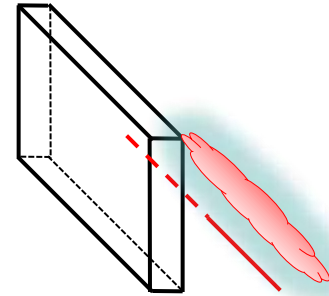
- Simple Gaussian (US EPA AERMOD type model – not part of the contract)

$$C(x, y, z) = \frac{Q}{2\pi U \sigma_y \sigma_z} \exp\left(-\frac{y^2}{2\sigma_y^2}\right) \left[ \exp\left(-\frac{(z - h_e)^2}{2\sigma_z^2}\right) + \exp\left(-\frac{(z + h_e)^2}{2\sigma_z^2}\right) \right]$$

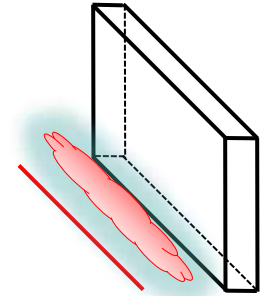
No Sound Barriers



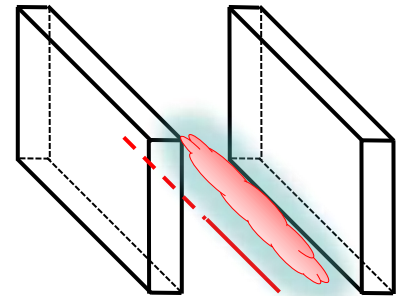
Upwind Only Sound Barrier



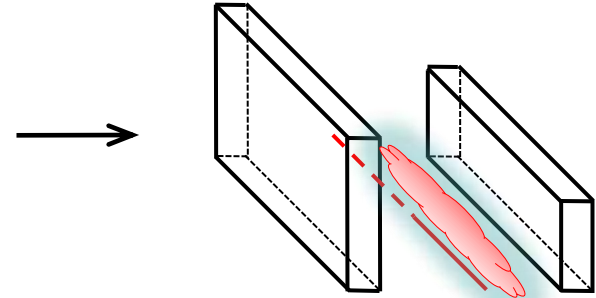
Downwind Only Sound Barrier



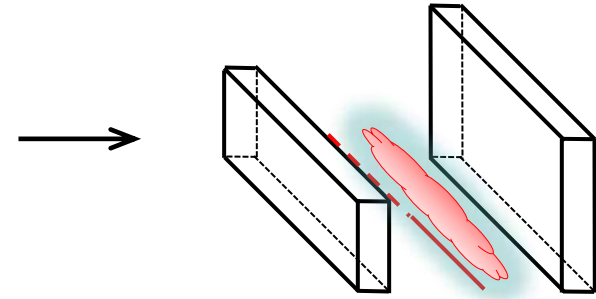
$$H_{up} = H_{down}$$



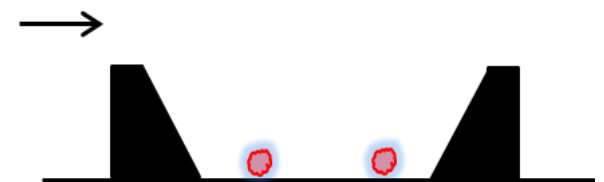
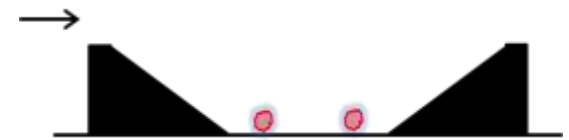
$$H_{up} = 2 H_{down}$$



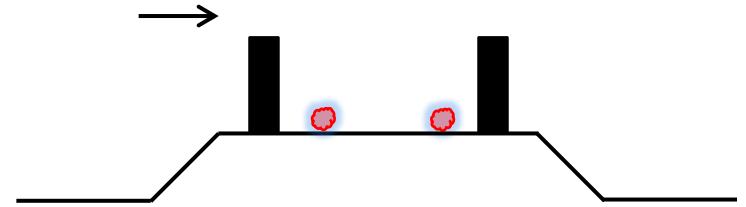
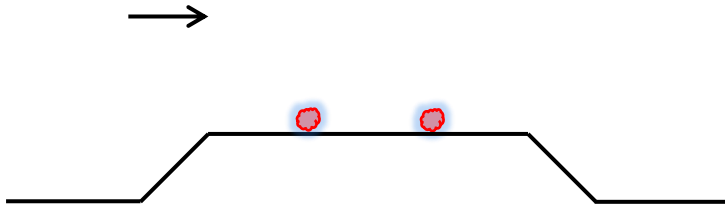
$$H_{down} = 2 H_{up}$$



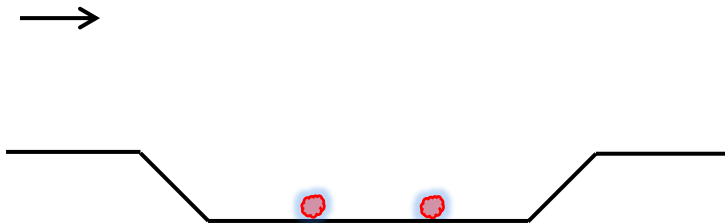
Inclined Barriers



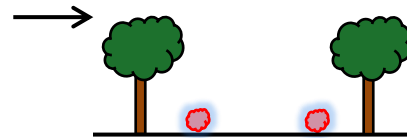
## Raised Roadways



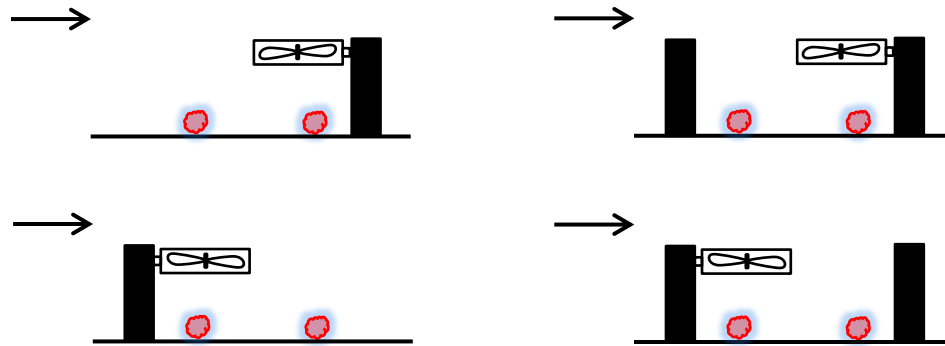
## Sunken Roadways



## Roadways with Trees



## Roadways with Fans



## Ground Heating

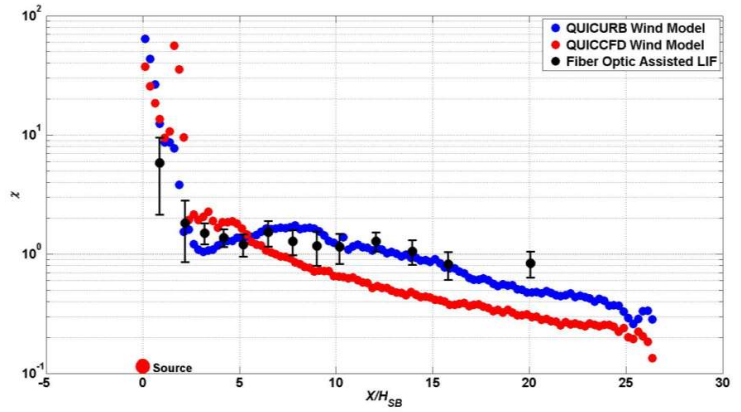
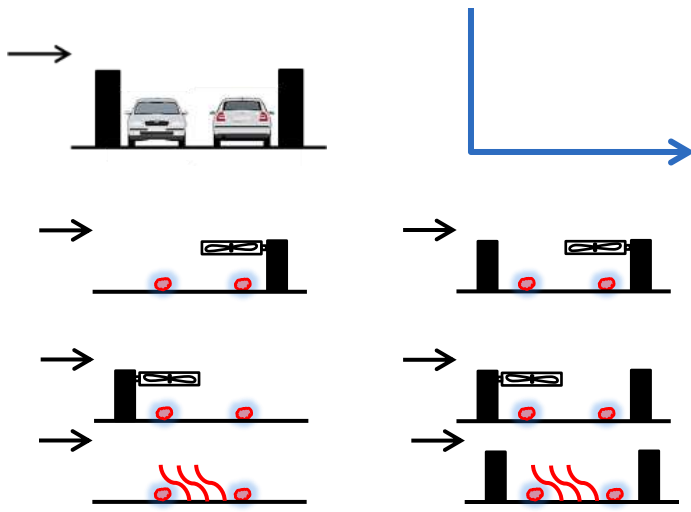
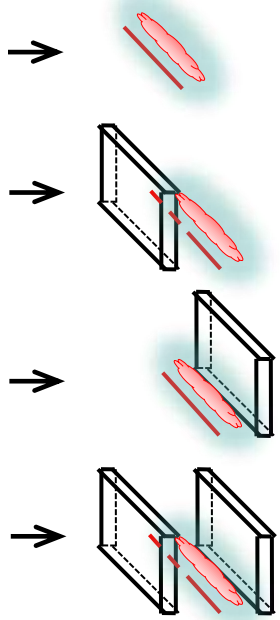
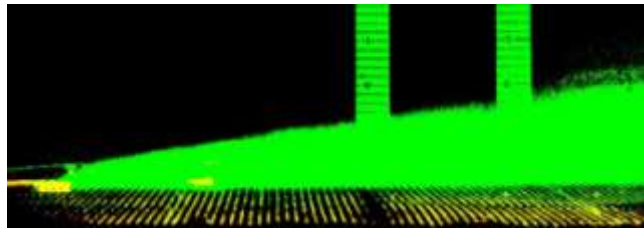
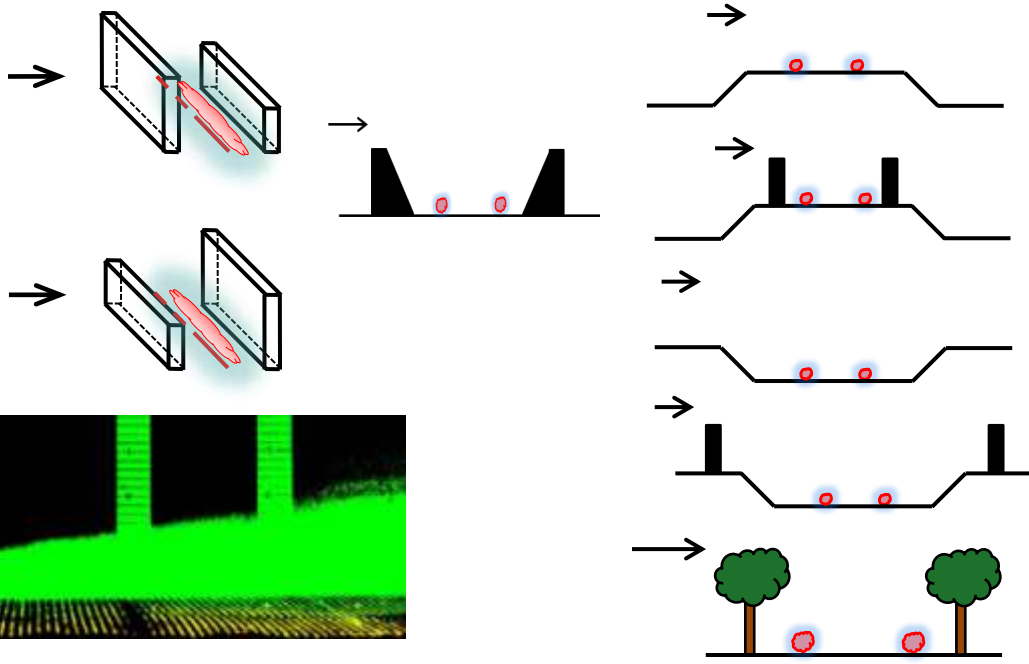
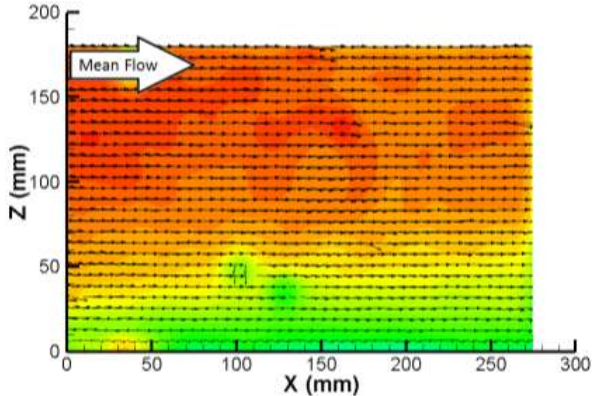


## Traffic Induced Turbulence

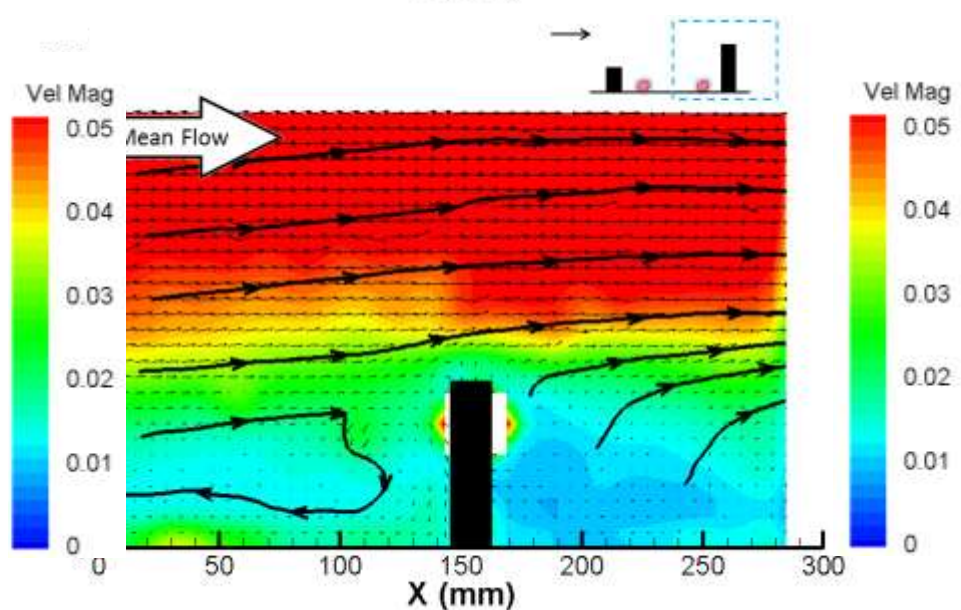
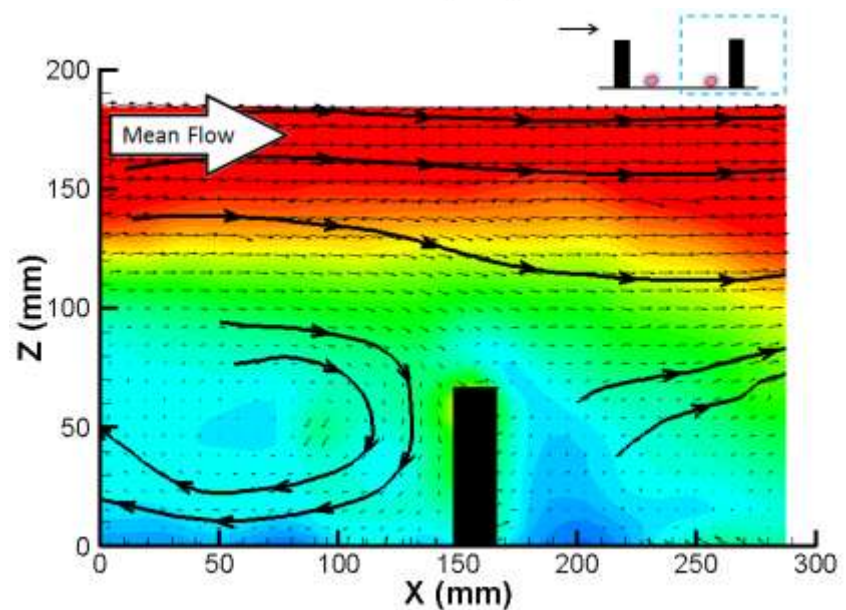
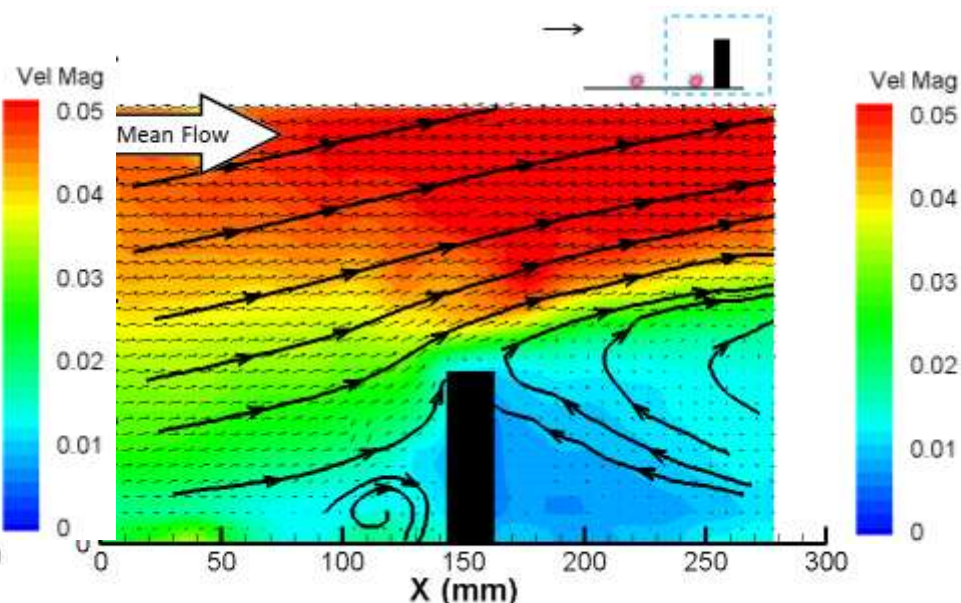
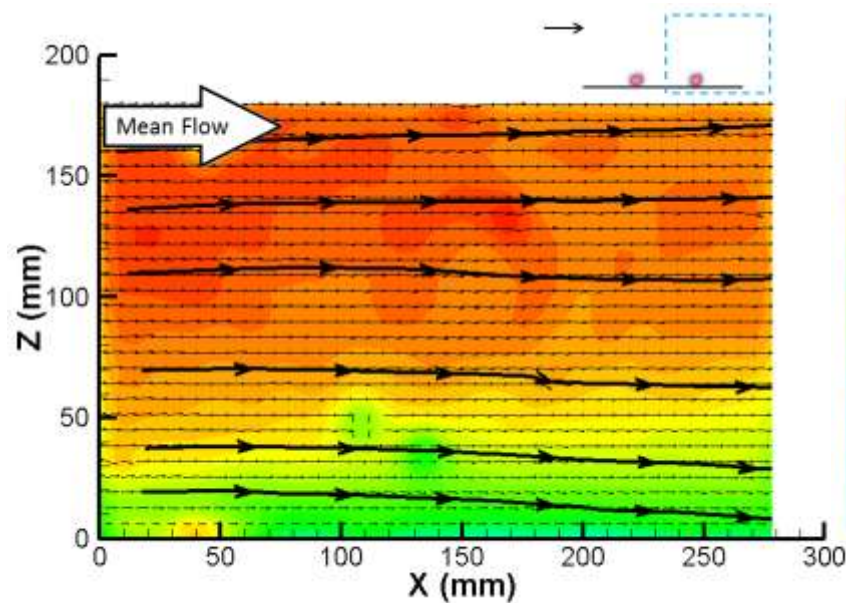




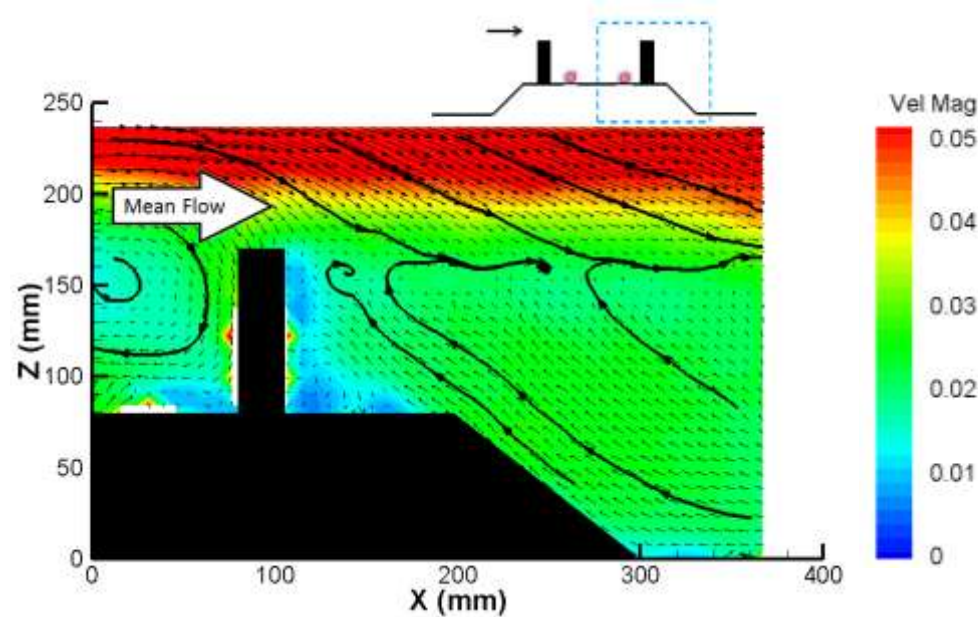
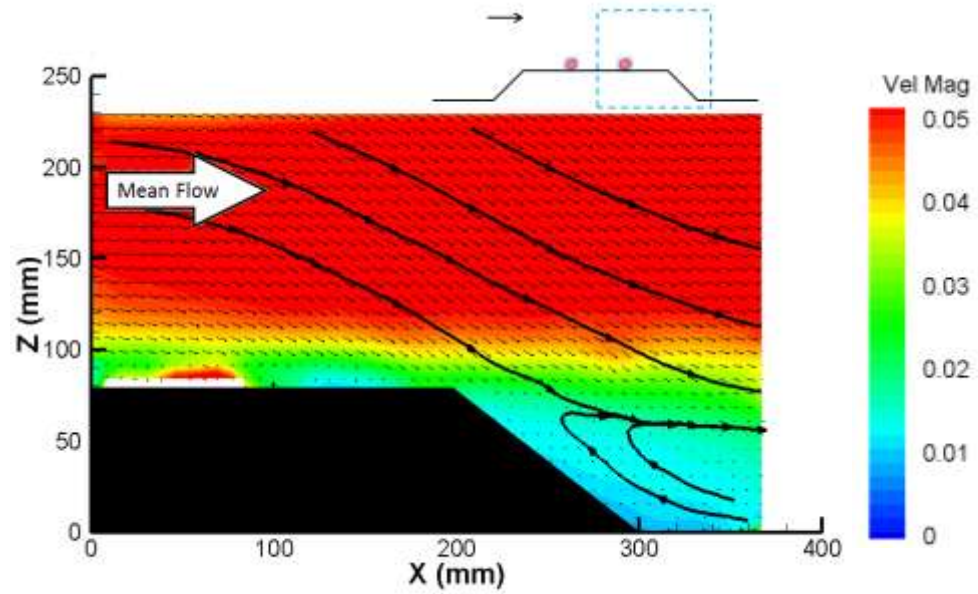
# Experimental Procedure



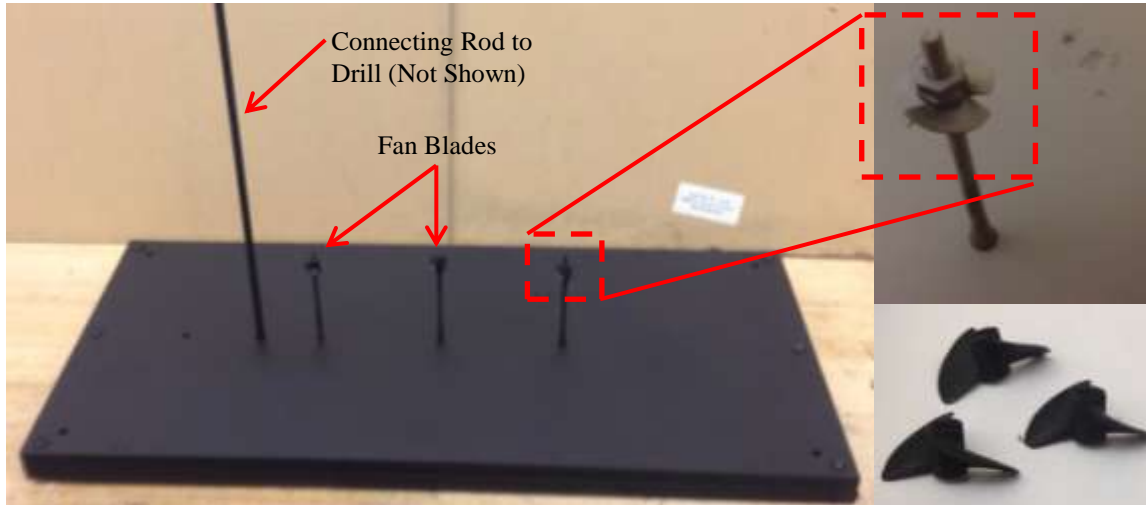
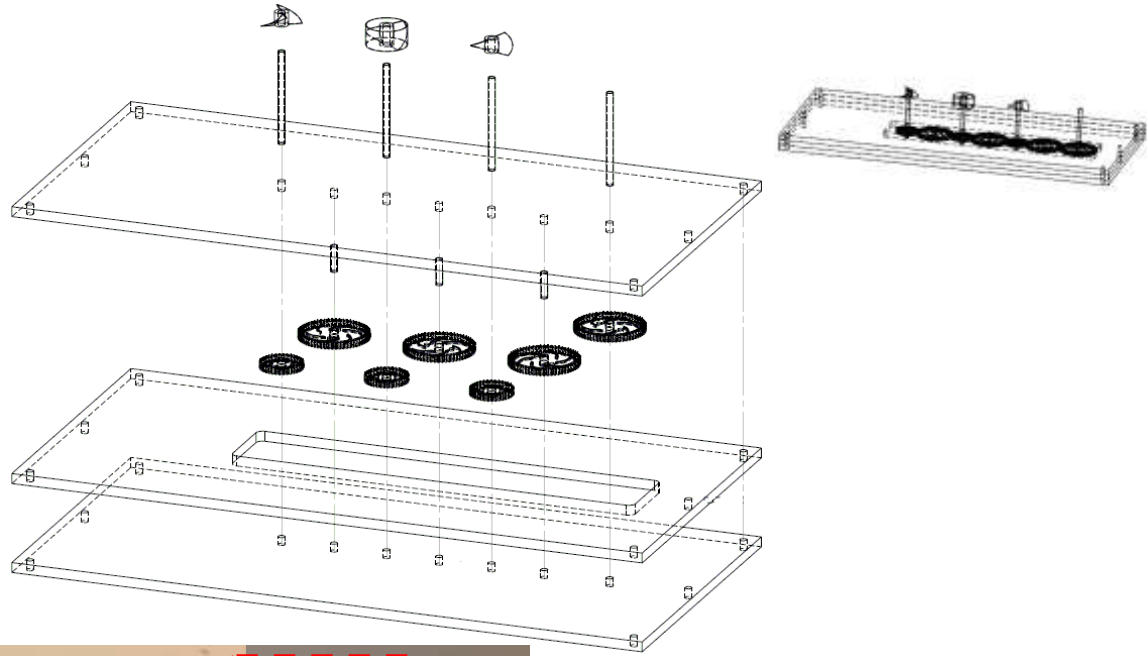
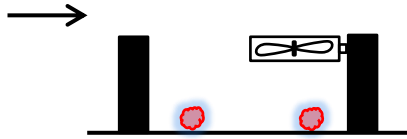
# Velocity (PIV) Results



# Velocity (PIV) Results



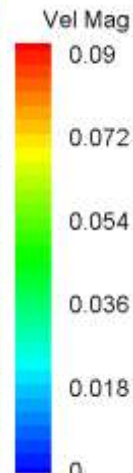
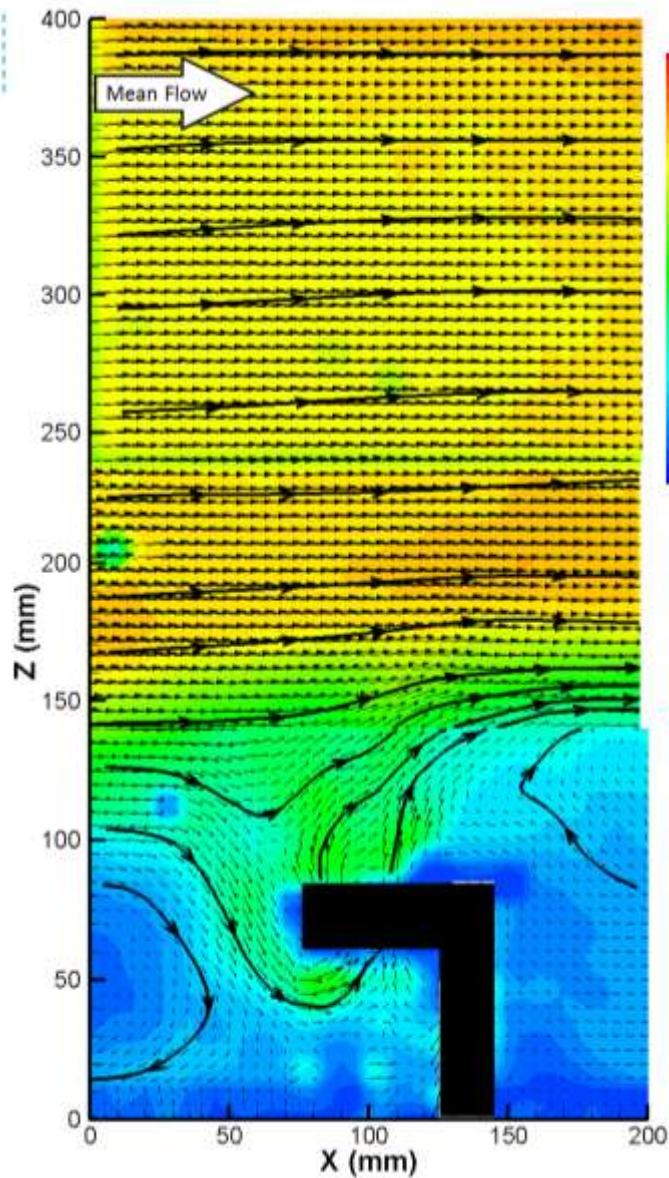
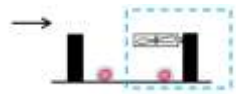
# Active Ventilation Setup



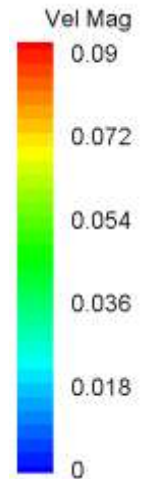
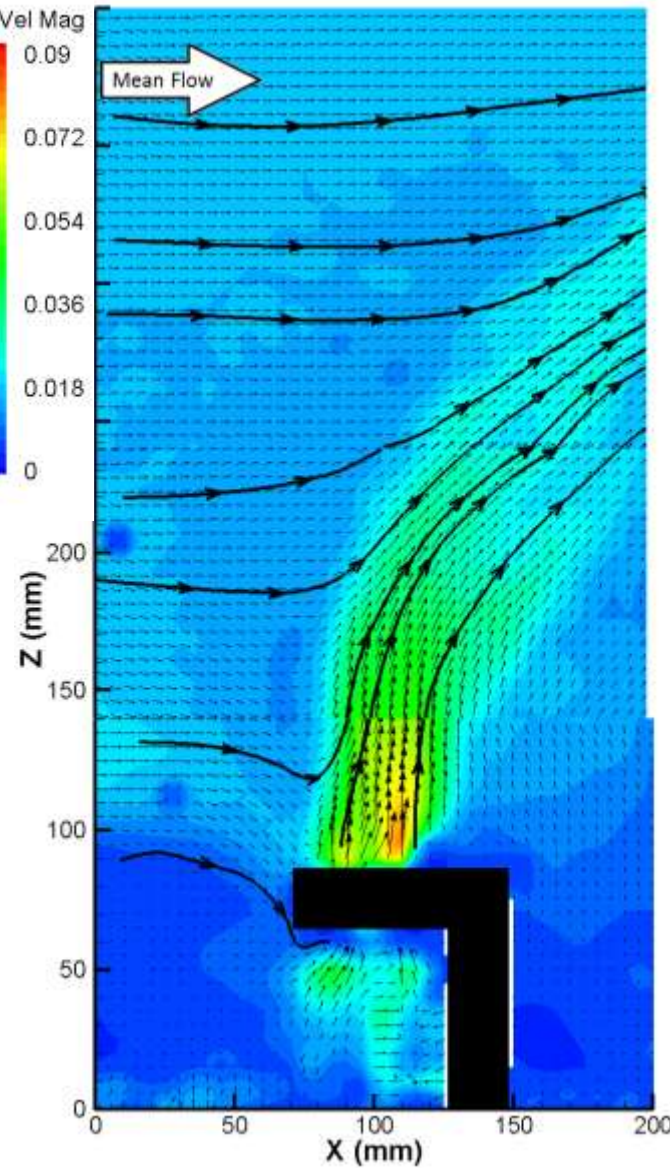
# Velocity (PIV) Results

High Wind Speed

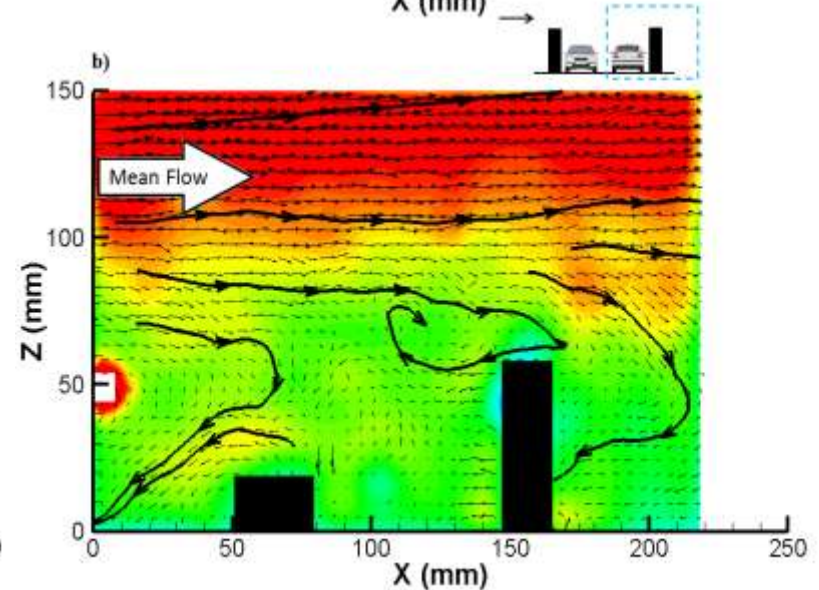
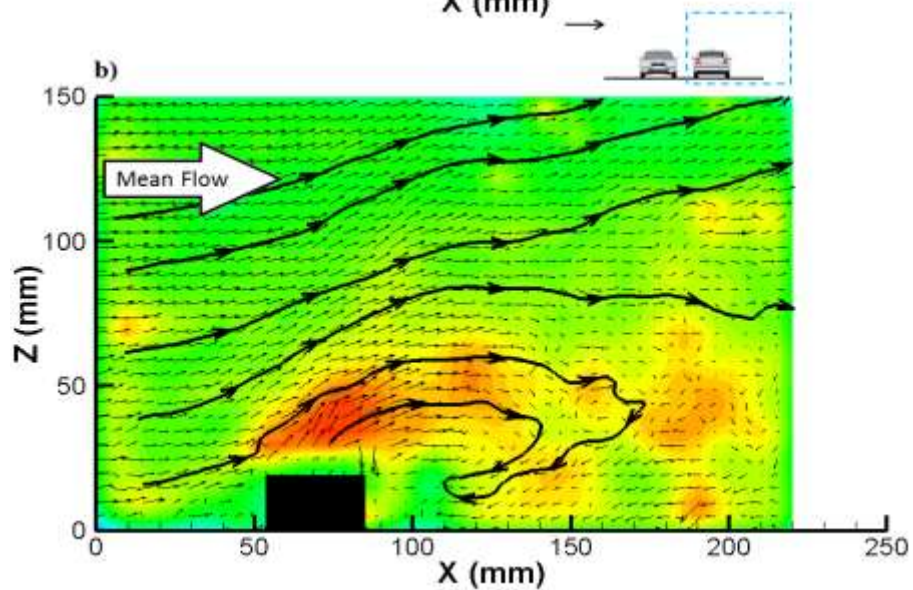
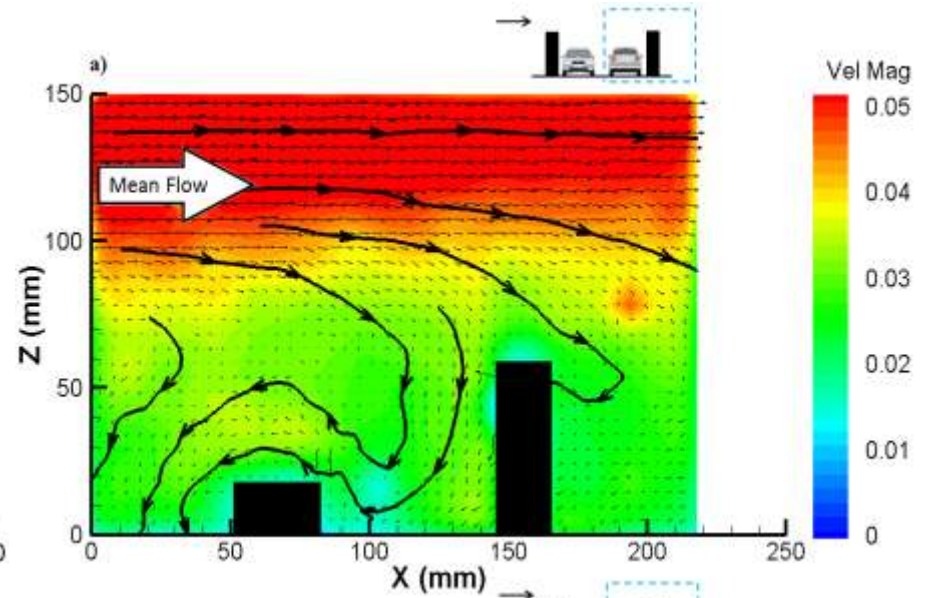
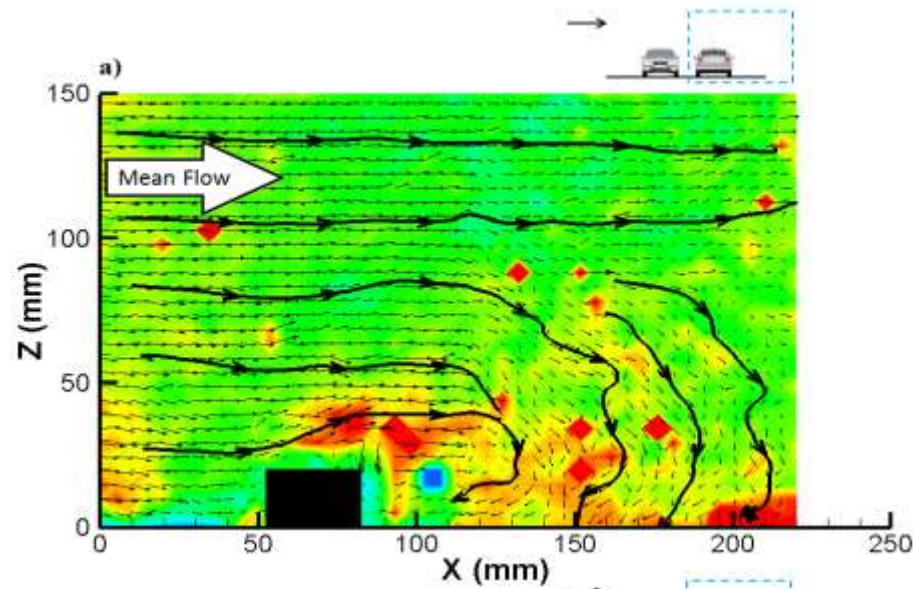
Low Wind Speed



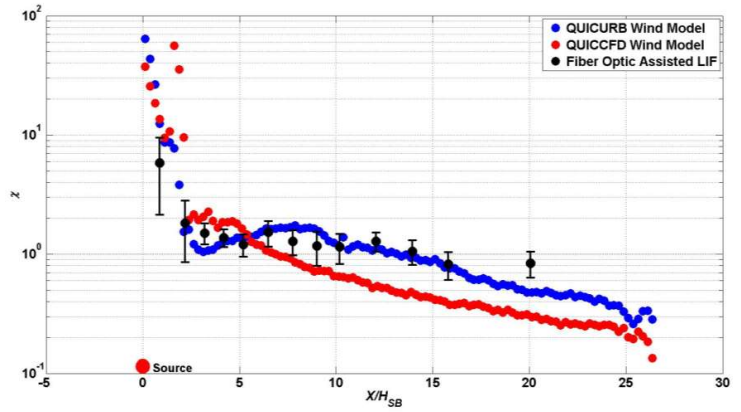
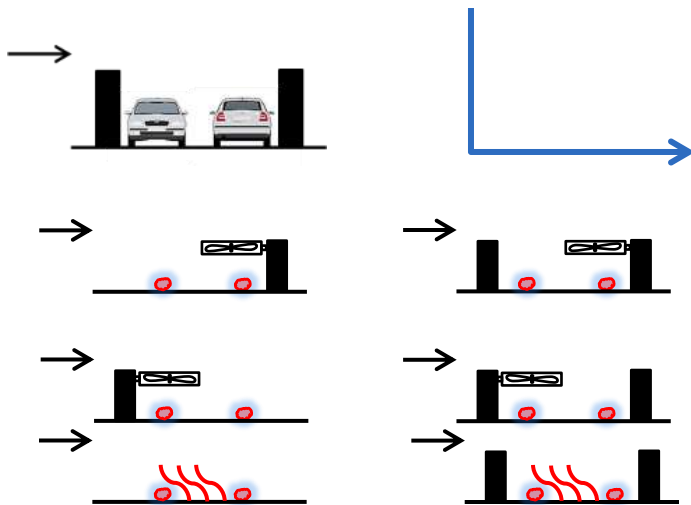
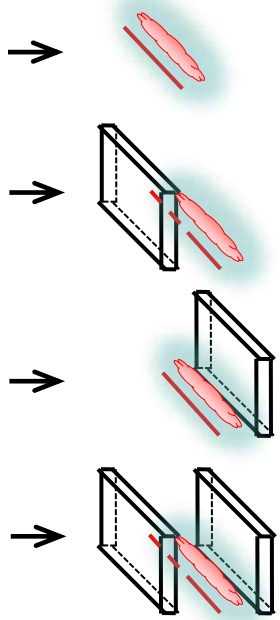
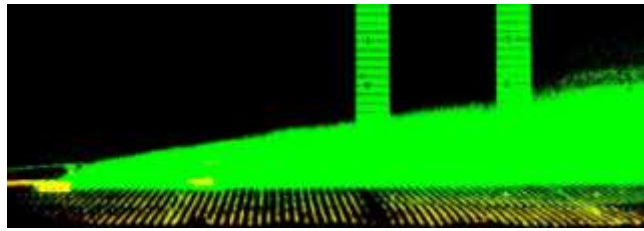
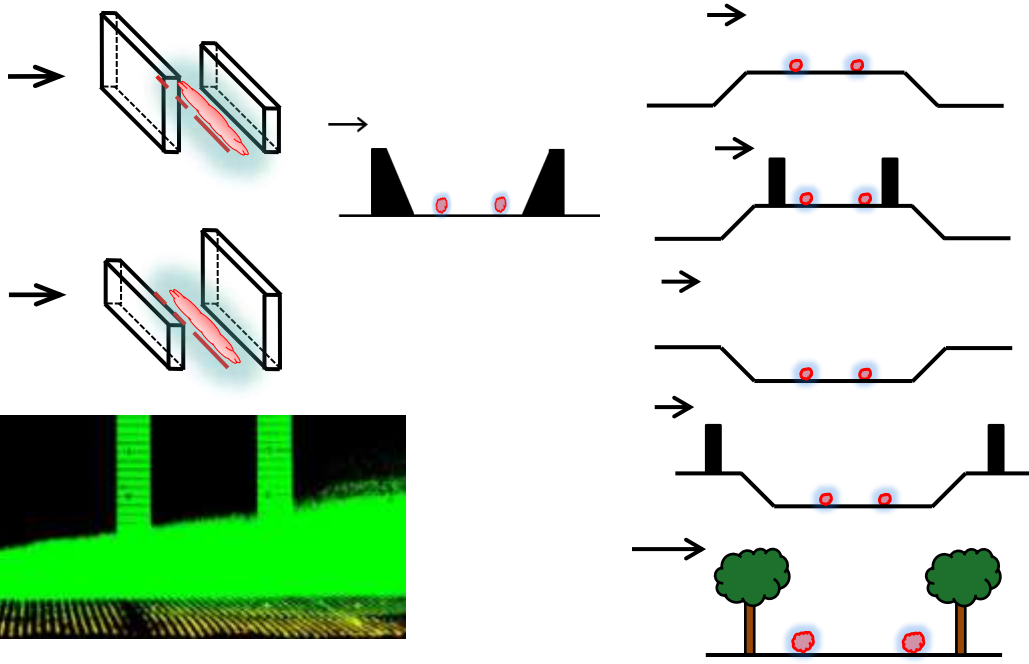
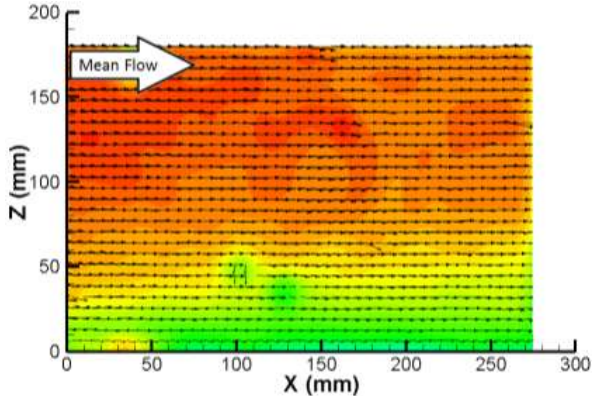
Low Wind Speed



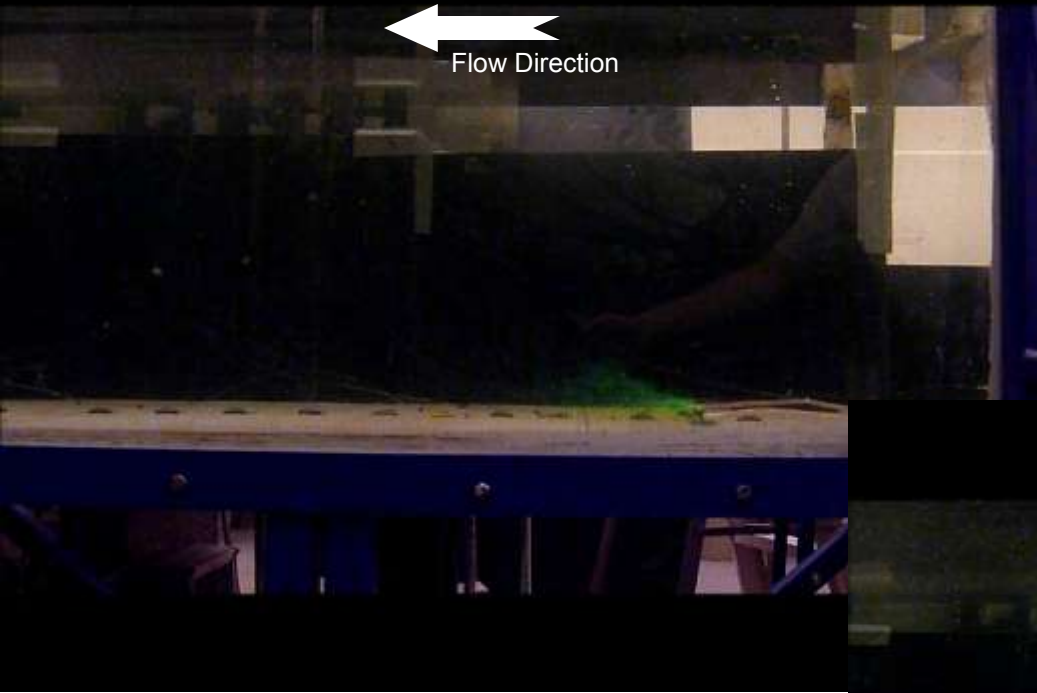
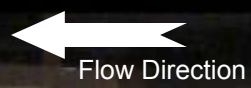
# Traffic Induced Turbulence



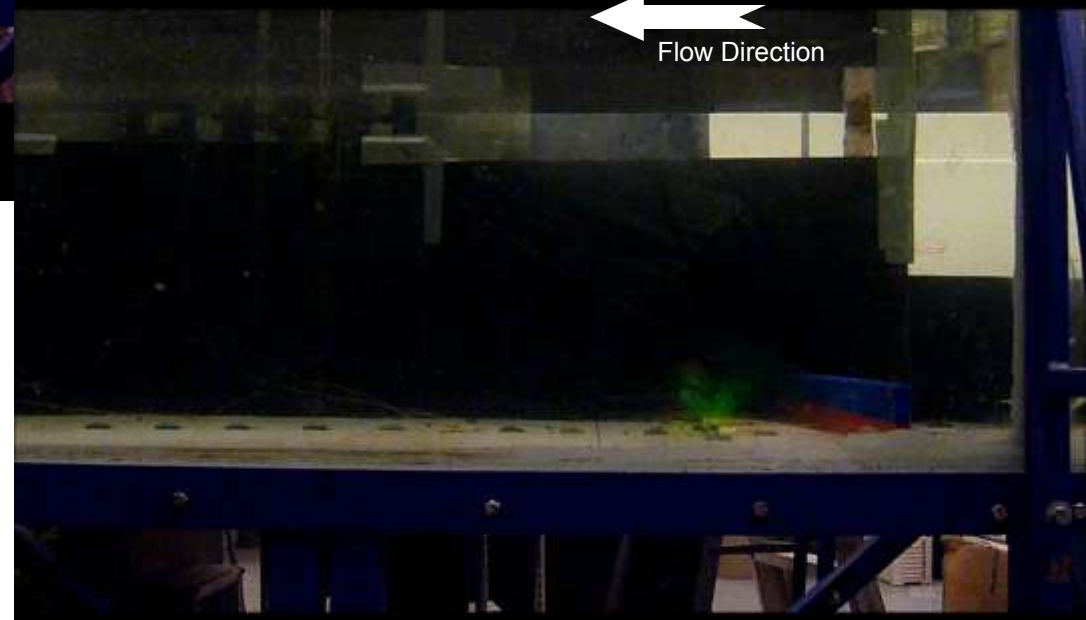
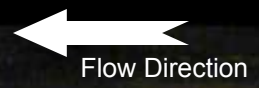
# Experimental Procedure



