



# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name† \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name† \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.00
Date when this agreement was reached: 02/25/05	Expiration Date or Date when the RTCs are to be delivered : 6/30/05

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx x or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
2	6/30/2006	6/30/2011	Inland	10,000	\$4.125	Rule No. : XX
2	6/30/2012	All Years After	Inland	10,000	\$4.125	Rule No. : XX
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :

\* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.

I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Reported By: Diarmuid McGarry Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Cantor Fitzgerald, Office Manager Date and Place: 3/4/05 San Francisco



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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

## Regional Clean Air Incentives Market Trading Credits (RTCs)

### Report of Contingent Right to Purchase RTCs and Forward Contract

#### Form 2007-4

Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.00
Date when this agreement was reached: 02/25/05	Expiration Date or Date when the RTCs are to be delivered : 6/30/05

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx x or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
2	6/30/2006	6/30/2011	Inland	10,000	\$4.125	Rule No. : XX
2	6/30/2012	All Years After	Inland	10,000	\$4.125	Rule No. : XX
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :

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Reported By: Diarmuid McGarry Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Cantor Fitzgerald, Office Manager Date and Place: 3/4/05 San Francisco



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**SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT**  
 Regional Clean Air Incentives Market Trading Credits (RTCs)  
 Report of Contingent Right to Purchase RTCs and Forward Contract  
 Form 2007-4

Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_  
 Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_  
 If Intermediary:  
 Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$30,000.00
Date when this agreement was reached: 02/25/05	Expiration Date or Date when the RTCs are to be delivered : 8/18/05

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx \_\_\_\_\_ or SOx X (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
2	12/31/08	Single Year Trade	Coastal	75,000	\$2.00	Rule No. : XX
2	12/31/09	Single Year Trade	Coastal	75,000	\$2.00	Rule No. : XX
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :

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Reported By: Diarmuid McGarry \_\_\_\_\_ Signature: \_\_\_\_\_  
 (Print name)

Company Name & Title: Cantor Fitzgerald, Office Manager Date and Place: 2/25/05 San Francisco

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

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Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:  
Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$30,000.00
Date when this agreement was reached: 02/25/05	Expiration Date or Date when the RTCs are to be delivered : 8/18/05

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx \_\_\_ or SOx X (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
2	12/31/08	Single Year Trade	Coastal	75,000	\$2.00	Rule No. : XX
2	12/31/09	Single Year Trade	Coastal	75,000	\$2.00	Rule No. : XX
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :
						Rule No. :

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Reported By: Diarmuid McGarry Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Cantor Fitzgerald, Office Manager Date and Place: 2/25/05 San Francisco

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SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties.

Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary: Intermediary Name Cantor Fitzgerald \_\_\_\_\_ Account ID# 700004 \_\_\_\_\_

Form with fields: Type of contract, Contingent Right to: [X] Purchase [ ] Sell [ ] Swap [ ] Forward Contract, Premium paid for this contract: \$354,892.20, Date when this agreement was reached: 02/18/05, Expiration Date or Date when the RTCs are to be delivered: 8/18/05

-> Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx \_x\_ or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Table with 7 columns: Index, From Compliance Year, Transaction Type, Zone, Quantity, Price, Rule No. Rows include transactions from 6/30/2006 to 12/31/2011.

\* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line.

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Reported By: Diarmuid McGarry \_\_\_\_\_ Signature: \_\_\_\_\_

Company Name & Title: \_\_\_\_\_ Cantor Fitzgerald, Office Manager \_\_\_\_\_ Date and Place: 2/25/05 San Francisco

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### SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: 0
Date when this agreement was reached: 2/18/05	Expiration Date or Date when the RTCs are to be delivered : 6/30/2005

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
6/All Years After 2011	Coastal	3,875	\$14	Rule No. :XX
/				Rule No. :
/				Rule No. :
/				Rule No. :
/				Rule No. :

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Reported By: Justin McEder (Print name) Signature \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald Date and Place: 2/18/05 : San Francisco, CA

Manager

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2/28/05

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SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$5,000
Date when this agreement was reached: 2/18/05	Expiration Date or Date when the RTCs are to be delivered: 6/15/2005

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more species are needed)

12/2005	Coastal	32,000	\$4	Rule No. :XX

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Reported By: John Margolis Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Coastal Region Response Date and Place: 2/18/05 San Francisco, CA  
Monaghan Dist

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**SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT**

Regional Clean Air Incentives Market Trading Credits (RTCs)

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$5,000
Date when this agreement was reached: 2/18/05	Expiration Date or Date when the RTCs are to be delivered : 6/15/2005