No Sound Barriers

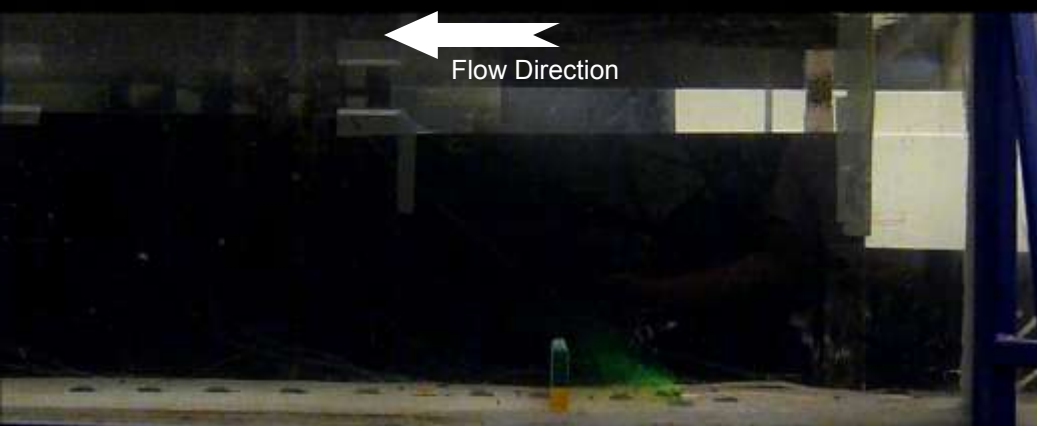


Upwind Sound Barrier

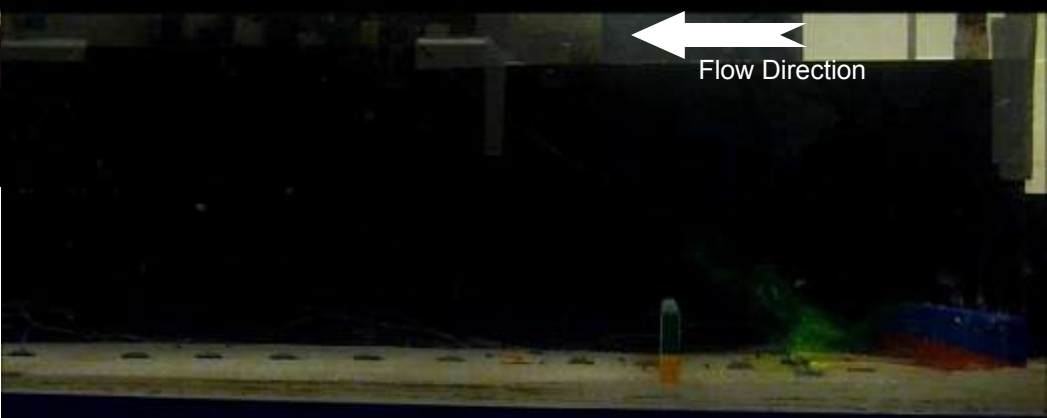




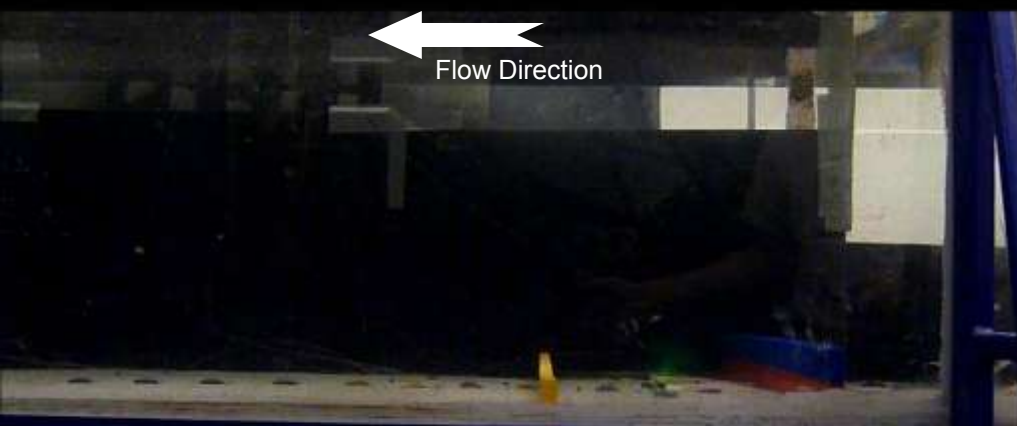
Downwind Sound Barrier



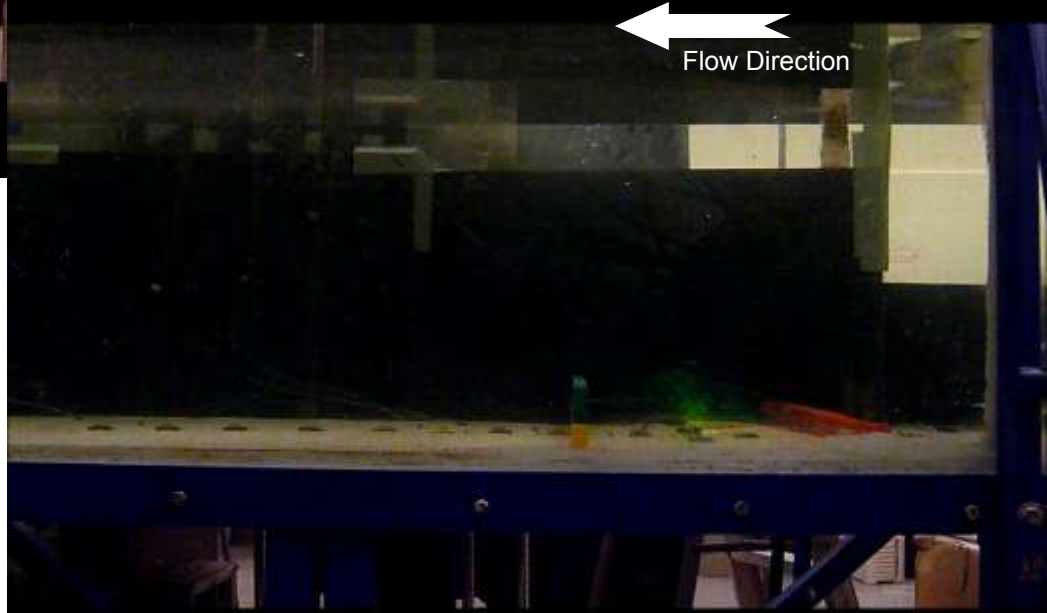
Upwind and Downwind Barriers



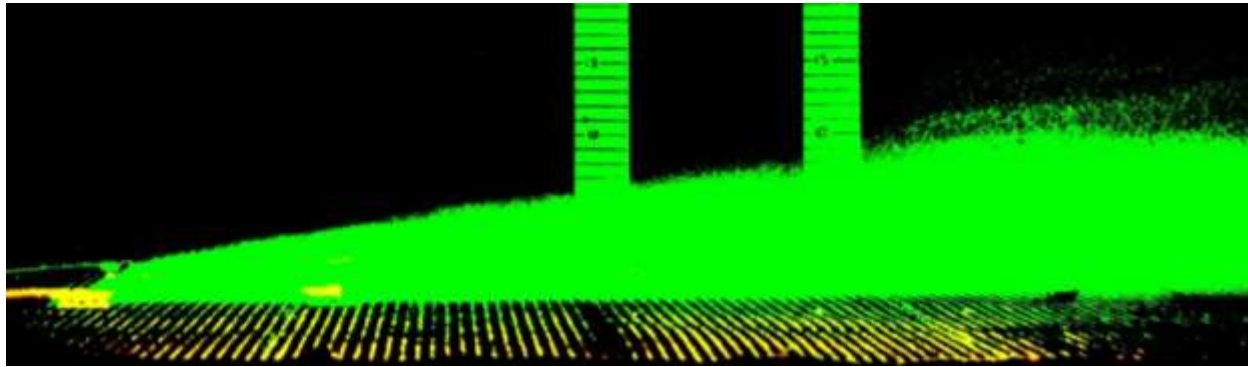
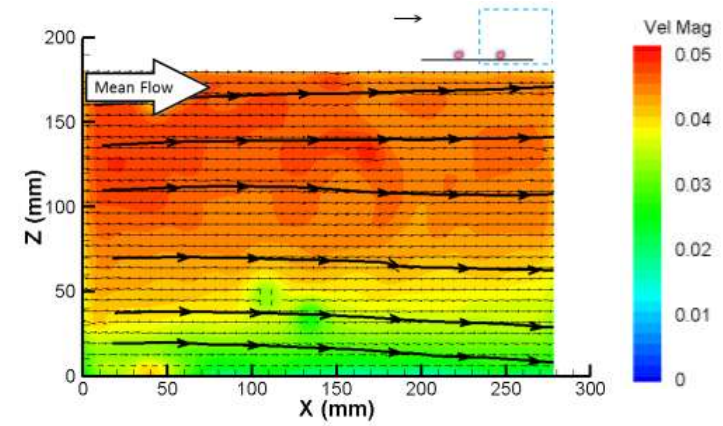
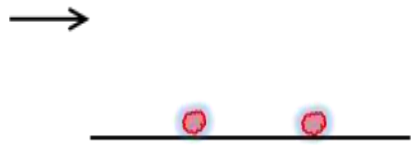
Tall Upwind Barrier



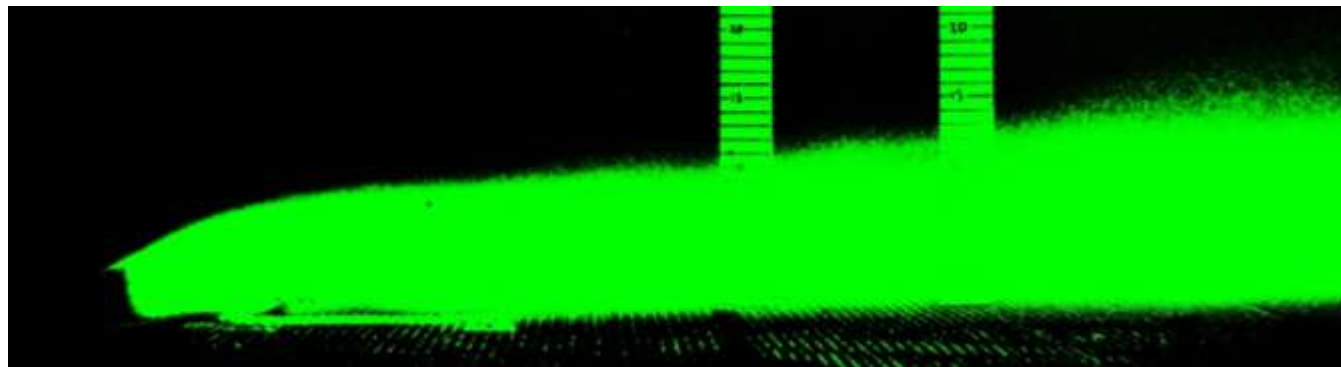
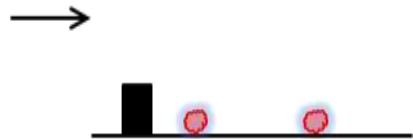
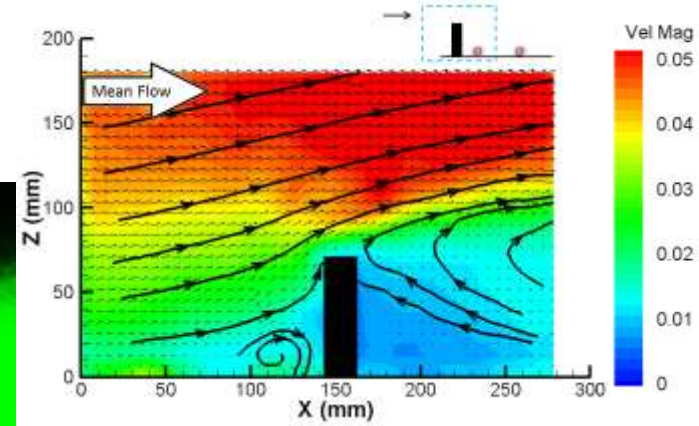
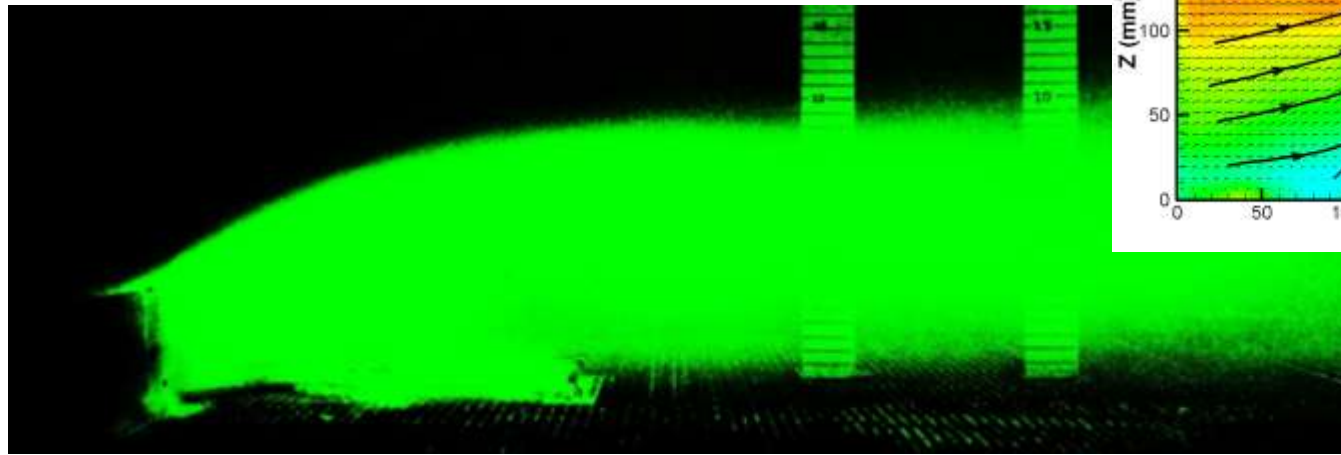
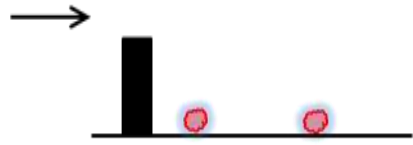
Tall Downwind Barrier



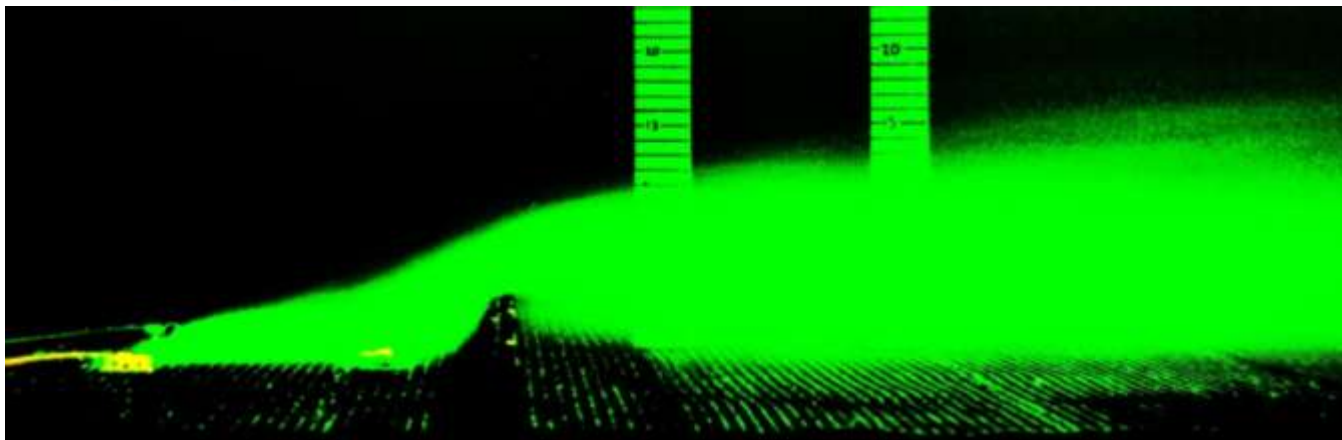
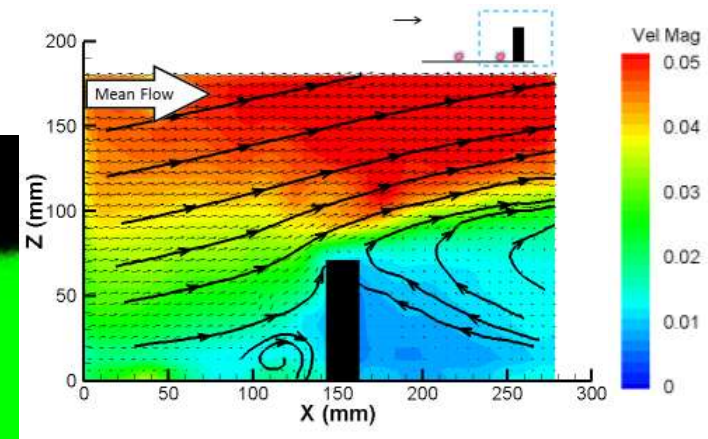
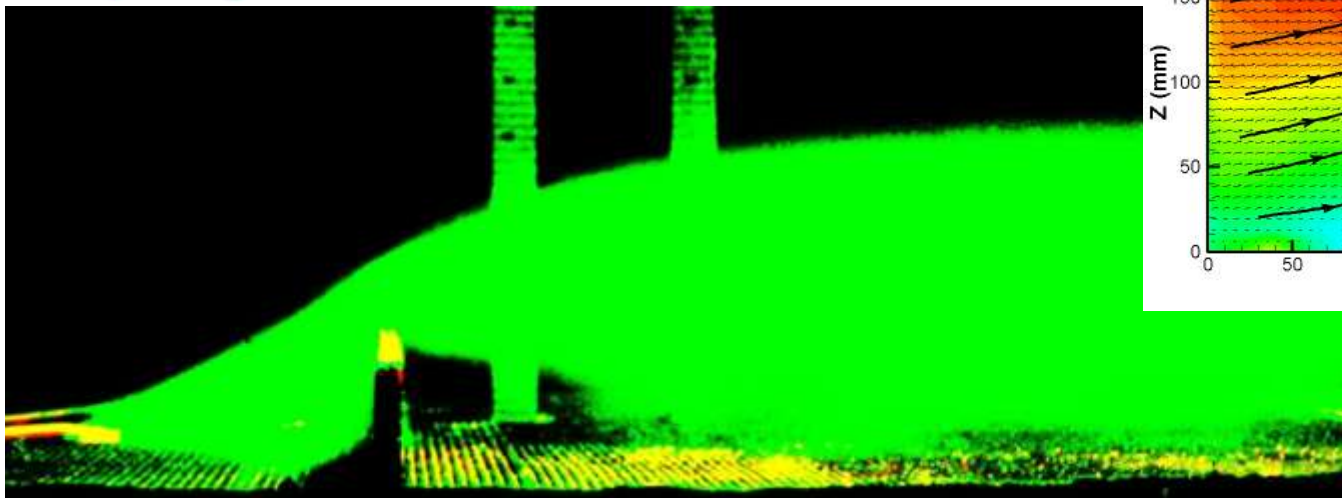
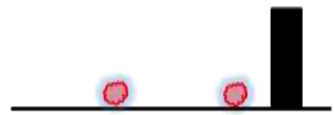
# Qualitative Results (long exposure) UCR



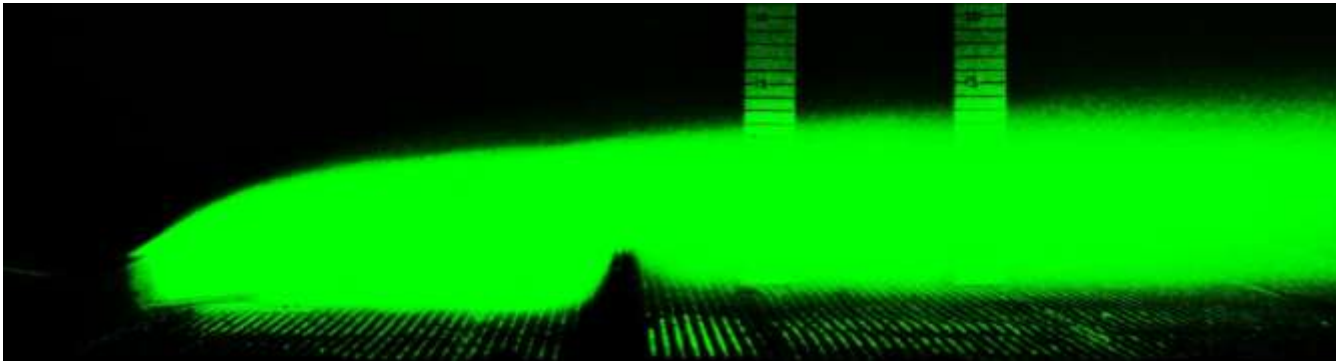
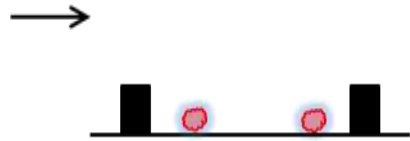
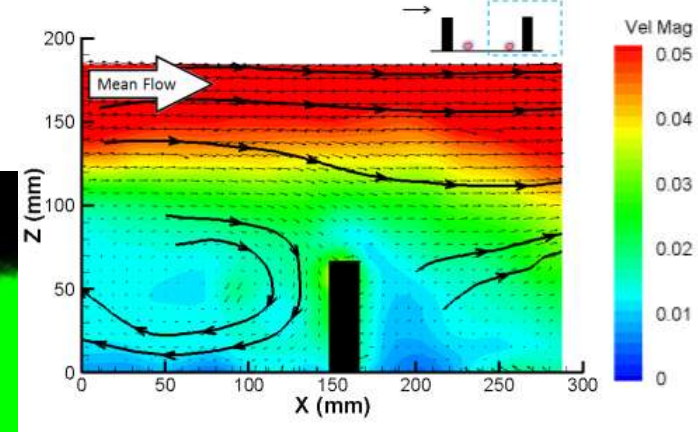
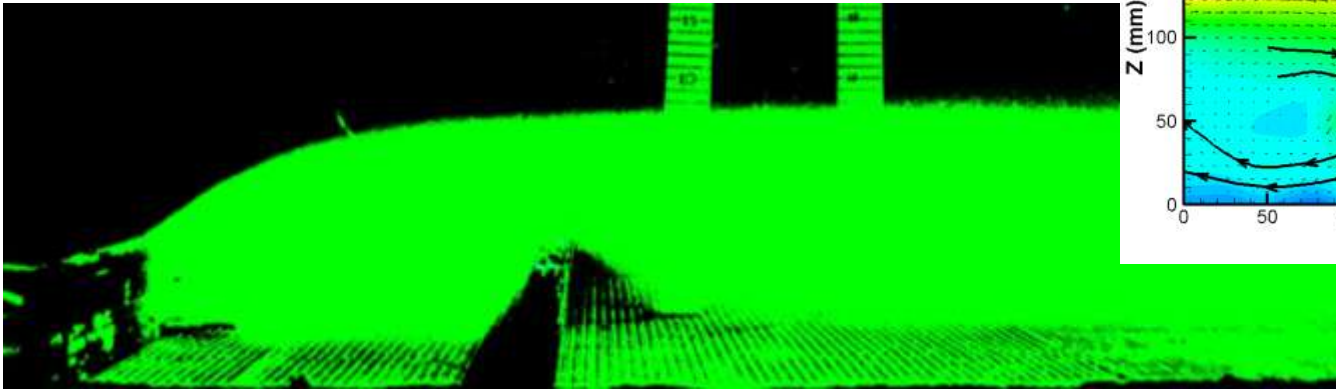
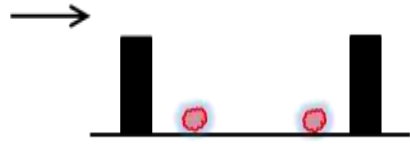
# Qualitative Results (long exposure) UCR



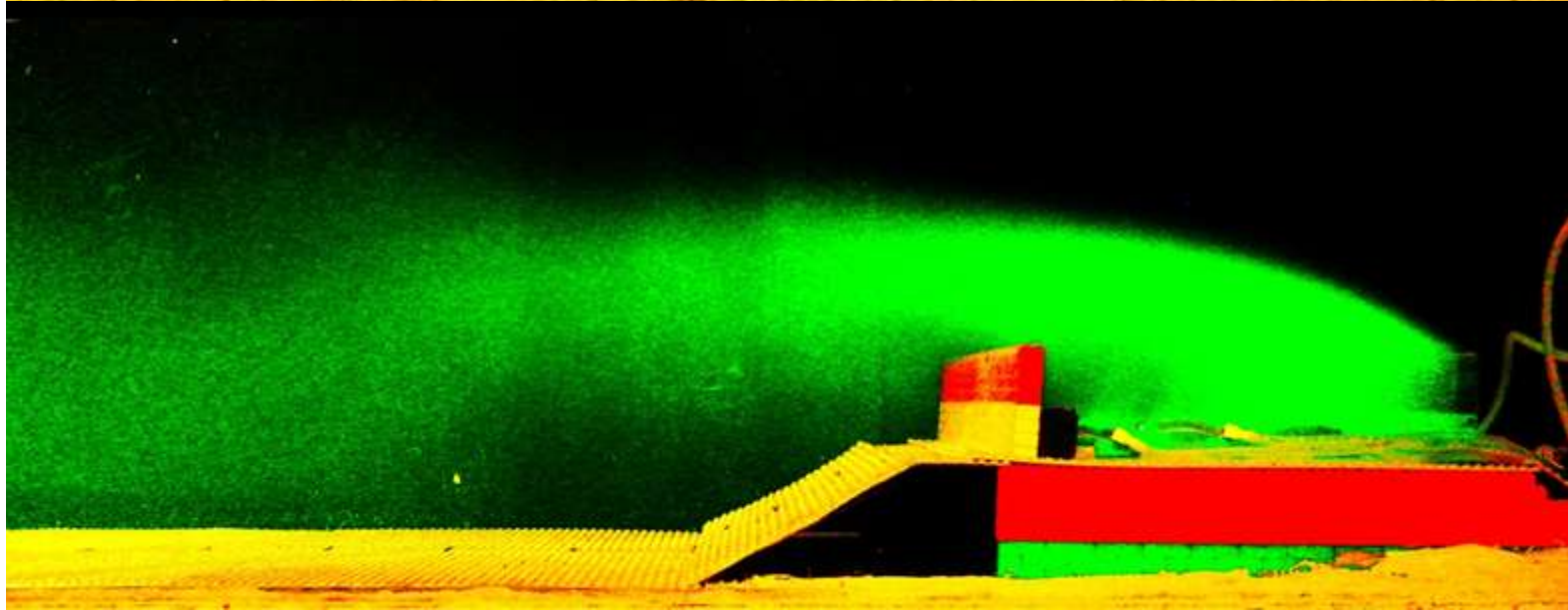
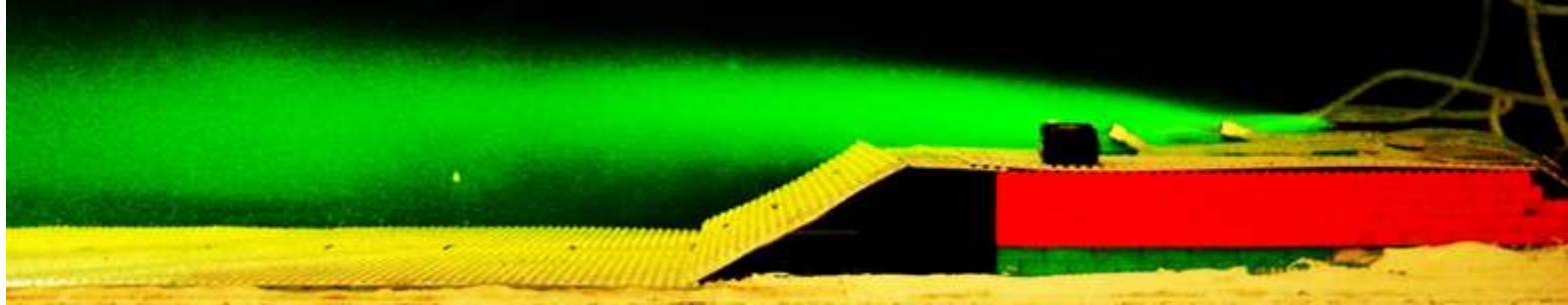
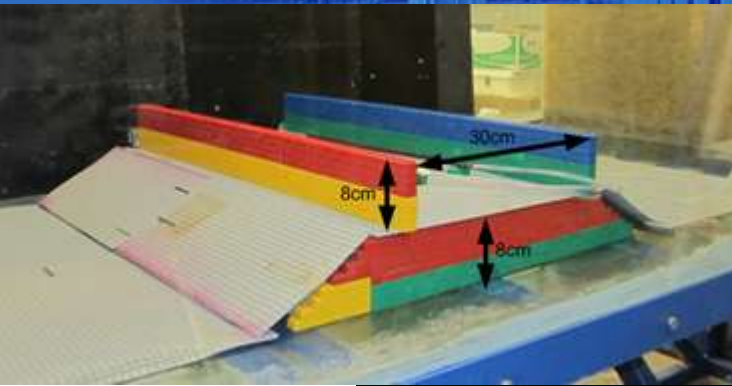
# Qualitative Results (long exposure) UCR



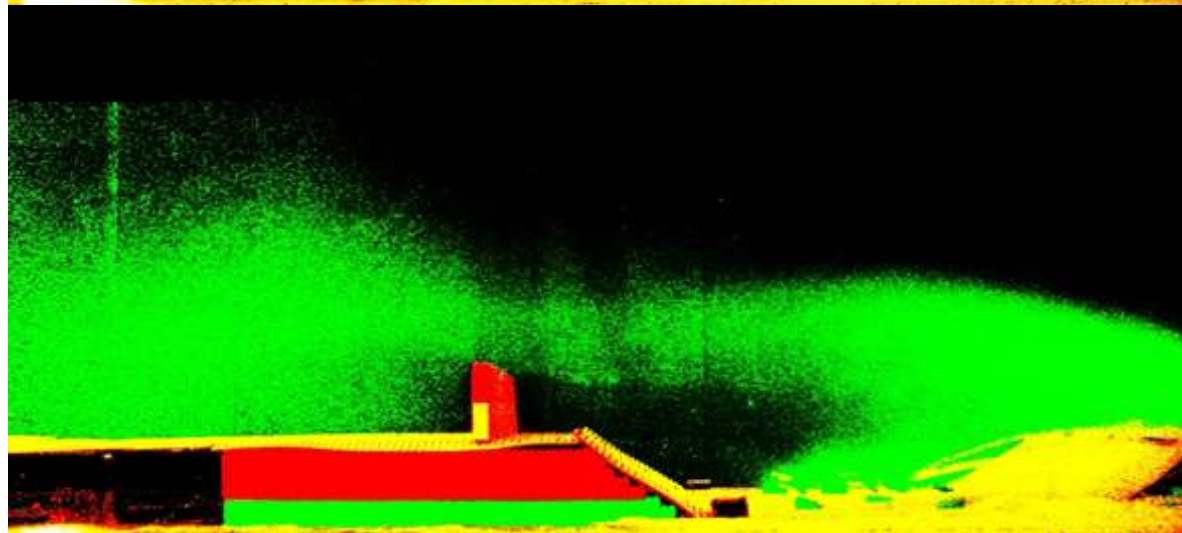
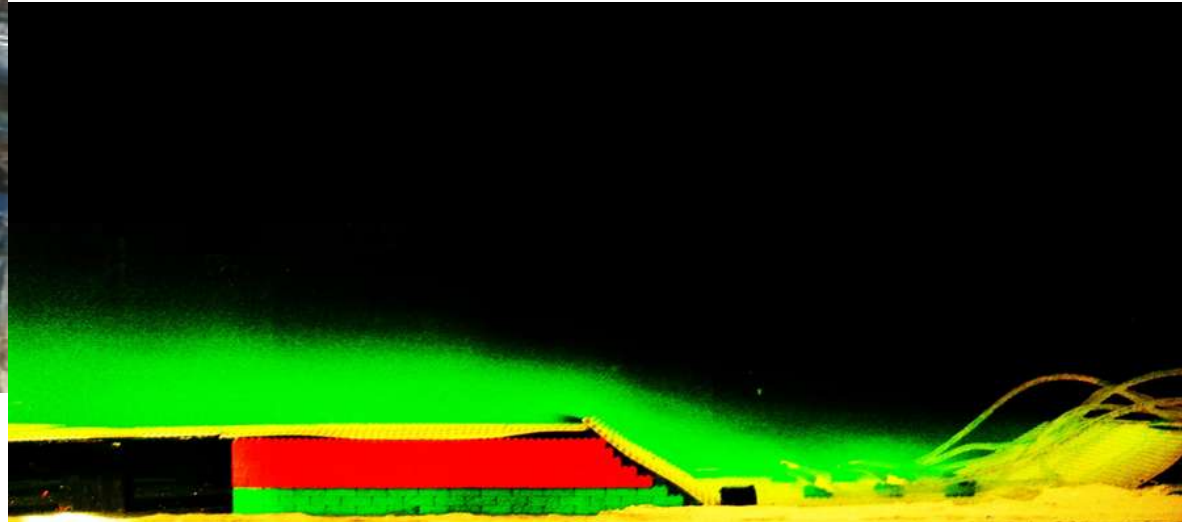
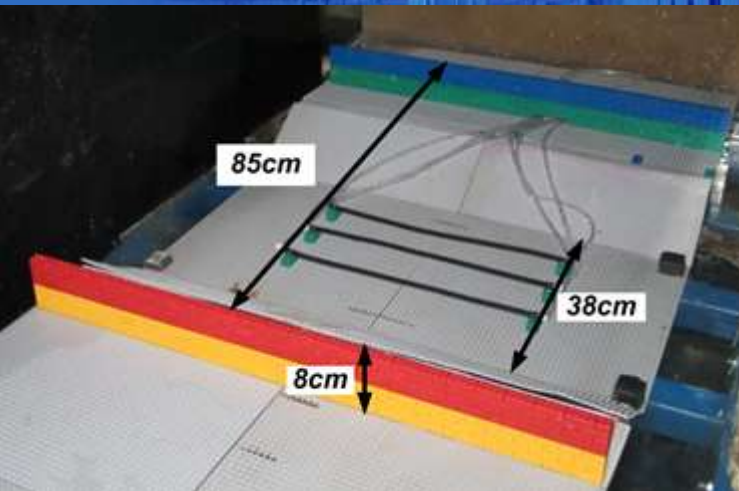
# Qualitative Results (Visualizations) UCR



# Qualitative Results (Visualizations) UCR

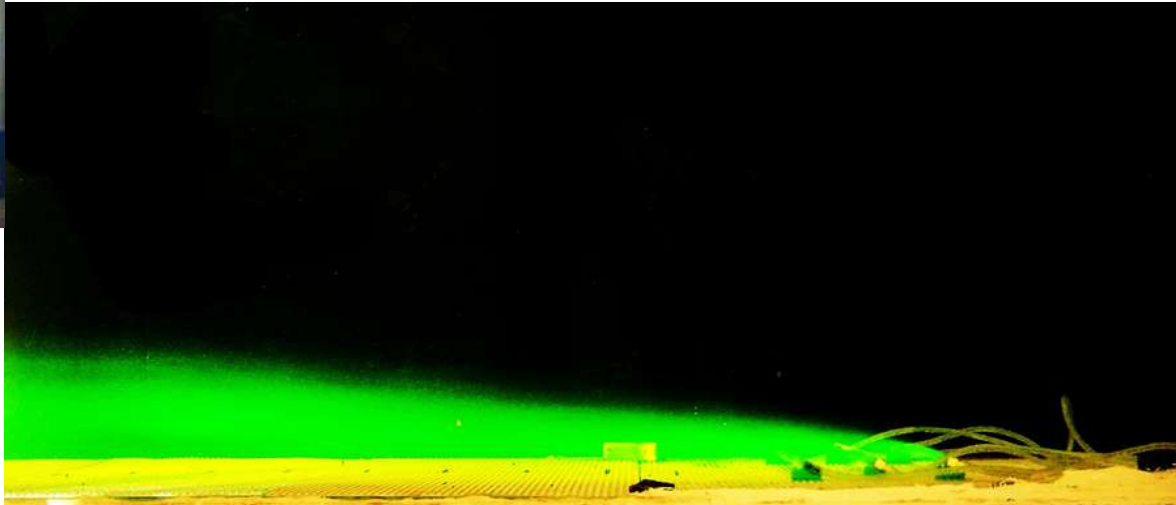


# Qualitative Results (Visualizations) UCR

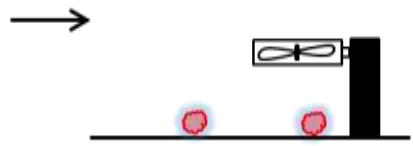




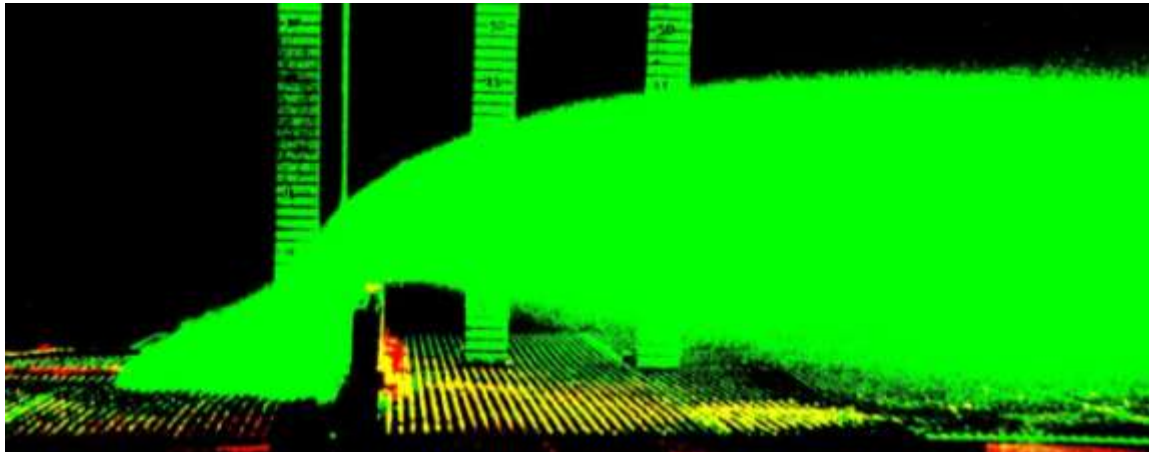
# Qualitative Results (Visualizations) UCR



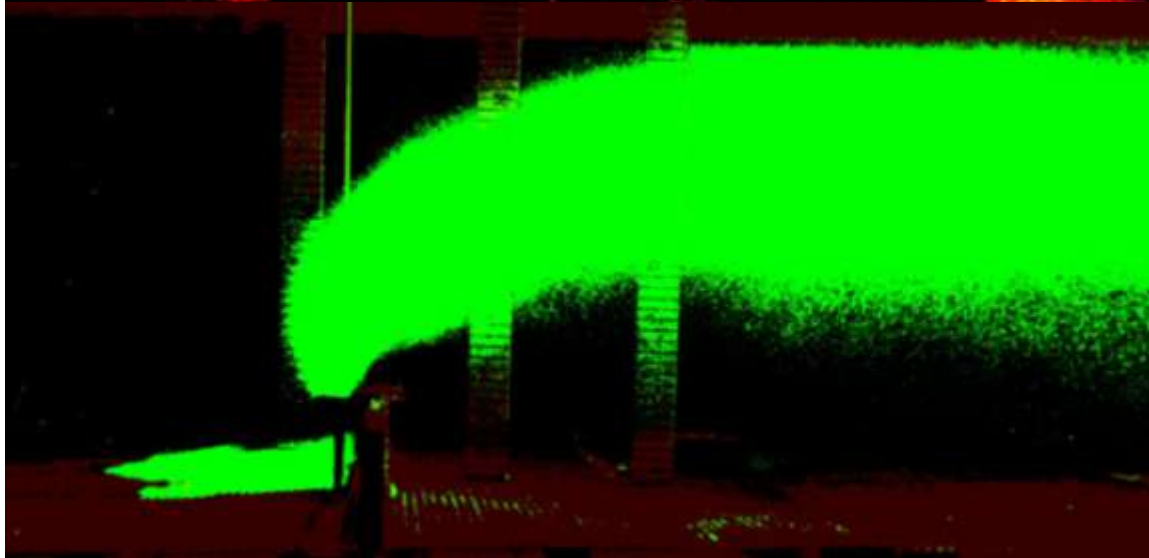
# Qualitative Results (long exposure) UCR



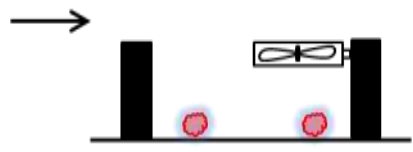
High Wind Speed



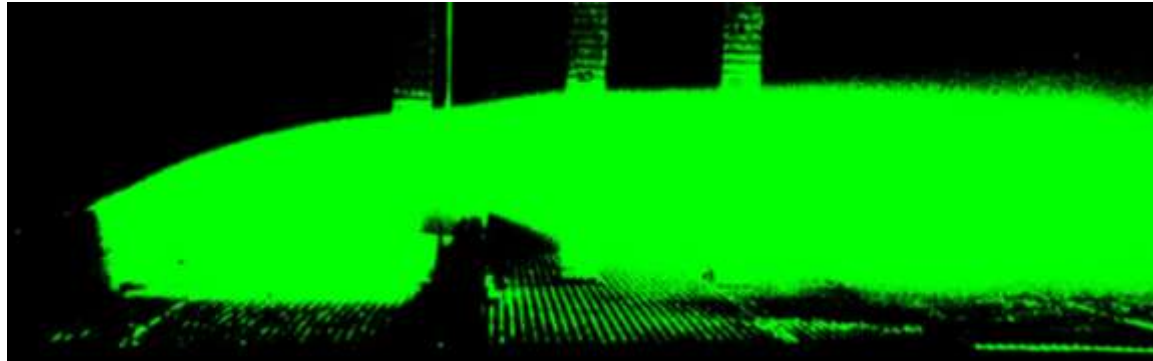
Low Wind Speed



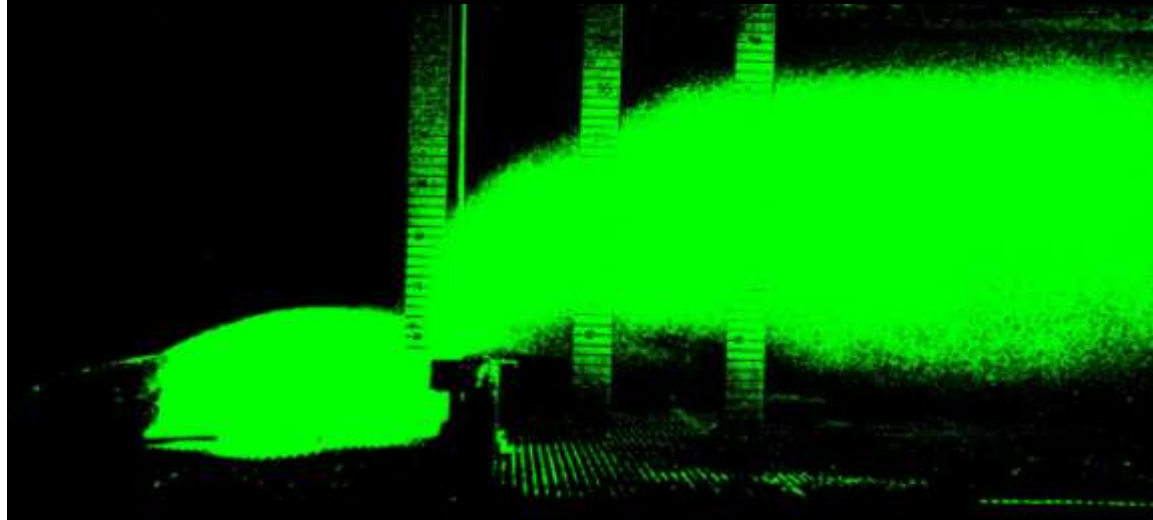
# Qualitative Results (long exposure) UCR



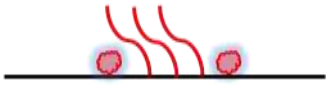
High Wind Speed



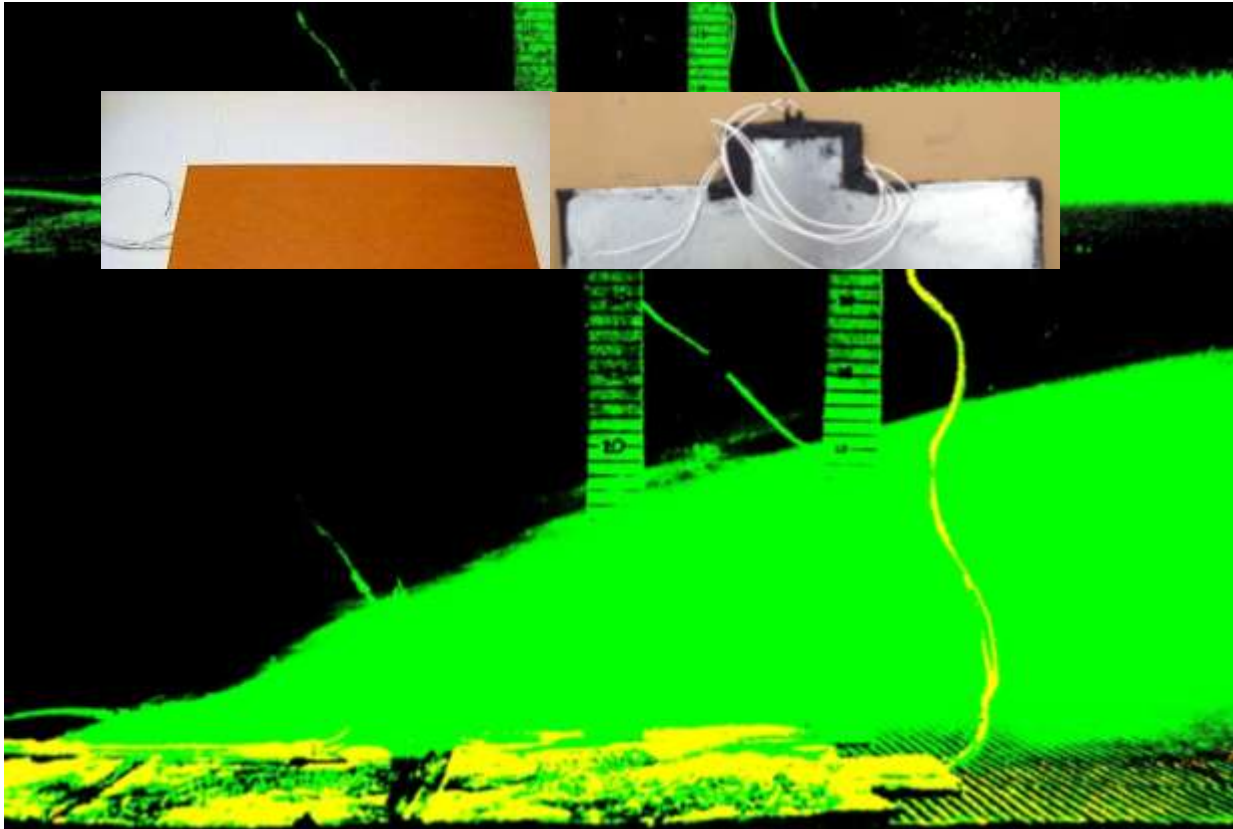
Low Wind Speed



# Qualitative Results (long exposure) UCR

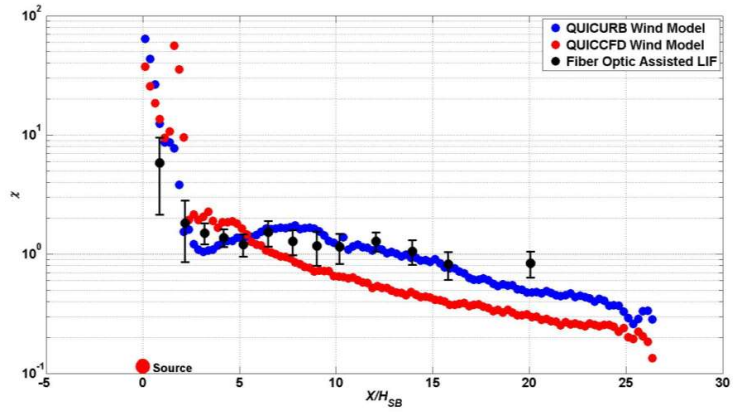
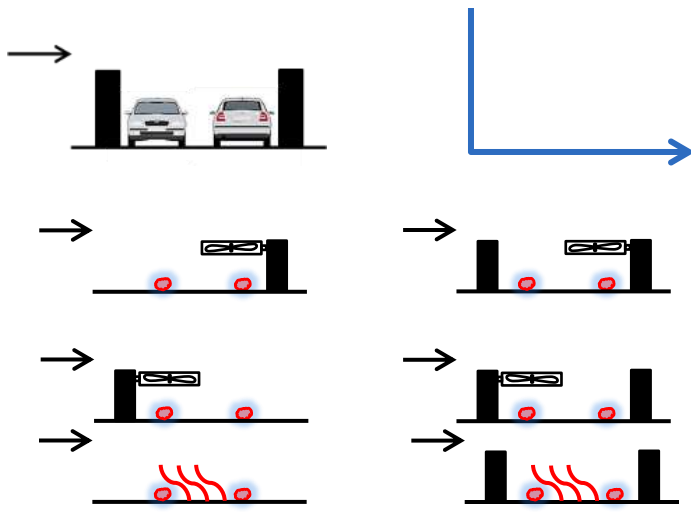
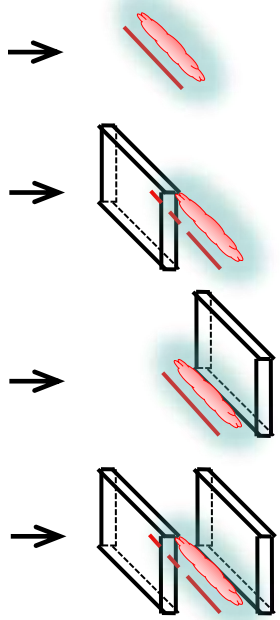
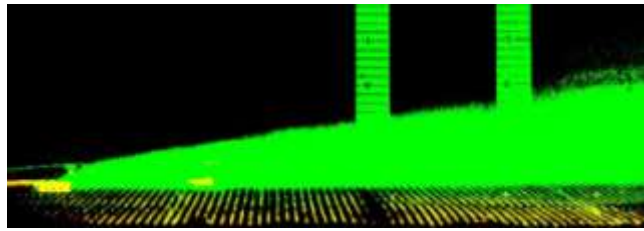
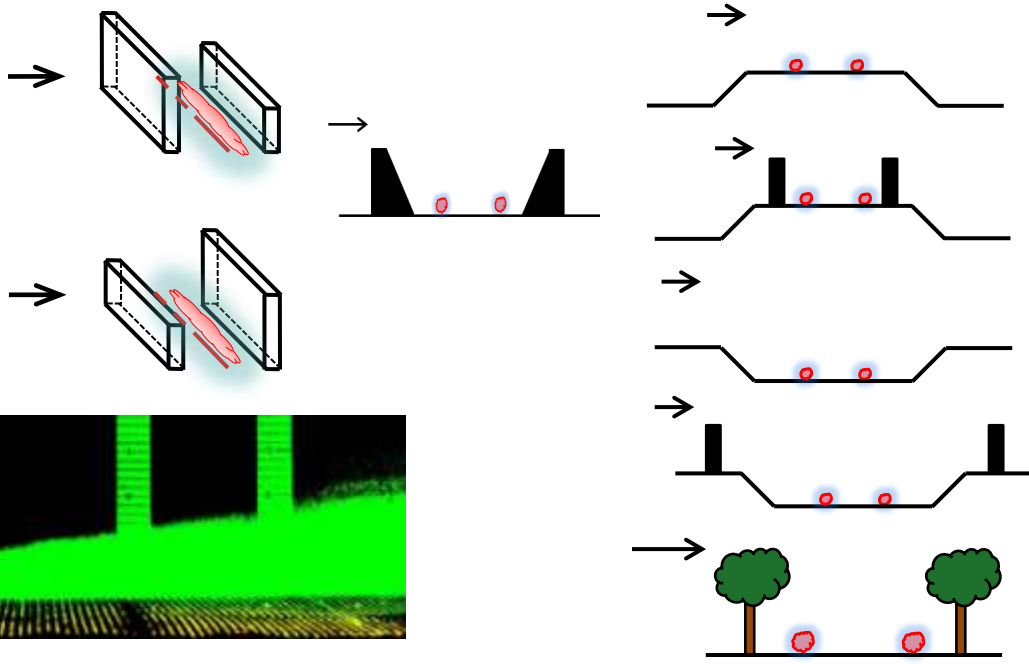
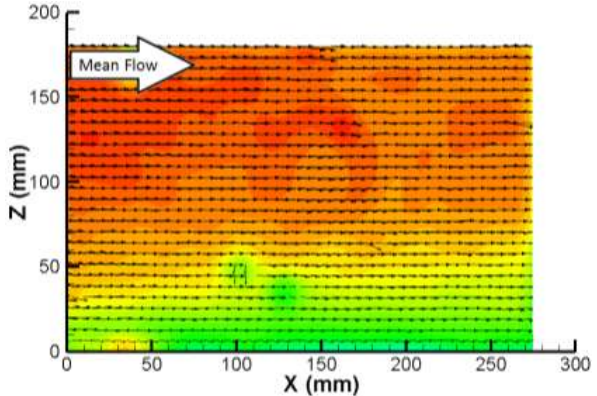


High Wind Speed

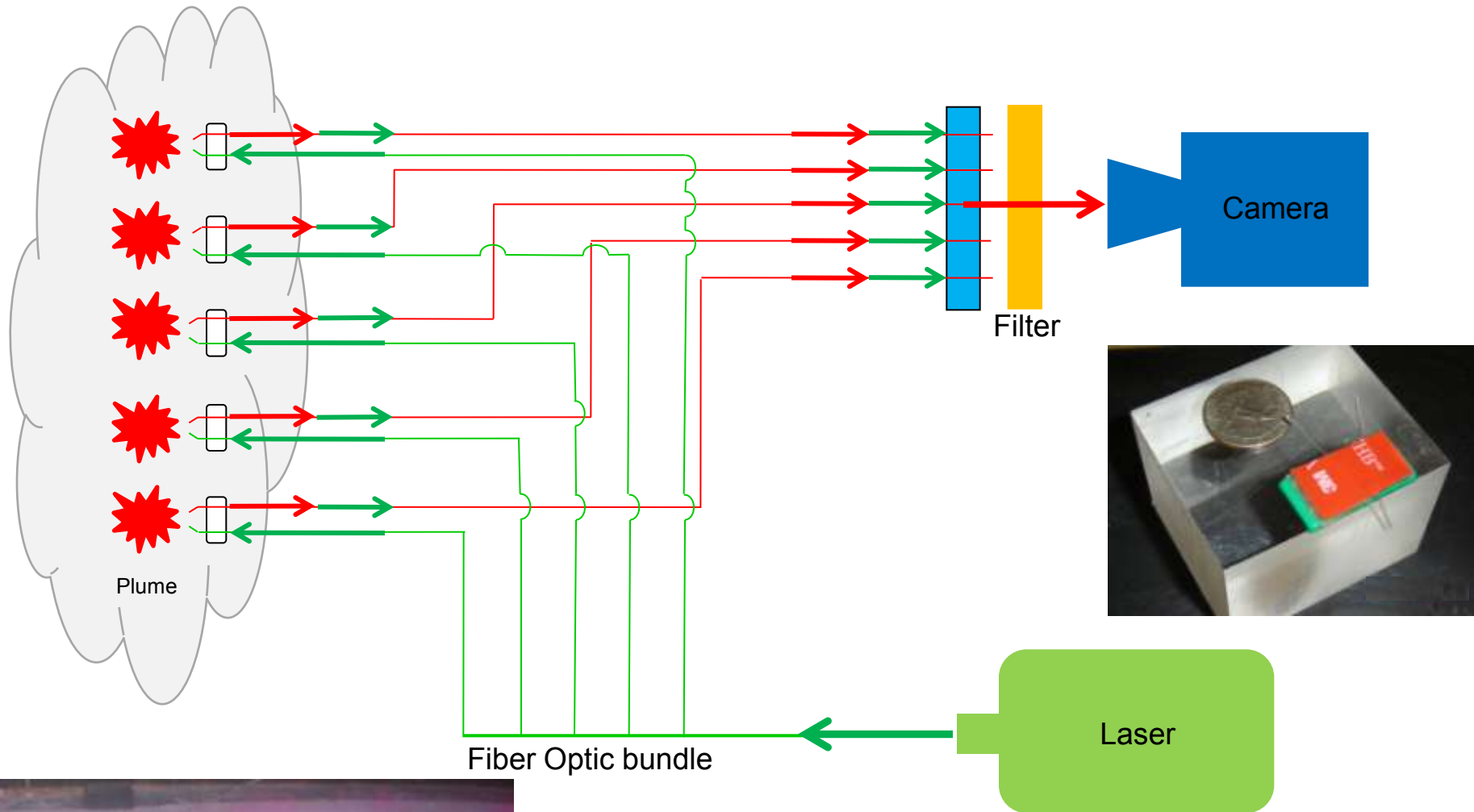


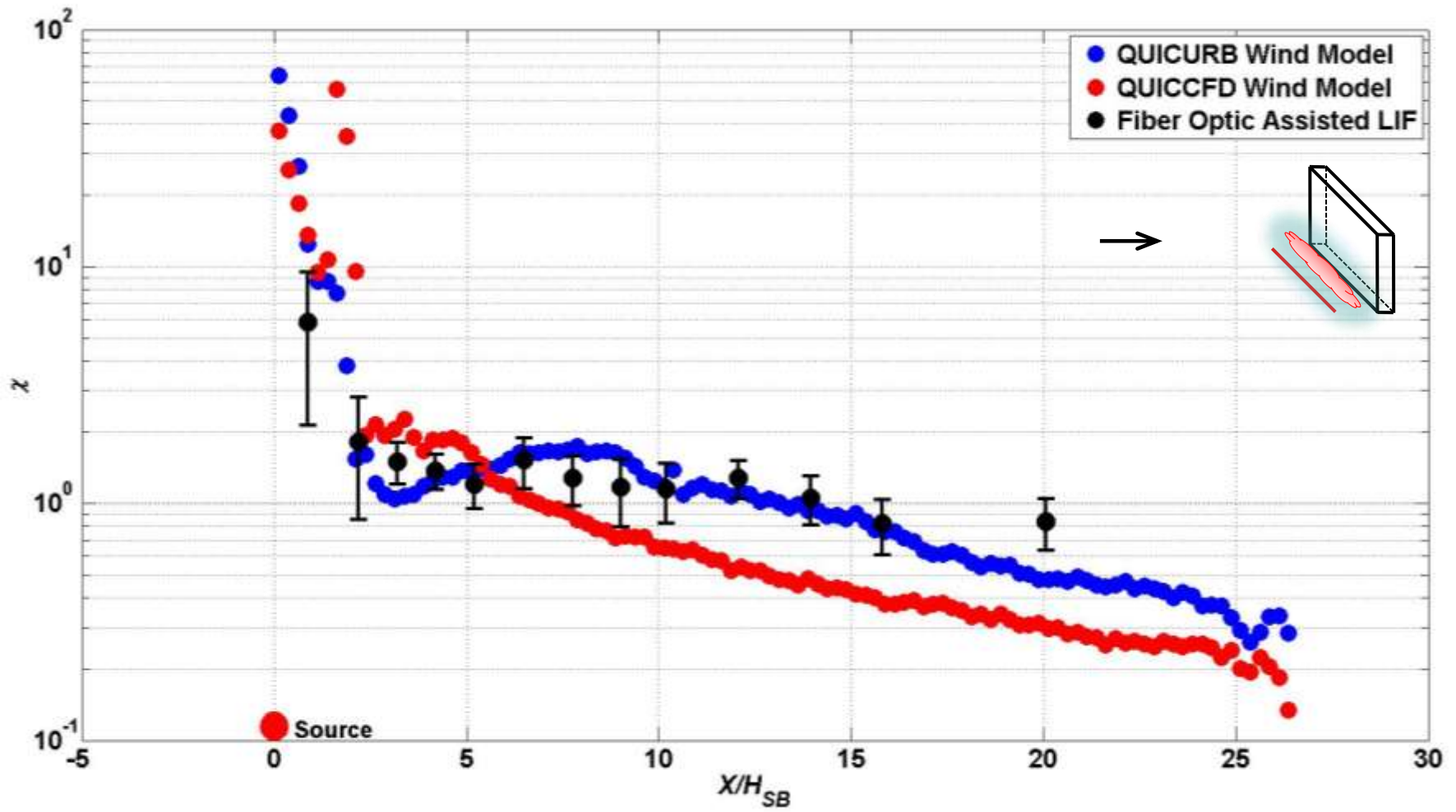
Low Wind Speed

# Experimental Procedure

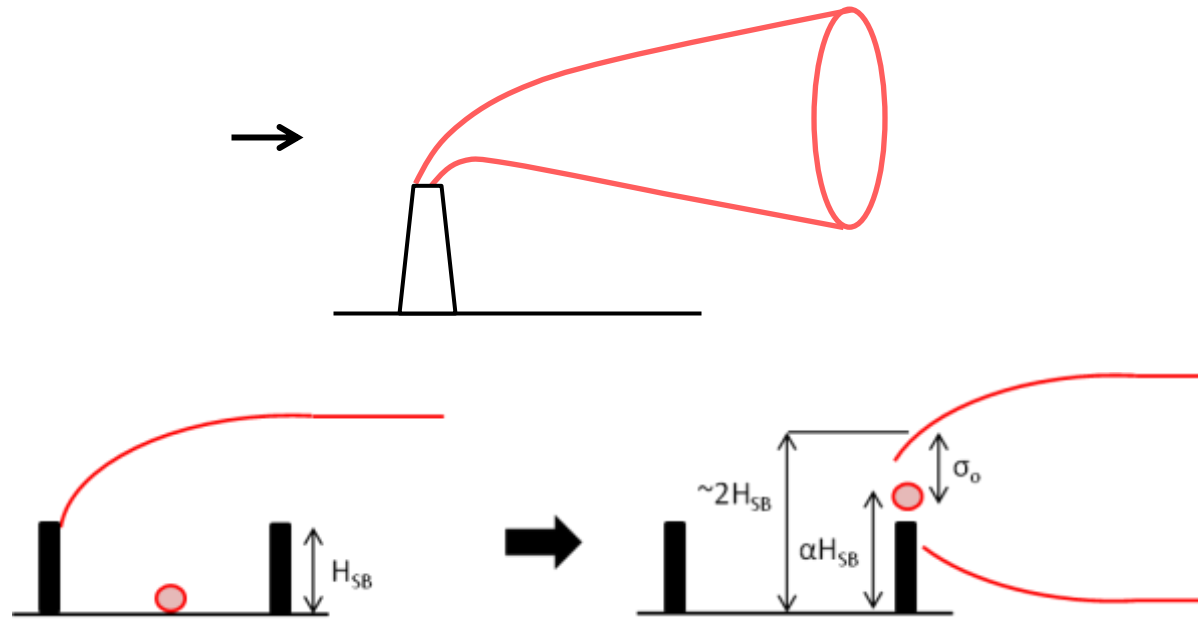


# Fiber Optic Assisted LIF



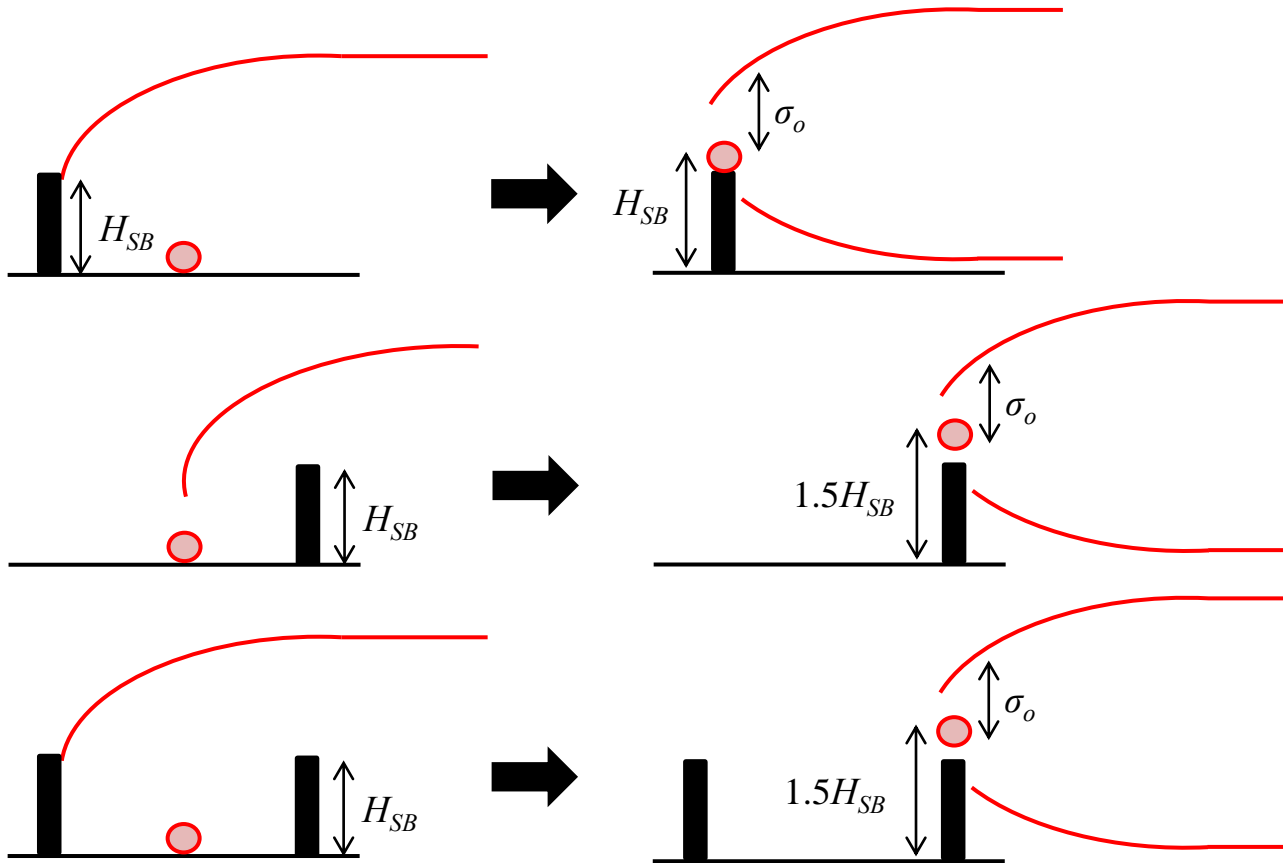


$$C(x, y, z) = \frac{Q}{2\pi U \sigma_y \sigma_z} \exp\left(-\frac{y^2}{2\sigma_y^2}\right) \left[ \exp\left(-\frac{(z - h_e)^2}{2\sigma_z^2}\right) + \exp\left(-\frac{(z + h_e)^2}{2\sigma_z^2}\right) \right]$$

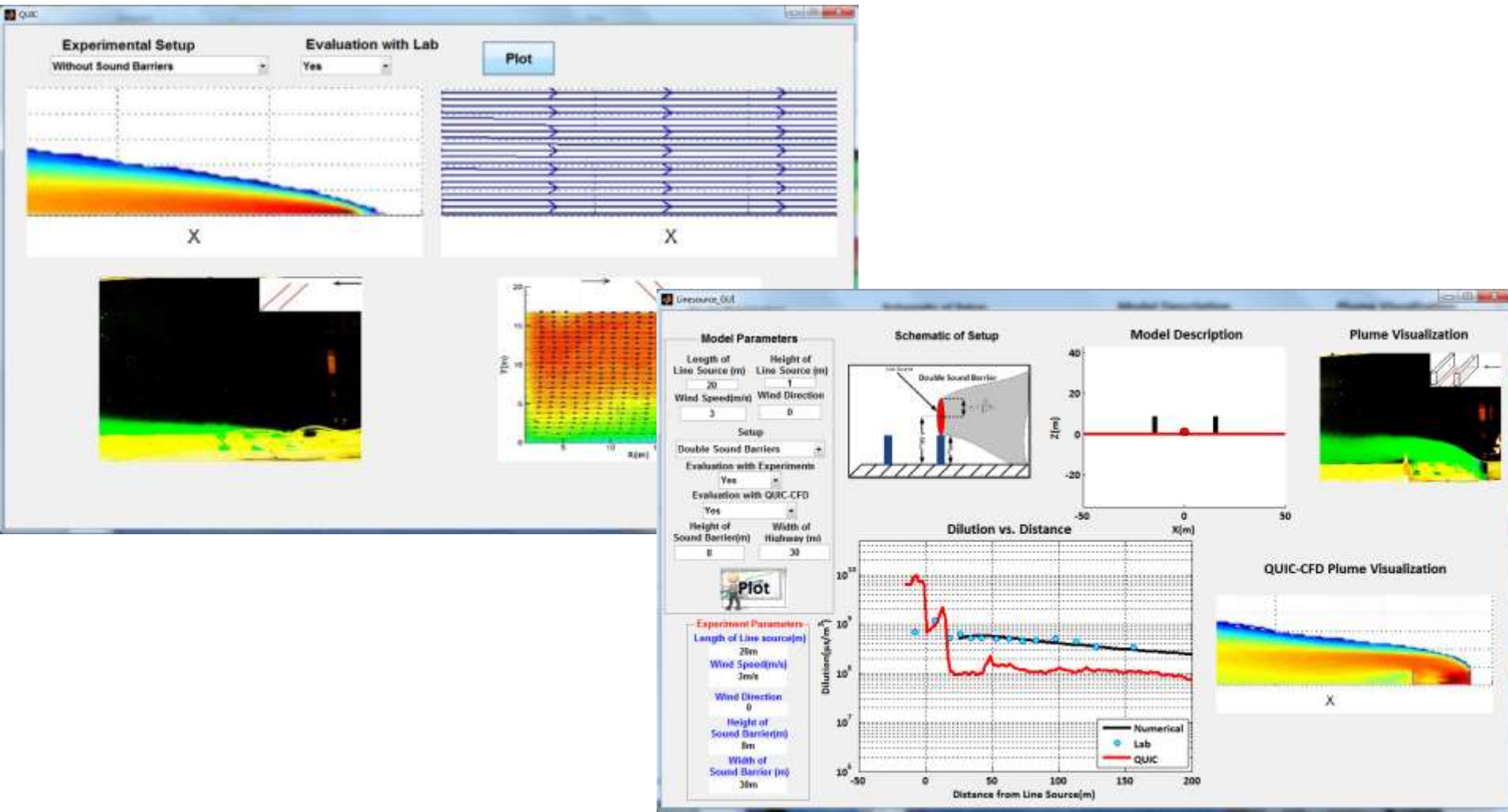


$$\frac{C(x, y, 0)}{Q} = \int_{-L/2}^{L/2} \frac{dy}{\pi U \sigma_y \sigma_z} \exp\left(-\frac{y^2}{2\sigma_y^2}\right) \exp\left(-\frac{(\alpha H_{SB})^2}{2\sigma_z^2}\right)$$

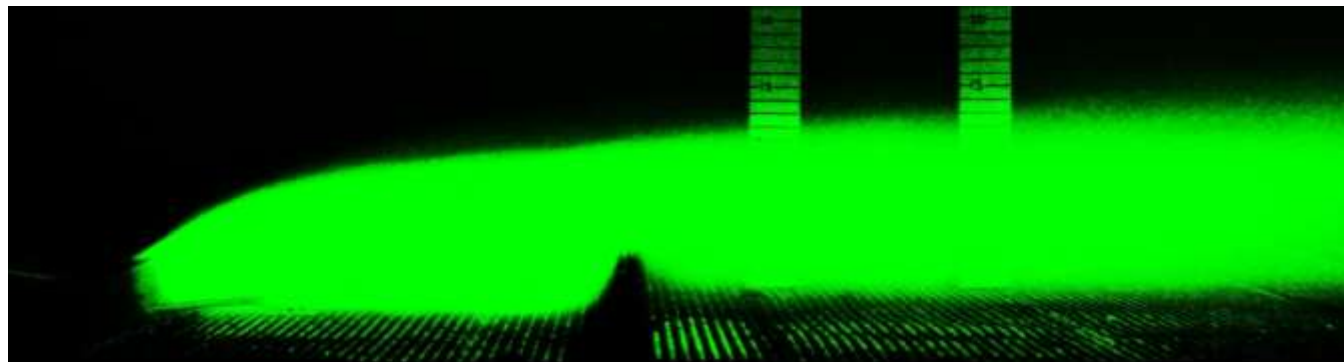
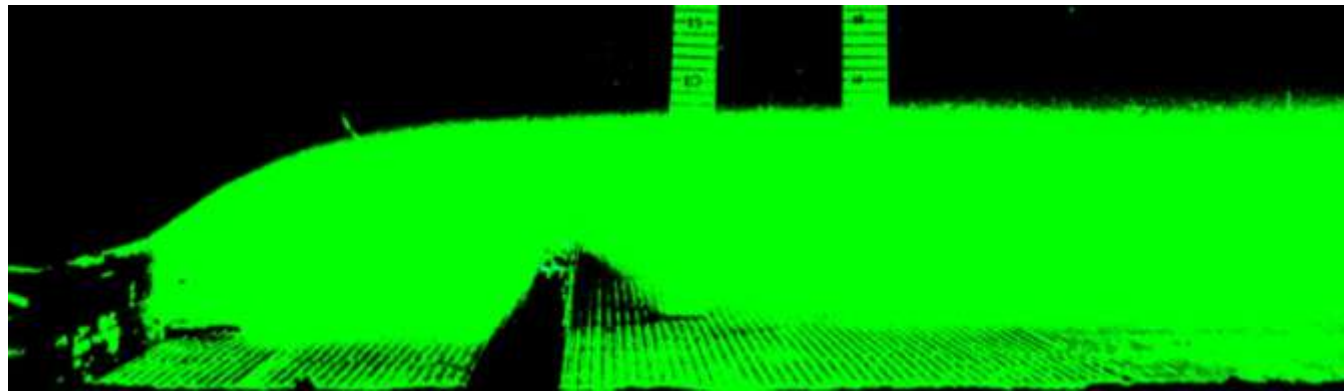




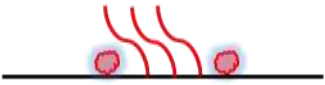
- Visualizations, velocity measurements, concentration measurements, QUIC results and a simple Gaussian model are all organized in the “Digital Catalog”



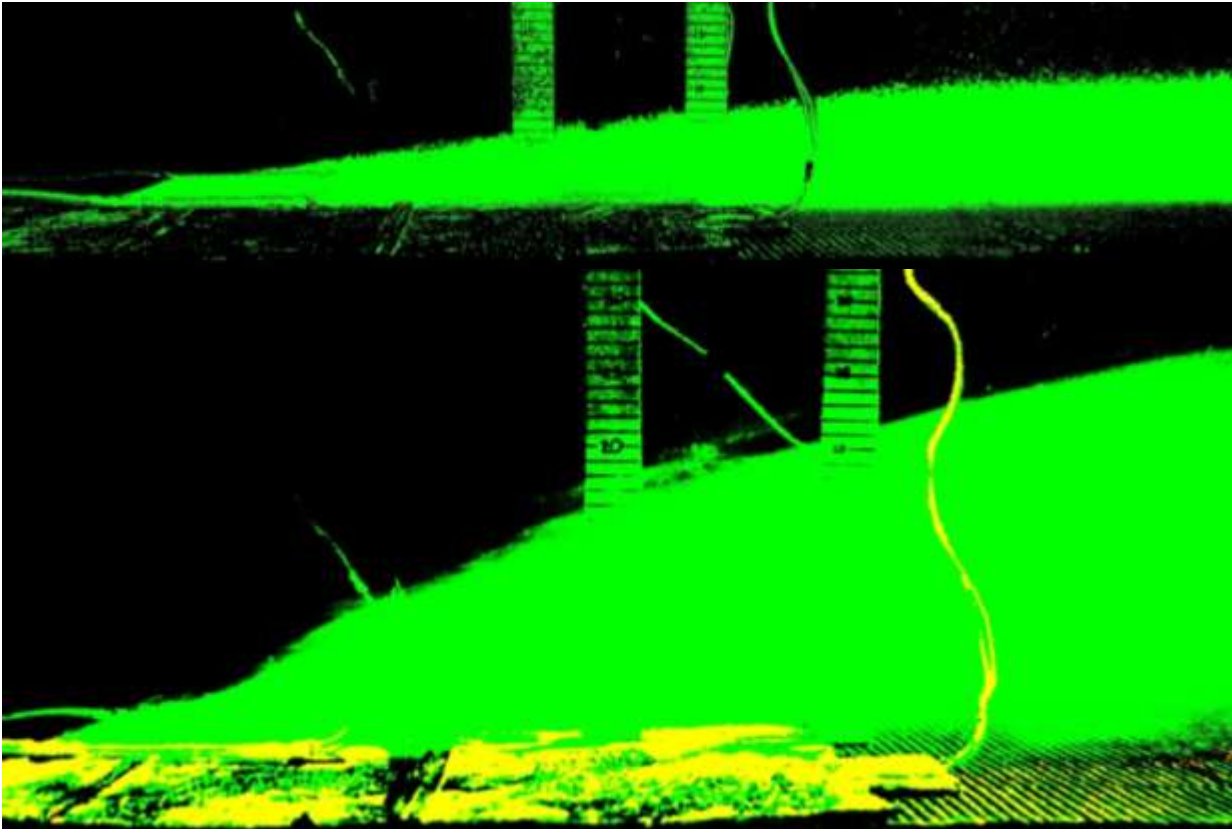
# Conclusion



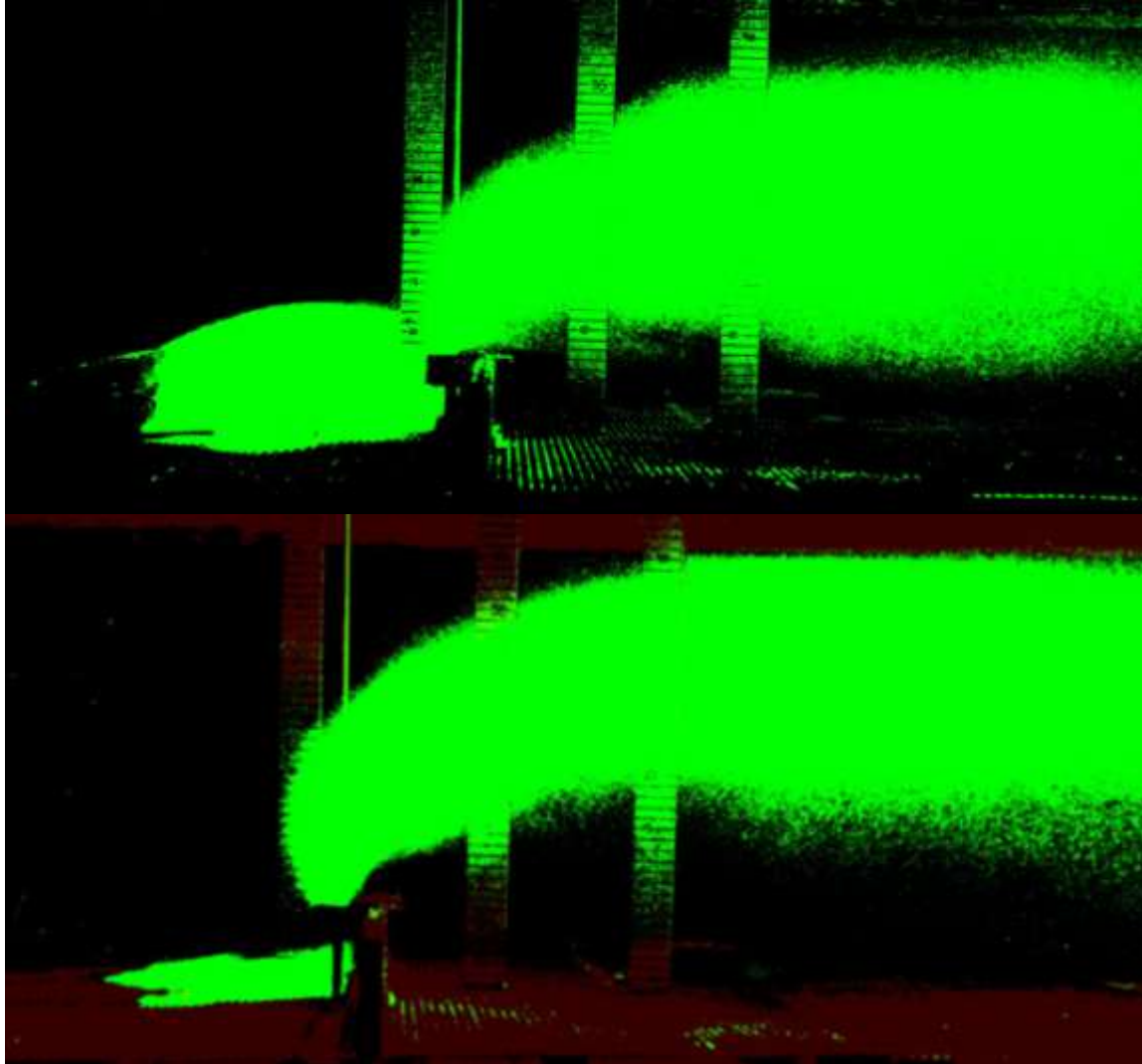
# Conclusion

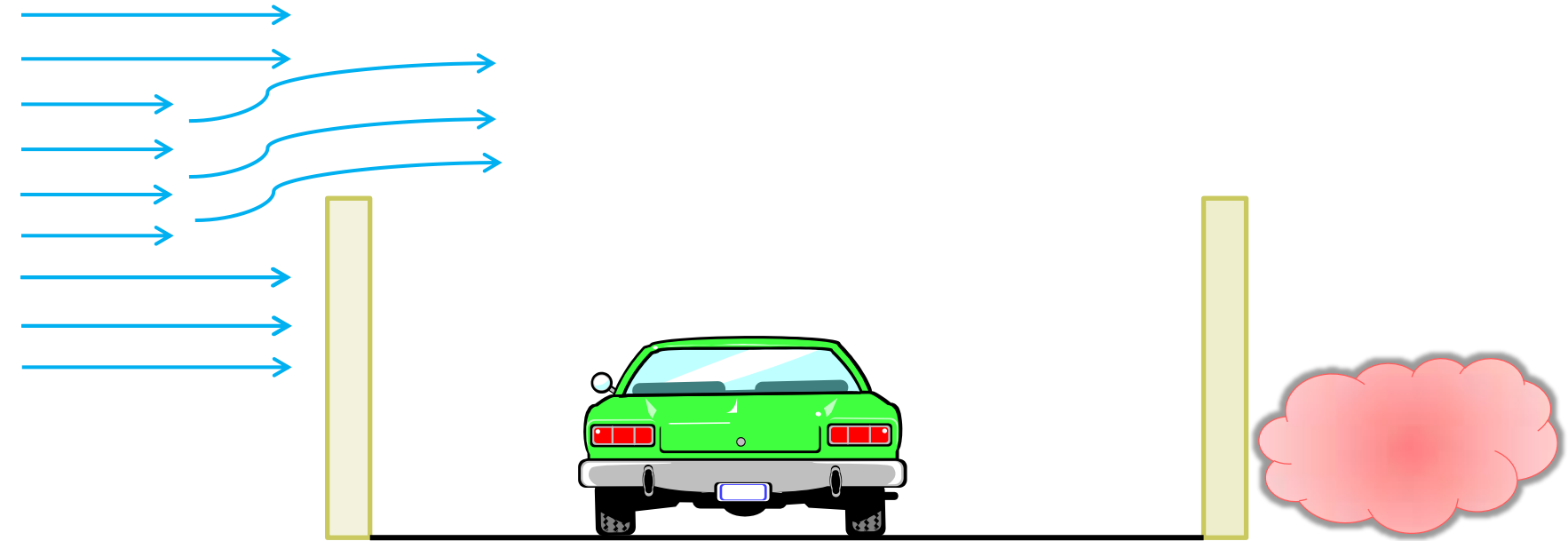


High Wind Speed

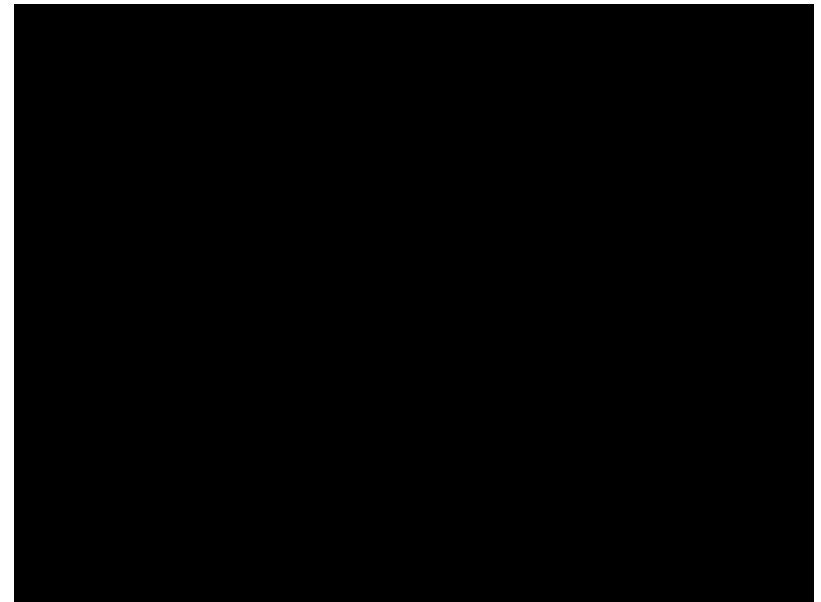
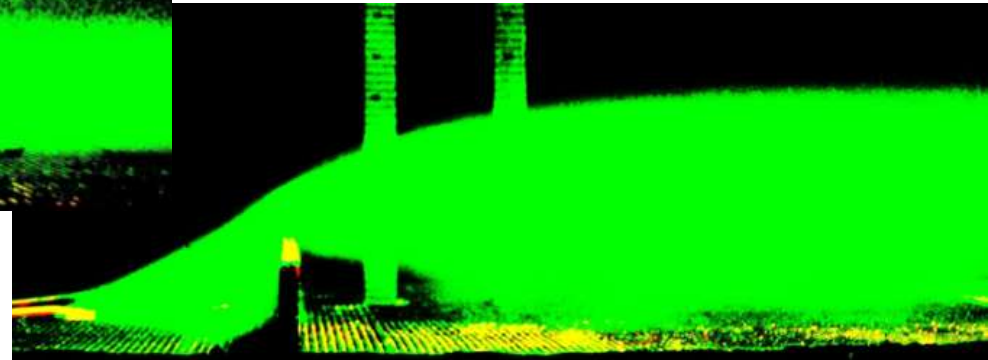
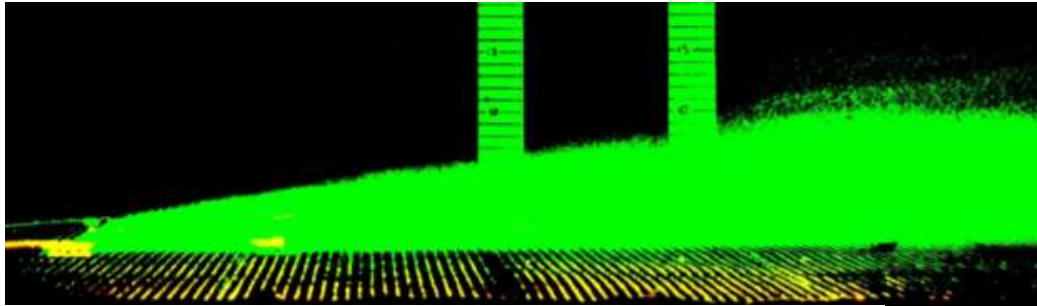


Low Wind Speed



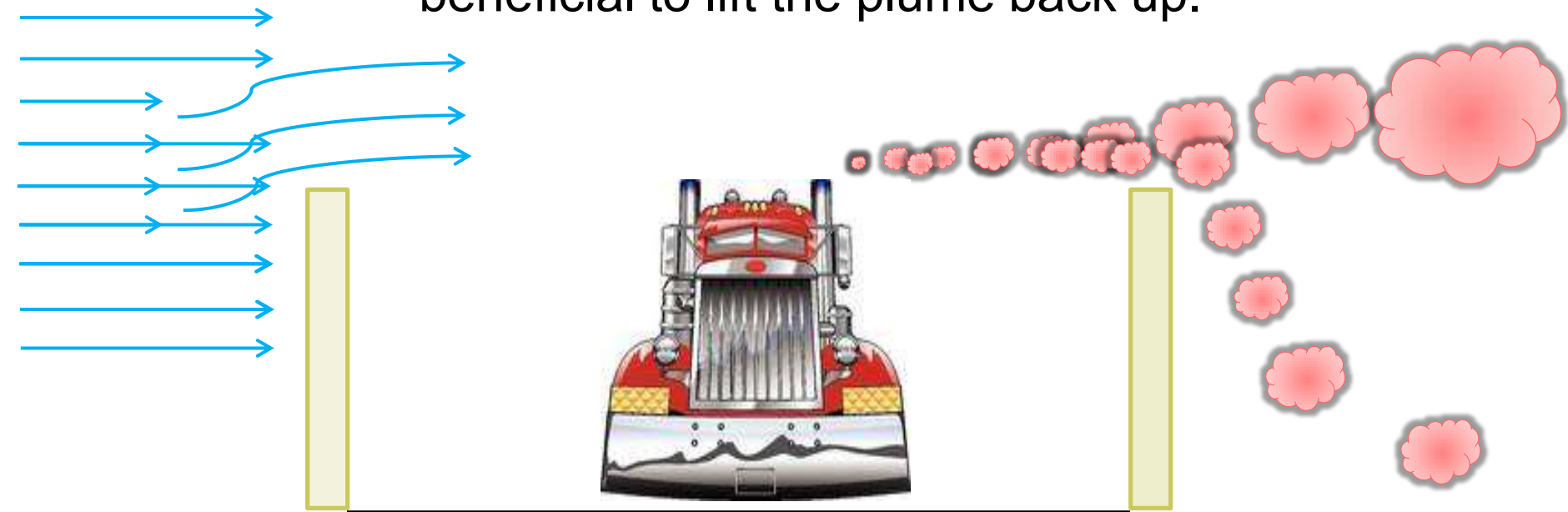


Road without a sound barrier is like a point source without a tall chimney



However, barrier wake can bring down a plume released above the ground (e.g. non moving truck)

However, if truck is moving sufficiently fast, the plume would be mixed down in the truck's wake and the barrier would be beneficial to lift the plume back up.



Need lab work to confirm and to determine the critical truck velocity.



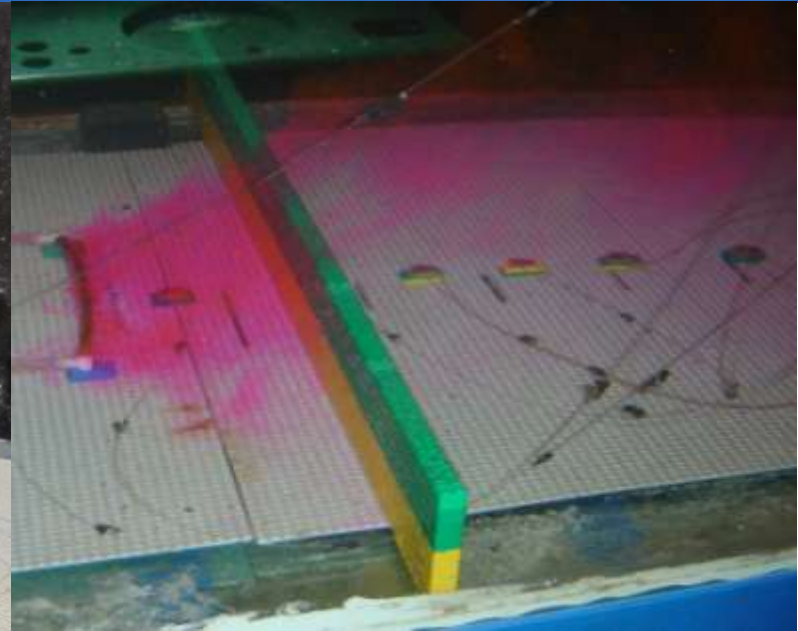
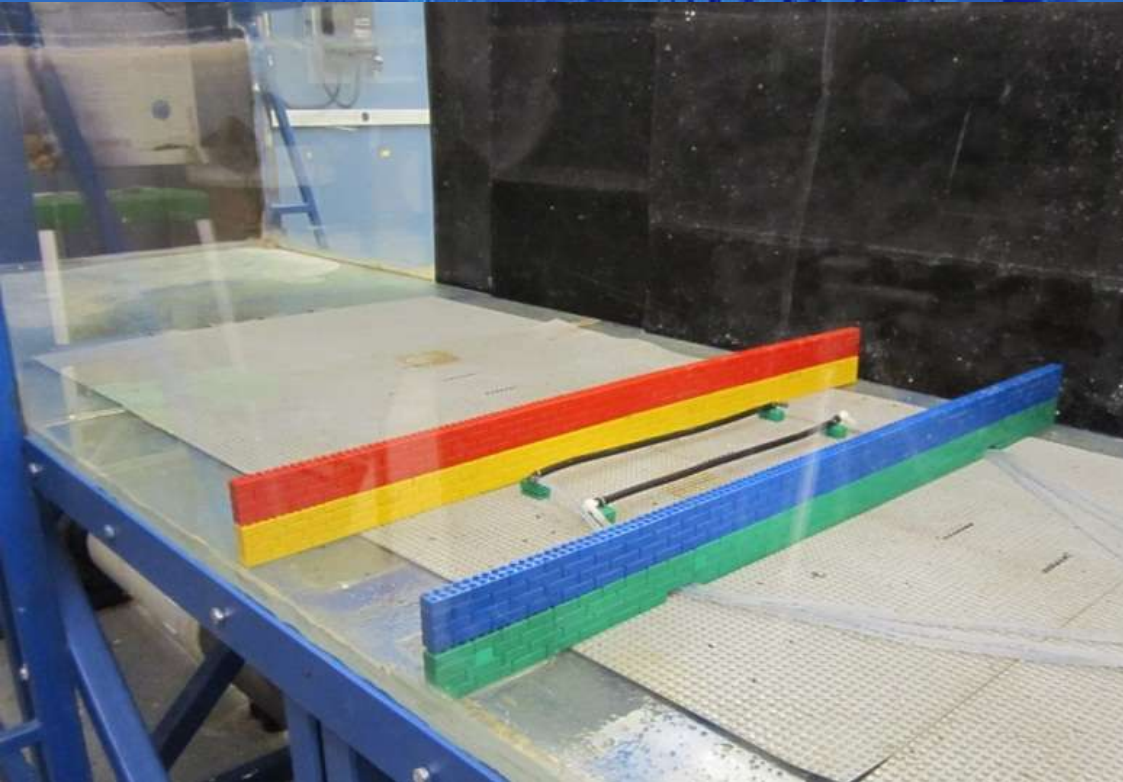
- Build sound barriers where feasible – any is better than none (analogy: tall chimney vs. no chimney)\*
- Consider active flushing for critical areas (e.g. fans)\*\*
- A future alternative can be automatically forcing hybrid vehicles to switch to electric drive in critical areas (e.g. downtown, vicinity of school...)\*\*\*

\*need lab/field verification for tall trucks  
and to be careful where the barrier ends

\*\*this can be prohibitively expensive option

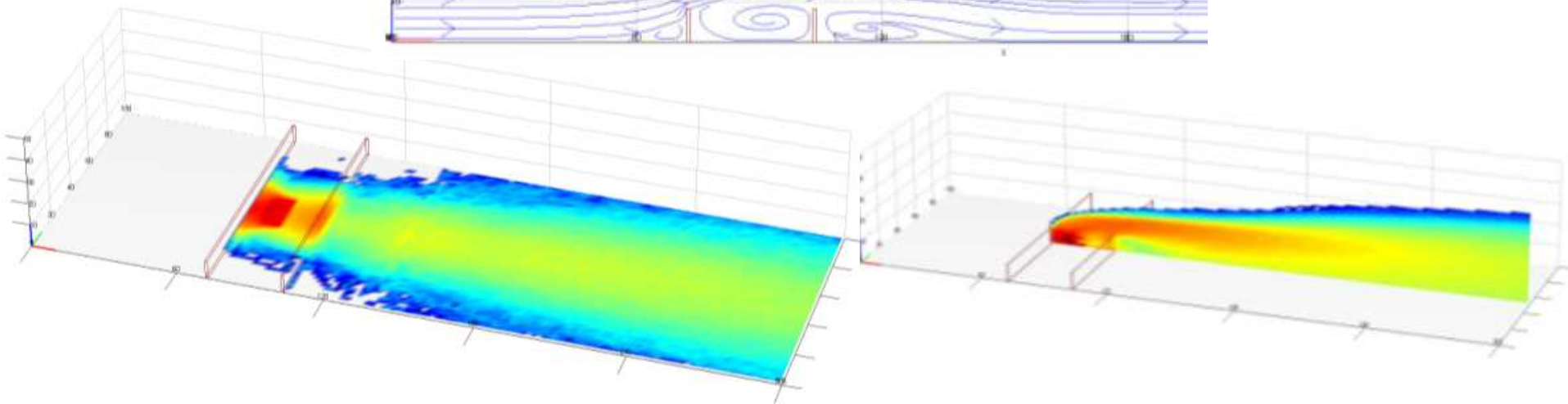
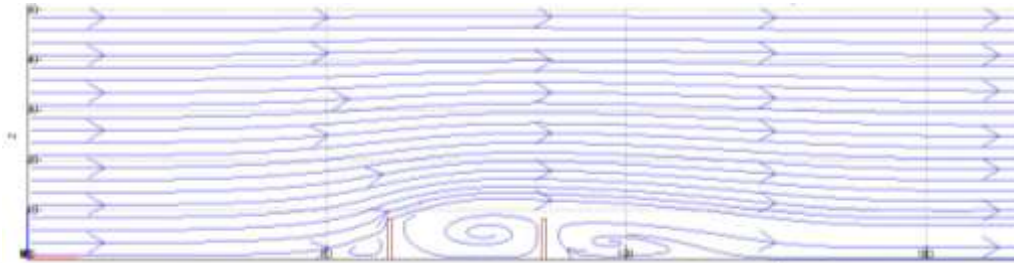
\*\*\*most of vehicles already have GPS as  
standard

# Questions

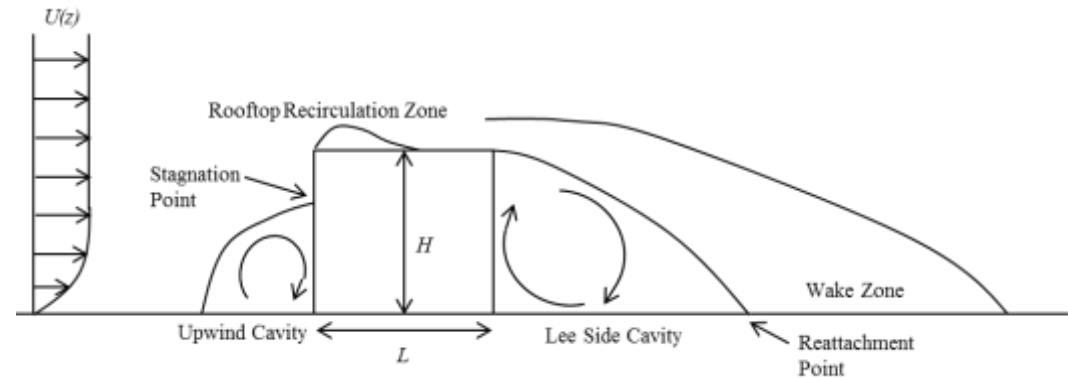
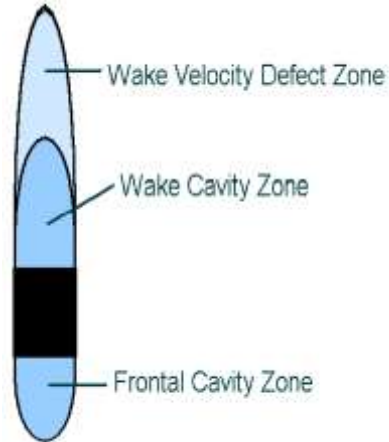




- Quick Urban and Industrial Complex
  - Fast response CFD model developed for Homeland Security
  - QUIC-URB – 3D Wind Model
  - QUIC-CFD – Computational Fluid Dynamics Model
  - QUIC-PLUME – Lagrangian Dispersion Model

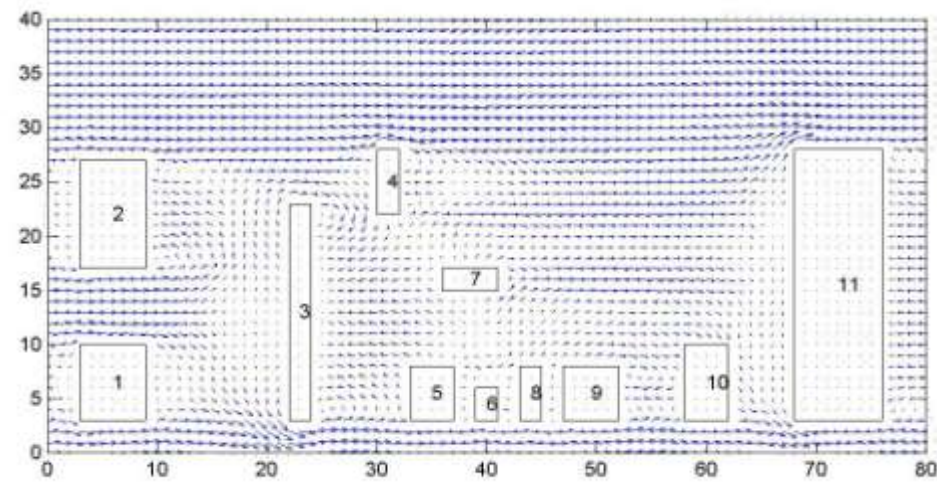
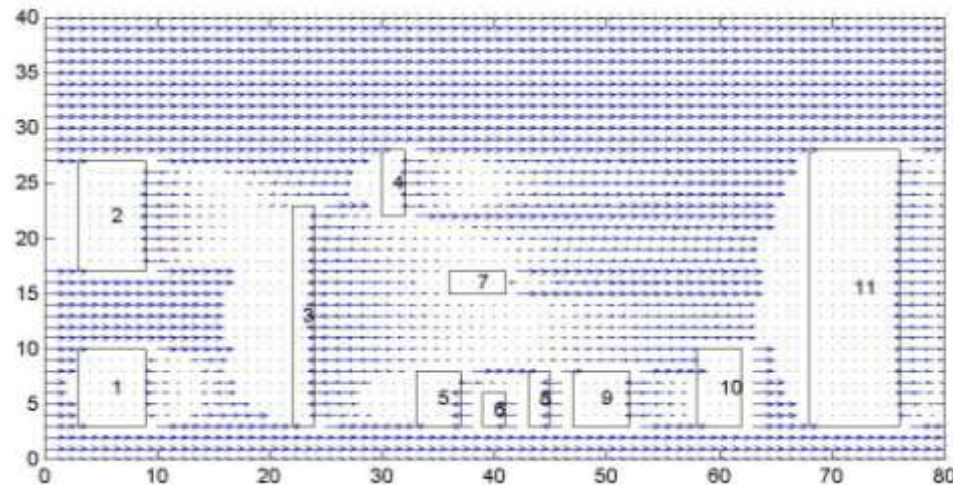


## Fast response model



1) Use simple empirical equations to generate initial flow field

2) Satisfies Continuity Equation



Solves Simplified 3D  
Reynolds Averaged  
Navier-Stokes  
Equations

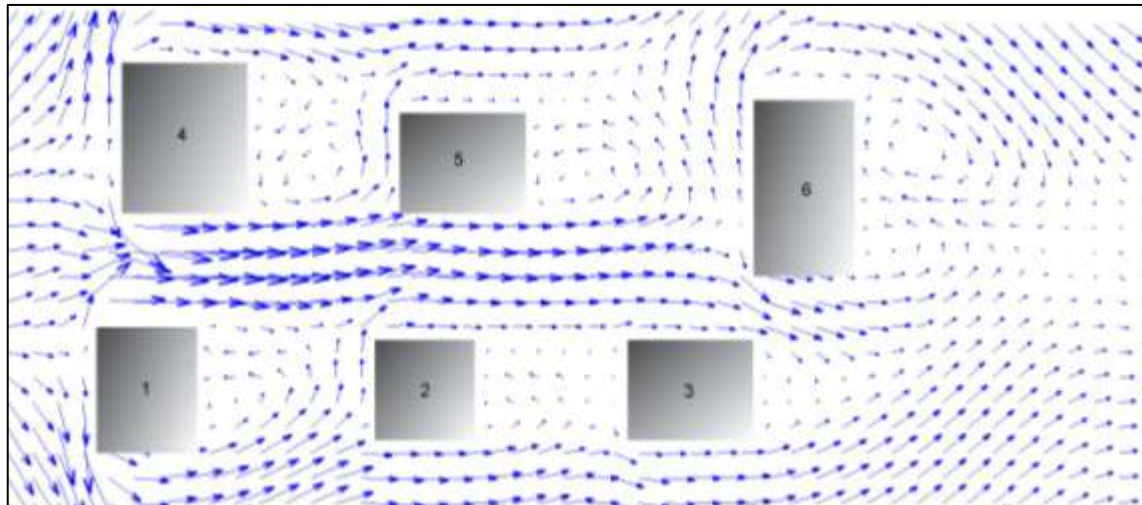
QUICURB

$$\frac{\partial \bar{u}_i}{\partial t} + \frac{\partial \bar{u}_i \bar{u}_j}{\partial x_j} = -\frac{1}{\rho} \frac{\partial \bar{p}}{\partial x_i} + f_i + \nu \frac{\partial^2 \bar{u}_i}{\partial x_j^2} - \overline{\frac{\partial u_i' u_j'}{\partial x_j}}$$