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

6/2007	Coastal	5,000	\$4	Rule No. :XX
6/2008	Coastal	4,415	\$4	Rule No. :XX
6/2009	Coastal	4,280	\$4	Rule No. :XX
6/2010	Coastal	4,135	\$4	Rule No. :XX
6/2011	Coastal	4,010	\$4	Rule No. :XX
6/All Years After 2011	Coastal	3,875	\$4	Rule No. :XX
/				Rule No. :
/				Rule No. :
/				Rule No. :
/				Rule No. :

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Reported By: John Margolis Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Cantor Fitzgerald Brokerage Date and Place: 2/28/05 San Francisco CA  
Manager Direct

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SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

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Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:
Intermediary Name Cantor Fitzgerald Account ID# 700004

Form with fields: Type of contract, Premium paid for this contract: \$300,000, Date when this agreement was reached: 02/10/05, Expiration Date or Date when the RTCs are to be delivered: 02/10/06

Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx or SOx X (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Table with columns: Terms of RTC Transferred, Original Zone, Maximum Quantity, Price, Origin of Credits. Includes data for Cycle 2, From Compliance Year 12/31/2008, To Compliance Year All Years After, Coastal zone, 75,000 quantity, \$3.8518 price, and Rule No. XX.

\* In the 'From Compliance Year' Column, fill in the expiration date of the first compliance year RTCs. The 'To Compliance Year' Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line.

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Reported By: Joshua Margolis Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Managing Director Date and Place: 2/17/05 San Francisco

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Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:  
 Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$75,000
Date when this agreement was reached: <u>02/02/05</u>	Expiration Date or Date when the RTCs are to be delivered: <u>August 4, 2005</u>

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx \_\_\_\_\_ or SOx X (Identify one pollutant only)

*(Attach a separate form if more spaces are needed)*

Cycle	Terms of RTC Transferred		Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
	From Compliance Year(*)	To Compliance Year(*)				
1	12/31/2011	All Years After	Coastal	221,760	\$4.00	Rule No. : XX
						Rule No. : XX
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :

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Reported By: Joshua Margolis Signature: \_\_\_\_\_  
 (Print name)

Company Name & Title: Cantor Fitzgerald, Managing Director Date and Place: 2/7/05 San Francisco

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Buyer Name: Energy Initiative Group, L.P. Account ID# 700105

Seller Name: Grey K Environmental Fund, L.P. Account ID# \_\_\_\_\_

If Intermediary: \_\_\_\_\_ Account ID# \_\_\_\_\_

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input checked="" type="checkbox"/> Forward Contract	Premium paid for this contract: \$50/lb
Date when this agreement was reached: <u>1/28/05</u>	Expiration Date or Date when the RTCs are to be delivered : <u>January 3, 2007 expiration; Delivery on or before February 2, 2007, if exercised.</u>

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx  or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

1	12/31/2008	12/31/2010	Coastal	6,000 lbs/year (post-shave volume)	\$6.00/lb	Reg XX	
1	12/31/2011	All Years After	Coastal	6,000 lbs/year (post-shave volume)	\$6.00/lb	Reg XX	
			OR				
2	6/30/2009	6/30/2011	Coastal	6,000 lbs/year (post-shave volume)	\$6.00/lb	Reg XX	
2	6/30/2012	All Years After	Coastal	6,000 lbs/year (post-shave volume)	\$6.00/lb	Reg XX	

\* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.

I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Reported By: Samantha Unger Signature: \_\_\_\_\_  
 (Print name)

Company Name & Title: Evolution Markets LLC, Director of California Environmental Markets Date and Place: February 5, 2005 Long Beach, CA  
 SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.

Submit this form to  
 SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name: Natsource LLC Account ID# 700066

Type of contract: Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input checked="" type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.00
Date when this agreement was reached: 01/17/05	Expiration Date or Date when the RTCs are to be delivered : 02/15/05

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Cycle	Terms of RTC Transferred		Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
	From Compliance Year	To Compliance Year				
1	12/31/04	Single Year Trade	Inland	22,000	\$0.05/lb.	Rule No. : XX
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :

\* In the "From Compliance Year" Column, enter the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, enter the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.

I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sell, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Reported By: David Oppenheimer Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Natsource LLC, Principal Date and Place: 01/19/05 New York, NY

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Submit this form to  
SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830

Postmark  
1/19/05



SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

\*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties.

Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD \_\_\_\_\_ Account ID# 700004 \_\_\_\_\_

Form with contract details: Type of contract, Premium paid, Date when agreement reached, Expiration Date.

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx x or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Table with 5 columns: RTC Expiration Date, RTC Origination Zone, Maximum Quantity (Pounds), Price (\$/Pound), Origin of Credits. Contains data for years 2006-2011 and 'All Years After'.

I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration.

Reported By: [Signature] Signature: \_\_\_\_\_ (Print name)

Company Name & Title: [Signature] Date and Place: [Signature]

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