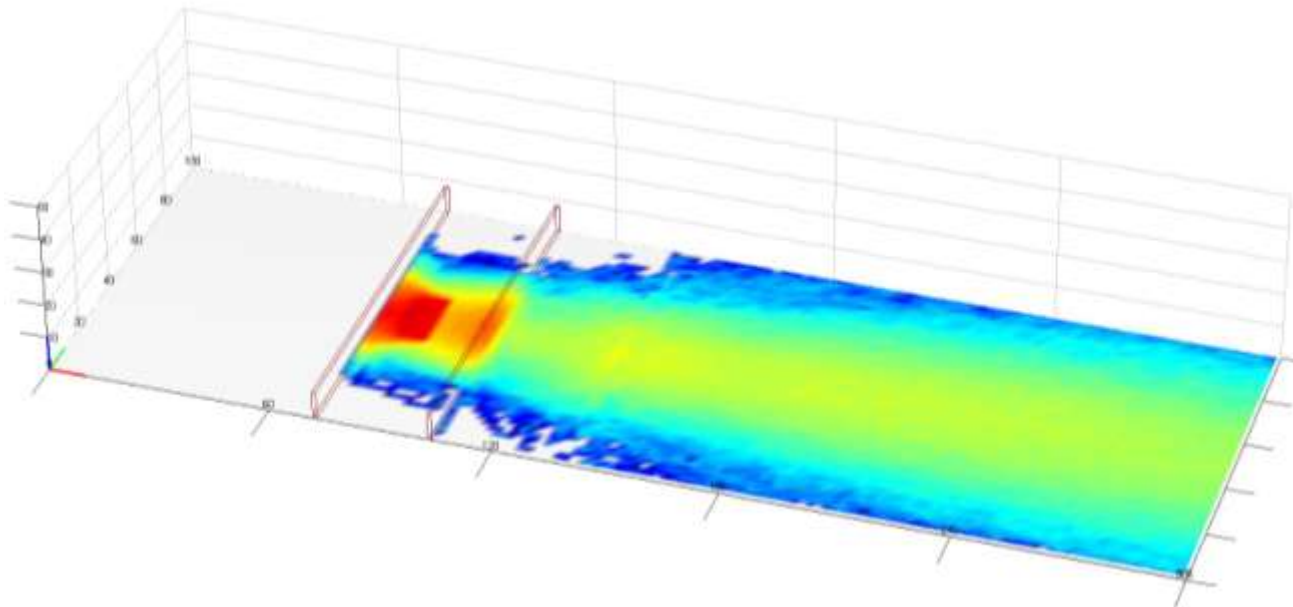
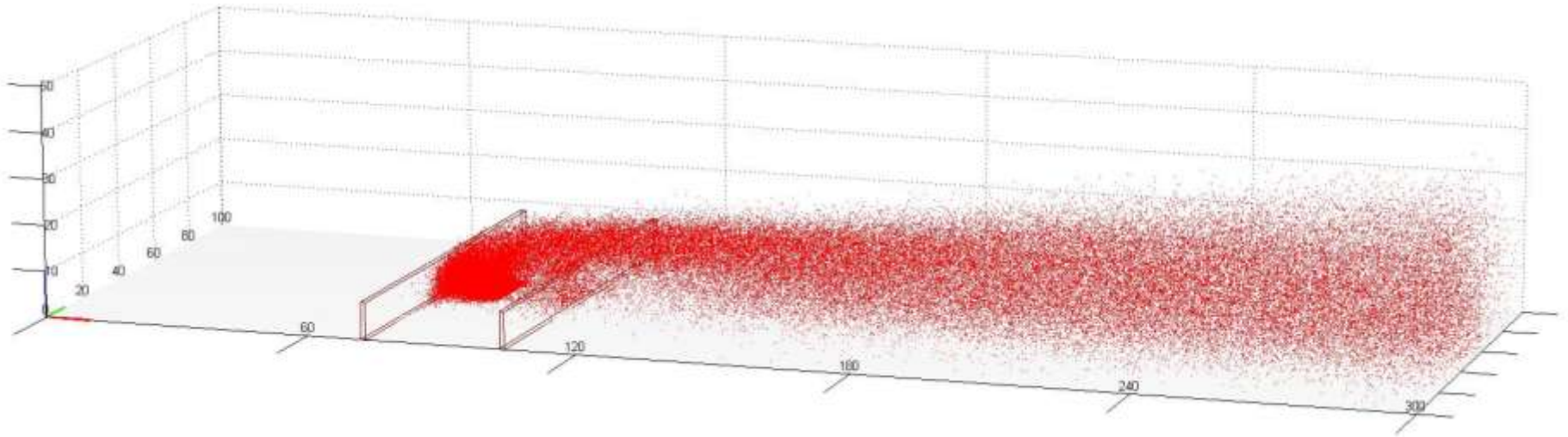
$$\frac{\partial u_i}{\partial t} + \frac{\partial u_i u_j}{\partial x_j} = -\frac{1}{\rho} \frac{\partial p}{\partial x_i} + \nu_t \frac{\partial^2 u_i}{\partial x_j^2}$$

$$\nu_t = (l_{mix})^2 \sqrt{\bar{S}_{ij} \bar{S}_{ij}}$$

QUICCFD



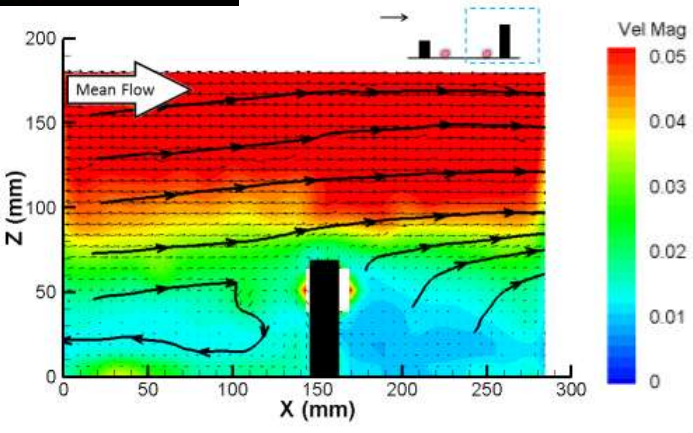
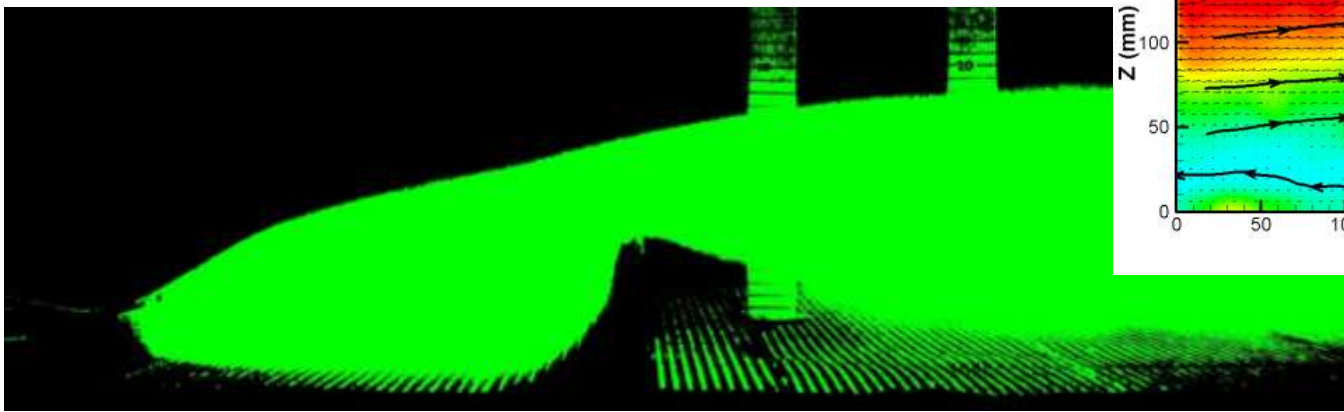
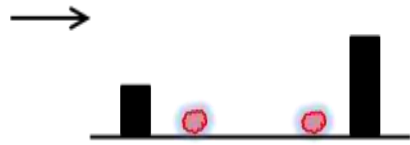
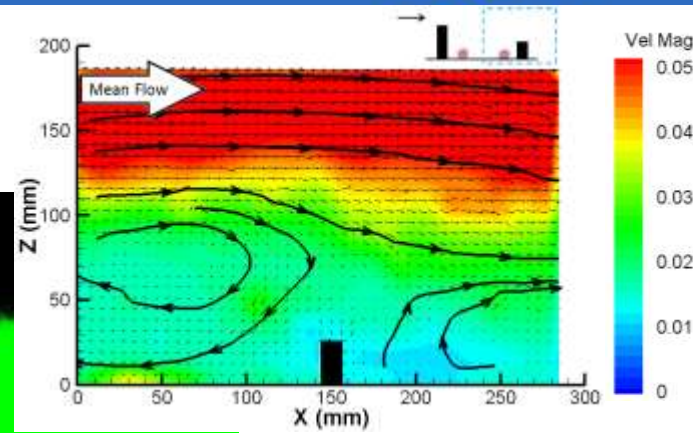
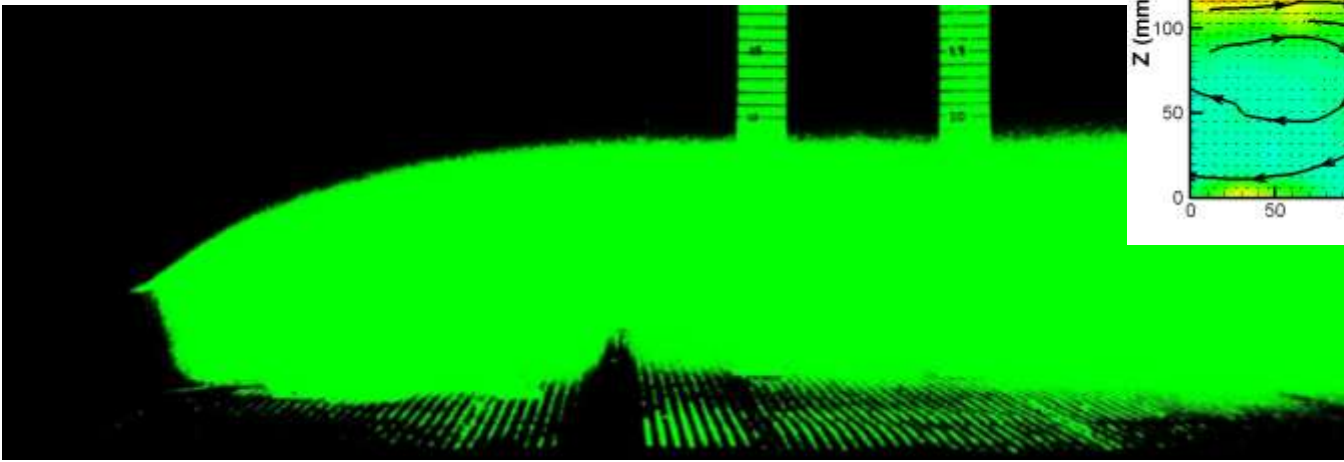
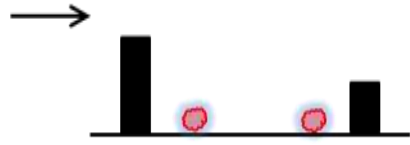
## Langrangian Particle Dispersion Model







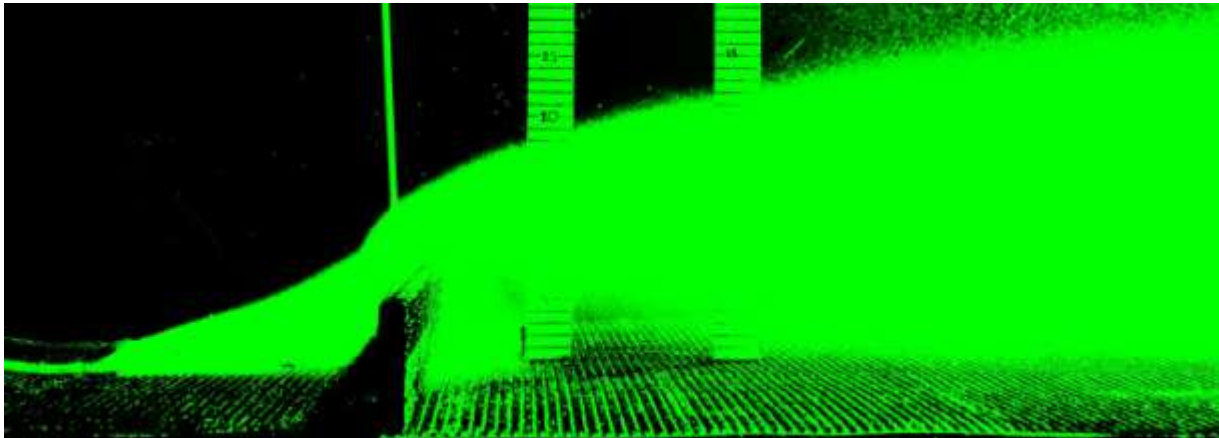
# Qualitative Results



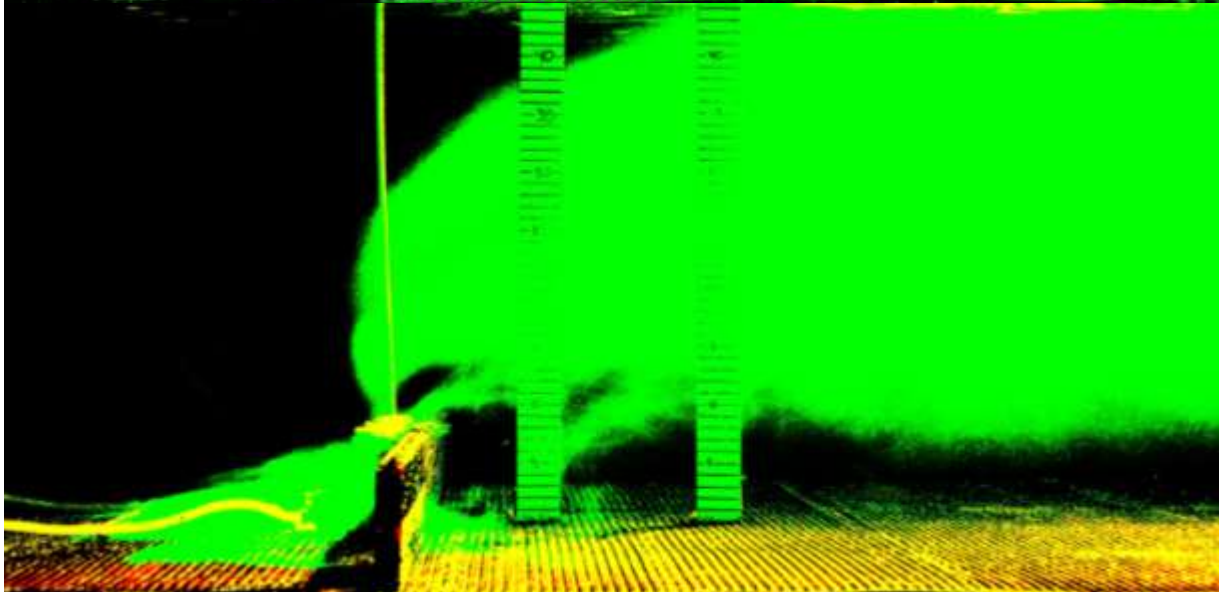
# Qualitative Results



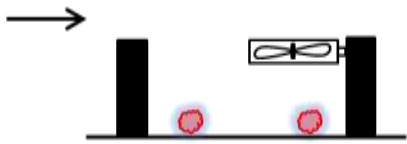
High Wind Speed  
Small Fan Blades



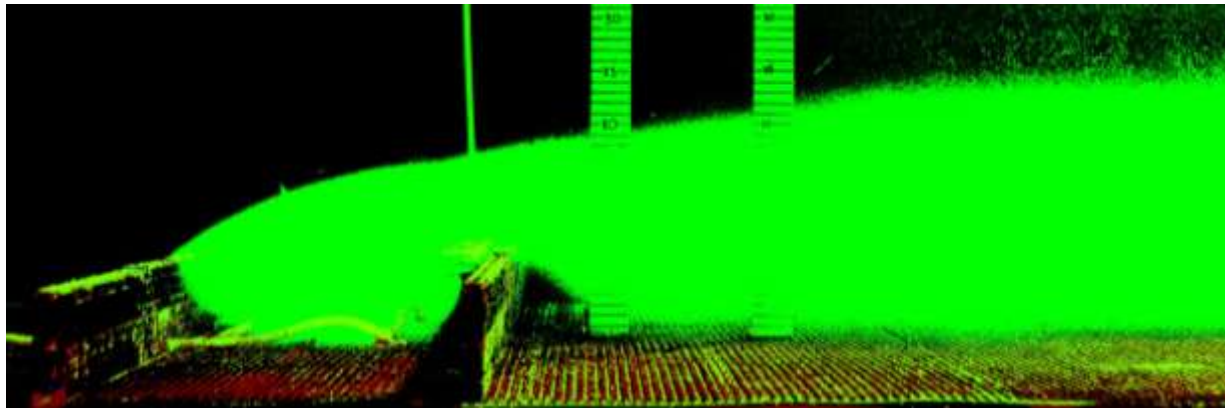
Low Wind Speed  
Small Fan Blades



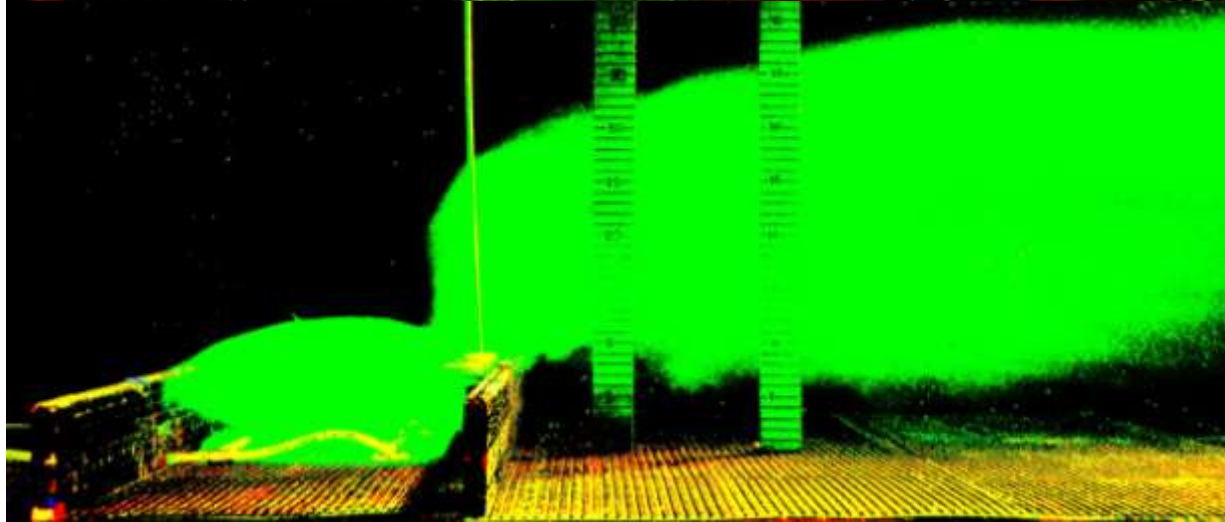
# Qualitative Results

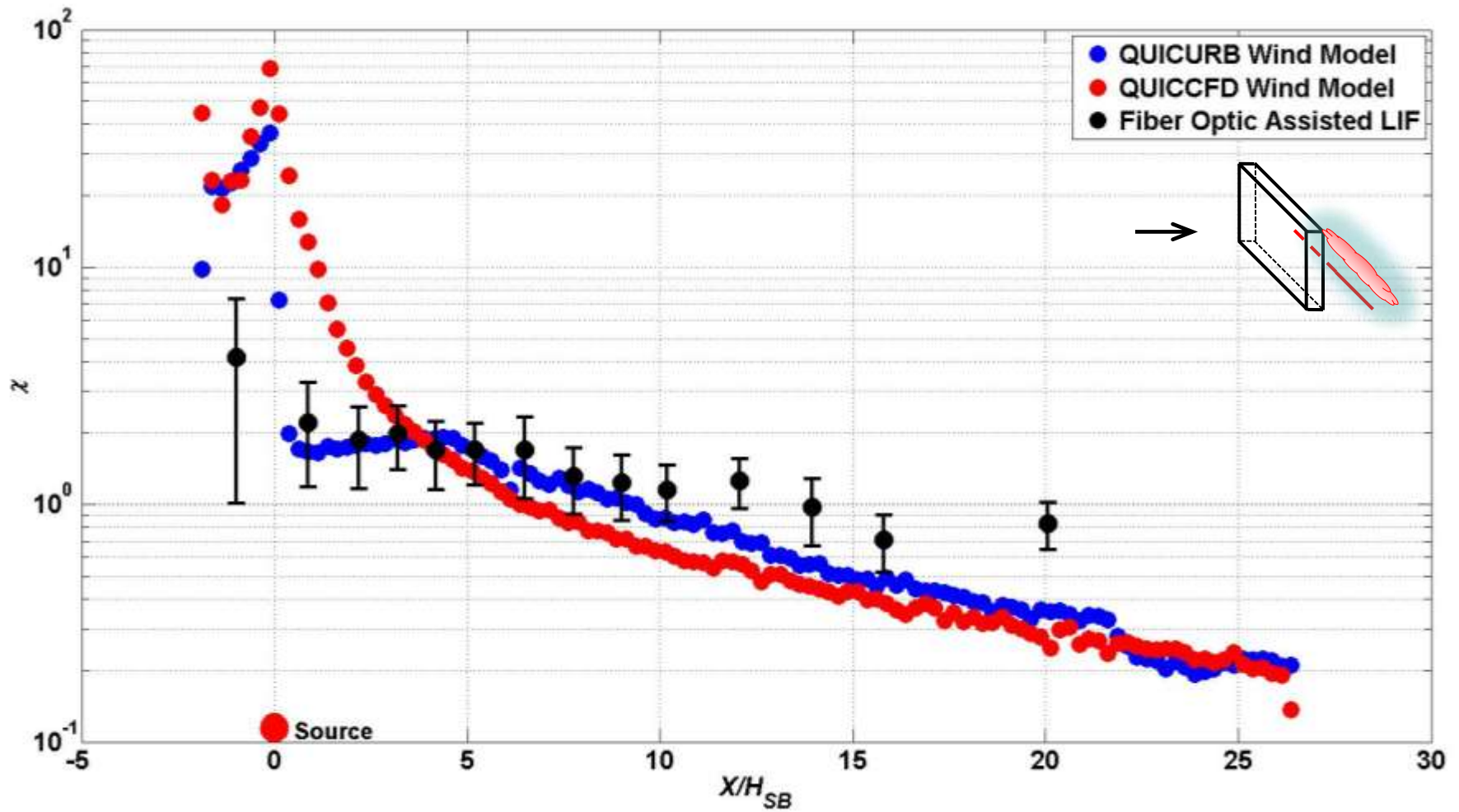


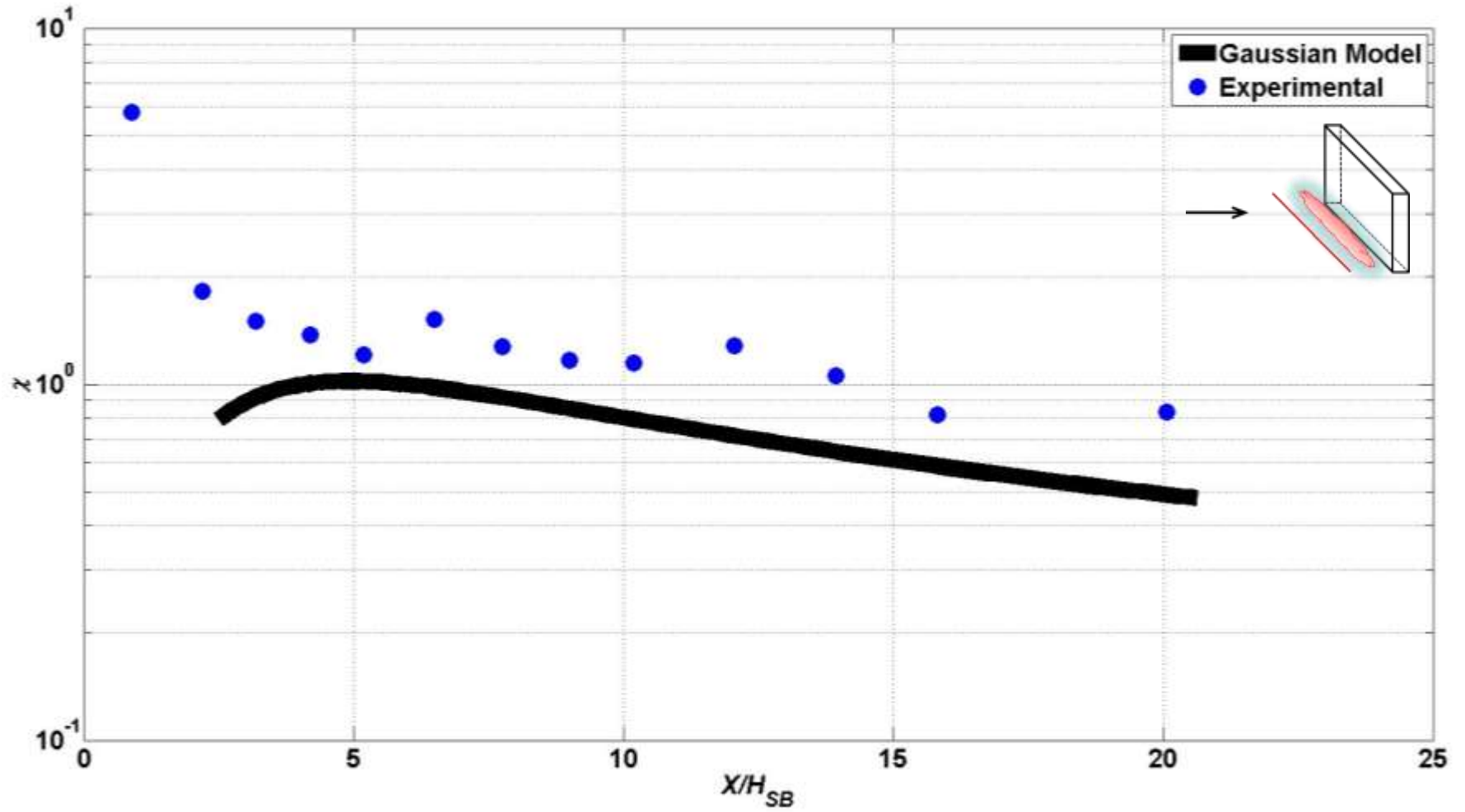
High Wind Speed  
Small Fan Blades



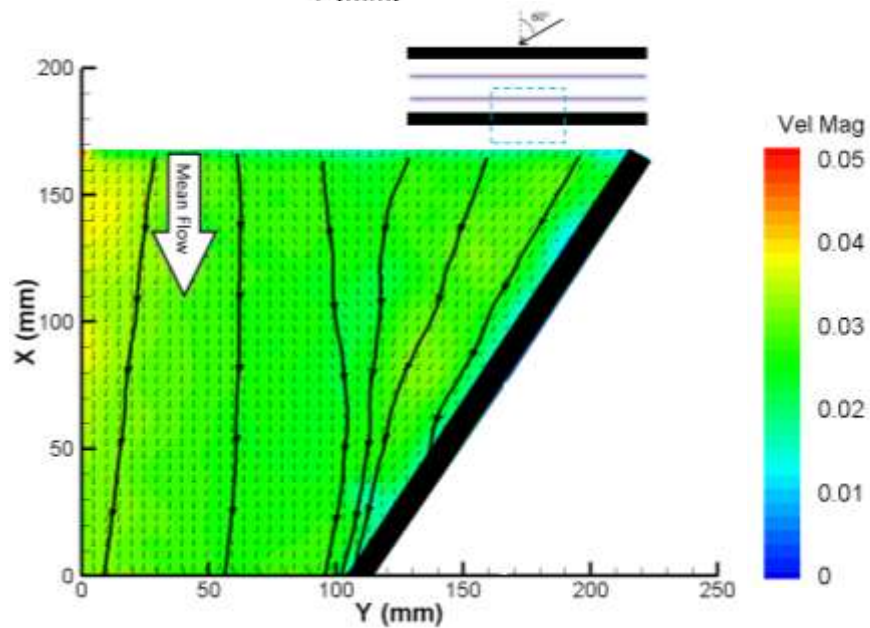
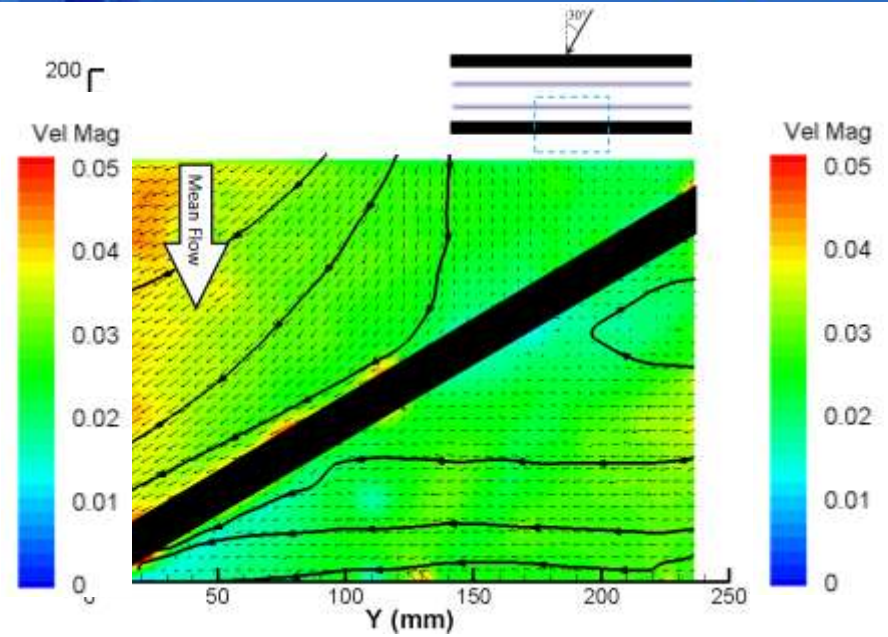
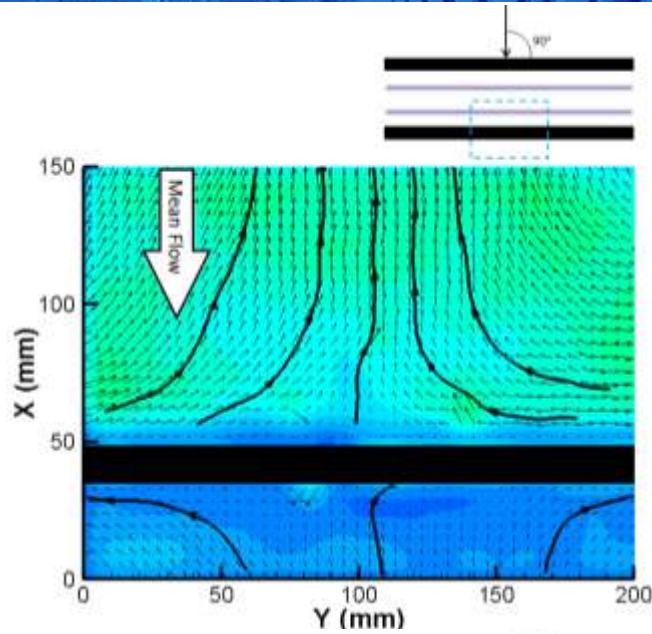
Low Wind Speed  
Small Fan Blades



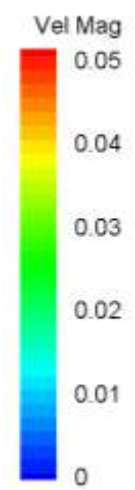
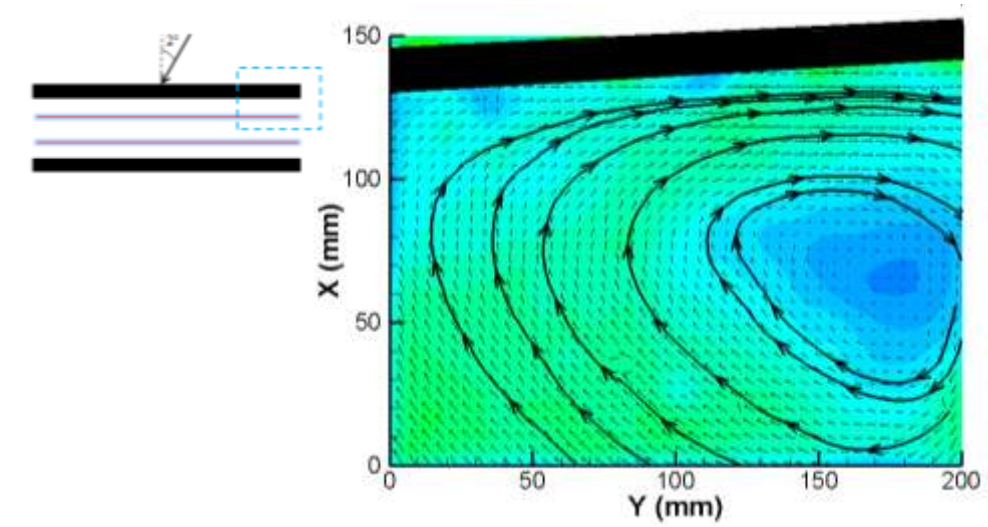
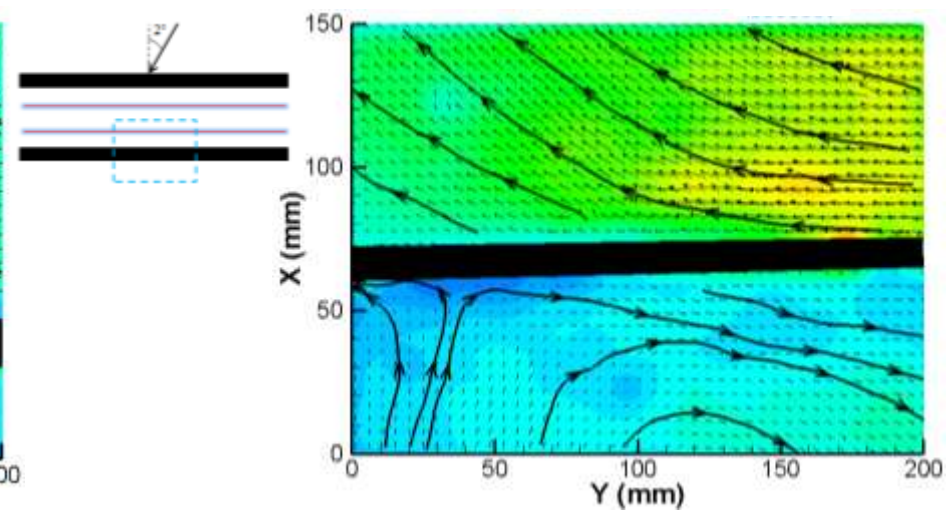
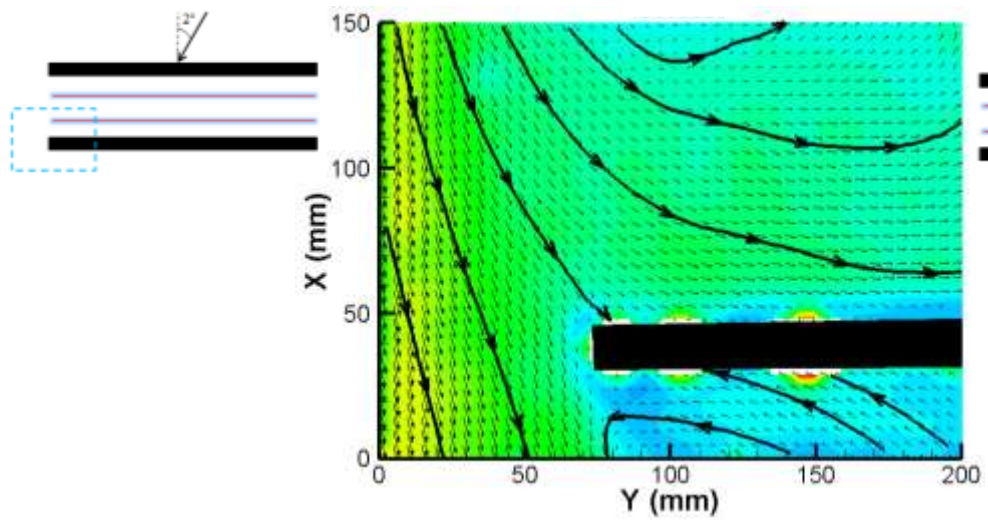




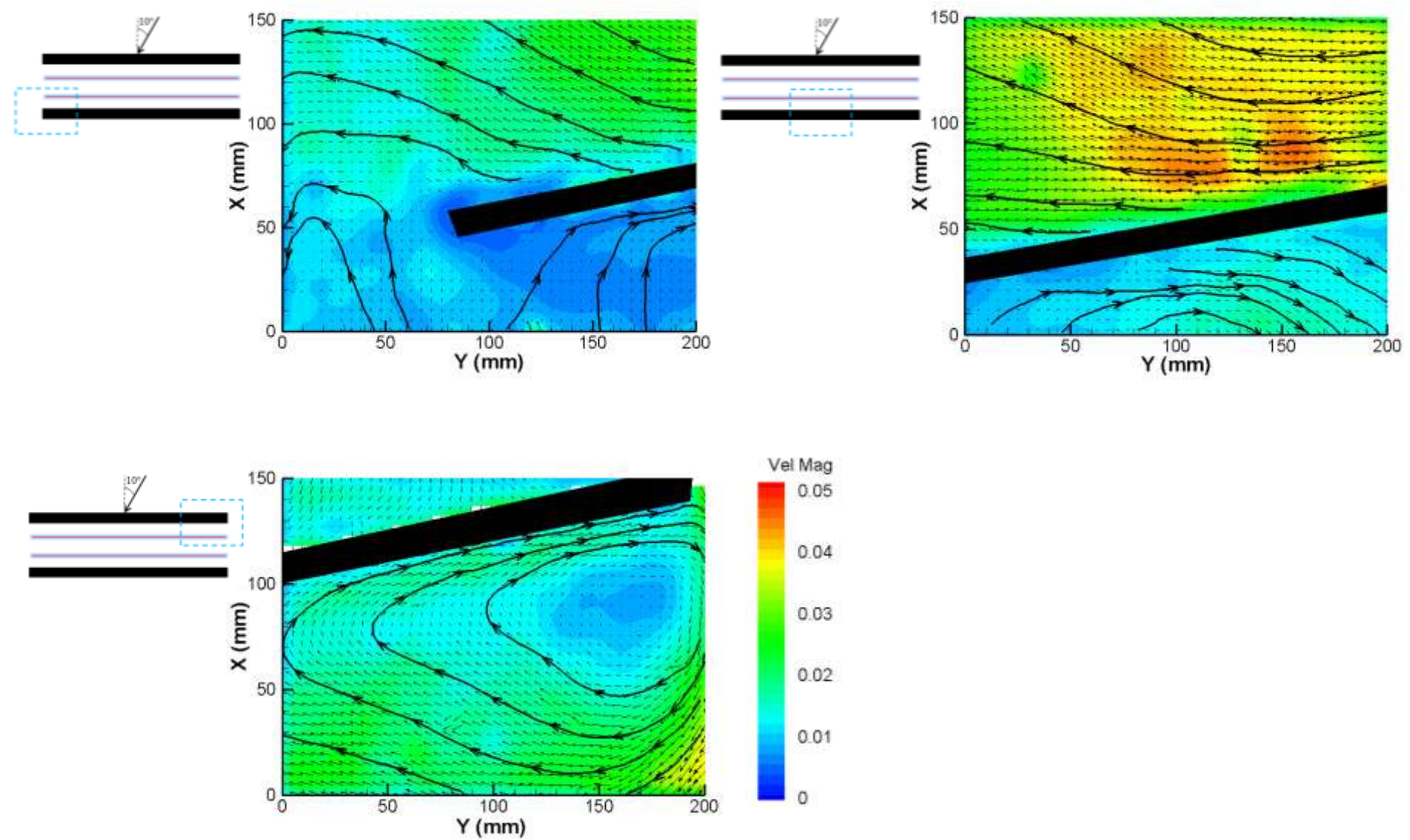
# PIV Results



# PIV Results

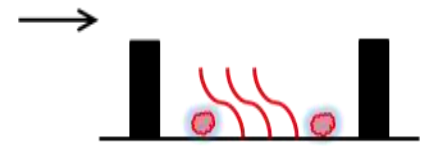


# PIV Results

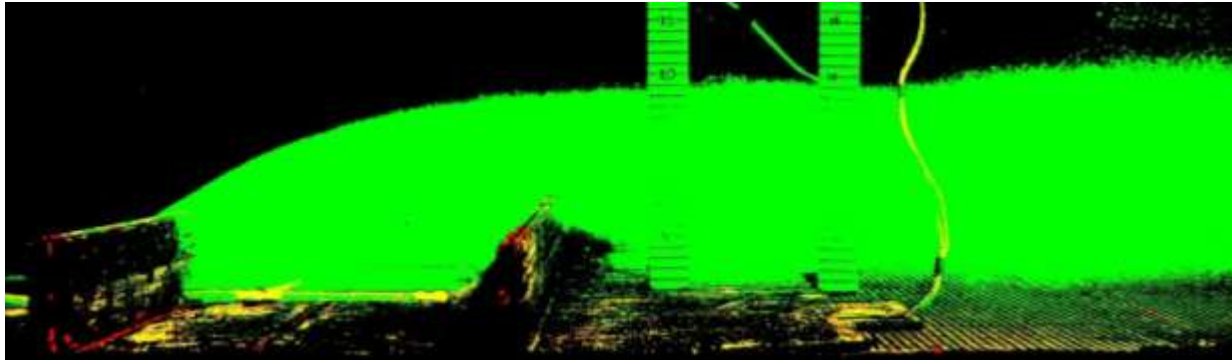




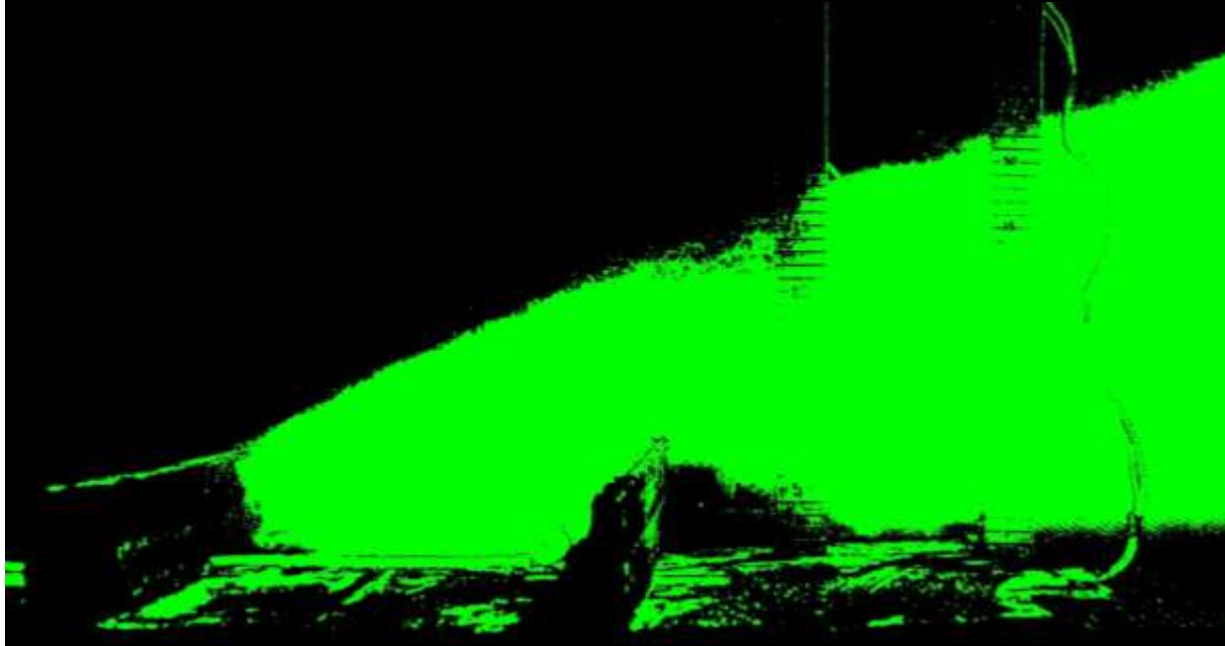
# Qualitative Results

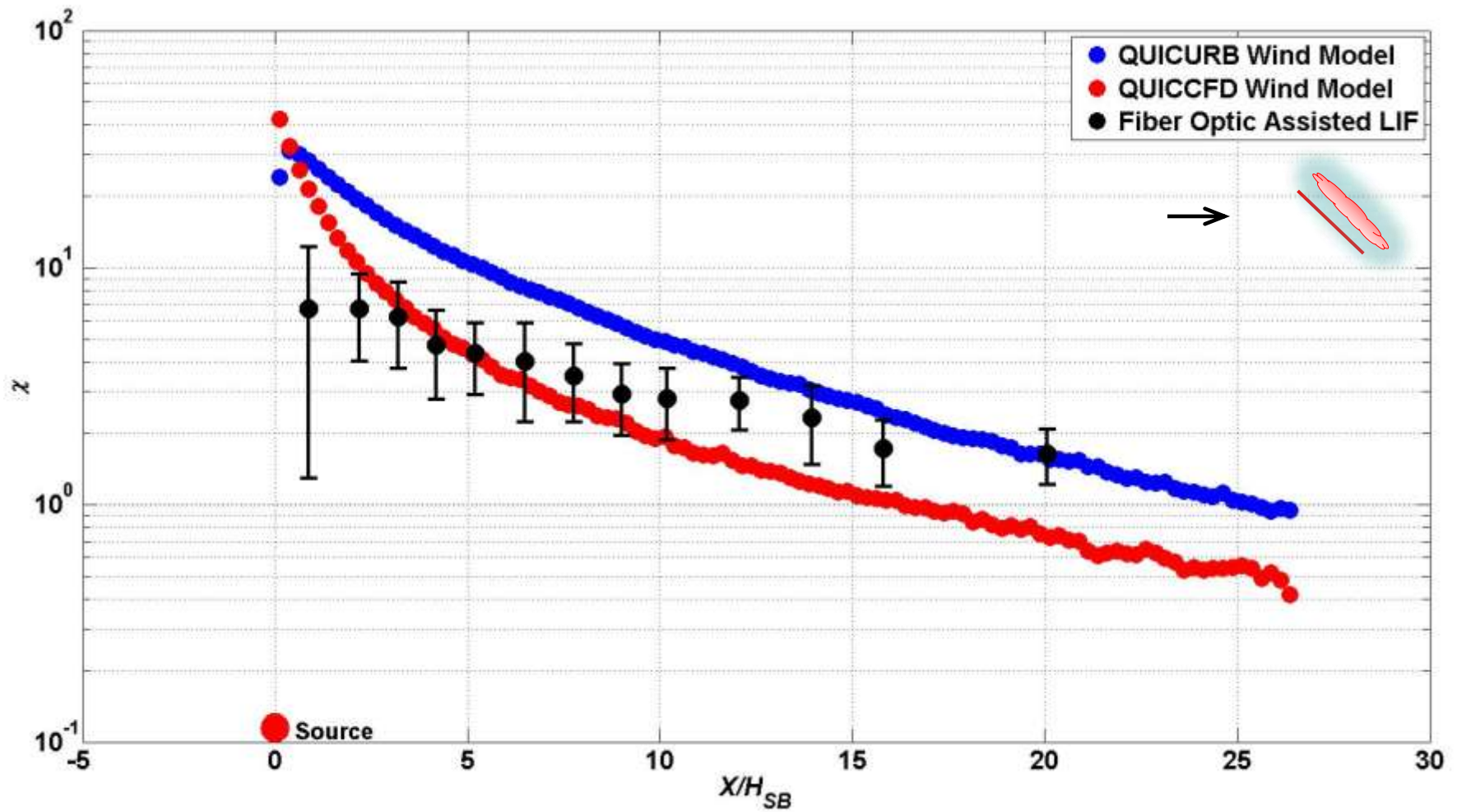


High Wind Speed



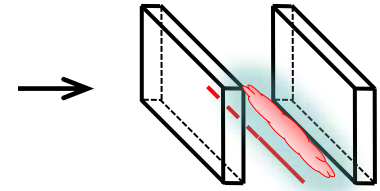
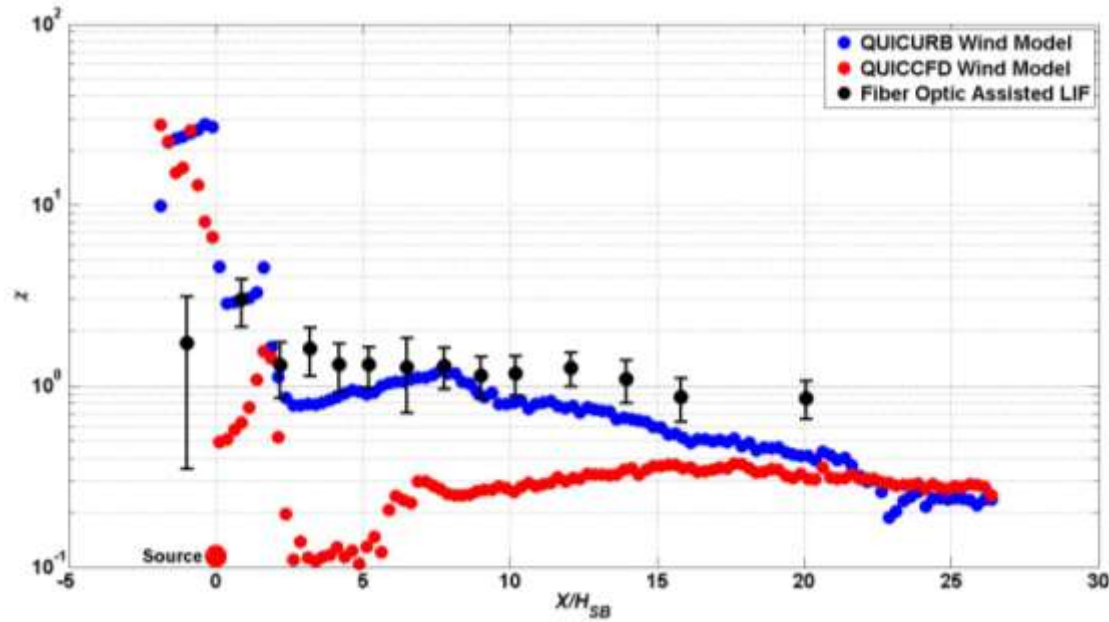
Low Wind Speed





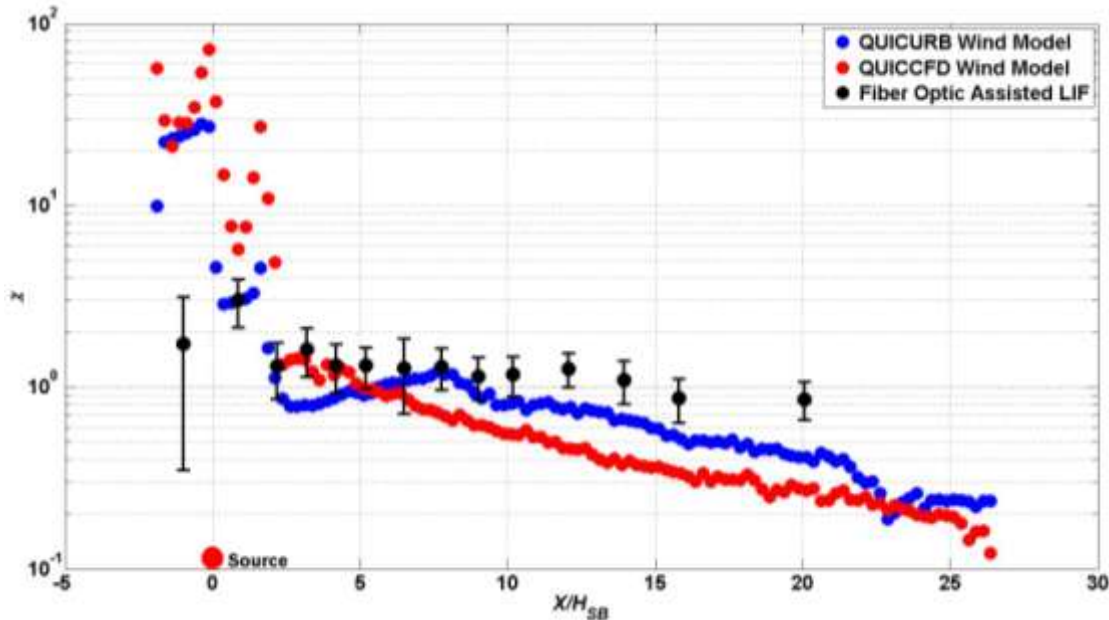
# Quantitative Results

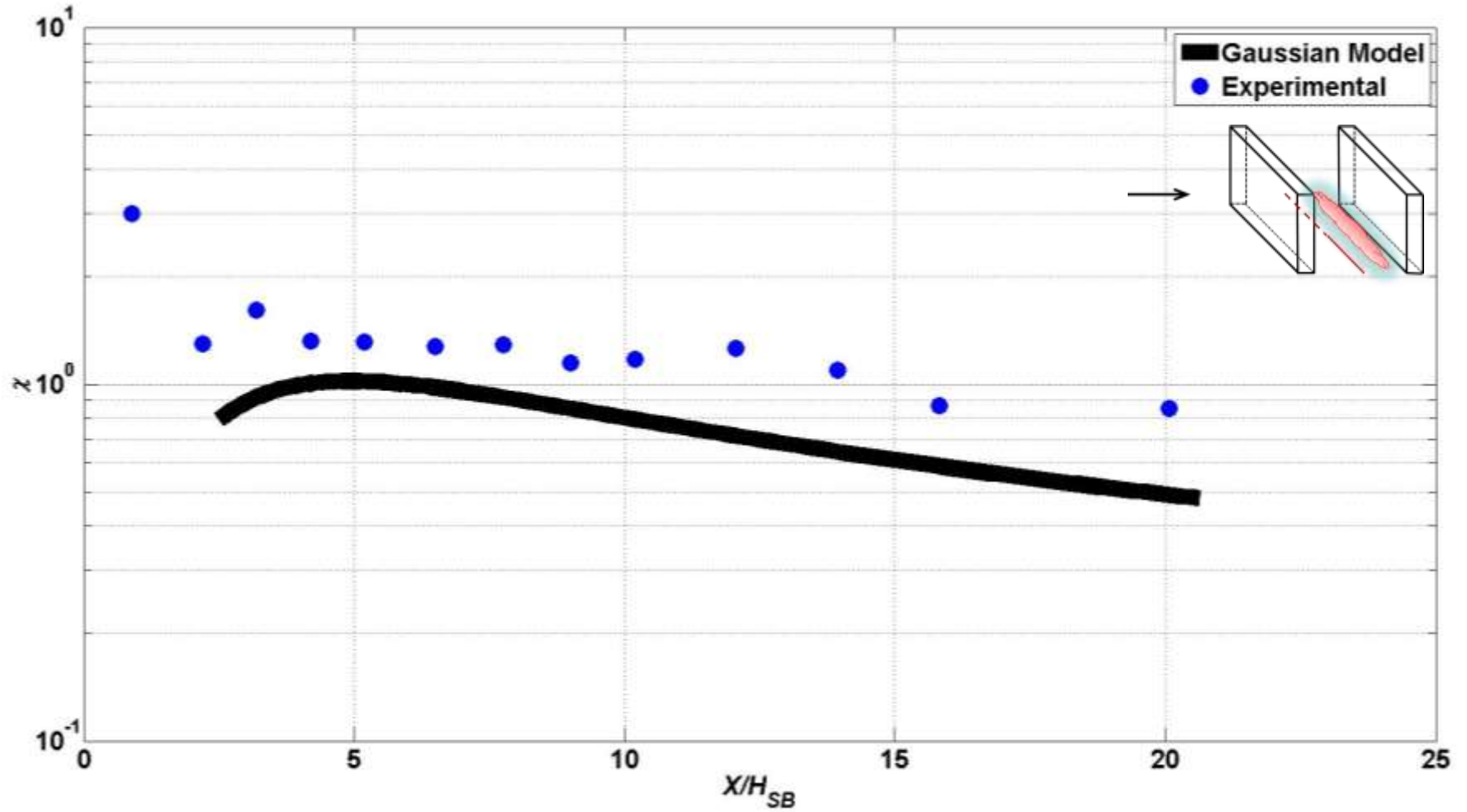
$l_{mix} = \text{Default}$



$$v_t = (l_{mix})^2 \sqrt{\bar{S}_{ij} \bar{S}_{ij}}$$

$l_{mix} = 30$





# Literature Review and Modeling

Dr. Cathy Fitzgerald, P.E.  
Steven Bush, E.I.T.

# Literature Review

## Passive

- Sound walls
- Vegetation
- Roadway configuration
- Harder wearing vehicle tires
- More durable brake pads/ partial enclosure
- Regenerative braking
- Porous asphalt

## Active

- Photocatalytic cement
- Dust suppressants
- Roadway sweeping
- Ventilation – Plexiglass canopy; street canyons
- Filtration
- Electrostatic precipitation
- Axial fans on sound walls
- Biofiltration – soil beds

# The Great Wall of Mulch – Terminal Island Freeway



- 12-foot high, 3 feet thick, 600 feet long
- Tree clippings – City of Long Beach
- Sound attenuation; pollution mitigation; graffiti free

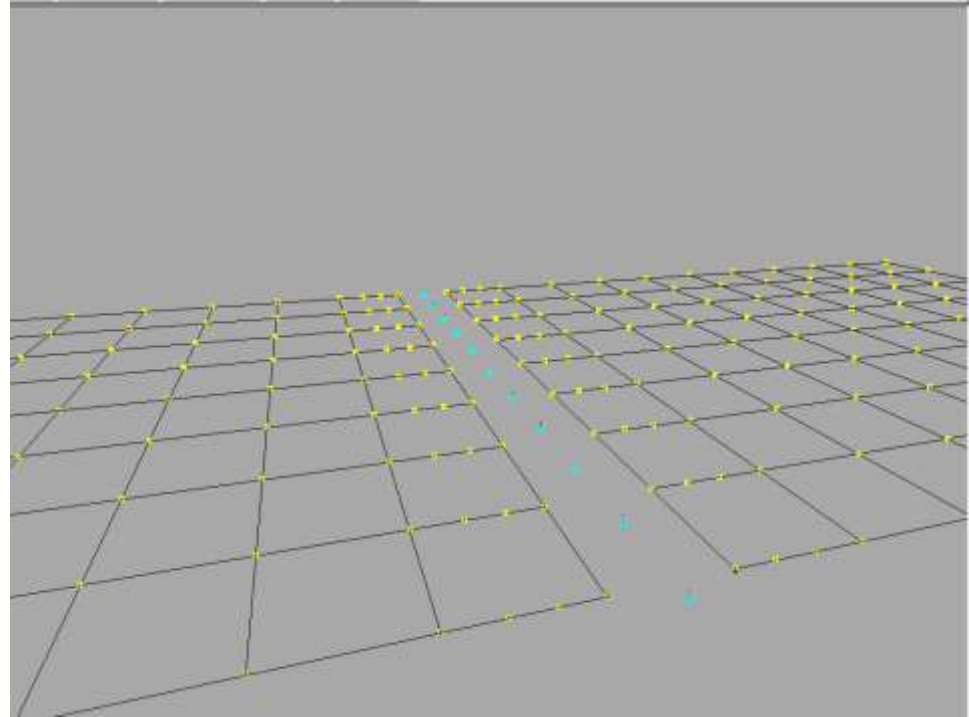
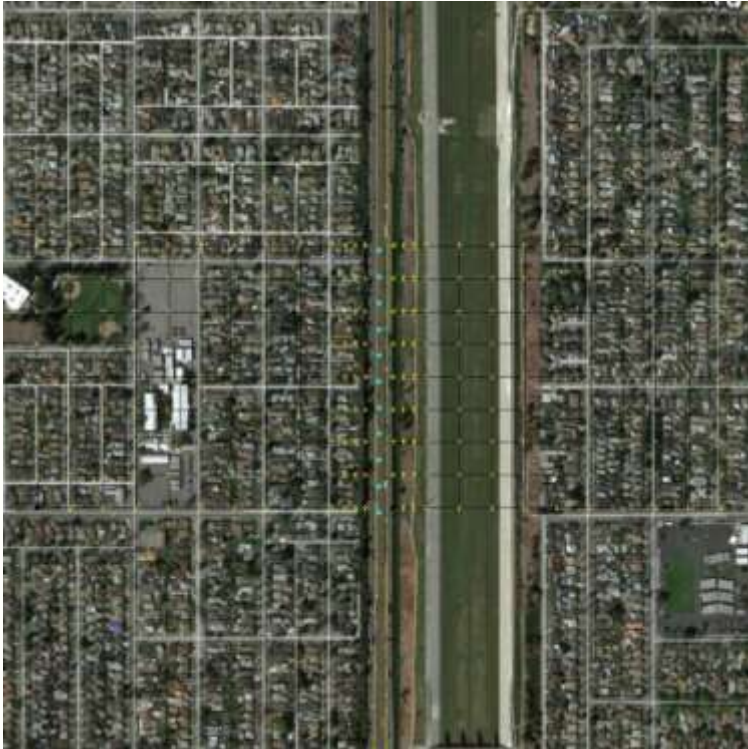
# AERMOD Modeling

- Sound walls – straight and cloverleaf
- Vegetation
- Axial fans on sound walls
- Multi-story buildings
- Biofiltration in cloverleaf



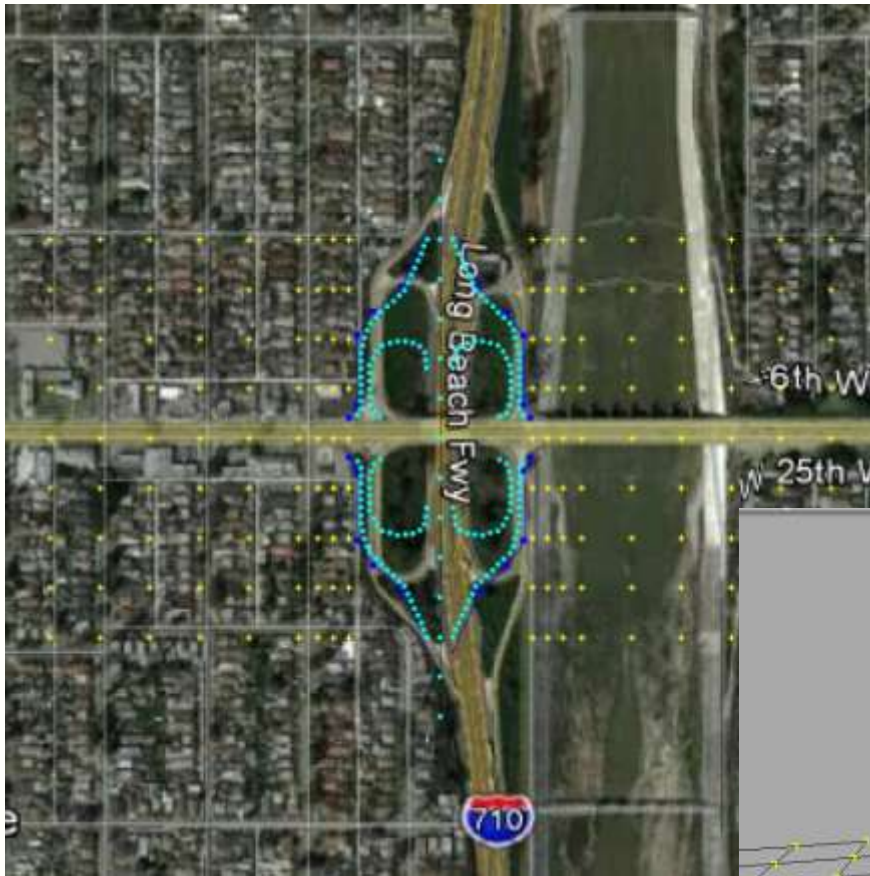


# LONG BEACH FREEWAY

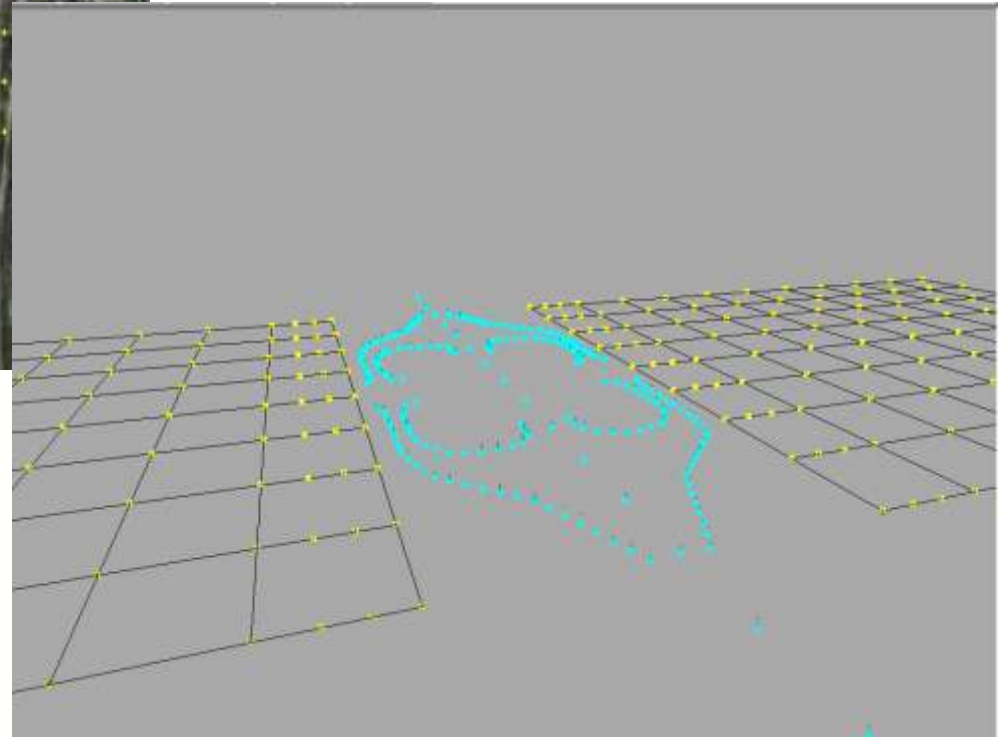


- Traffic volume from CalTrans
- Emission rate – 1 lb/hr
- 1,000 foot segment
- Point source

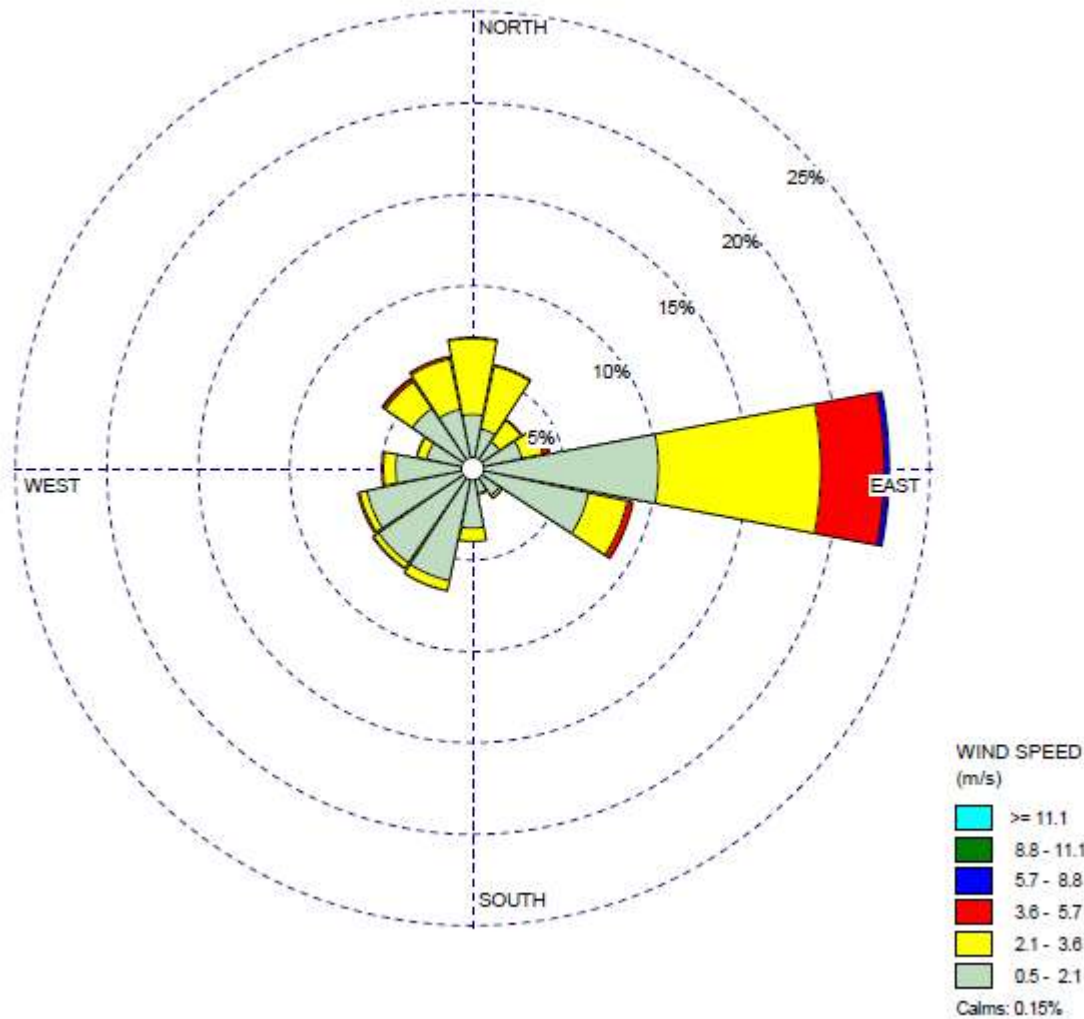
- Downwash
- Release height – 4.15 m
- Release diameter – 6 inches
- Ambient temperature



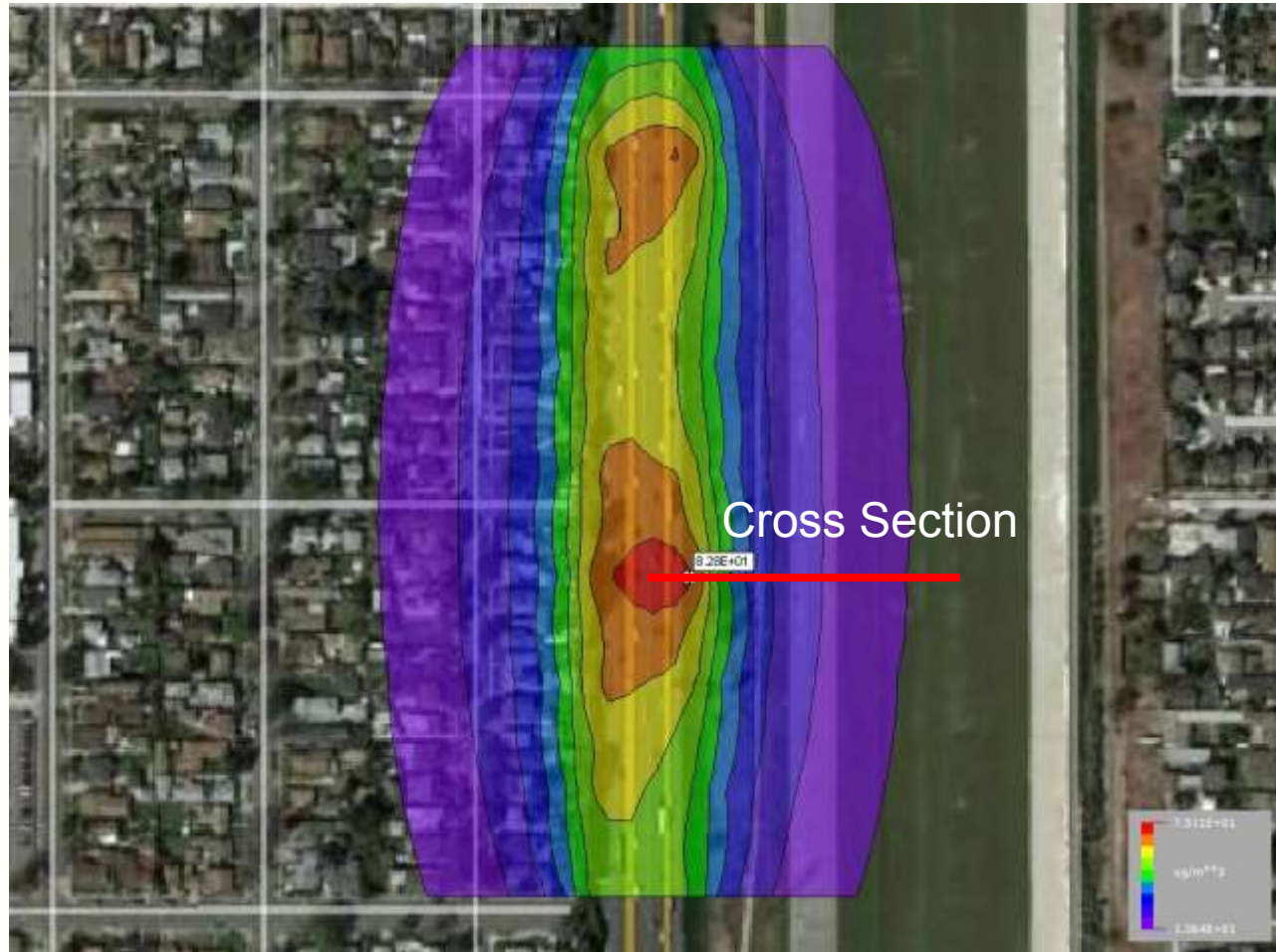
## CLOVERLEAF - FREEWAY



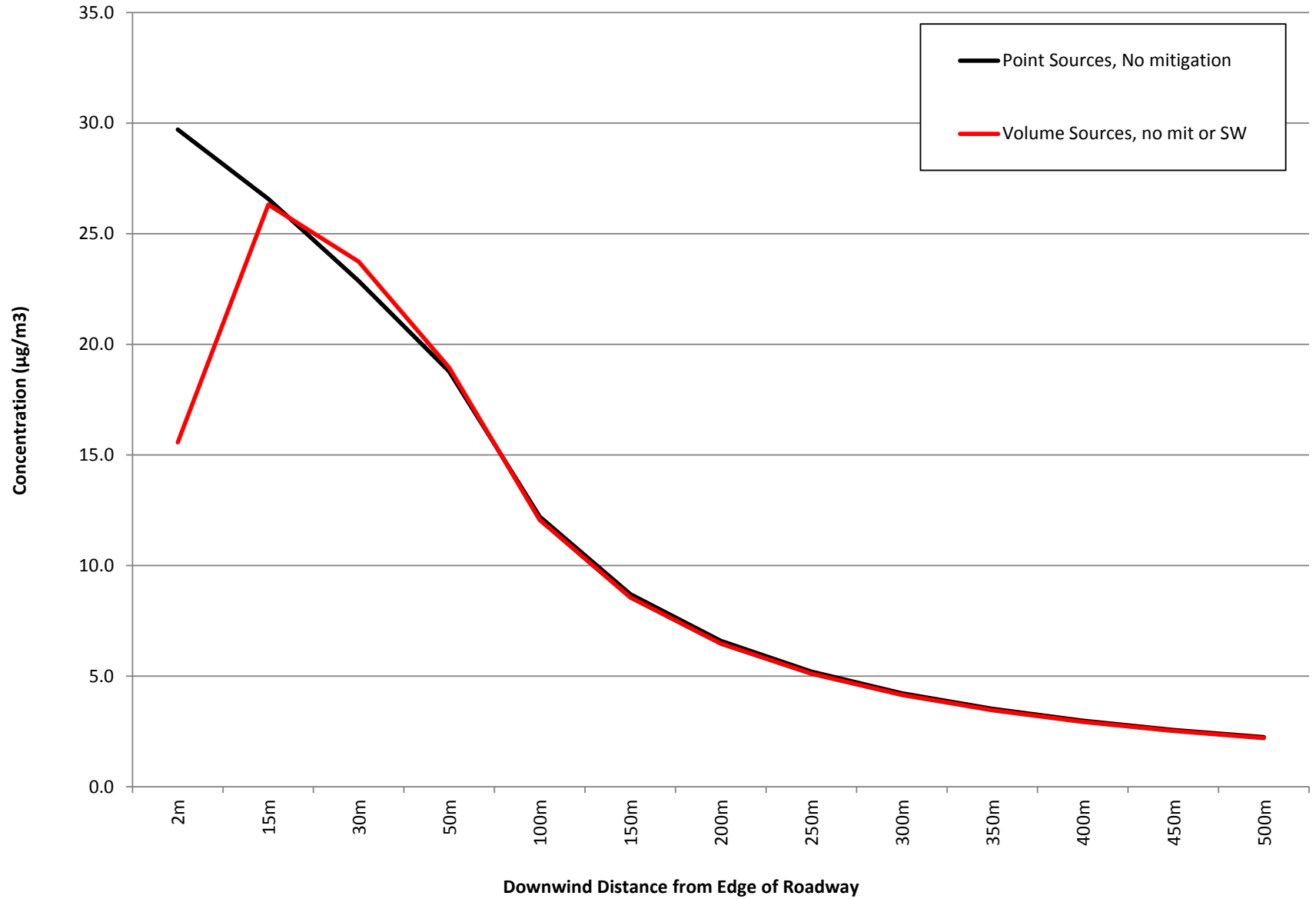
# WIND ROSE – LONG BEACH



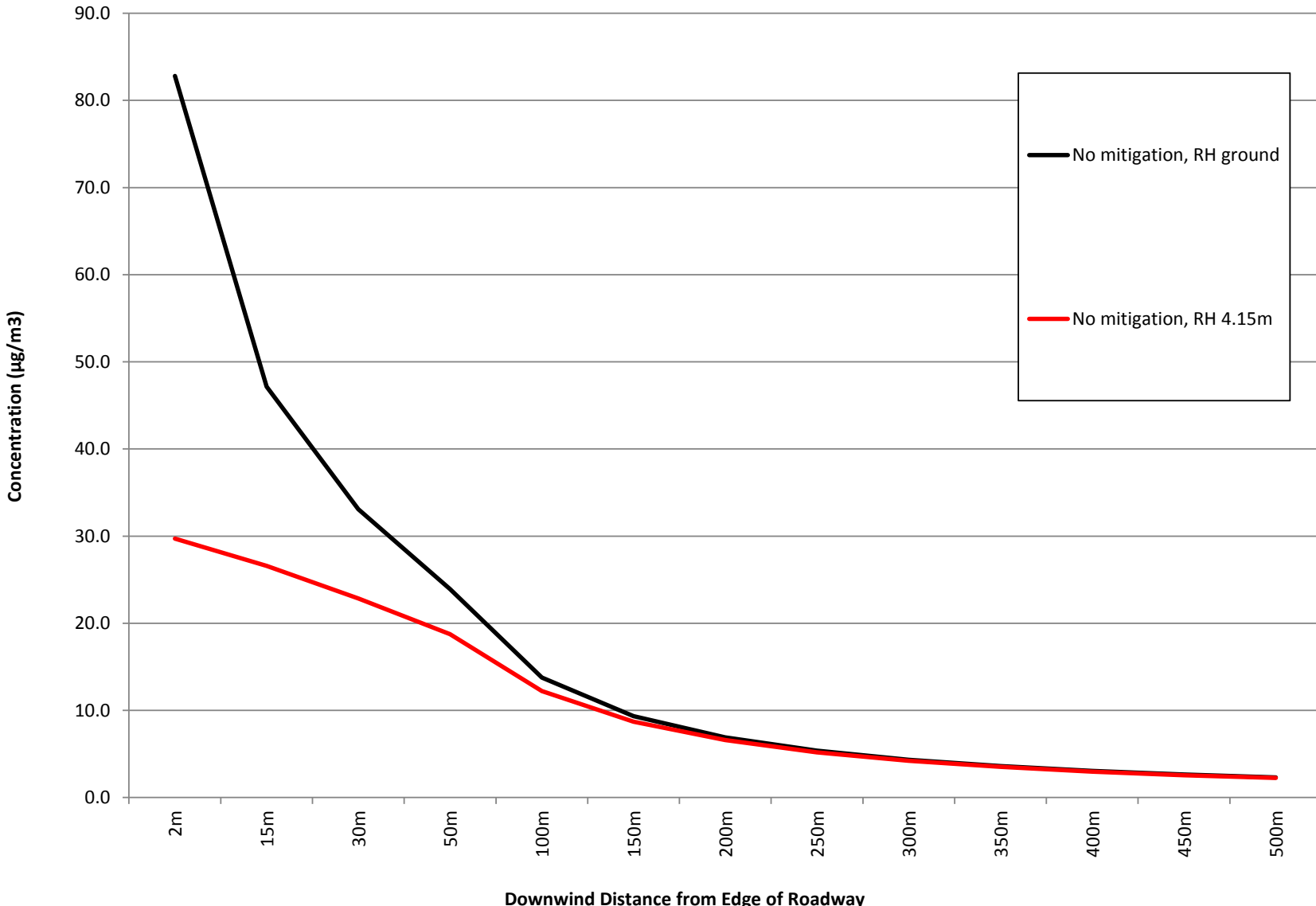
# AERMOD Output



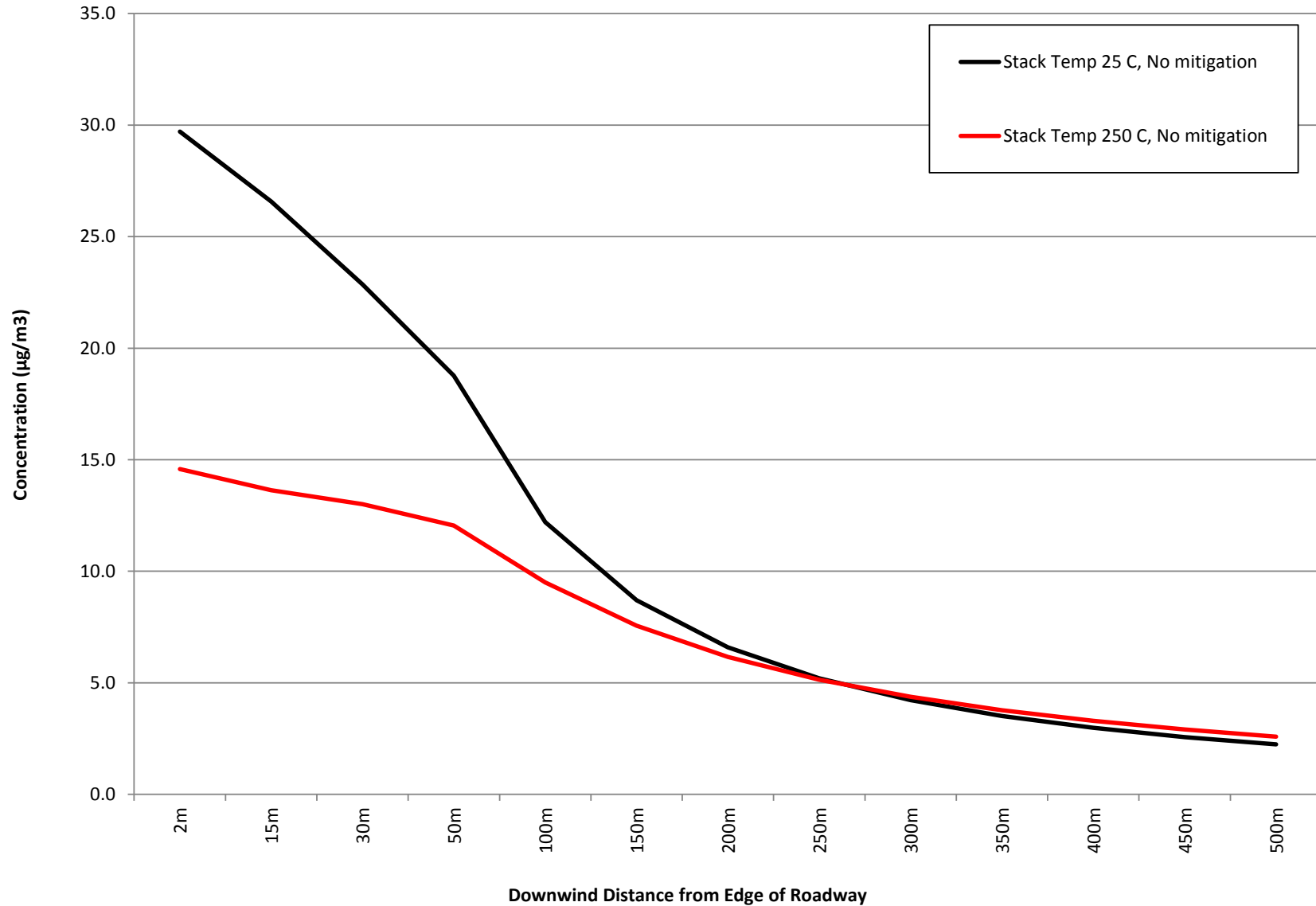
## Point and Volume Source Comparison



### Release Height Comparison

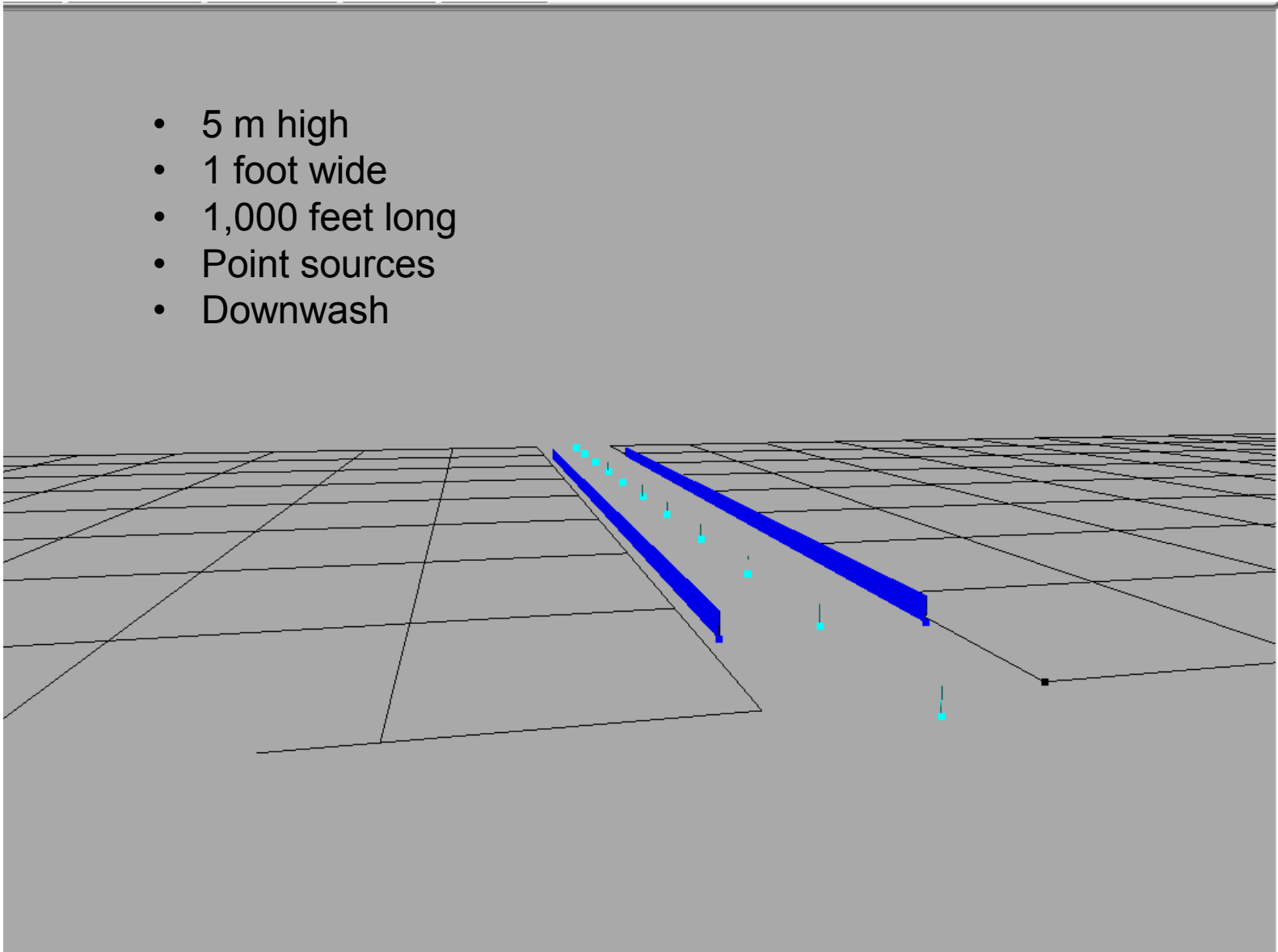


### Stack Temperature Comparison



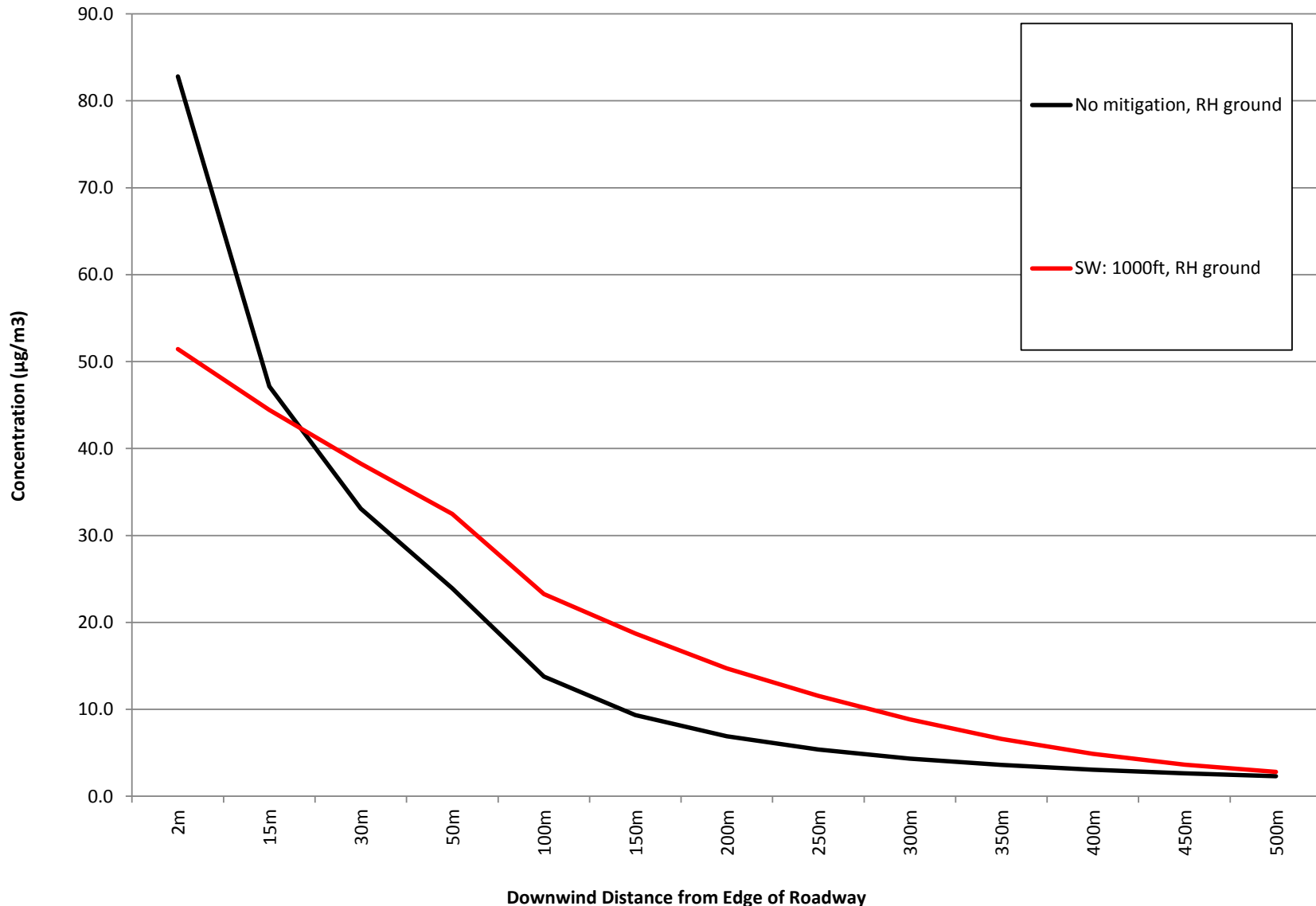
# SOUND WALL

- 5 m high
- 1 foot wide
- 1,000 feet long
- Point sources
- Downwash

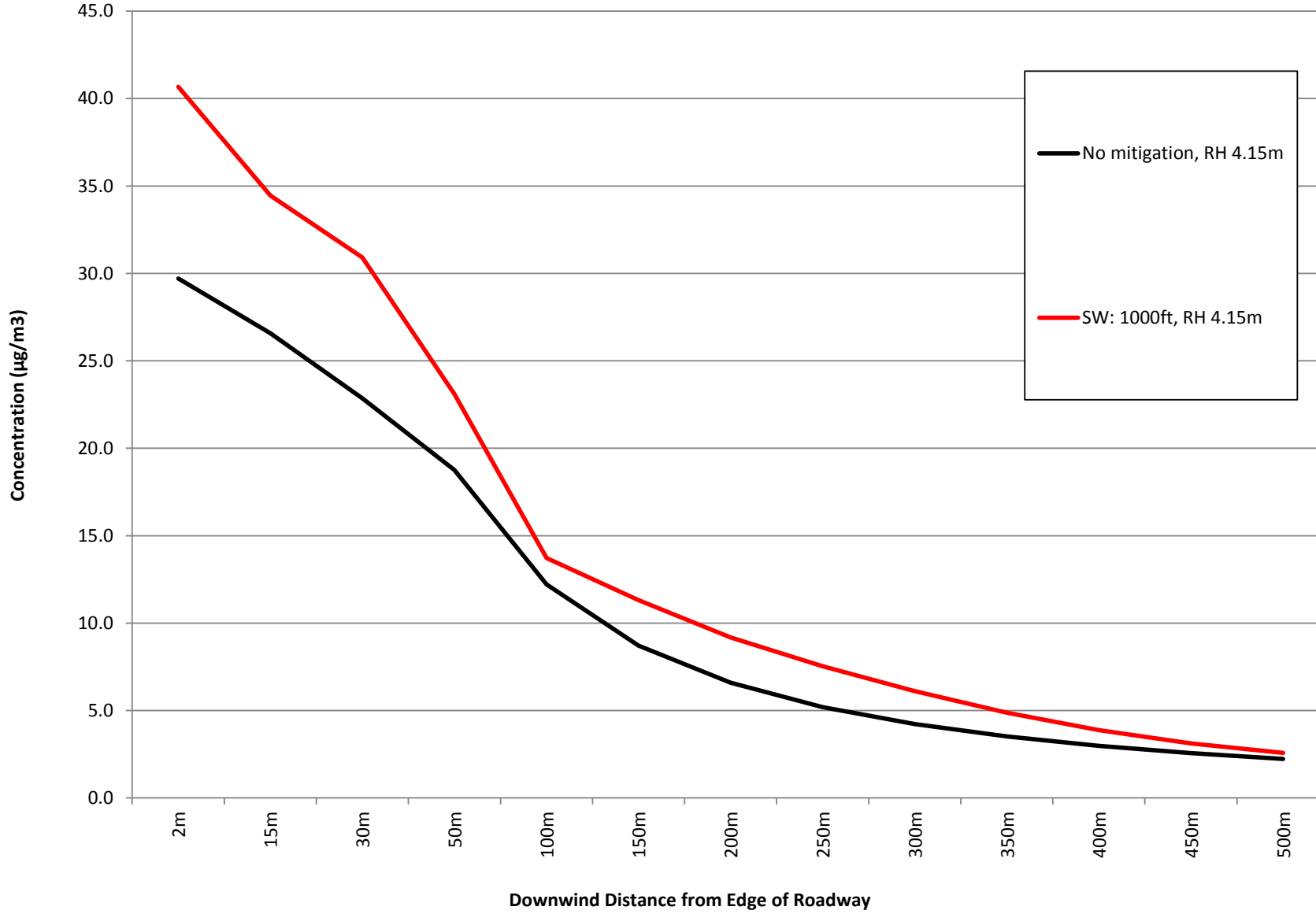




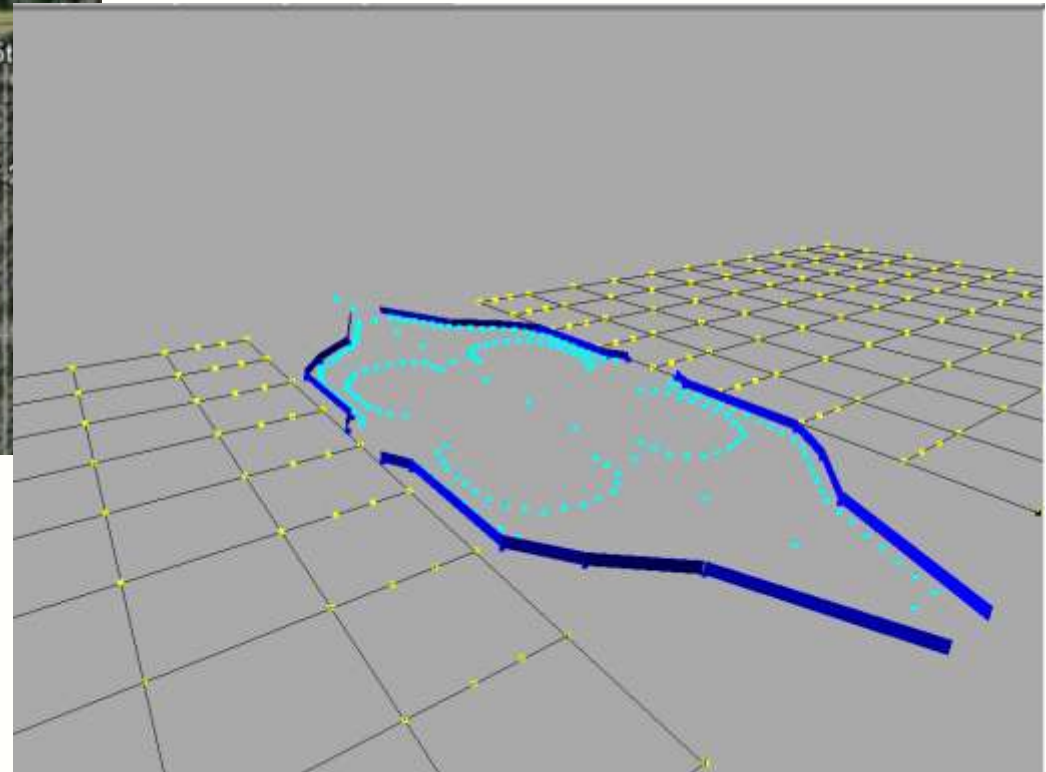
### Sound Wall - Ground Elevation



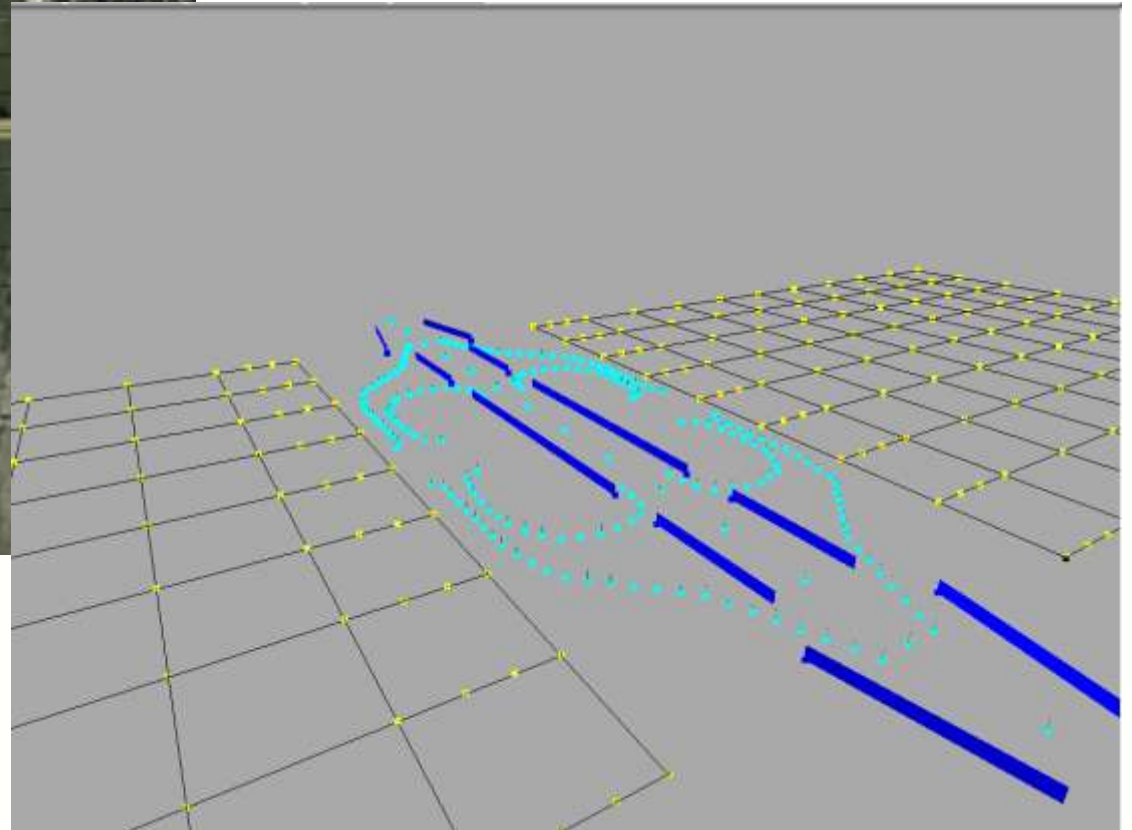
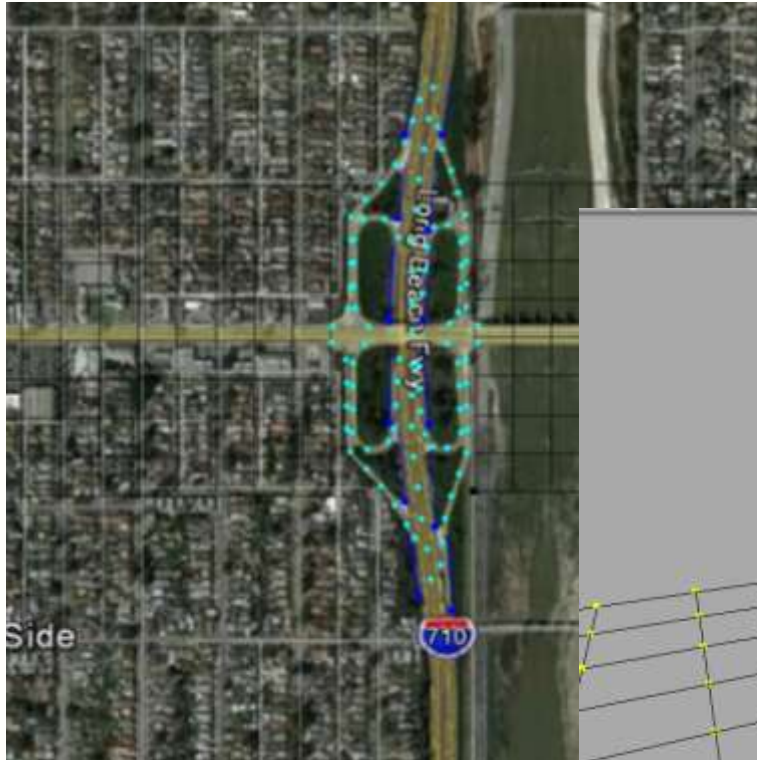
### Sound Wall Comparison - Elevated Release Height



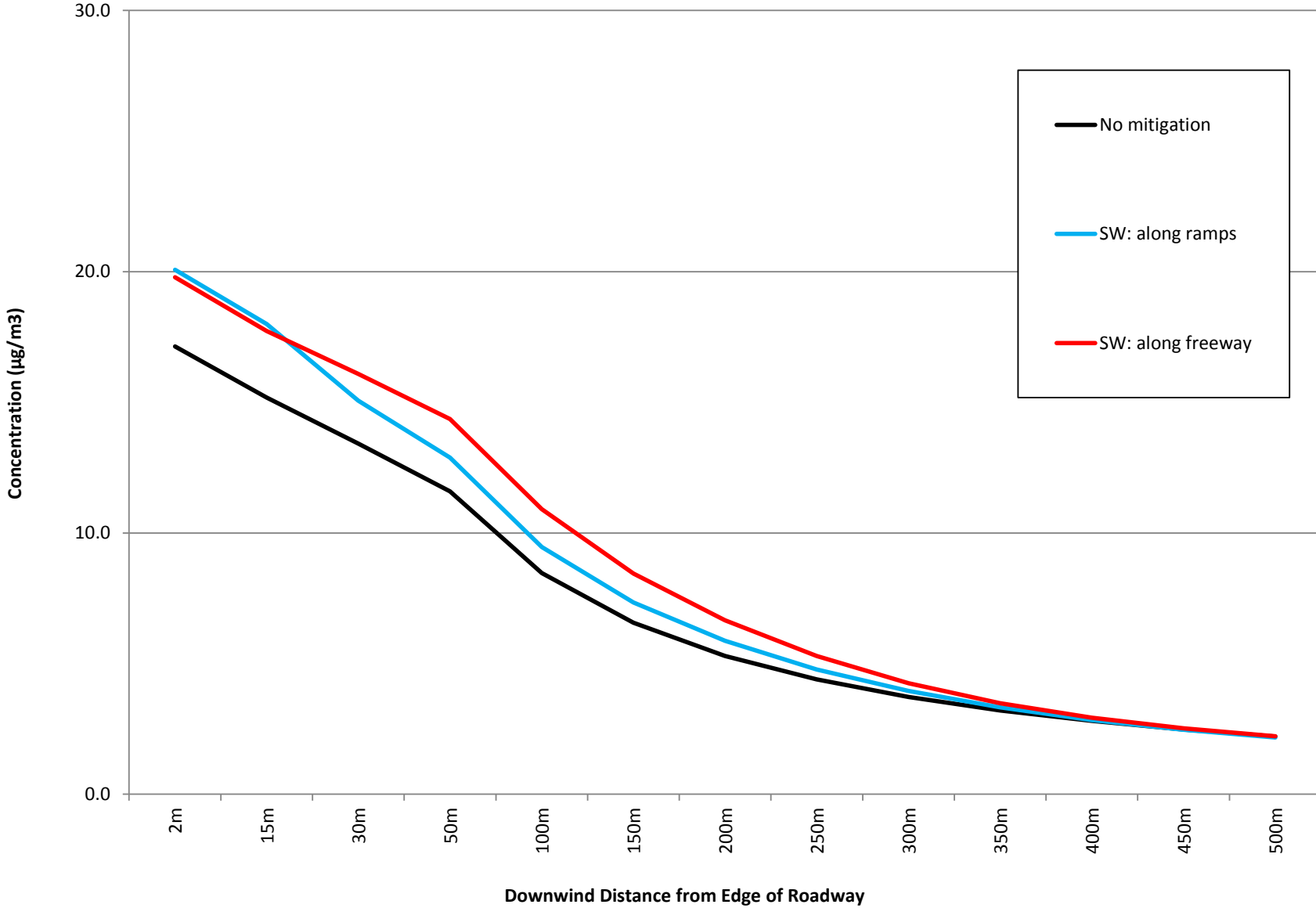
# Cloverleaf Configuration 1



# Cloverleaf Configuration 2

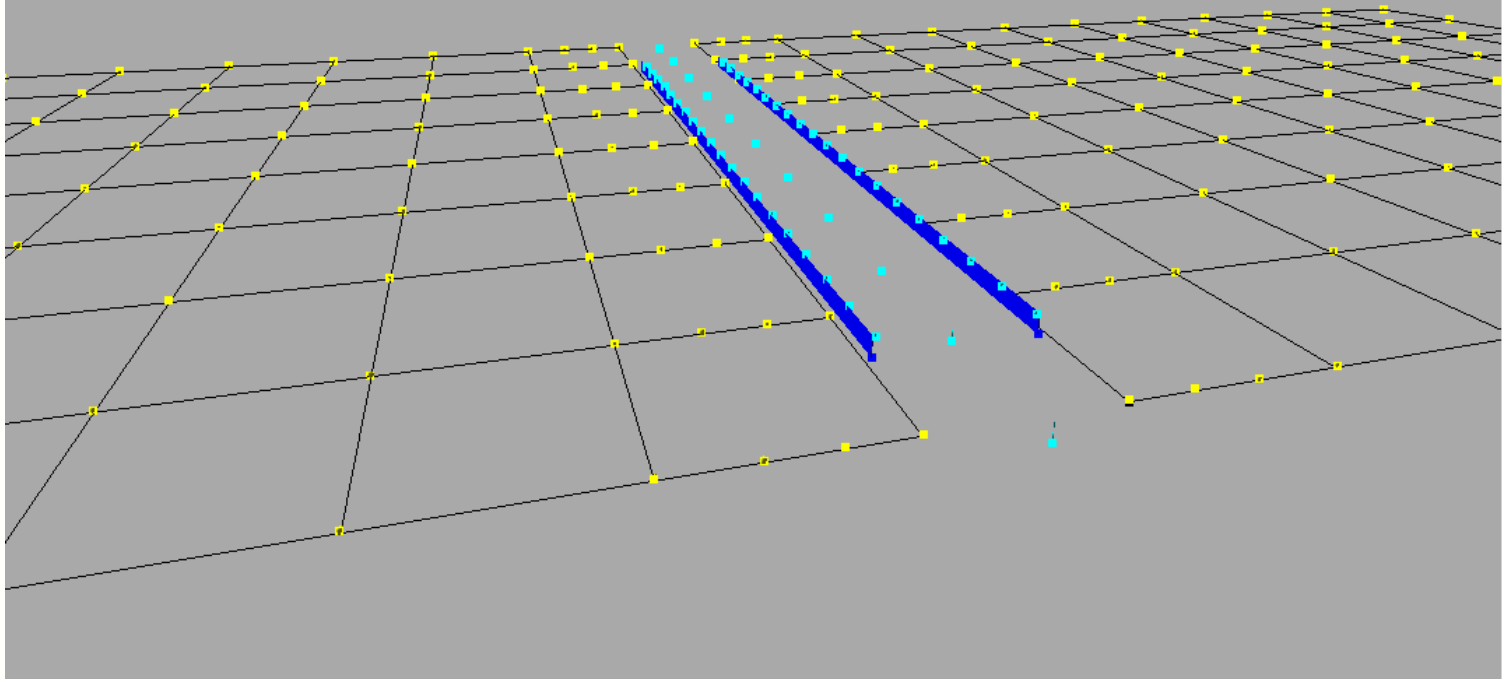


### Cloverleaf Freeway Section - Sound Walls

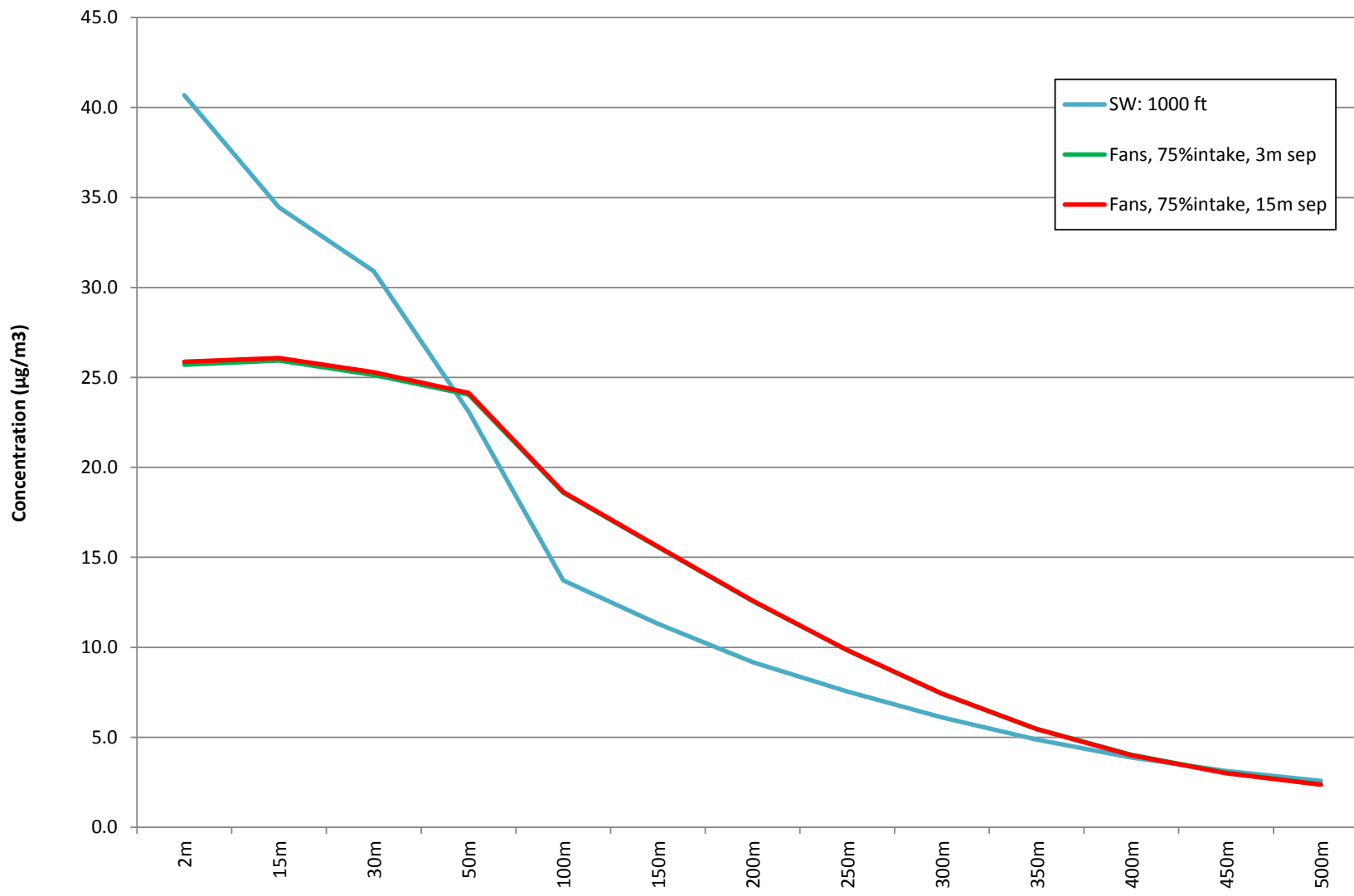


# Axial Fans

- 5 m elevation
- 2.6 feet inside each sound wall
- Height – 2 feet
- Velocity – 7 m/sec
- Diameter – 2 feet
- 75% capture, 25% bypass

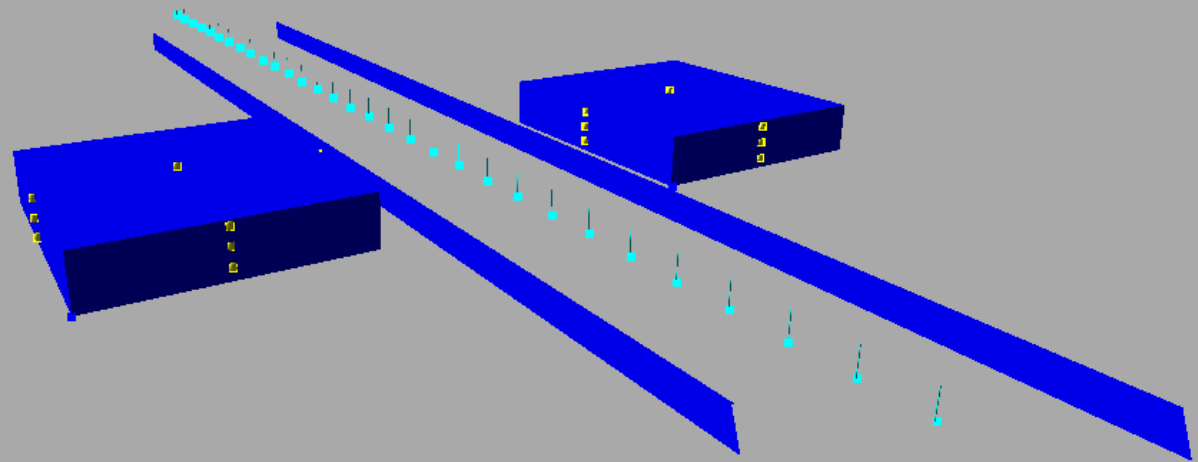


### Axial Fans



# 3-Story Building

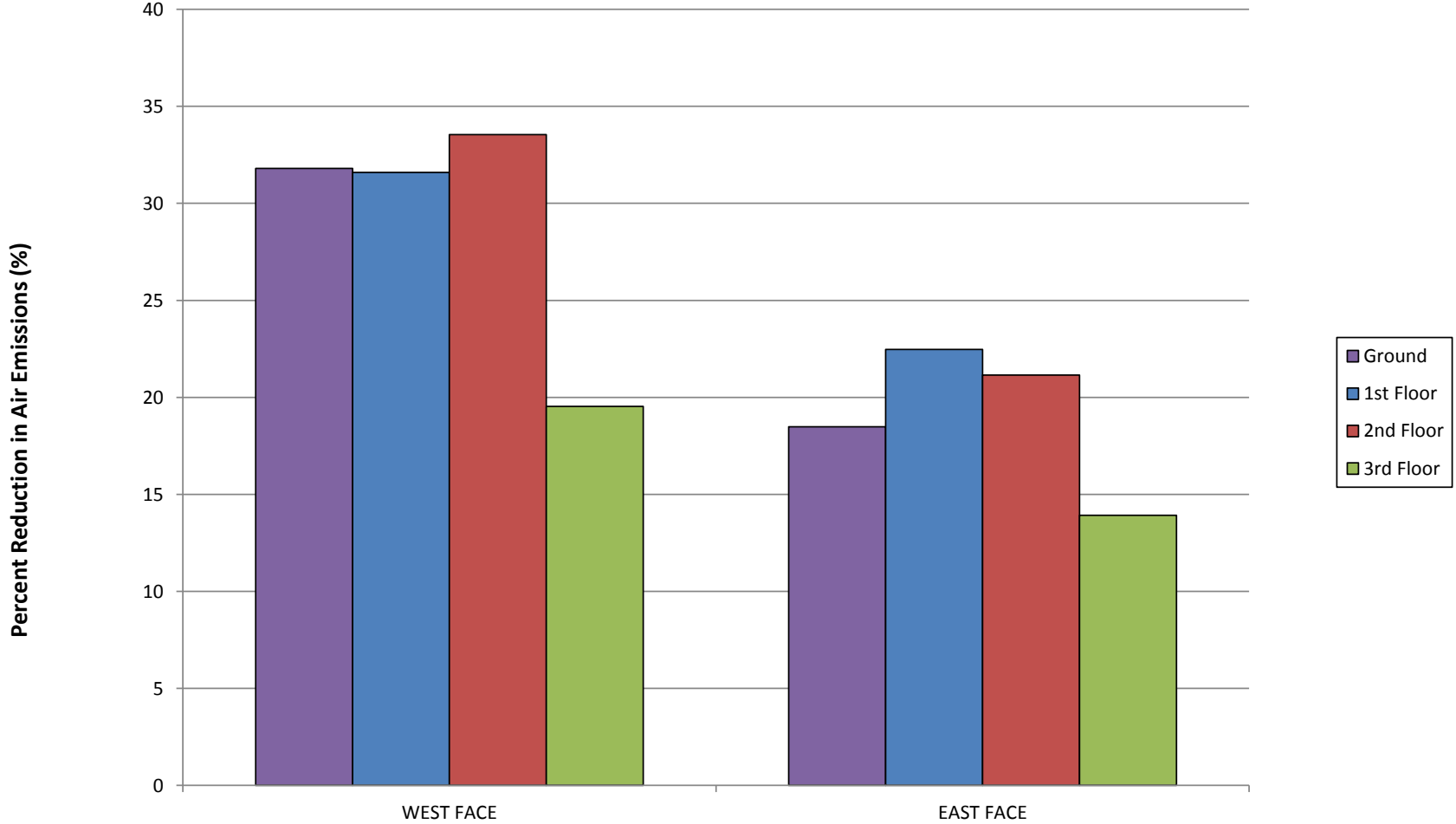
- Each story – 10 feet high
- Building dimensions – 50m x 50 m
- Distance from roadway edge – 5 m



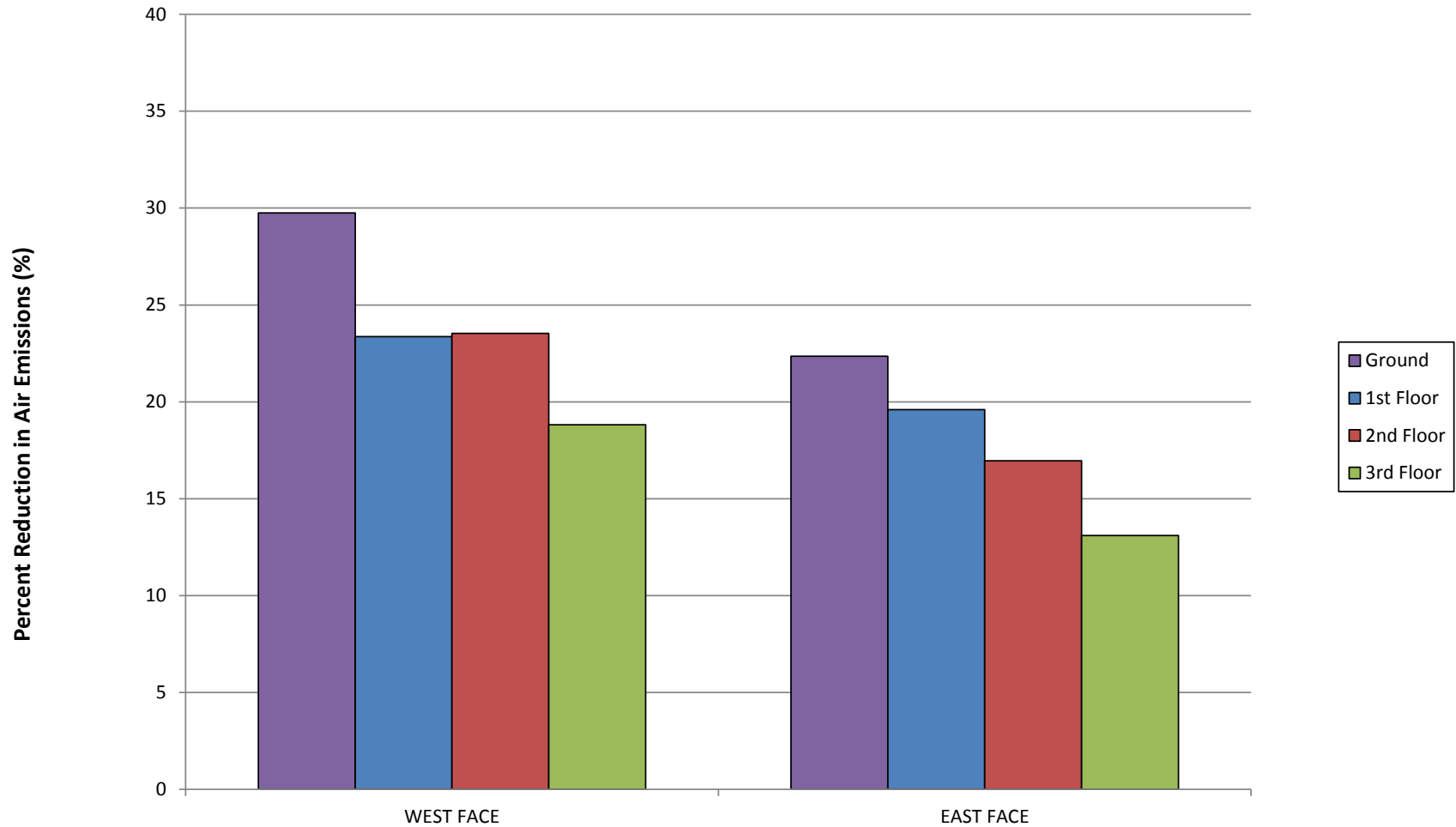




### 3-Story Building, no Soundwall Downwind Building (compared to ground level, no buildings)

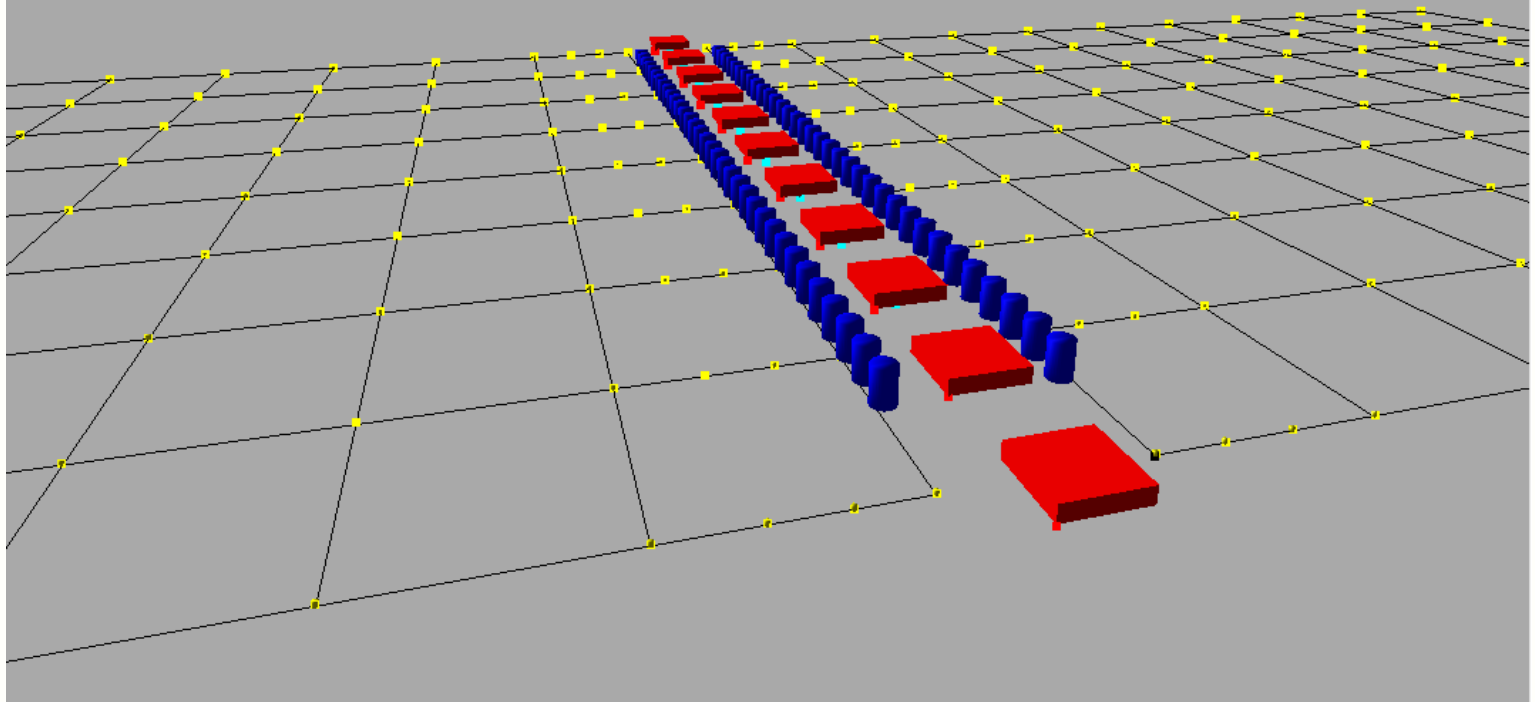


### 3-Story Building, with Soundwalls - 5 meter high, Downwind Building (compared to ground level, w/ soundwalls, no building)

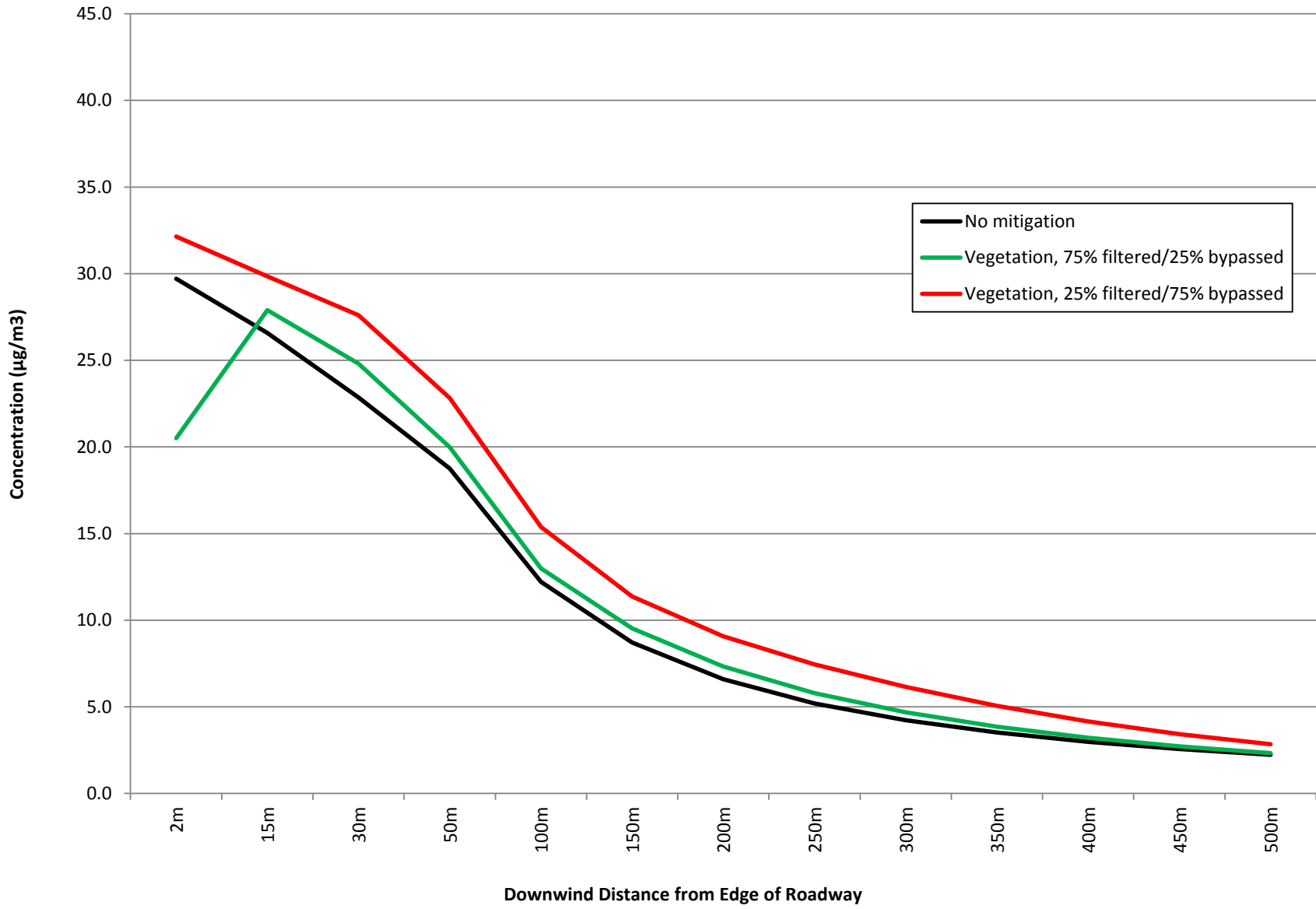


# Vegetation

- Trees – 30 feet high
- Spacing – 10 m apart
- Radius – 10 feet
- 75% filtered, 25% bypass



### Vegetation



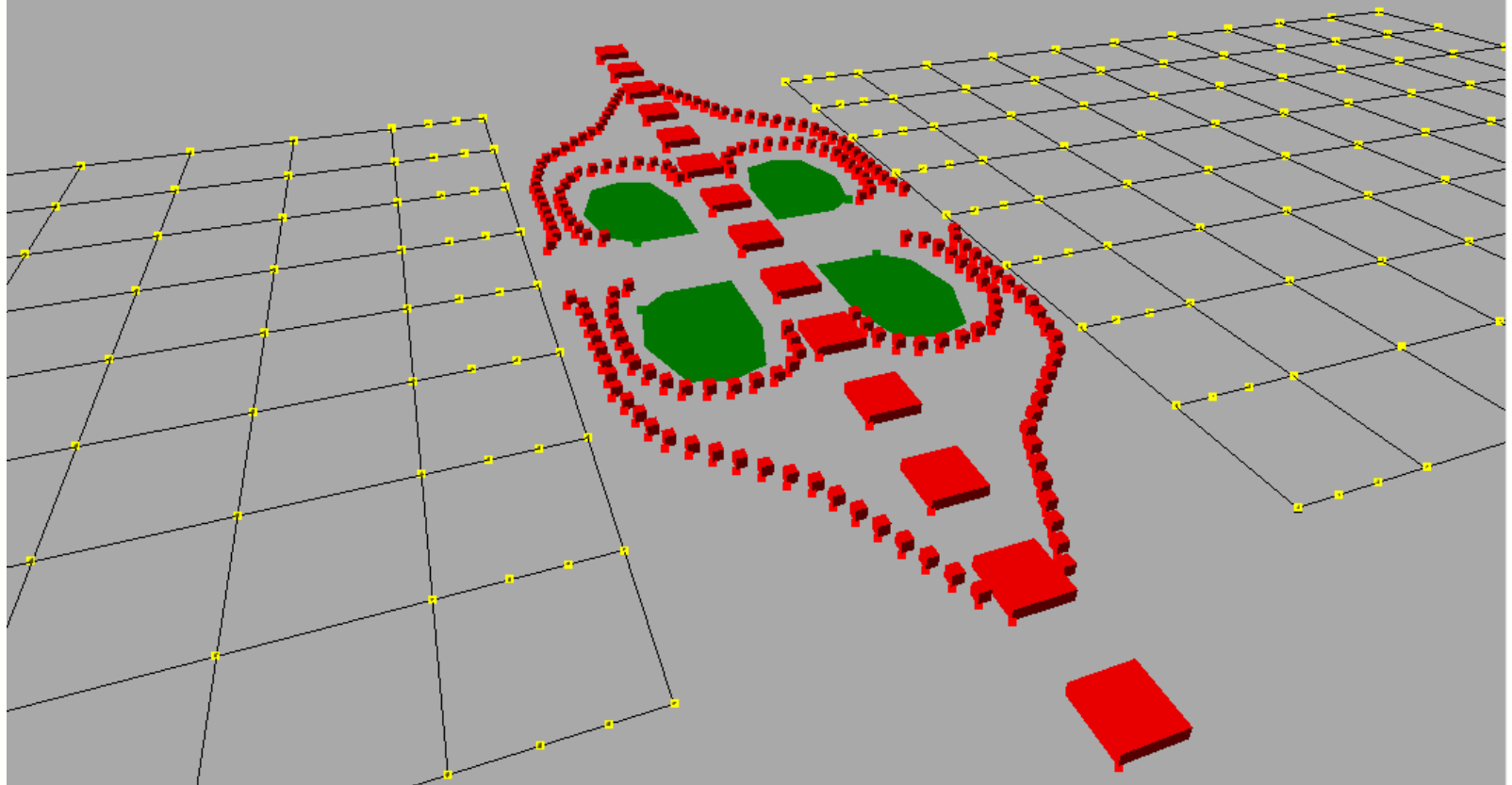
# Biofiltration - Cloverleaf

Area of biofiltration – 2,400 m<sup>2</sup>

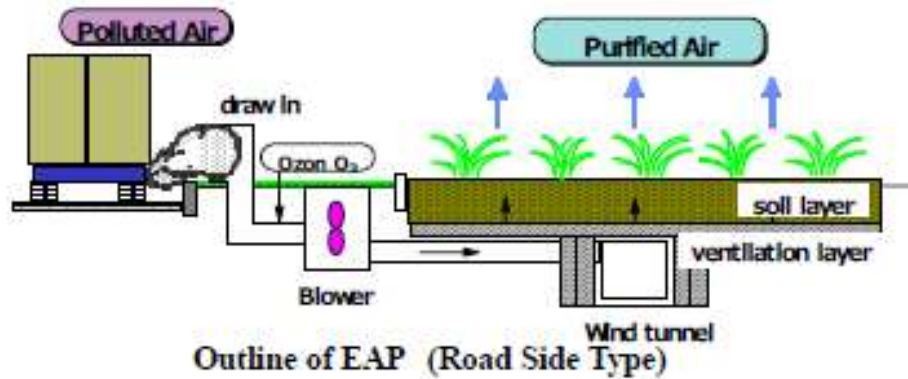
Filtered air – 25%, 75%

Removal rate of filtered area – 90%

Roadway – no sound walls, no downwash



# Fujita Earth Air Purifier (EAP)

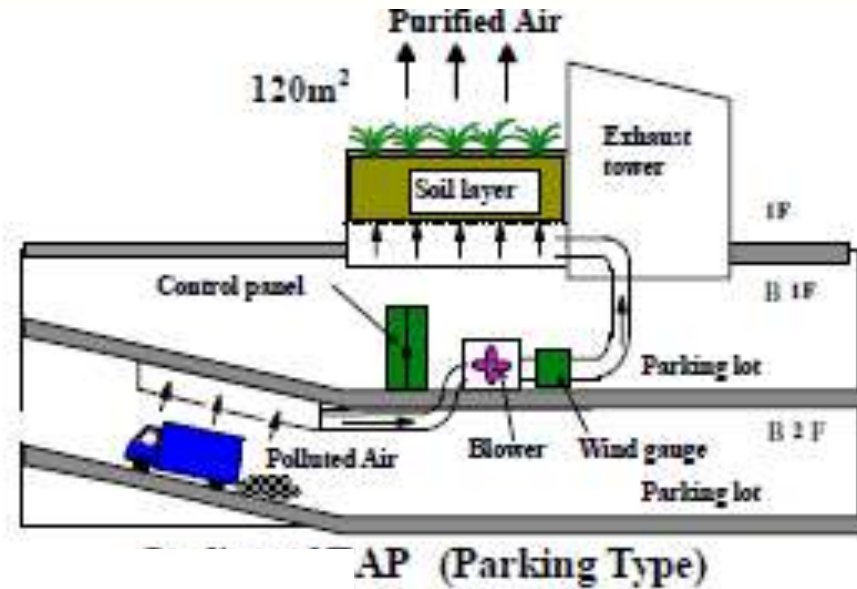


Roadside - Freeway

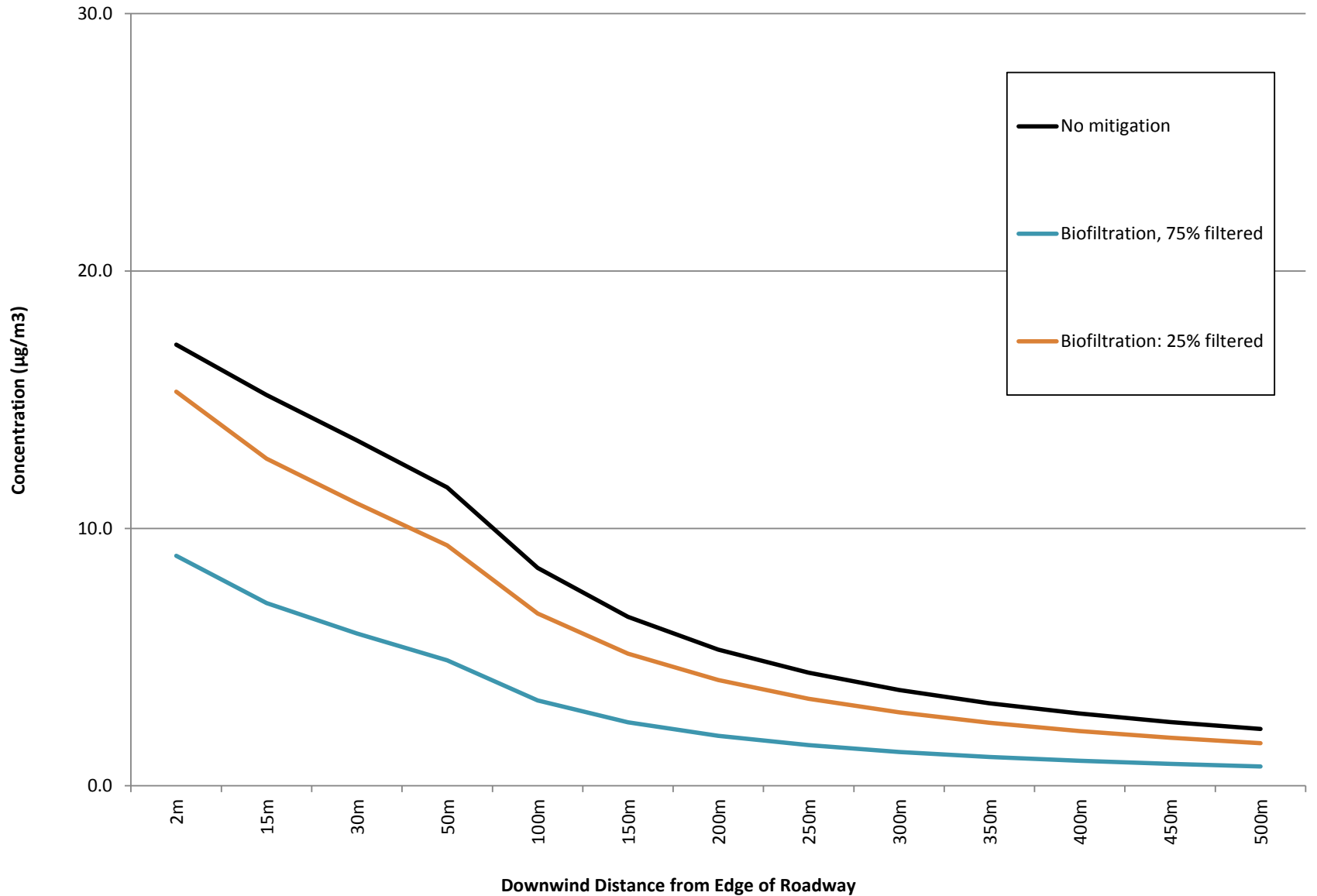


# Fujita – Earth Air Purifier

Tunnels  
Parking Garage



## Mitigation Comparison for Cloverleaf Freeway Section





# Summary

## AERMOD Modeling

### **Increase Downwind**

- Sound Walls
- Vegetation

### **Decrease Downwind**

- Multi-story buildings
- Biofiltration - cloverleafs

# Recommendations

- Cut, at-grade, elevated freeway model runs
- Sound walls – elevated vs ground level
- Vegetation – model with deposition
- Sound wall + vegetation model run
- Obtain additional information on Fujita biofiltration system
- Investigate noise attenuation and pollution filtration – Great Wall of Mulch

# Conceptual Research Studies to Assess the Feasibility of Near-Roadway Pollution Mitigation Technologies: Vegetative Barriers

presented  
at

South Coast Air Quality Management District  
Technology Forum on Near-Road Mitigation Measures and Technologies

by

Frank Di Genova QEP

co-authors Dr. Marc Valdez and Bob Dulla PI

Sierra Research

November 21, 2013



# Purpose

To investigate...

- ❖ Conceptual feasibility
- ❖ Design
- ❖ Benefits and
- ❖ Effectiveness

of roadside vegetative barriers in reducing roadway air quality impacts on nearby receptors

# Approach

- Literature Review
- Field Study (to help select & 'calibrate' AQ model)
  - ❖ Site Selection (w. District staff)
  - ❖ Sampling
  - ❖ Model Selection (EPA-approved AERMOD)
- Conceptual Modeling
  - ❖ parts of study site with & w/o veg barriers
  - ❖ hypothetical barriers
- Findings/Recommendations

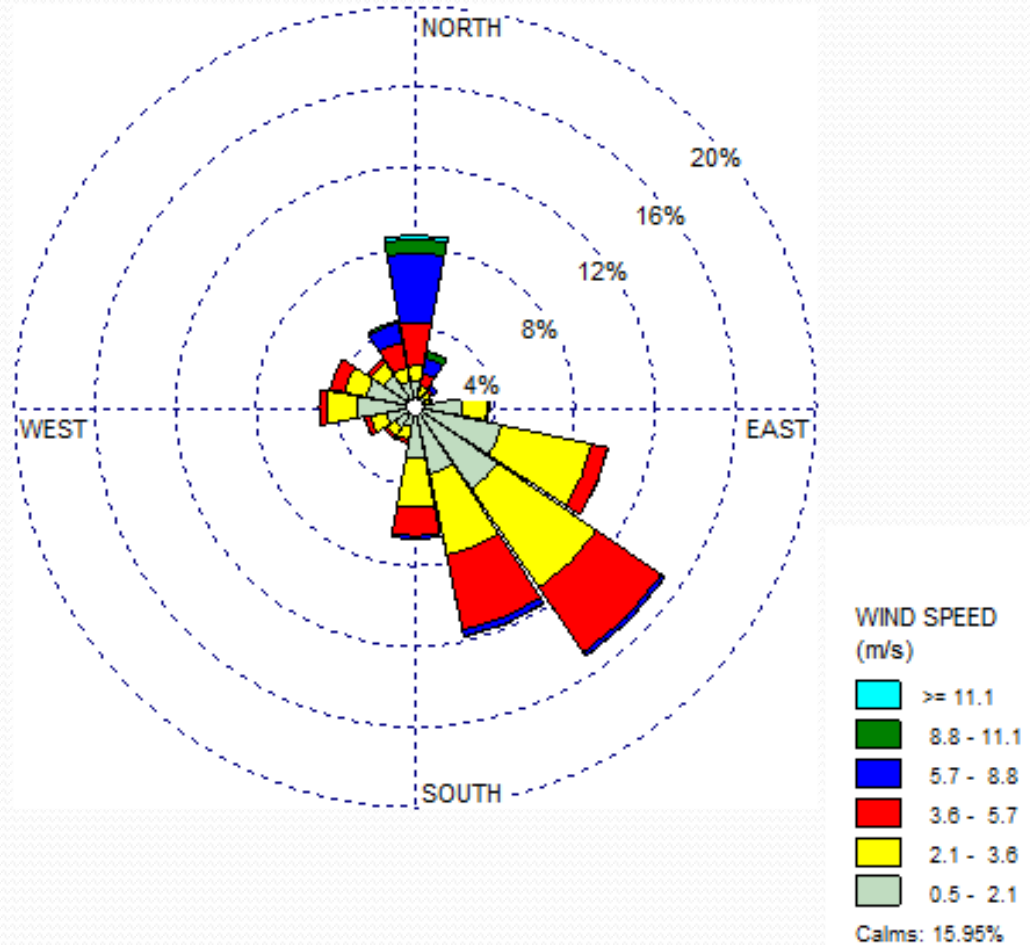


# Site – Ventura Freeway near Lake Balboa, LA County



# Van Nuys Airport 10-year Wind Rose

(source: Iowa Environmental Mesonet, ISU)

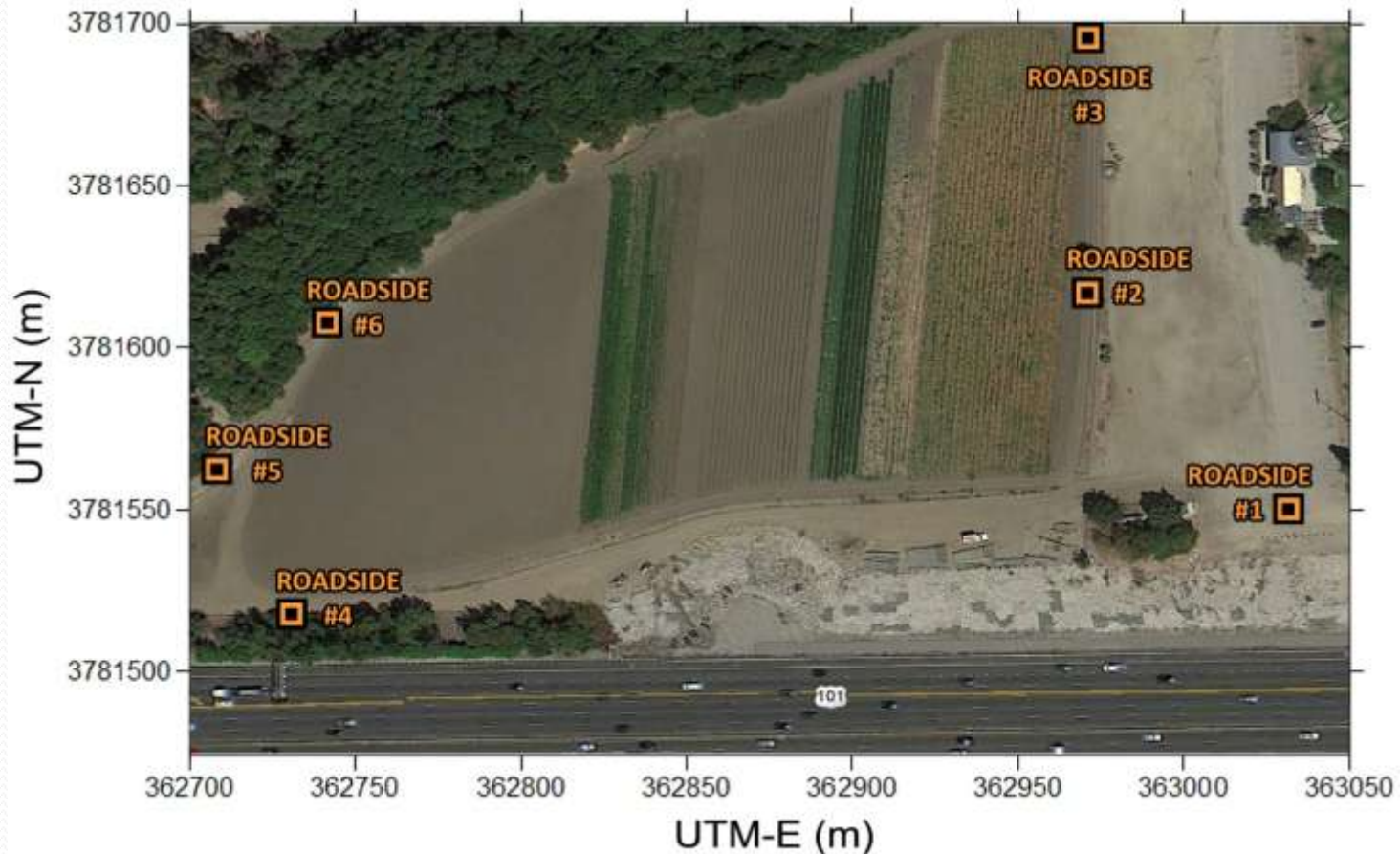


# Met Tower, Sampling Vehicle & Part of Veg. Barrier

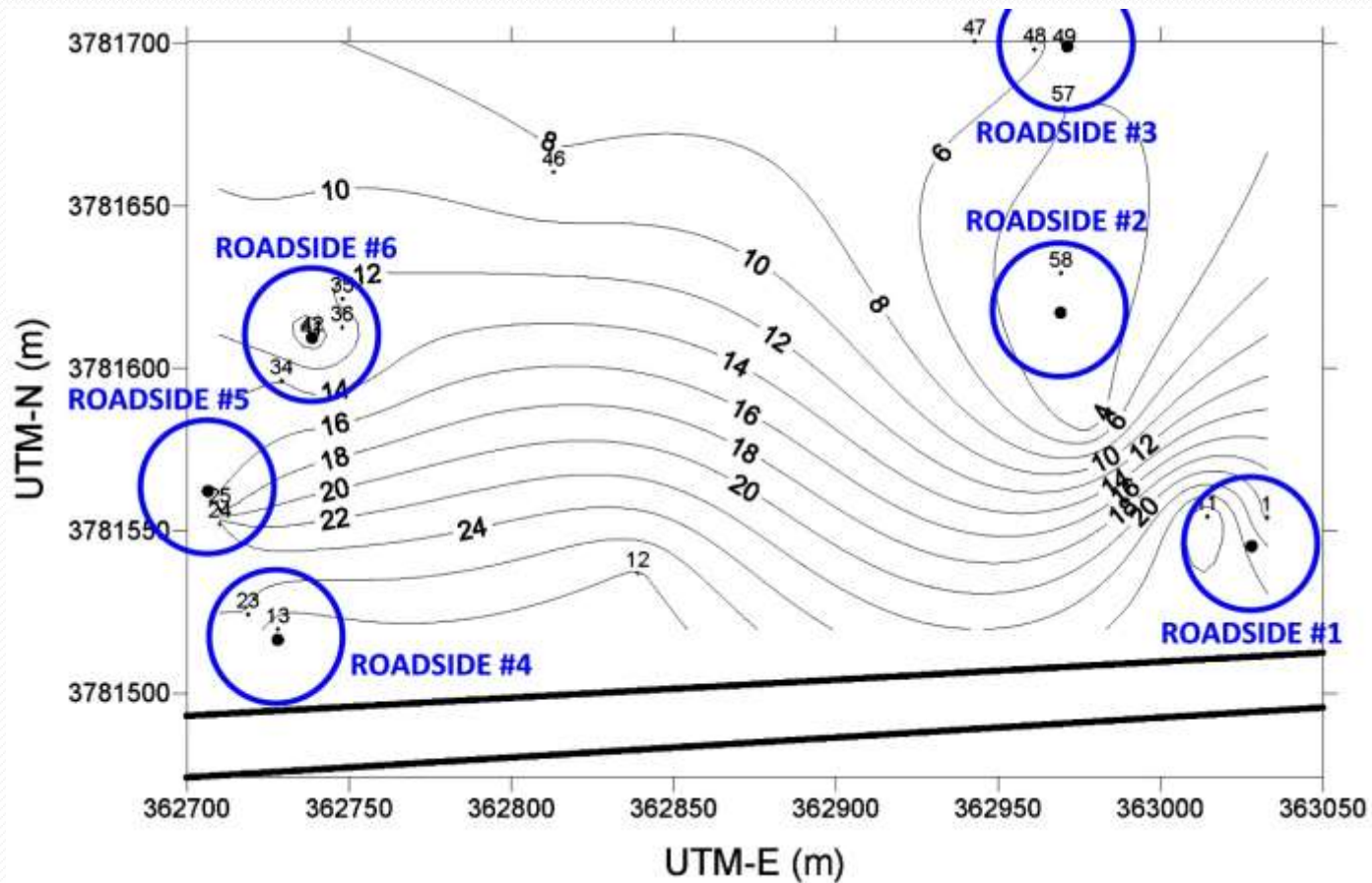




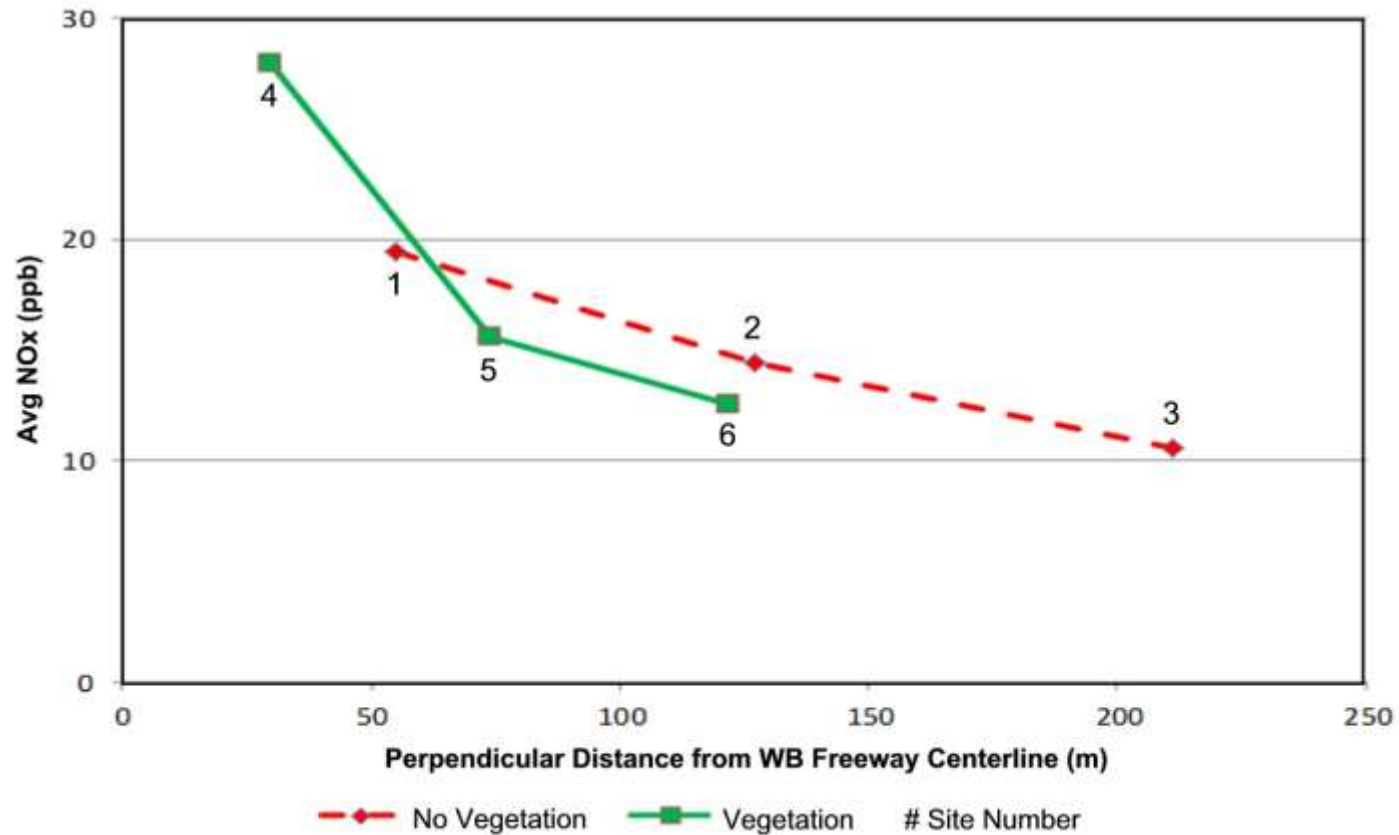
# Study Site showing Veg. Barrier (4,5,6) and Non veg. barrier (1,2,3) sites



# NOx isopleth 'snapshot' for predictable, steady sea breeze 6/4/12, wind 179°@1.98 m/s



# Avg. NOx Concentration vs Distance for sites with (4-6) and Without (1-3) Veg. Barrier

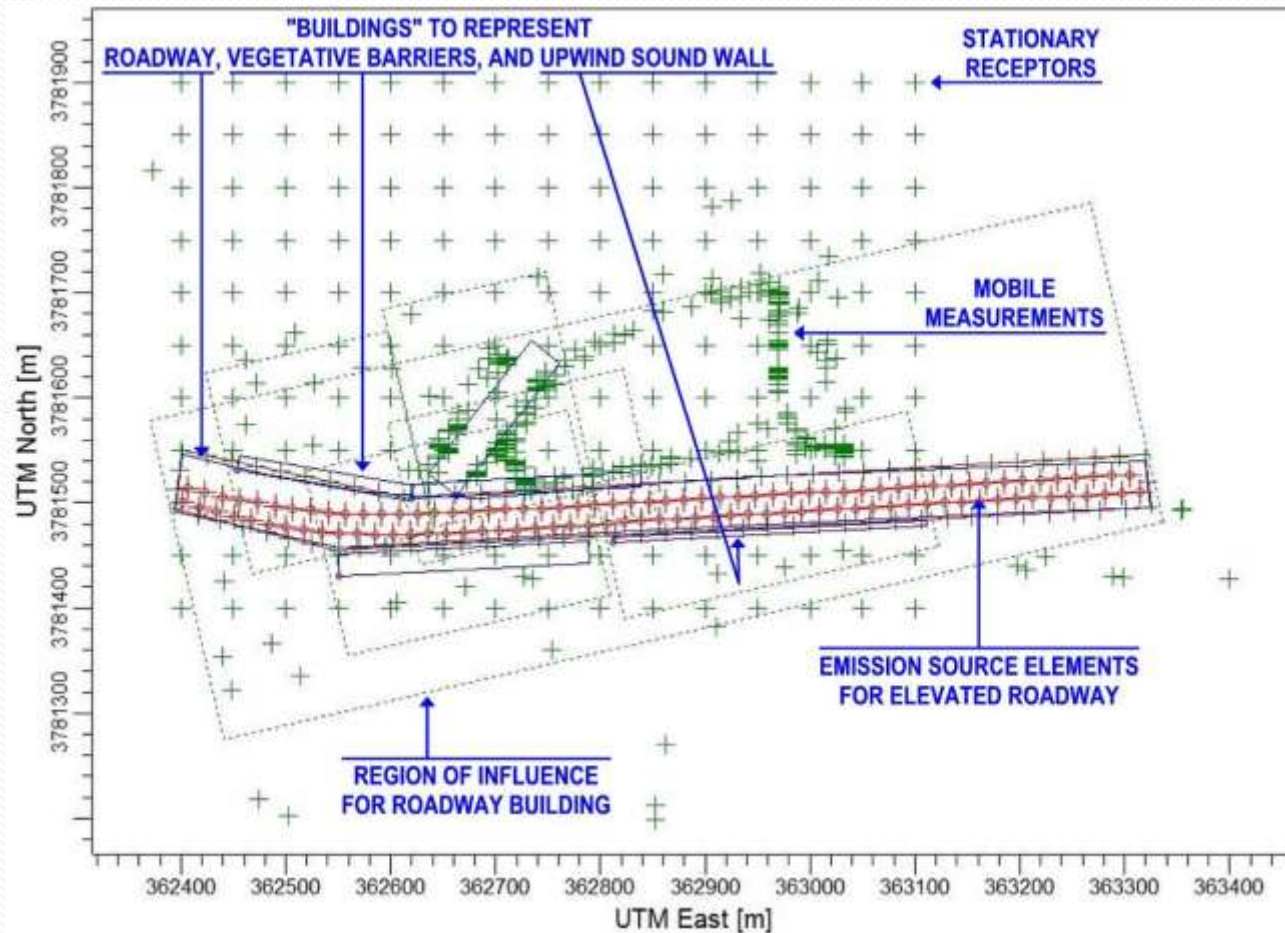


## Field Measurements Showed Complex Patterns

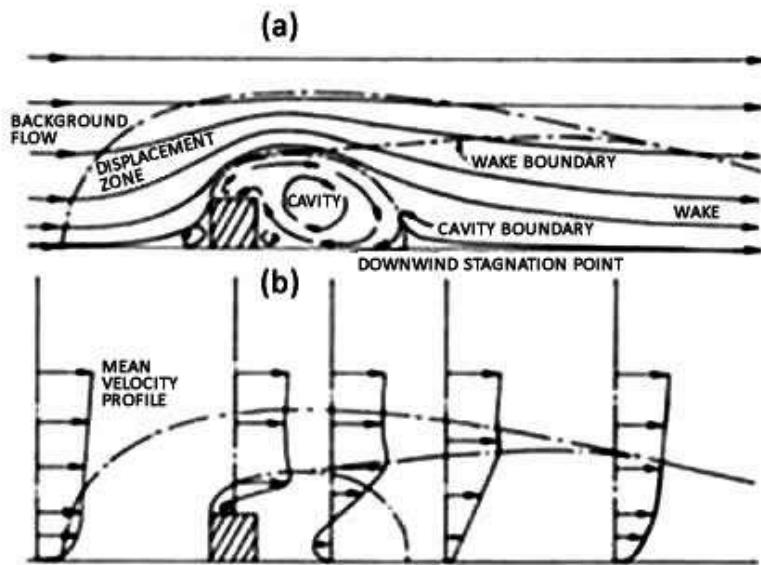
- Concentrations declined with distance from freeway
- Average concentrations higher on W (barrier) side but it's closer to freeway
- Can't say which side higher peak concentration (fewer readings E side)
- E concentrations sometimes high, but fleeting (varied more w. wind direction)
  - ❖ Winds  $<130^{\circ}$  no E hot spot activity
  - ❖ Winds  $>130$  (from S) showed E hot spot
- Likely source is sound wall S (upwind) side of freeway



# Configuration of AERMOD



# Building Downwash Helped Explain Effects of Upwind Soundwall

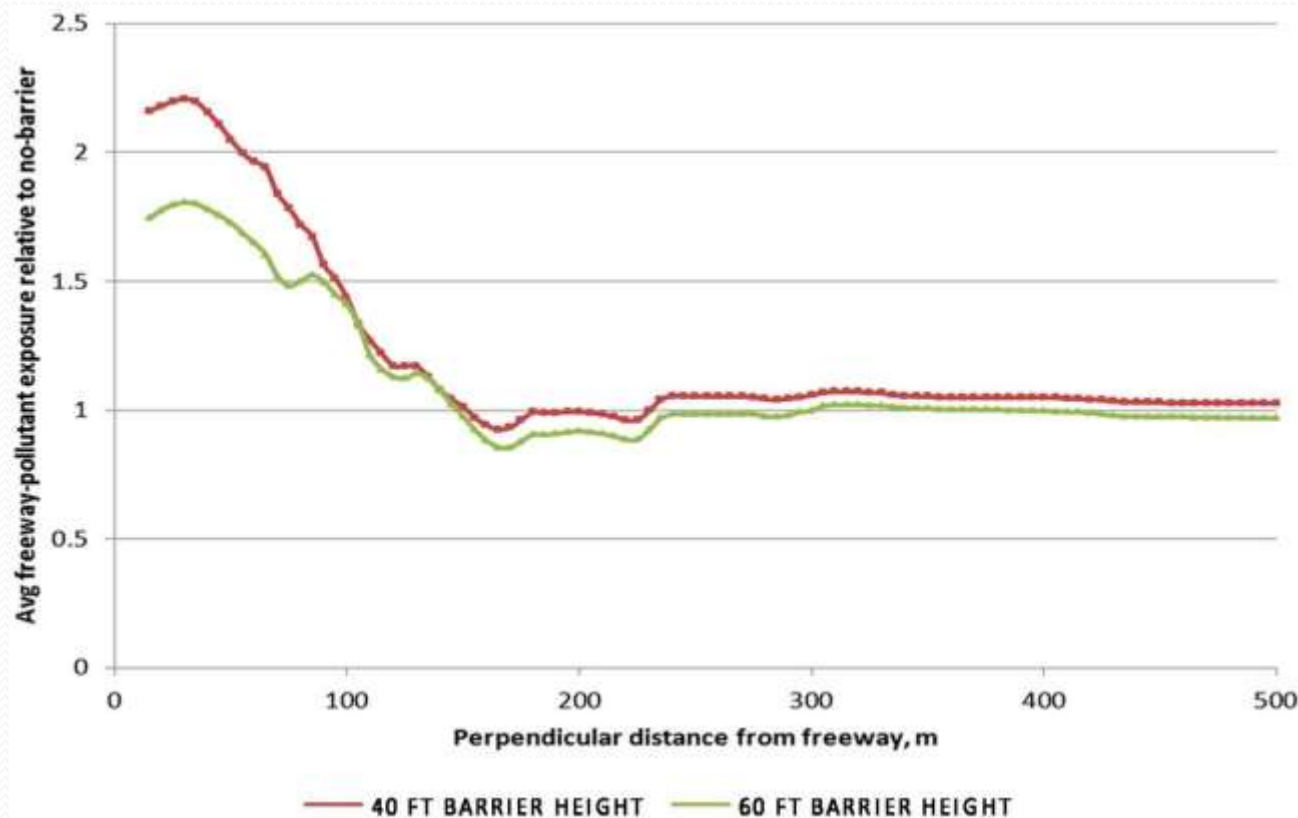


(Adapted from <http://www.rag.org.au/tunnel/plumes.htm>)

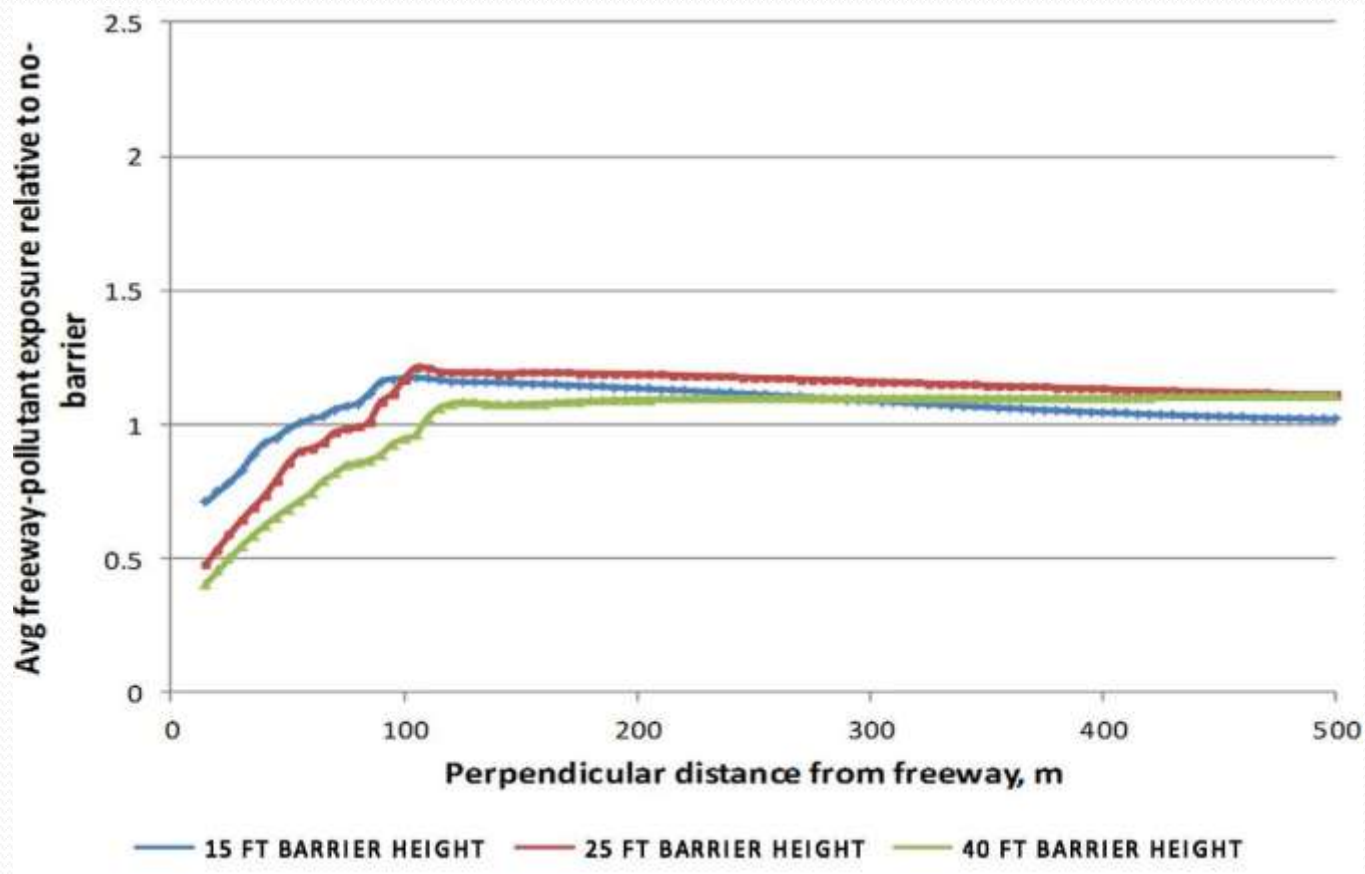


Source: <http://blog.nus.edu.sg/yiuyan/2009/10/08/Gaussian-plume-modeling/>

# Modeled Relative Annual Average Exposure vs. Downwind Distance: 25' Elevated Freeway, Point Source Modeling, Varying Barrier Height



# Modeled Relative Annual Average Exposure vs. Downwind Distance: Hypothetical At-Grade Freeway and Varying Barrier Heights







# Summary of Findings

(see full report for important caveats)

1. Barriers can increase or decrease near-roadway concentrations.
2. Measured data (5 days) were used to validate AERMOD for these cases.
3. Complex measured & modeled concentrations; highest concentration (but closest sample point) was behind the veg. barrier
4. Barrier-induced bldg. downwash more important than roughness element.
5. Taller barriers made lower cavity concentrations (dilution effect).

(cont'd)



## (Summary, cont'd)

6. Point modeling best at higher wind speed (can model downwash), volume modeling at lower ws; both poor at lowest wind speeds.
7. Lower barriers -> higher concentrations closer to freeway and vice versa.
8. Model results sensitive to small wind speed change; need care in modeling.
9. Hypothetical at-grade freeway has near-field AQ benefit , mid-disbenefit, no effect beyond.
10. Exploratory multi-building model with veg. barrier showed downwash.
11. Results consistent with prior studies where sufficient detail to compare.



# Recommendations

- Considering downwash effects of roadside barriers provides a new perspective.
- Key elements new from this work:
  - ❖ documenting the importance of roadway grade in understanding barrier effects and
  - ❖ identification of at-grade roadways as possible sites where benefits of barriers may be maximized.
  - ❖ Therefore, when a project includes such barriers:
  - ❖ Data should be collected on the key parameters including freeway height, barrier height relative to freeway, etc, and
  - ❖ These need to be accounted for in assessing potential benefits of near-roadway barriers, vegetative or otherwise.



## For more information

- Full report:  
Valdez, Marc, PhD, et al., “Conceptual Research Studies to Assess the Feasibility of Near-Roadway Pollution Mitigation Technologies: Vegetative Barriers”, Sierra Research Report No. SR2013-07-01, prepared for the South Coast Air Quality Management District, July 31, 2013.
- SCAQMD Project Manager Ian MacMillan, 909-396-3244, [imacmillan@scaqmd.gov](mailto:imacmillan@scaqmd.gov)
- Sierra Research: Frank Di Genova, 916-273-5137, [fdigenova@sierraresearch.com](mailto:fdigenova@sierraresearch.com)
- Sierra Research: [www.SierraResearch.com](http://www.SierraResearch.com)

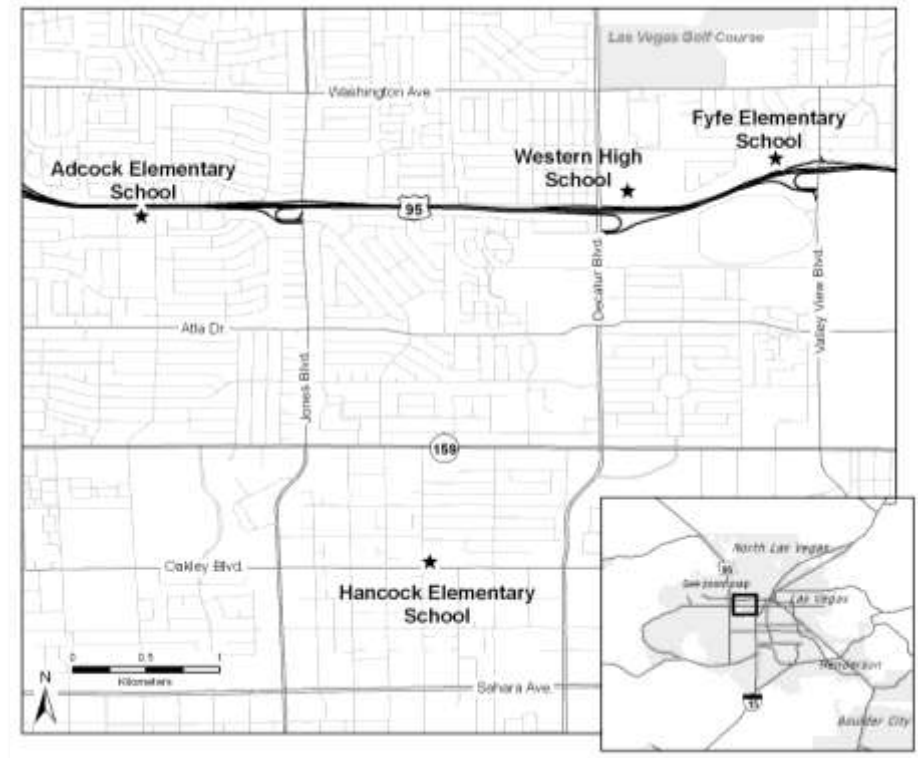


# Black Carbon Particle Removal in School Classrooms Near Busy Roadways in Las Vegas, Nevada

**Paul T. Roberts**, David L. Vaughn,  
and Michael C. McCarthy  
Sonoma Technology, Inc.  
Petaluma, California

Jerry F. Ludwig  
Environmental Health & Engineering  
Needham, Massachusetts

Presented at the  
SCAQMD Forum on Near-Road  
Mitigation Measures and Technologies  
Diamond Bar, California  
November 21, 2013



Sonoma Technology, Inc.  
Air Quality Research and Innovative Solutions

# BC Removal in Classrooms: Outline

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- Study objectives
- Ambient diurnal pattern
- Filtration systems
- Efficiency results
- Characteristics of classrooms
- Implications and mitigation strategies



BC

Black carbon

# Study Objectives

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**Objective:** To determine the efficiency of existing and improved filtration systems installed at three schools near US Highway 95 in Las Vegas, Nevada, for removal of black carbon particles. To re-determine the efficiency after five years of operations.

**Black carbon** is used as a surrogate for Diesel Particulate Matter (DPM), identified by the U.S. Environmental Protection Agency (EPA) as a priority Mobile Source Air Toxic.



# US 95 Highway Widening Project – Before

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Western High School

Fyfe Elementary School



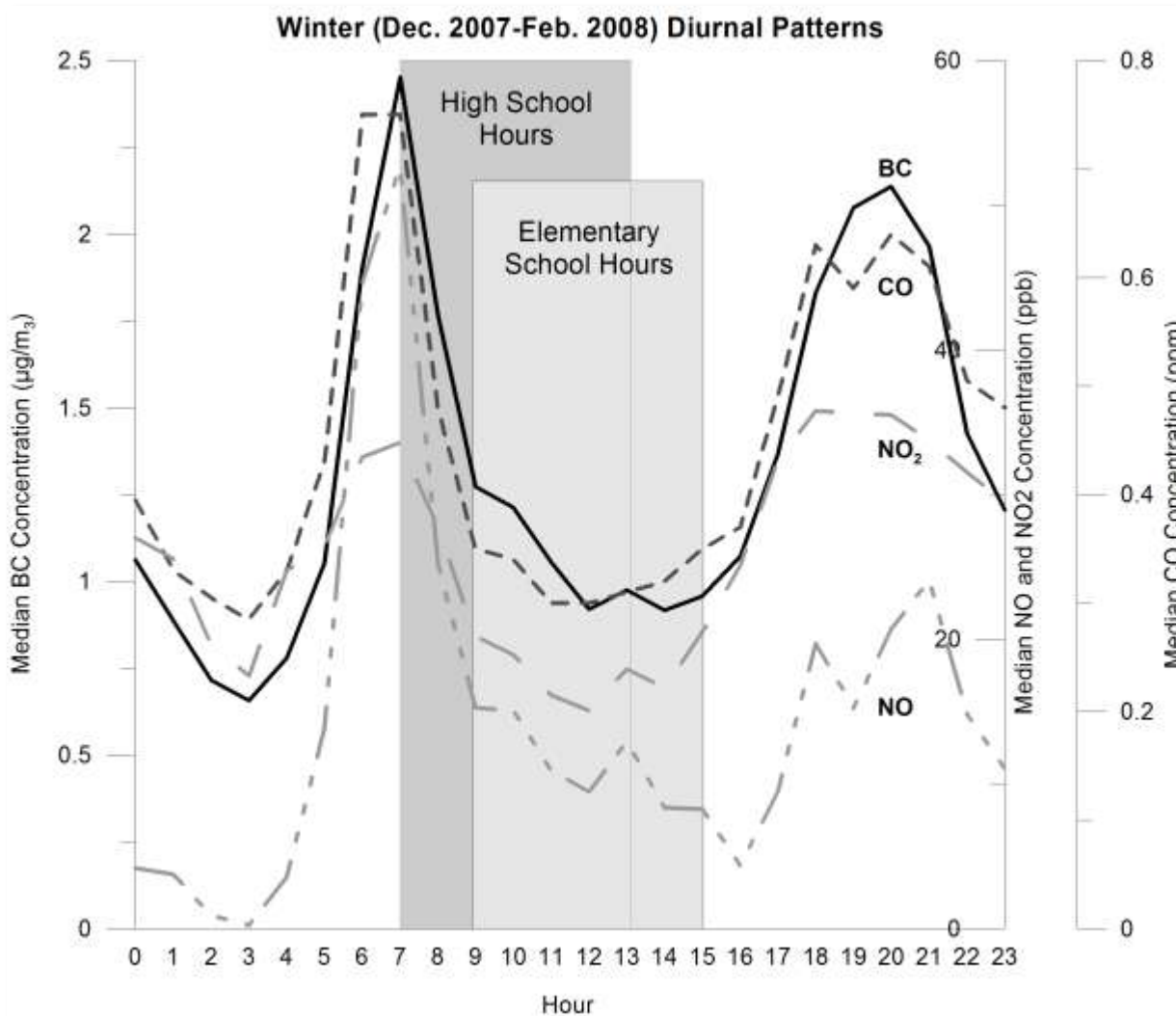
# US 95 Highway Widening Project – After

Western High School

Fyfe Elementary School



# Diurnal Pattern of Pollution Is an Important Consideration for Exposure and Mitigation



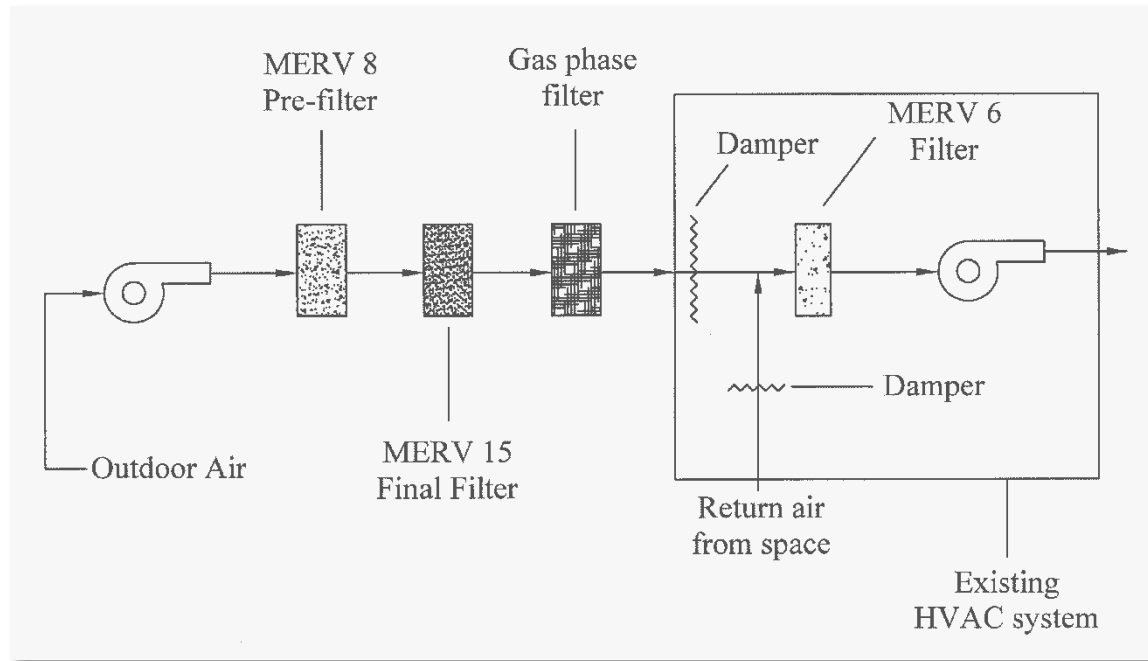
Median concentrations by hour of

- BC ( $\mu\text{g}/\text{m}^3$ )
- CO (ppm)
- NO (ppb)
- NO<sub>2</sub> (ppb)

at Fyfe Elementary school ambient site on weekdays in winter.

CO	Carbon monoxide
NO	Nitric oxide
NO <sub>2</sub>	Nitrogen dioxide

# Filtration Systems for Fyfe and Adcock



## Note:

- Systems with original filters were tested in May and June 2007.
- Filtration systems were modified in August–October 2007.
- Western High School had only PM filters; no gas-phase filter was installed.
- Modified filtration systems were tested in November 2007–June 2008.
- Systems were retested in March–June 2013.

# Typical Classroom & Sampling Location

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Note carpet on floor and fabric on walls; potential absorption surfaces.

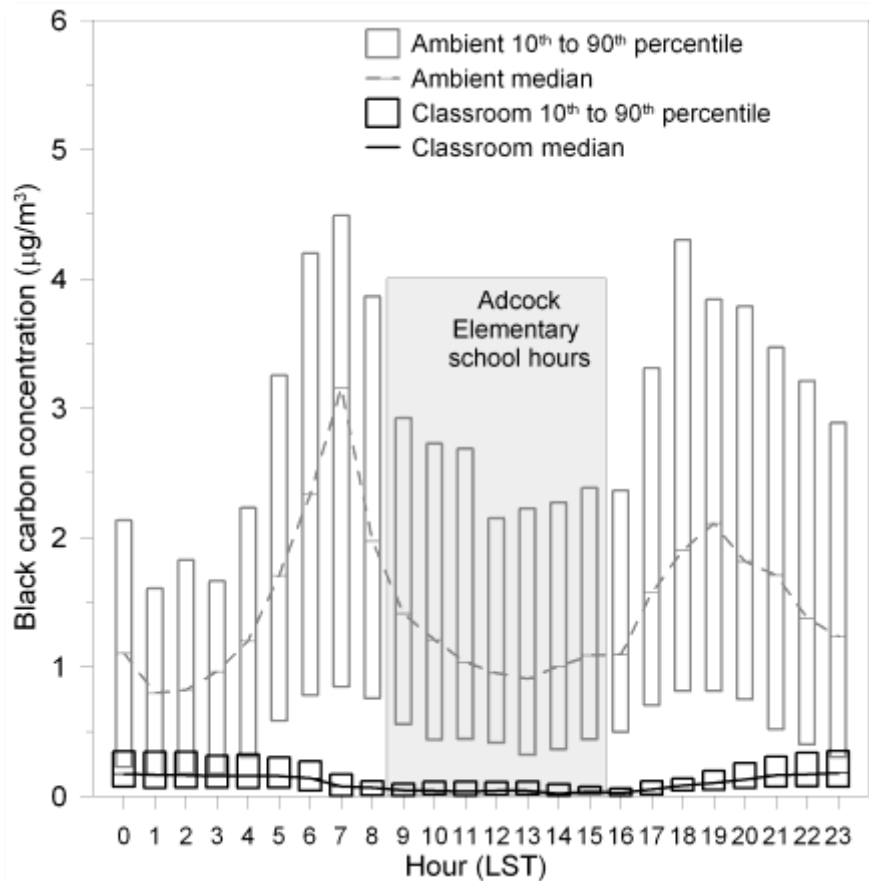
# Filtration System Characteristics and BC Filtration Efficiency Results

School	Original Filter Rating	% Outdoor Air	Upgraded Prefilter Rating	Upgraded Filter Rating
Adcock Elementary	MERV 6	30	MERV 8	MERV 15
Fyfe Elementary	MERV 6	22	MERV 8	MERV 15
Western High School	MERV 6	30	None	MERV 11

MERV = Minimum Efficiency Reporting Value, per the American Society of Heating, Refrigerating, and Air-Conditioning Engineers (ASHRAE). This is the typical efficiency of particle removal in the size range of 0.3 to 10 microns in diameter.

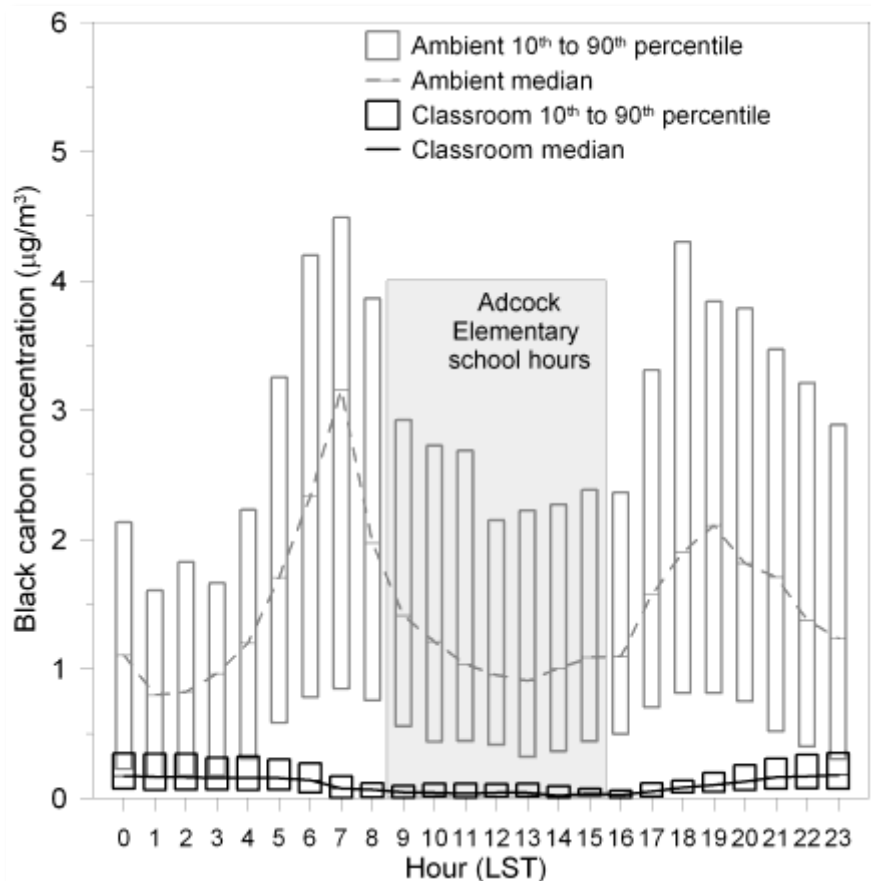
School	Original Filtration Efficiency	Upgraded Filtration Efficiency (2008)	5-Years-Later Filtration Efficiency (2013)
Adcock Elementary	66%	97%	91%
Fyfe Elementary	50%	72%	50%
Western High School	31%	71%	93%

# BC Distributions Outdoors and in a Classroom: Significant BC Removal at Adcock and Fyfe

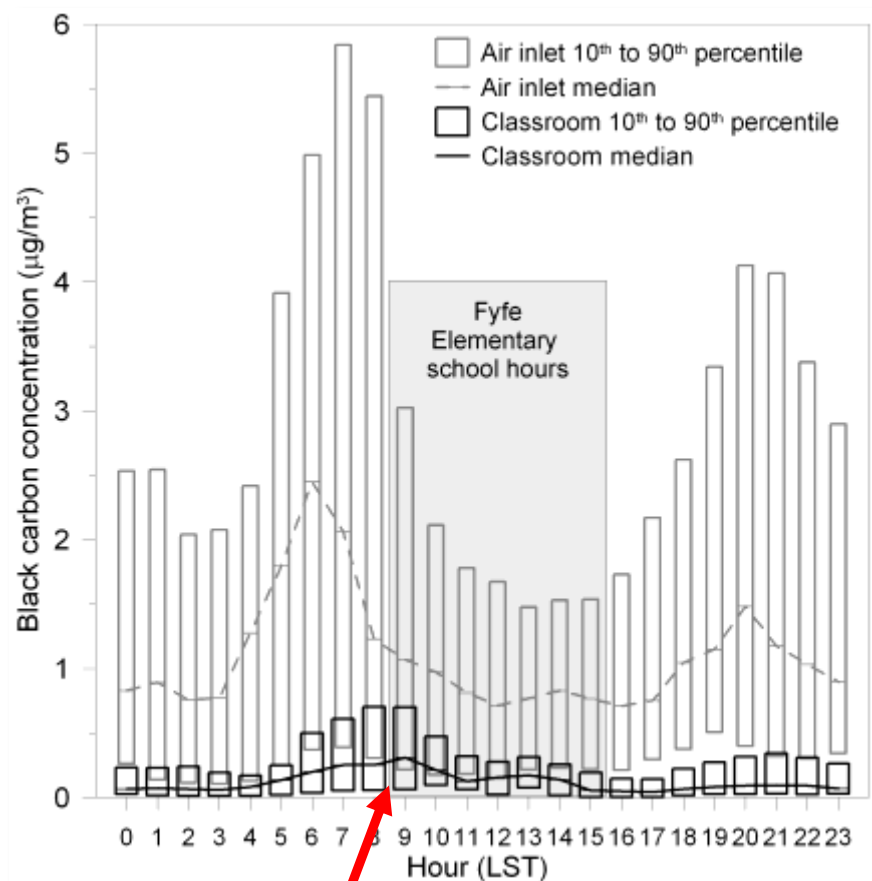


Effective filter efficiency: original system about 66%; improved system about 97%; re-tested efficiency about 91%.

# BC Distributions Outdoors and in a Classroom: Significant BC Removal at Adcock and Fyfe



Effective filter efficiency: original system about 66%; improved system about 97%; re-tested efficiency about 91%.



Effective filter efficiency: original system about 50%; improved system about 72%.  
**Teacher often left door open to outside.**



# Important Classroom and Ventilation System Characteristics

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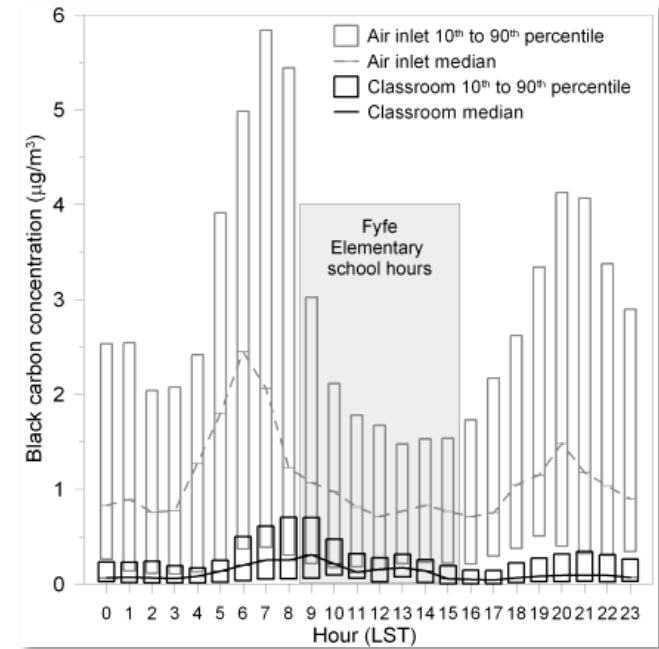
- Open hallways or closed/pod design?
- HVAC system capacity (e.g. controls, fan, motor, wiring, space to modify)
- HVAC system or unit ventilator?



**Horizontal Classroom Unit Ventilator**

# Implications for Exposure: BC

- Temporal variability in concentrations matters for exposure.
- Filtration of outdoor air was effective for reducing near-road particles.
- HVAC operations can influence indoor concentrations (start time was during morning rush hour).
  - fills classroom with the dirtiest air
  - places large burden on system
- Opening doors and windows to outdoor air will bypass filtration system.



# Implications for Exposure: VOC

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- Indoor sources likely dominate exposure for VOCs.
- Filtration of outdoor air was not effective for VOCs.
- If indoor sources are present, filtration needs to occur on recirculated air.
- A better understanding is needed for VOCs.



Study results summarized by Roberts, et al., at 2011 SCAQMD forum on indoor VOC removal in schools.  
[http://www.aqmd.gov/tao/ConferencesWorkshops/VOCRemovalForum/VOC\\_Removal\\_Agenda.htm](http://www.aqmd.gov/tao/ConferencesWorkshops/VOCRemovalForum/VOC_Removal_Agenda.htm)

# Mitigation Strategies (1 of 2)

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- Improve filtration systems; these can significantly reduce particle exposure from outdoor air.
- Change HVAC start times so they do not coincide with rush hour.
- Avoid physical education classes and recess during rush hour.

# Mitigation Strategies (2 of 2)

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- Implement bus anti-idling measures; these can significantly reduce exposures.
- Run recirculated air through filtration system, rather than just outdoor air, in order to reduce concentrations of pollutants with indoor sources. Note that this has higher energy and pressure drop costs for the HVAC system and is not a near-road mitigation measure.

# BC Removal in Classrooms: Outline

---

- Study objectives
- Ambient diurnal pattern
- Filtration systems
- Efficiency results
- Characteristics of classrooms
- Implications and mitigation strategies



BC

Black carbon

# Acknowledgments

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- This work was funded by the Nevada Department of Transportation (NDOT).
- John Terry was the NDOT Project Manager.
- Pat Mohn (now at Nevada Department of Environmental Protection) was the NDOT technical staff for this project.
- Joanne Spaulding and Jane Feldman (Sierra Club), Kevin Black (Federal Highway Administration), and Rich Baldauf (EPA) also contributed to the study design.
- Clark County School District (Paul Gerber and Steve Dellosritto) provided access and support at the schools.
- Joey Landreneau and David Vaughn (STI) performed monitoring and sampling. Alison Ray, Jennifer DeWinter, Theresa O'Brien, and Steve Brown (STI) performed data validation and data analyses.

# Filter Details for Adcock and Fyfe

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Filter	Name	Description
MERV 8 pre-filter	Camfil Aeropleat 2"	
MERV 15 PM filter	Camfil Farr Durafil 4V	DU4V-1511-11-MV15
Gas-phase filter	Camfil Farr Camsorb Riga-Carb	CSRC-205-242412-PH carbon impregnated with oxidation coating



# HIGH PERFORMANCE AIR FILTRATION FOR CLASSROOM APPLICATIONS

Andrea Polidori, Ph.D.

Quality Assurance Manager

SCAQMD Science and Technology Advancement



South Coast Air Quality Management District (AQMD)  
21865 Copley Dr, Diamond Bar, CA 91765

## PILOT STUDY: Introduction

- School-aged children spend ~30% of their day in classrooms. Minimizing the concentration of PM and other air toxics inside classrooms is important
- Common approach: installation of panel filters inside the HVAC system
- Filters in most classrooms and commercial buildings (e.g. MERV 7) not effective for PM < 0.3  $\mu\text{m}$  (e.g. diesel PM and UFP)
- In-classroom filtration challenges
  - Older HVAC systems
  - Noise regulations
  - Doors and windows are frequently open
  - Indoor generation of PM and other pollutants

# PILOT STUDY: Objectives

- Investigate the effectiveness of different air purification systems/solutions in reducing the exposure of children to indoor air contaminants
  - *SCAQMD*
  - *IQAir (air filtration manufacturer)*
  - *Thermal Comfort Systems (an HVAC contractor)*
- Pollutants for which the performance of the installed systems were tested:
  - *UFPs: < 0.1  $\mu\text{m}$ ; combustion of fossil fuels*
  - *PM<sub>2.5</sub>: < 2.5  $\mu\text{m}$ ; primary and secondary origin*
  - *PM<sub>10</sub>: < 10  $\mu\text{m}$ ; mechanical processes*
  - *BC: incomplete combustion; good indicator of diesel PM*
  - *VOCs: evaporative processes and combustion sources*

# PILOT STUDY: Schools & Classrooms Characteristics



## • Pilot Study

- April - December 2008
- 3 schools / 9 classrooms
  - *Similar size (7500 to 9200 ft<sup>3</sup>)*
  - *Similar ventilation conditions (HVAC)*
  - *MERV 7 (replaced every 3 mo)*

## • Major emission sources:

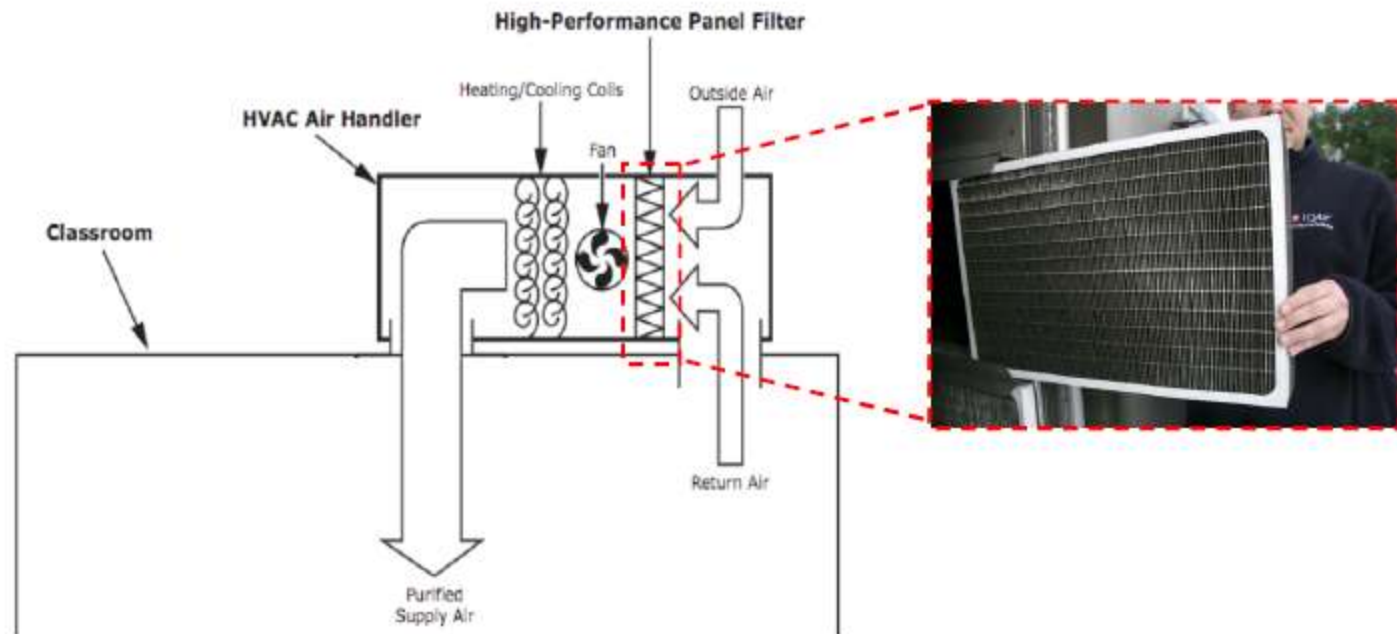
- *Refineries*
- *Roadways*
- *Los Angeles / Long Beach Port*
- *UPRR ICTF*

┆ - - - ┆ Union Pacific Railroad Intermodal  
┆ - - - ┆ Container Transfer Facility

- Del Amo Elementary (LAUSD)
- Dominguez Elementary (LAUSD)
- Hudson (LBUSD)

# PILOT STUDY: Air Filtration Solutions

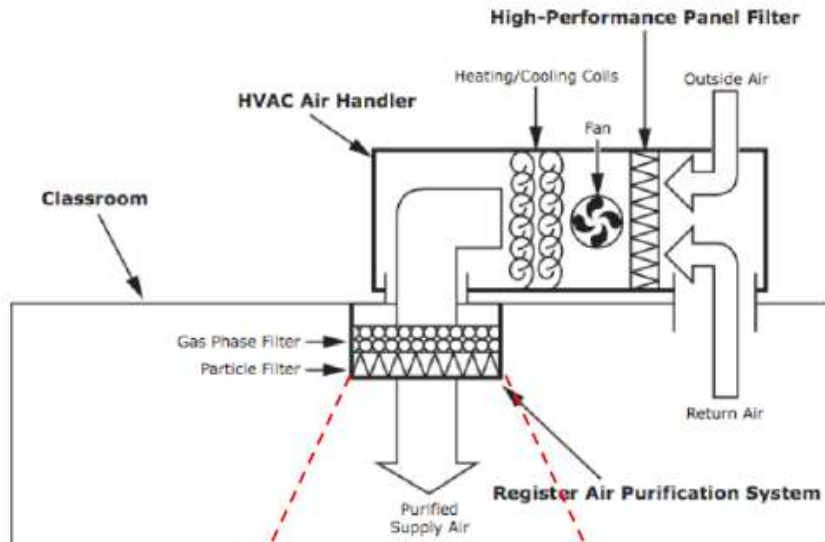
## *High-Performance Panel Filter (HP-PF)*



- Compared to standard / conventional filters
  - *Proprietary technology (remove UFPs and BC)*
  - *Twice as thick (2" in depth); larger surface area (5-9 times larger)*
  - *Similar air resistance properties (do not reduce HVAC air flow)*
  - *Longer lifetime (>1 year)*

# PILOT STUDY: Air Filtration Solutions

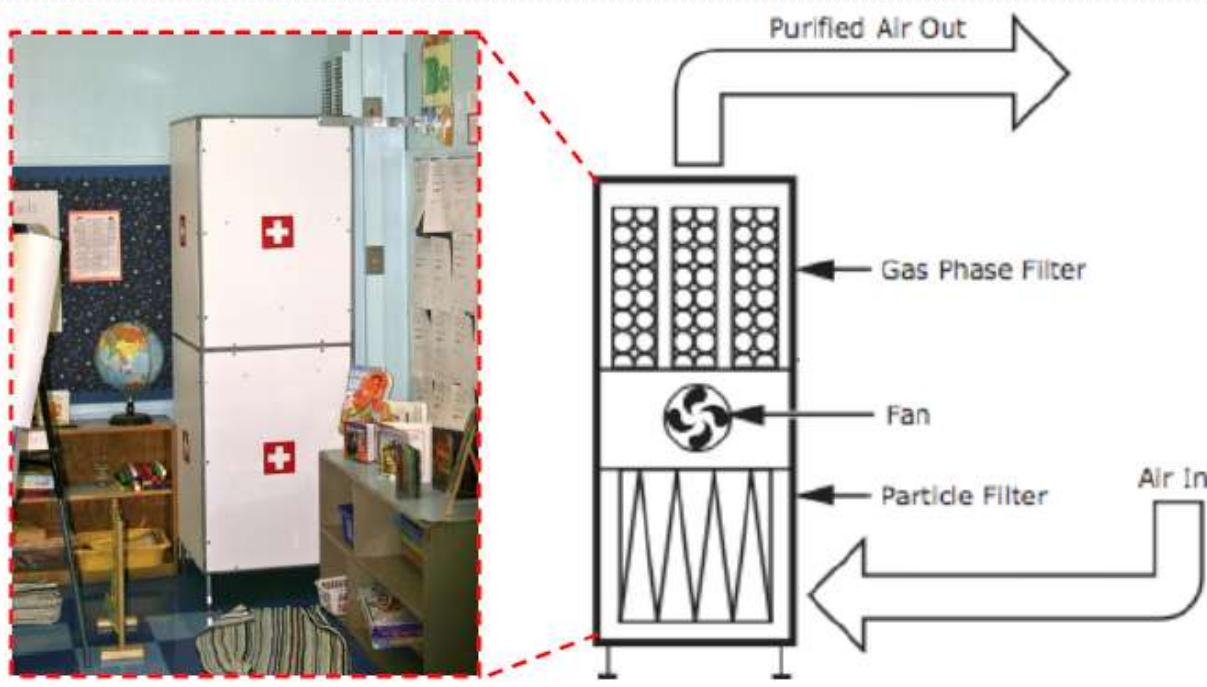
## *Register System (RS)*



- Installed directly on the HVAC register
- Equipped with:
  - *HP-PF*
  - *High-capacity gas phase filter cartridges for VOC removal*
- RS does not reduce the overall HVAC system airflow

# PILOT STUDY: Air Filtration Solutions

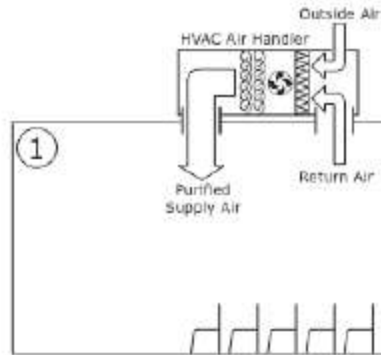
## *Stand-alone System (SA)*



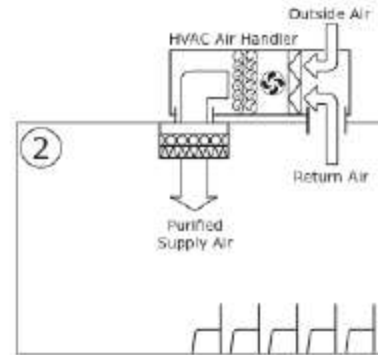
- Operates independently of a classroom's HVAC
- Height: 6' feet; Footprint: 4 ft<sup>2</sup>
- Runs on a standard power circuit
- Ultra quiet operation (<45 db(A) at high airflow)
- Equipped with: HP-PF + 12 high-capacity gas phase filter cartridges

# PILOT STUDY: *In-classroom Configurations*

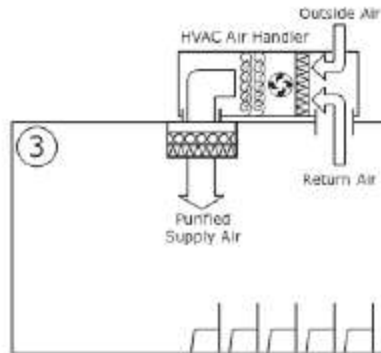
HP-PF



RS + PF

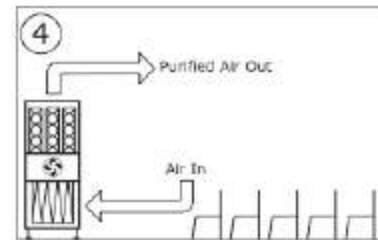


RS + HP-PF

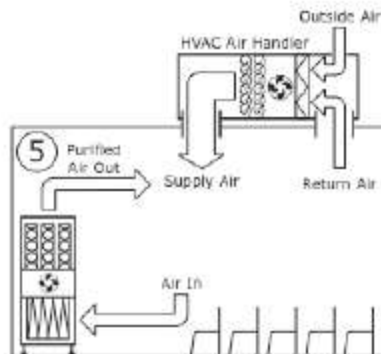


SA

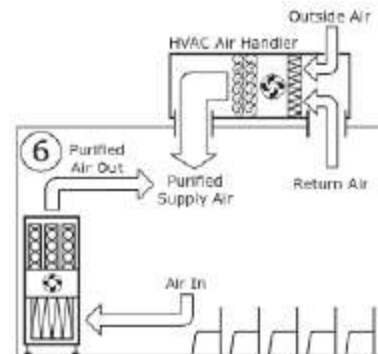
(no HVAC running)



SA + PF  
(HVAC running)



SA + HP-PF





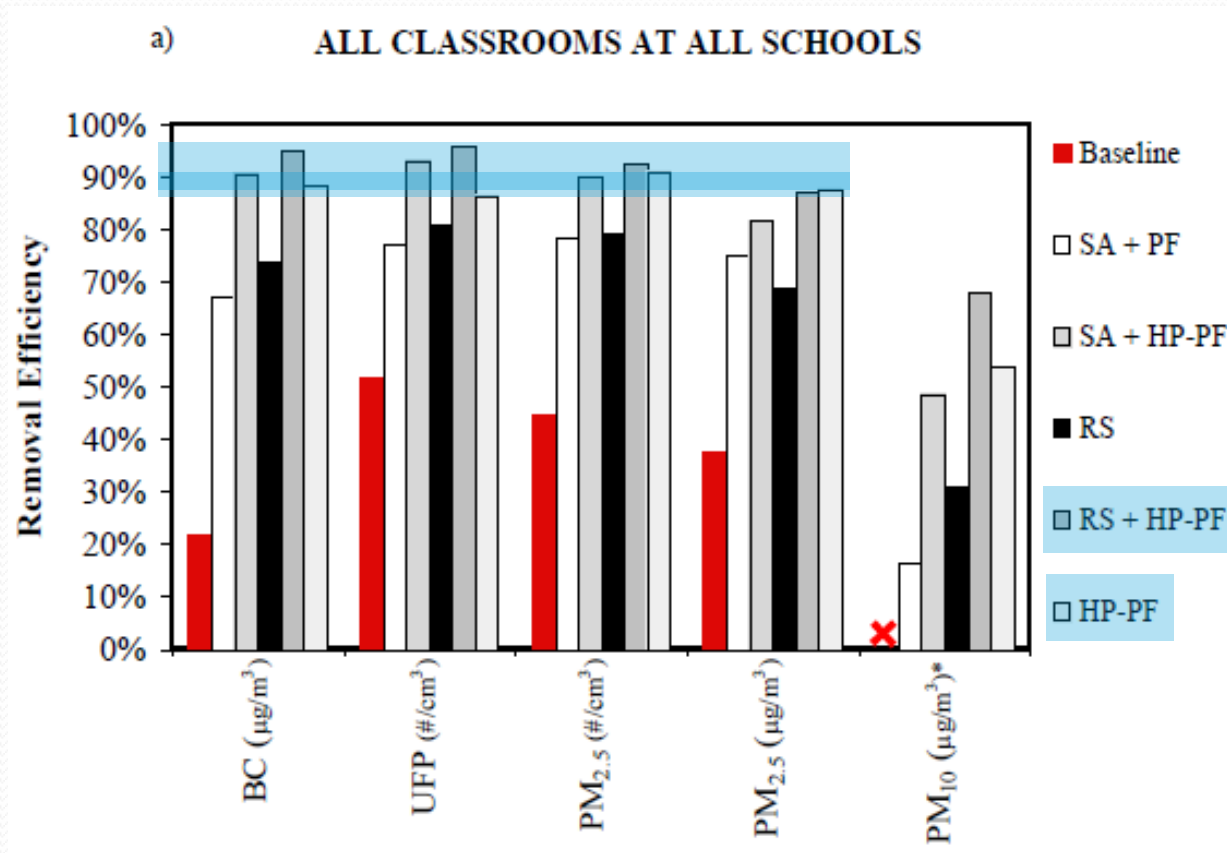
# PILOT STUDY: *Indoor and Outdoor Measurements*

- Continuous Instruments
  - $UFP$ ;  $\#/cm^3$
  - $BC$  ( $\mu g/m^3$ )
  - $PM_{2.5}$  ( $\#/cm^3$ )
  - $PM_{2.5}$  and  $PM_{10}$  ( $\mu g/m^3$ )
- Integrated measurements
  - $PM_{10}$  ( $\mu g/m^3$ )
  - $VOCs$  ( $ppbv$ )
- Four carts: 1 outdoors + 3 indoors
- **Removal efficiency (%) =  $[(OUT - IN) / OUT] \times 100$**
- Baseline measurements (pre-existing removal efficiencies)
- Collocated measurements (QA; precision, potential problems)
- Testing period: during school hours; >150 measurement days



# PILOT STUDY: *Results*

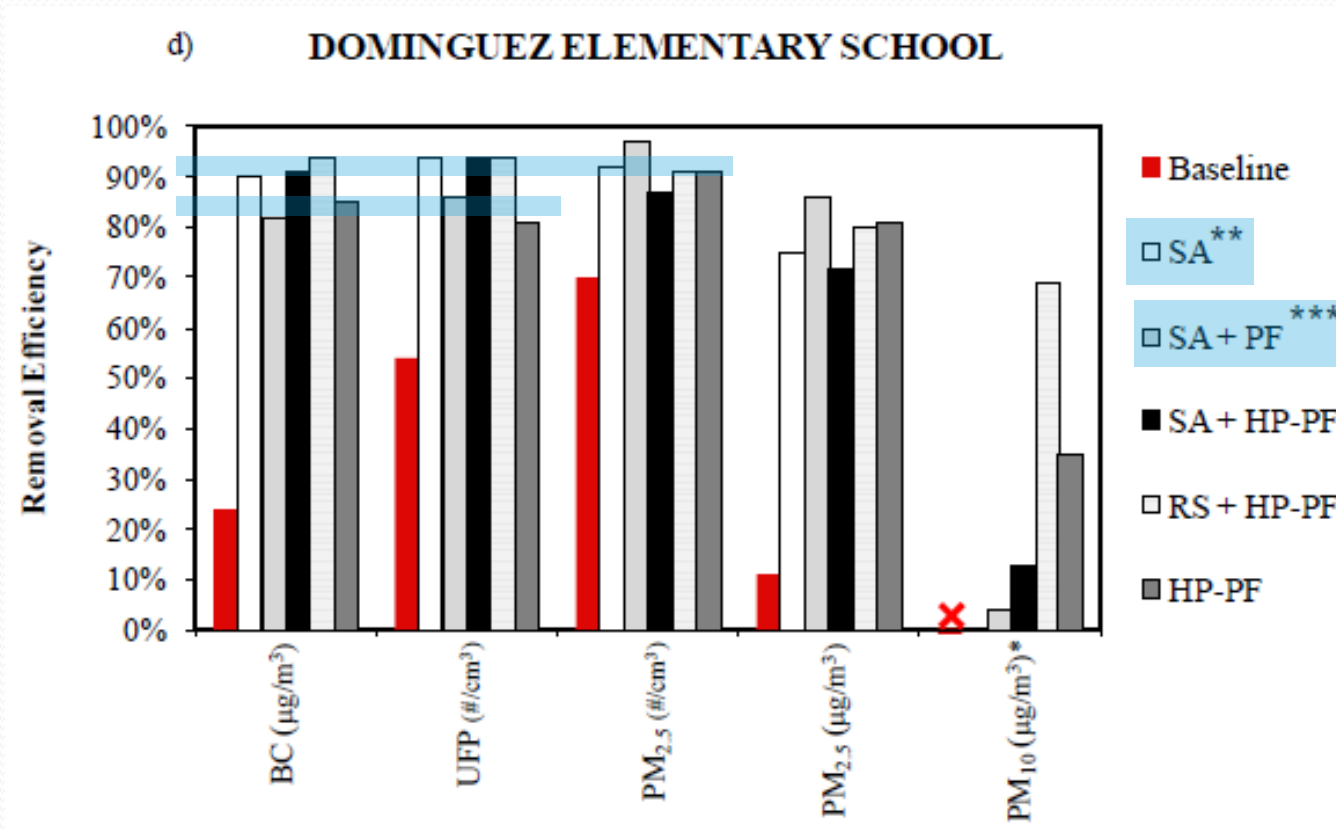
## *Removal of PM and Other Particle Species*



- **RS + HP-PF**: most effective solution (study average removal efficiency = 87-96%)
- **HP-PF**: also an effective solution (study average removal efficiencies = 86-91%)

# PILOT STUDY: *Results*

## *Removal of PM and Other Particle Species*

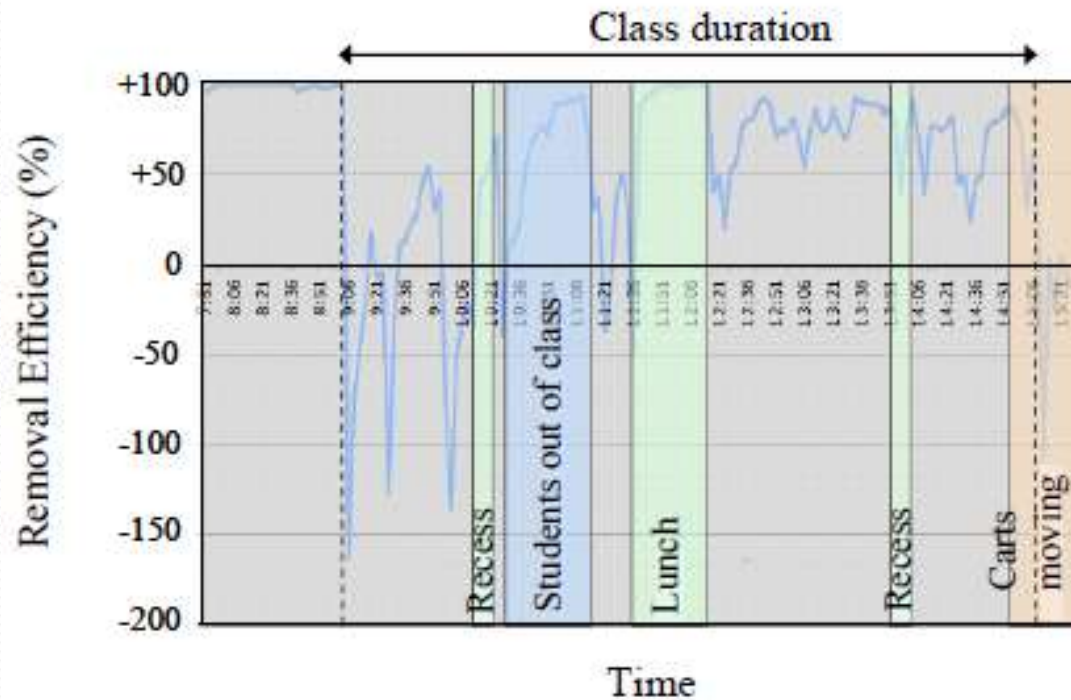


- SA (HVAC off): removal efficiencies  $\sim 90\%$  for BC, UFP and PM<sub>2.5</sub> (count)
- SA + PF (HVAC on): removal efficiencies  $< 90\%$  for BC and UFP

# PILOT STUDY: *Results*

## *Effect of Indoor Activities*

EFFECT OF INDOOR ACTIVITIES ON THE REMOVAL EFFICIENCY OF PM<sub>10</sub>

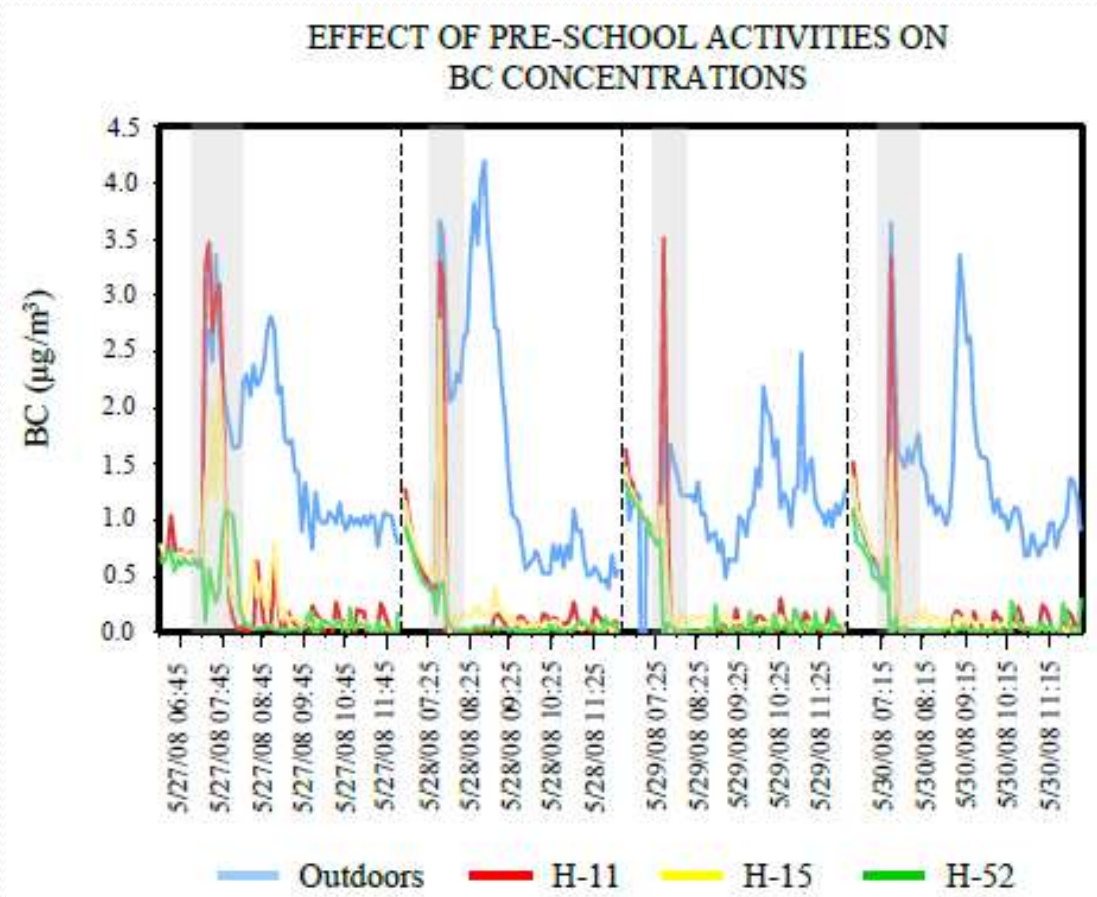


Hudson; room H-15  
(May 21, 2008)

- Removal efficiency for PM<sub>10</sub>
  - *High: before the school day started and during lunchtime*
  - *Low: when classes were in session*

# PILOT STUDY: *Results*

## *Effect of Outdoor Activities*



- Effect of morning drop-off (doors were open)
  - *BC increase; temporary decrease in removal efficiency*
  - *Relatively small decrease in average removal performance*

# PILOT STUDY: *Results*

## *VOC Removal*

DOMINGUEZ ELEMENTARY SCHOOL				
	Study Days (#)	Total VOCs (%) <sup>1</sup>	Ethanol (%)	Benzene (%)
Baseline	18	-114 ± 731	-1230 ± 982	-11 ± 22
SA (HVAC off)*	3	15 ± 132	-349 ± 276	52 ± 35
SA + PF (HVAC on)**	4	19 ± 198	-587 ± 903	58 ± 33
SA + HP-PF	6	-6 ± 280	-929 ± 853	73 ± 11
RS	N/A	N/A ± N/A	N/A ± N/A	N/A ± N/A
RS + HP-PF	8	-3 ± 345	-534 ± 502	58 ± 49
HP-PF	18	-64 ± 404	-1111 ± 1164	1 ± 38

<sup>1</sup>Sum of 61 known VOCs and 53 unspecified organic compounds

\*Operated with the HVAC system turned off

\*\*Operated with the HVAC system turned on

- Ethanol: from both indoor and outdoor sources
- Benzene: indicator of VOCs of outdoor origin

- Large standard deviations: wide concentration ranges for the different chemicals
- SA: 52-73% removal performance for benzene
- Several measured indoor VOCs are mostly of indoor origin

## PILOT STUDY: *Summary and Conclusions*

- RS + HP-PF: most effective solution for BC, UFP, and PM<sub>2.5</sub> (study average removal efficiencies = 87-96%)
- HP-PF: reductions close to 90%
- Removal performance of PM<sub>10</sub> was lower due to re-suspension of dust and other indoor activities (e.g. walking and cleaning)
- In all cases, air quality conditions were improved substantially with respect to baseline (pre-existing) conditions
- The effectiveness, lifetime, costs, benefits, and maintenance of the gas removal systems tested in this pilot study must be further assessed before conclusions and recommendations can be made

# SCAQMD AIR FILTRATION IMPLEMENTATION

- SCAQMD started \$1.125M implementation program in Los Angeles-Long Beach Port area schools (2009)
- Air filtration installation at seven Los Angeles and Long Beach schools within 10 mile of Valero Refinery (penalty settlement) (2010-2012)



First installation of air filtration systems completed at Del Amo Elementary (LAUSD) in Jan 2010



# TRAPAC AIR FILTRATION PROGRAM

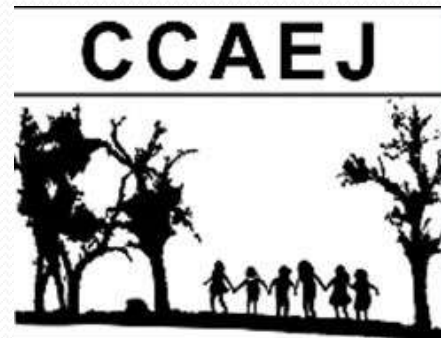
- In Jan 2011 SCAQMD Governing Board approved execution of a \$5.4M contract with IQAir North America for installation of air filtration systems in 47 schools
- Selection of contractor involved RFP for air filtration installers and testing of air filtration technologies
- Steering and technical advisory committees
- Installation completed at 27 Phase I schools in 2012-2013; starting Phase II schools

Geographical area of schools in  
TraPac program



## RFG AIR FILTRATION PROJECTS

- MELA and CCAEJ installed air filtration at schools in Boyle Heights and San Bernardino using RFG funds (\$950,000 and \$1M respectively) (2012-2013)
- Combined with EPA Region 9 CATI, Targeted Air Shed grants, SCAQMD Priority Reserve funding
  - 7 Boyle Heights schools - LAUSD and Archdiocese
  - 6 San Bernardino schools - SBCUSD and JUSD



## CVUSD AIR FILTRATION

- CVUSD and IQAir received grants for \$337,200 and \$921,000 to install air filtration in at least 10 schools
  - PM filtration to assist with dust and agricultural burning issues
  - Saul Martinez and Mecca ES will demonstrate VOC removal technologies to mitigate odor issues at schools



# ACKNOWLEDGEMENTS

SCAQMD air filtration pilot study was funded through the use of mitigation fees collected by the South Coast Air Quality Management District (SCAQMD) under Rule 1172 for VOC releases by local refineries. SCAQMD is the air pollution control agency for all of Orange County and urban portions of Los Angeles, Riverside and San Bernardino counties, the smoggiest region of the United States. UnoCal Reformulated Gasoline Settlement fund provided grants to Mothers of East Los Angeles and Center for Community Action and Environmental Justice. EPA Region 9 provided a Clean Air Technology Initiative and a Targeted Air Shed grant. SCAQMD's Priority Reserve mitigation fee fund and AB1318 mitigation fee fund provided funding for Boyle Heights, San Bernardino, and Coachella Valley schools.

IQAir North America, Inc., a leading specialist in air filtration solutions for homes, hospitals and schools, and Thermal Comfort Systems, specialist in HVAC system design, were selected by SCAQMD through a competitive bid process to provide for the design, engineering and installation of the air filtration devices used for this work.

# NEXT

## **02:30 pm - Planning and Policy Discussion**

*Moderator:* Philip Fine (Assistant Deputy Executive Officer; Science & Technology Advancement; SCAQMD)

*Panelists:* Connie Chung (Supervising Regional Planner; LADRP)  
David Vintze (Manager; Planning and Research Division; BAAQMD)  
Huasha Liu (Director; Dept. of Land Use and Environmental Planning; SCAG)  
Mike McCarthy (Manager; Advanced Engineering Section; CARB)  
Terry Roberts (Director; American Lung Association)  
Rich Baldauf (Physical Scientist/Engineer; U.S. EPA)

[techquestions@aqmd.gov](mailto:techquestions@aqmd.gov)

# **Application of High Efficiency Cabin Air (HECA) Filter for Simultaneous Mitigation of Ultrafine Particle and Carbon Dioxide Exposures in Passenger Vehicles**

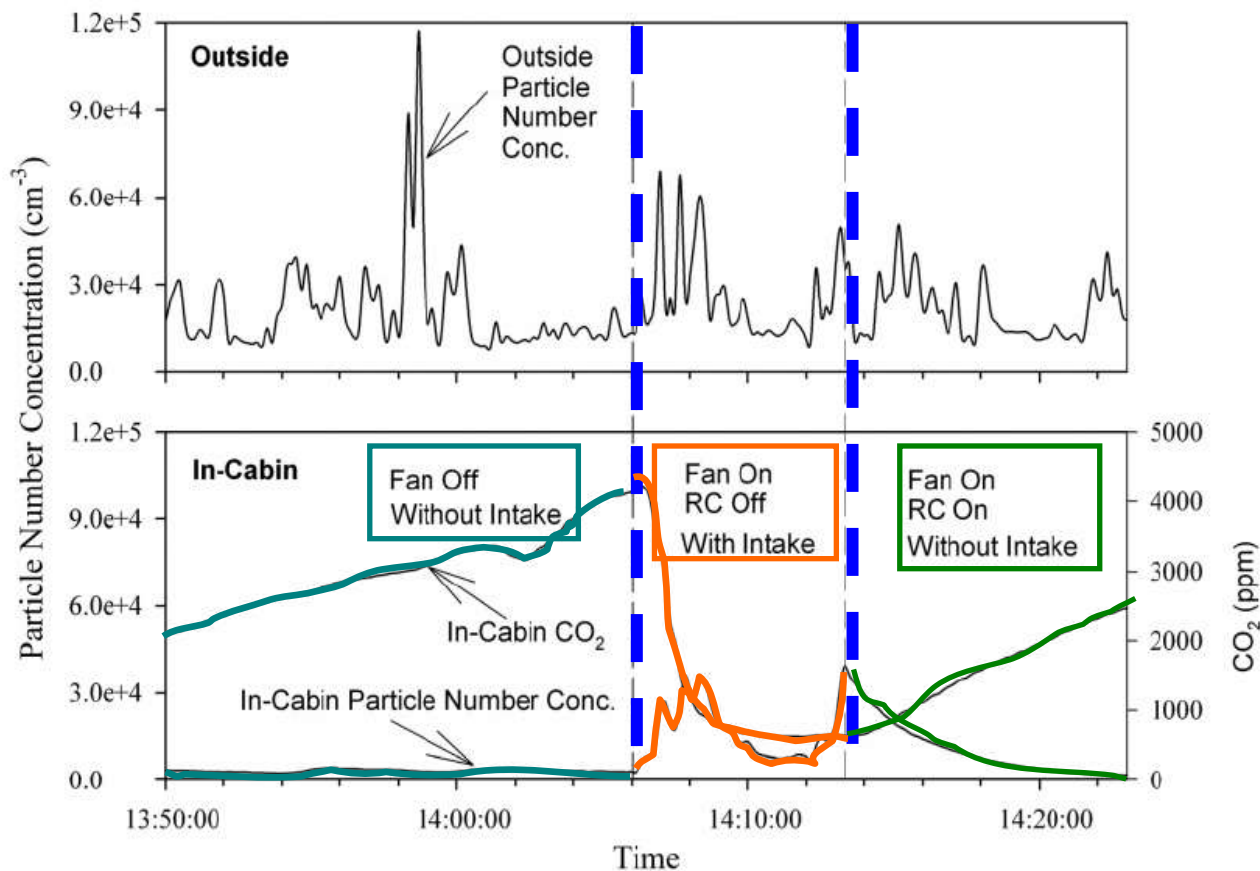
**Eon S. Lee and Yifang Zhu\***

**Department of Environmental Health Sciences,  
Jonathan and Karin Fielding School of Public Health  
University of California, Los Angeles**

# Background & Motivation

- **Ultrafine particles (UFPs)**
  - **Health effects**
    - **Pulmonary and cardiovascular diseases** (Peters et al., 1997; Penttinen et al., 2001; von Klot et al., 2002; Stolzel et al., 2007; Andersen et al., 2010)
    - **Inter-organ translocations** (Kreyling et al., 2002; Hamoir et al., 2003; Gilmour et al., 2004; Nemmar et al., 2002; Nemmar et al., 2004; Oberdorster et al., 2004)
    - **Cell penetration** (Li et al., 2003)
    - **Systemic inflammation** (Sioutas et al., 2005; Elder et al., 2007)
  - **Origin from combustion processes**
    - **Traffic emissions** (Shi et al., 1999; Hitchins et al., 2000)
    - **Urban background:  $5 \times 10^3 \sim 5 \times 10^4 \text{ \#/cm}^3$**
    - **On-road:  $> 10^5 \text{ \#/cm}^3$**
    - **In-cabin:  $> 5 \times 10^4 \text{ \#/cm}^3$**
- **In-cabin exposure**
  - **5.5% of time spent in commuting** (Klepeis et al., 2001)
  - **In-cabin UFP exposure occurs up to 50% of daily total** (Zhu et al., 2007; Fruin et al., 2008)
    - **Close proximity to the high emission sources and self-pollution** (Behrentz et al., 2004)
    - **Low cabin air filter efficiency** (Qi et al., 2008; Xu et al., 2011)
    - **High leakiness of automotive envelope** (Chan et al., 2002; Esber et al., 2007)

# Background & Motivation



## Key Point

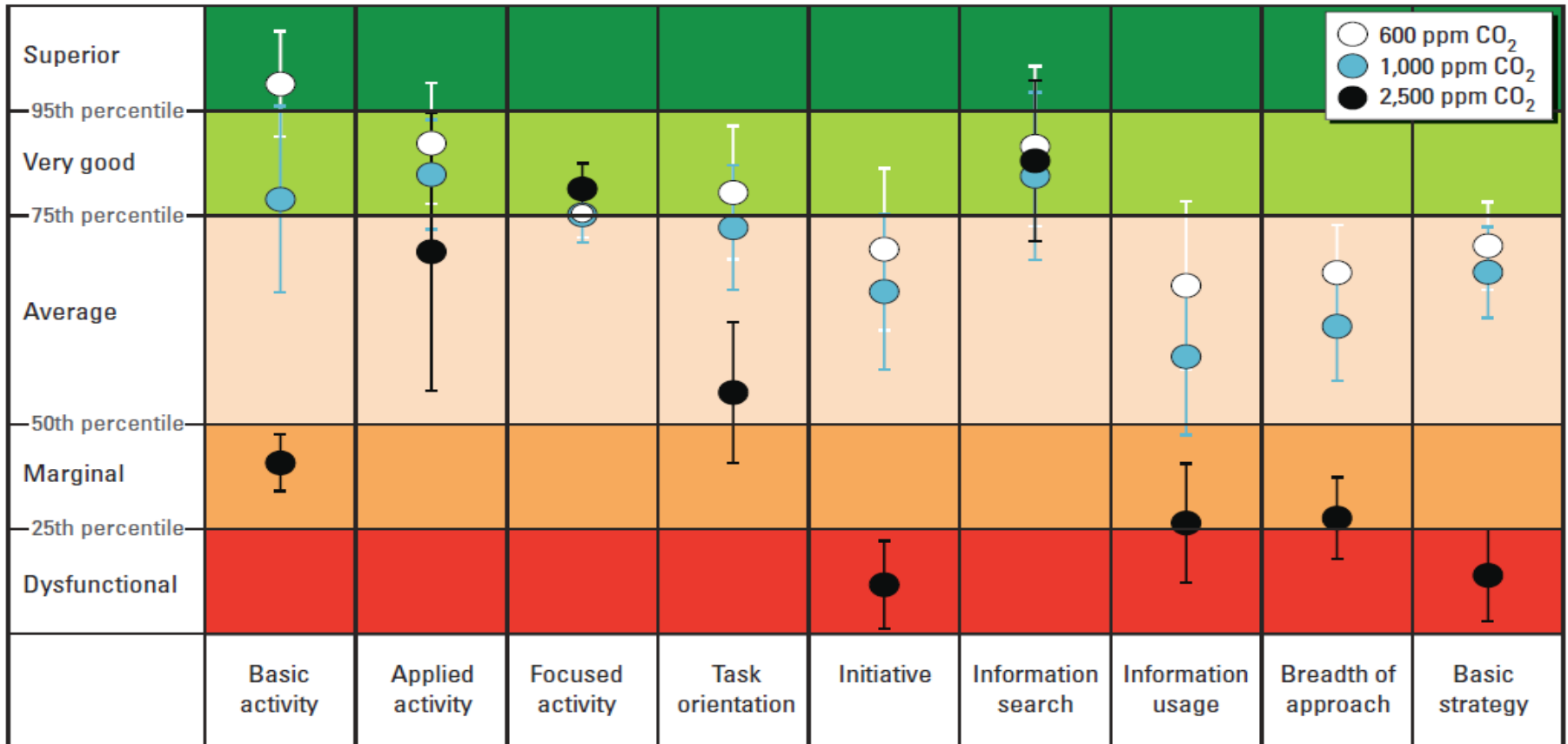
Turn on recirculation (RC) provides the best protection for UFP exposures, but lead to high CO<sub>2</sub> levels.



# Carbon Dioxide Accumulation

- On-freeway level: 500 ~ 600 ppm
- In-cabin level: **above 2500 ppm** with 2 passengers only **in 15 minutes**

## Decision Making Performance Changes (Satish et al., 2012)



# Automotive Ventilation System

Air Exchange Rate (AER):

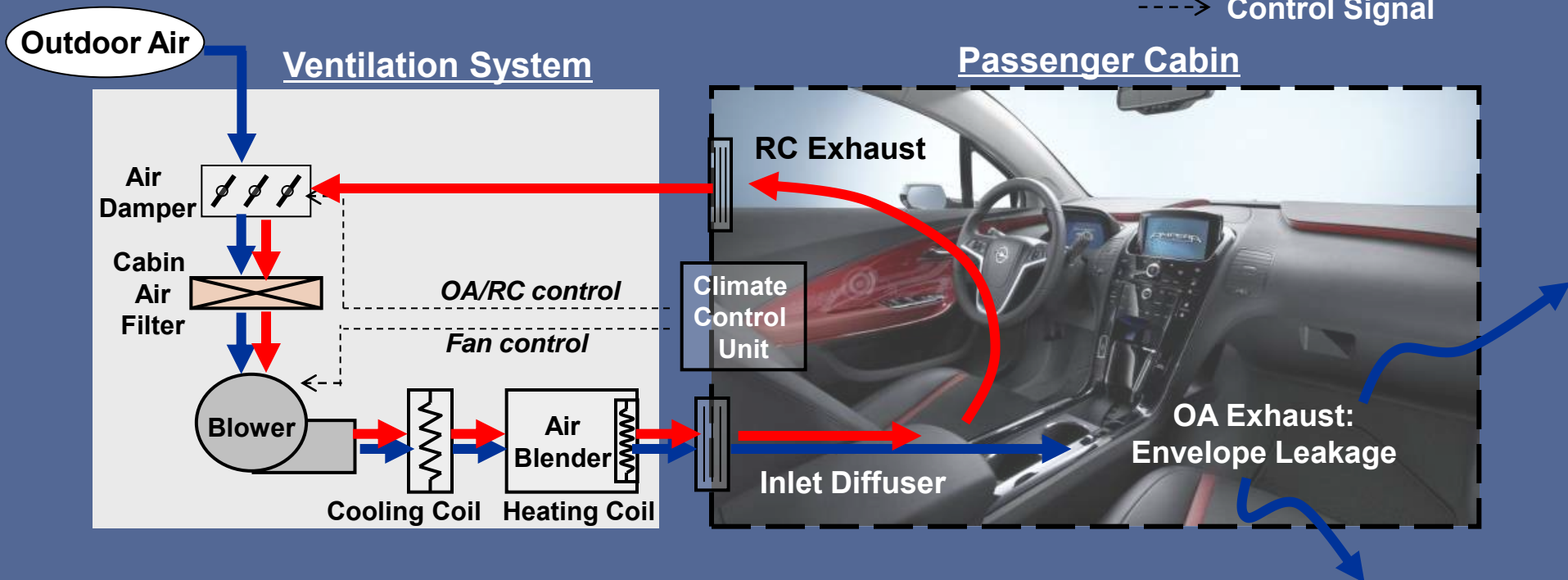
- OA AER: 100~200 h<sup>-1</sup> at max. fan setting
- RC AER: 5~30 h<sup>-1</sup> at 100 km/h

In-cabin UFP Removal (1 - I/O):

- ~40% in OA mode
- ~85% in RC mode

But, CO<sub>2</sub> accumulates in RC mode

-  OA Air Flow Cycle
-  RC Air Flow Cycle
-  Control Signal



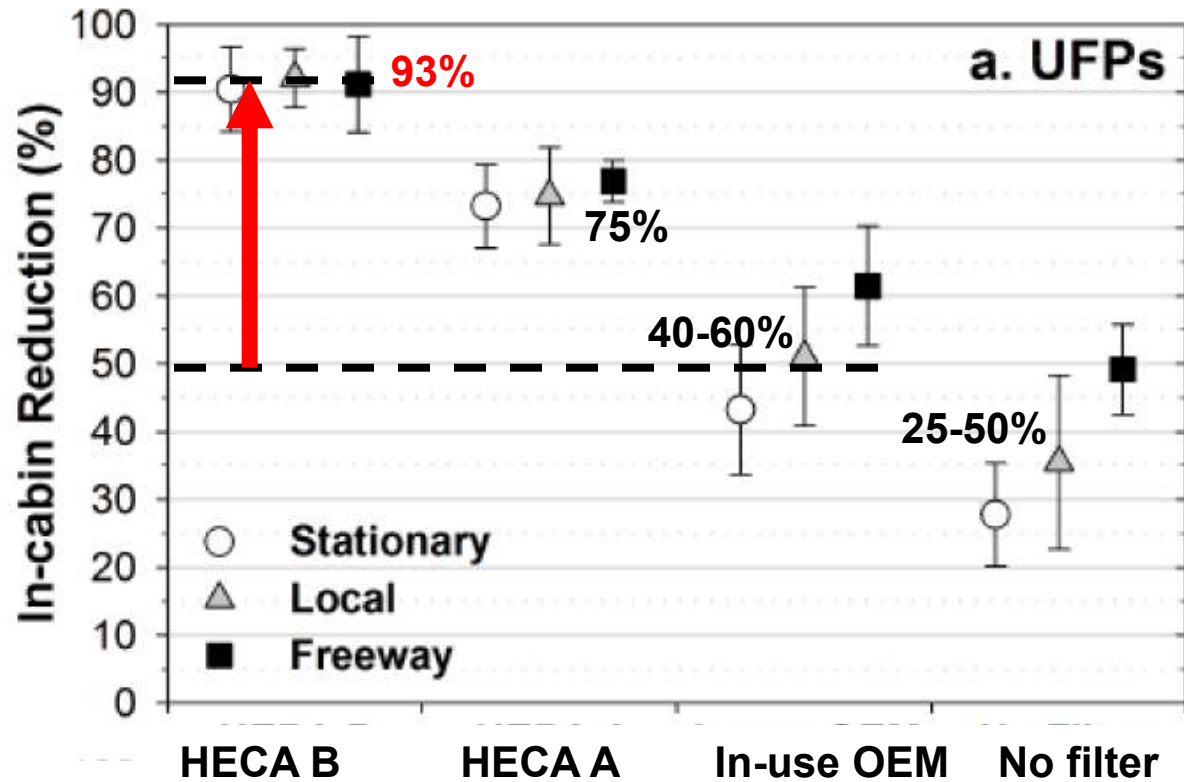
# Experimental Set-up

- 4 filter types: HECA B, HECA A, In-use OEM, No filter
- 3 driving conditions: Stationary, Local, Freeway
- OA-mode & median fan setting
- < 3 years, California Vehicle Fleet

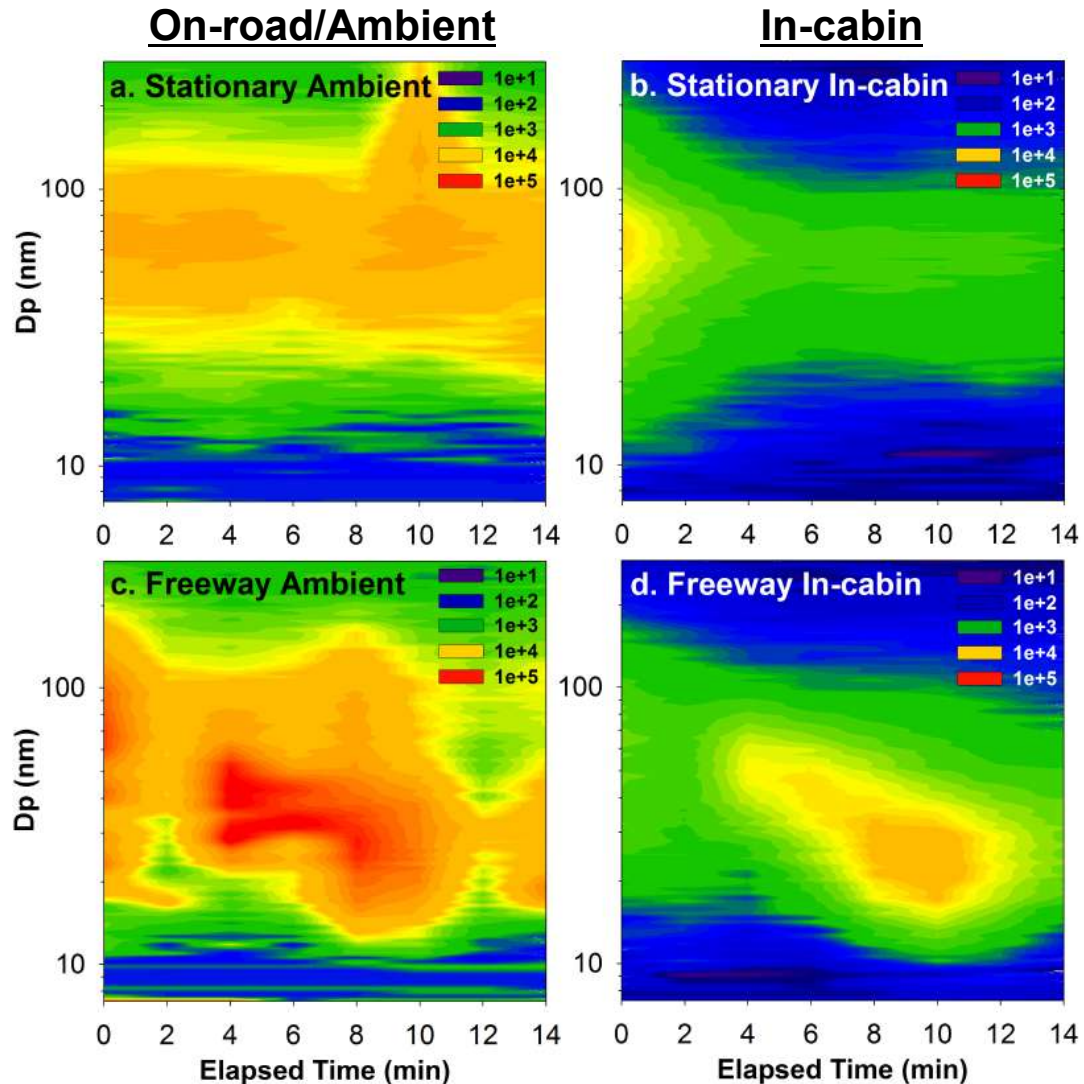
Vehicle Type	Maker	Model	Year	Mileage (km)	Cabin Filter Locations	Cabin Volume (m <sup>3</sup> )
Hatchback	Ford	Focus	2012	51,347	Glove Box	2.94
	Toyota	Prius	2012	9,102	Glove Box	3.88
Sedan	Chevrolet	Impala	2012	1,339	Glove Box	4.01
	Honda	Accord	2011	51,194	Glove Box	3.83
	Hyundai	Sonata	2013	21,712	Glove Box	3.41
	Nissan	Sentra	2012	30,398	Under Dash	3.50
	Toyota	Camry	2012	1,931	Glove Box	3.78
	Volkswagen	Jetta	2012	14,917	Under Hood	3.55
SUV	Ford	Explorer	2013	16,510	Glove Box	4.89
	Toyota	Highlander	2012	10,611	Glove Box	4.43
Minivan	Honda	Odyssey	2010	38,622	Glove Box	7.03
	Toyota	Sienna	2011	74,174	Glove Box	5.76

# In-cabin UFP Reduction

Higher Efficiency!  
Less Variability!



# Temporal Changes of In-cabin UFPs



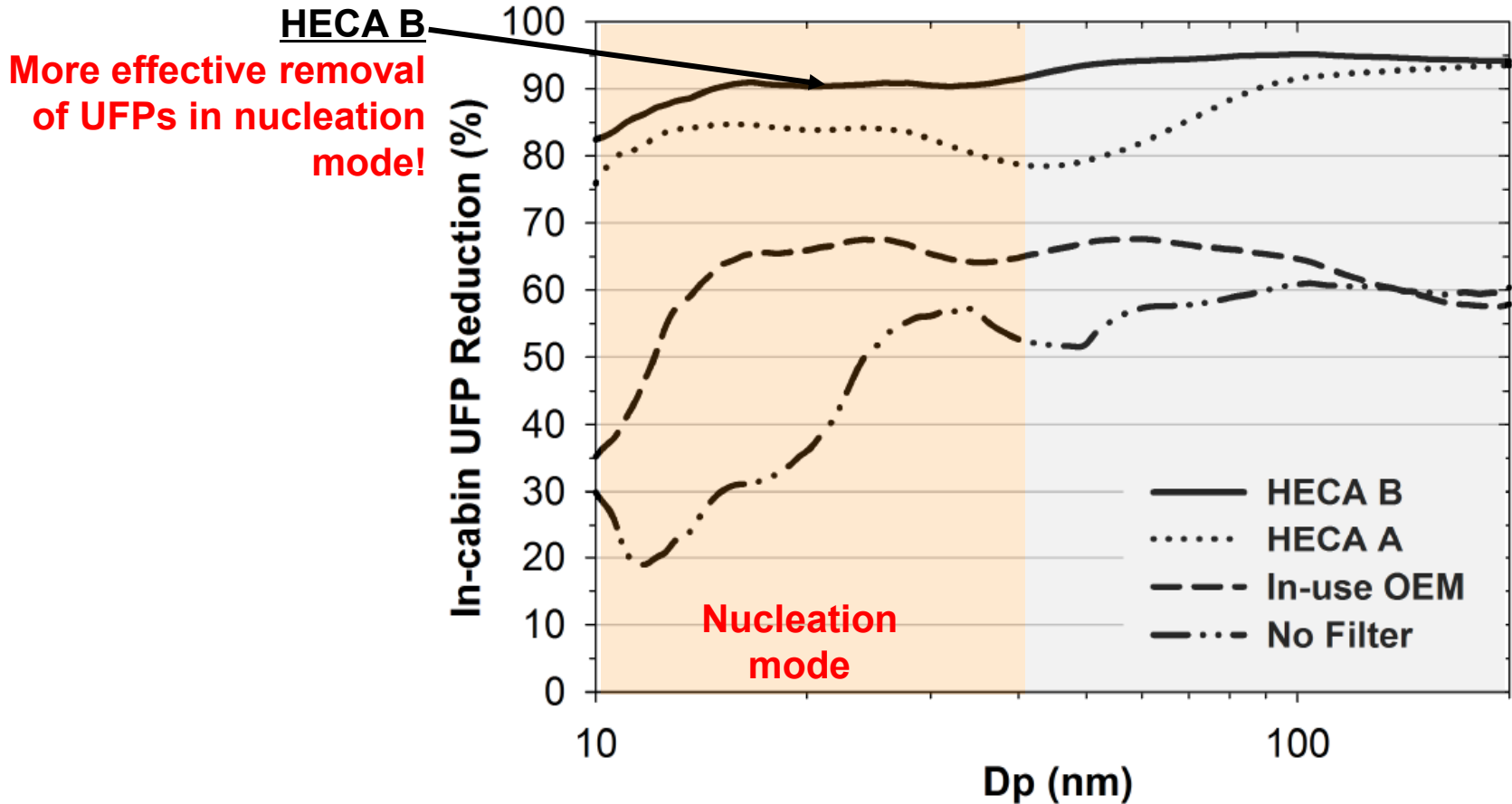
In Stationary Condition

**Substantially Decreased**  
UFP number concentration

In Freeway Condition

**UFP Mitigation** on Freeway  
by an order of magnitude

# Size-resolved Particle Removal Efficiency



# In-cabin HECA filter

## HECA A Filter

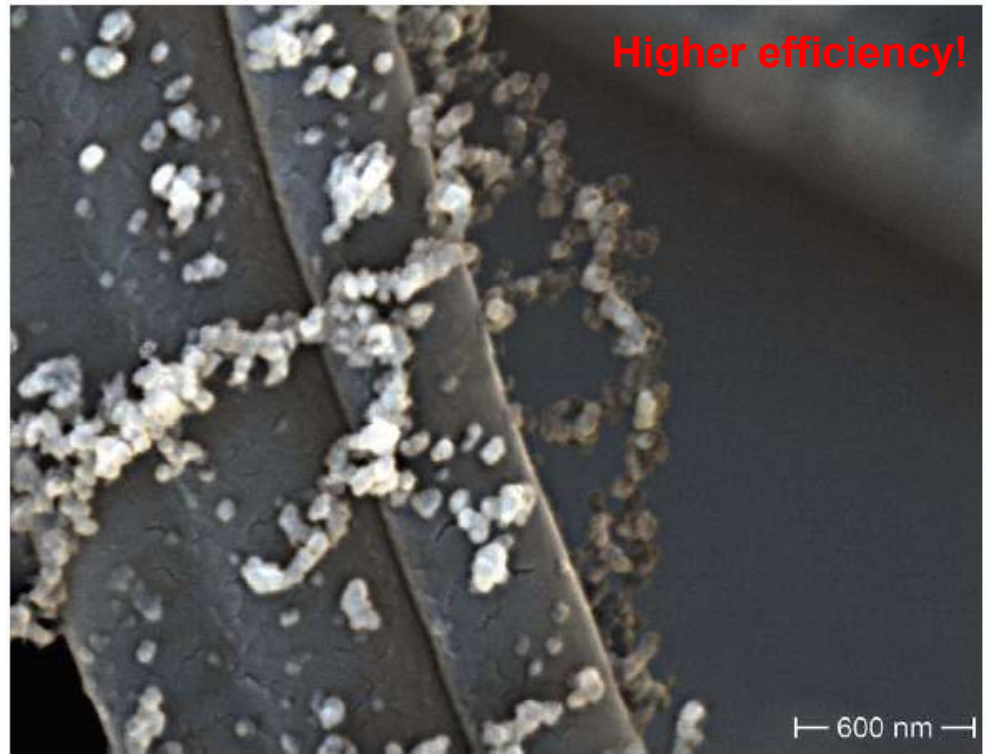
Less pressure drop!



~ 1  $\mu\text{m}$

## HECA B Filter

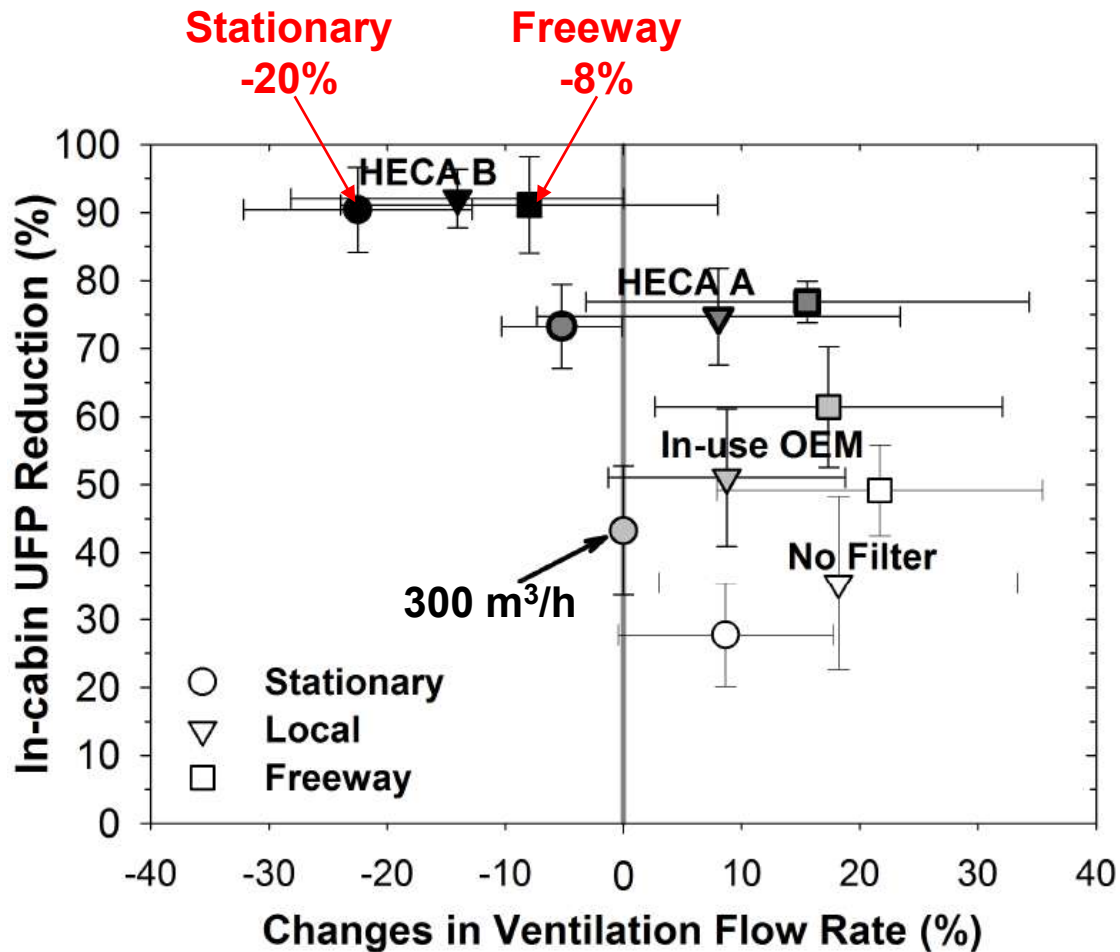
Higher efficiency!



~ 0.6  $\mu\text{m}$

The difference is in the filter **fiber diameter**.

# Changes in Ventilation Air Flow Rate



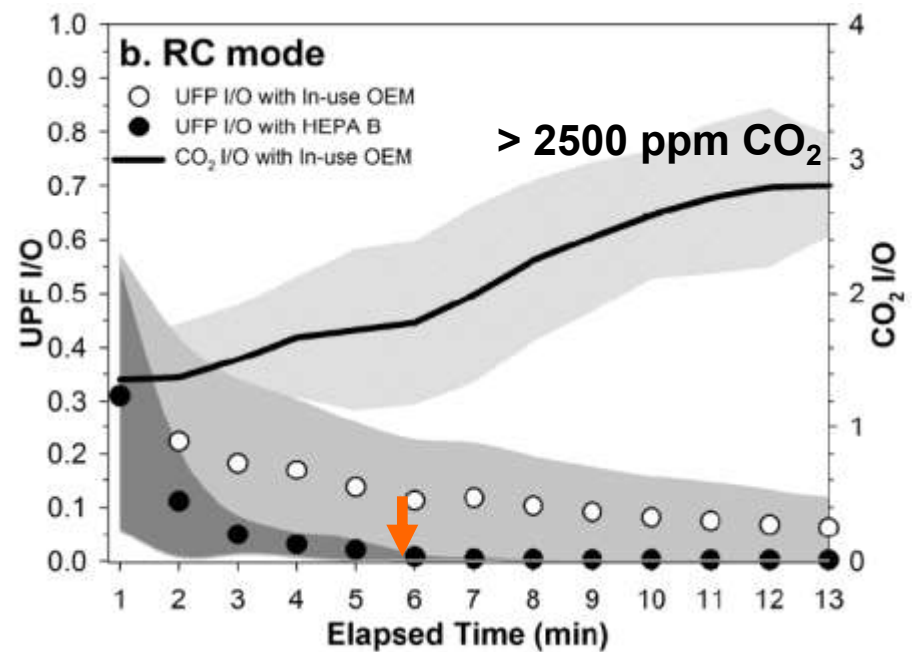
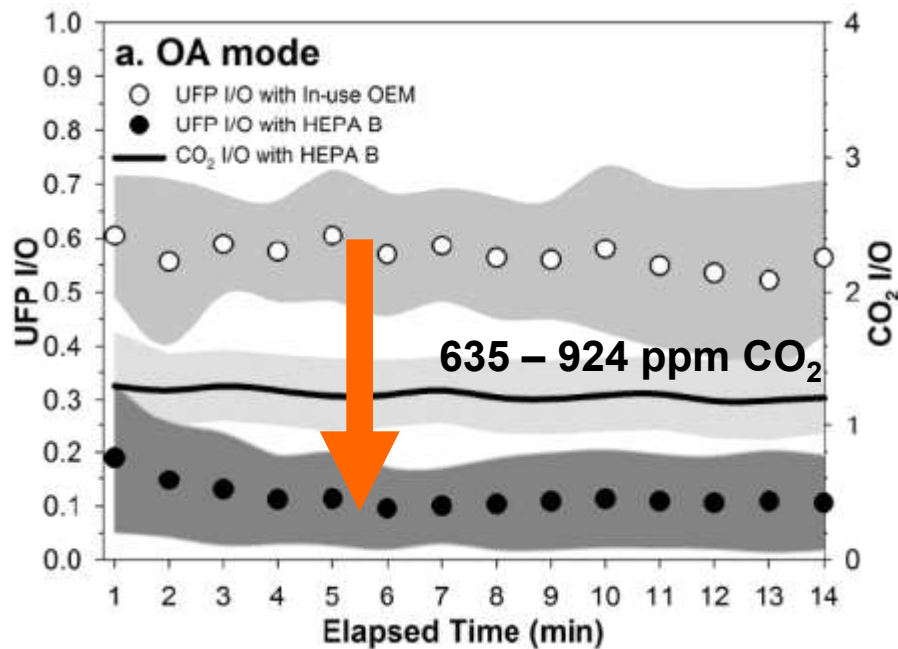
Pressure drop is present but would unlikely become a problem.

On Freeway, Air-flow reduction is Less than 10%!



# Simultaneous Control for UFPs & CO<sub>2</sub>

Means & Standard Deviations of measurement data in 12 vehicles



# Conclusions

- **Proposed a simultaneous control of UFPs and CO<sub>2</sub> using in-cabin HEPA filters.**
- **93% reduction of in-cabin UFPs on average in field conditions.**
- **Thermal comfort issue would unlikely be a problem from ventilation air-flow reduction ~ 20 % in stationary conditions, < 10 % on freeway.**
- **More effective UFP reduction in freeway environments due to nucleation mode particle diffusion.**
- **This control method holds in-cabin CO<sub>2</sub> build-up at 635-924 ppm (vs. 2500 – 4000 ppm in RC mode) with 2 passengers.**

# Acknowledgements

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- Assistance in field measurements by UCLA colleagues: David Fung, Claire Kim, and Nu Yu.

**Thank you!**

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