



Form 400-P-1 Rule 317.1 Exclusion Plan



Note: this form shall be submitted with a completed Form 400-A and 400-CEQA

Mail To:
South Coast AQMD
P.O. Box 4944
Diamond Bar, CA 91765-0944
Tel: (909) 396-3385
www.aqmd.gov

Section I - Operator Information

1. Facility Name (Business Name of Operator That Appears On Permit): _____	2. Valid AQMD Facility ID (Available On Permit Or Invoice Issued By South Coast AQMD): _____
<input type="checkbox"/> Title V <input type="checkbox"/> RECLAIM	

Section II - Certification Statement

I certify that I am the responsible official for this facility. For current Title V Facilities, I certify that I am the responsible official as defined in South Coast AQMD Rule 3000 (b)(29) I also certify under penalty of law that I personally examined, and am familiar with, the statements and information submitted in this document and all of its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statement and information, including the possibility of fine or imprisonment.

Please check all boxes that apply:

- I hereby request an exclusion from Rule 317.1 because my facility's most recent five calendar years of actual emissions do not exceed 80% of the major stationary source threshold for the following pollutants (select all that apply), subject to verification by South Coast AQMD staff:
 - VOC
 - NOx
- Attached are records demonstrating my facility's actual emissions.*
- No changes or corrections need to be made to any Annual Emission Report (AER) used to demonstrate actual emissions.**

By submitting this plan, this facility elects to limit, through a federally enforceable condition, actual emissions below the major stationary source threshold per Rule 317.1 (c)(13).

* Facilities that were previously exempt from reporting through the AER program due to emissions below reporting thresholds will need to demonstrate through records that they were below AER thresholds for any calendar year claimed during the facility's most recent five calendar years.
 ** Facilities that have revised their AER during the most recent five calendar years shall complete Section IV of this form.

NOTE: Facilities that would like to amend a previously submitted AER to demonstrate actual emissions are below the major stationary source threshold must do so prior to being considered for a Rule 317.1 Exclusion Plan. To amend a previously submitted AER, please contact the AER Hotline: (909) 396-3660 or aer@aqmd.gov.

Section III - Authorization/Signature

1. Signature of Responsible Official: _____	2. Title of Responsible Official: _____
3. Print Name: _____	4. Date: _____
5. Phone #: _____	6. Email Address _____
7. Address of Responsible Official:	
Street # _____ City _____ State _____ Zip _____	

Section IV: Supporting Information for Amended Annual Emission Reports

One or more of the facility's AER(s) submitted in the most recent five calendar years contained incorrect emissions data due to an error in calculations or reporting. The amended AER(s), that have been approved by South Coast AQMD, shows that the actual emissions are below the emission thresholds.

1. For which years were the AER(s) amended? (List all that apply) _____
2. When was the amendment(s) approved by South Coast AQMD (mo/day/yr)? _____
3. State the reasons for amending the AER(s) and attach a copy of the originally submitted AER(s) along with the amended AER(s) approved by South Coast AQMD:

Facility Potential to Emit and Actual Emission Thresholds from Rule 3001 (b)

The following table is based on Rule 3001 (Amended December 4, 2020). Please be advised that the following thresholds are subject to change.

**Major Stationary Source Potential to Emit Emission Threshold Levels for Ozone Non-Attainment Areas
Per Facility Location**

Pollutant	South Coast Air Basin (SOCAB) (tpy)	Riverside County Portion of Salton Sea Air Basin (tpy)
VOC	10	10
NOx	10	10

Responsible Official for Non-Title V Facilities

For non-Title V facilities, a responsible official is the president, vice-president, director, manager, proprietor/owner, business partner or other person with legal authority to serve as representative of the business. For city, state, federal agencies or municipalities, a principal executive officer or ranking elected official may sign the form as a responsible official.

Responsible Official Definition for Title V Facilities, Rule 3000 (b)(29)

RESPONSIBLE OFFICIAL means:

- (A) for a corporation: a president, secretary, treasurer, or vice president of the corporation in charge of a principal business function, or a person who performs similar policy-making functions for the corporation, or a duly authorized representative provided the representative is responsible for the overall operational control of the facility, and either:
 - (i) the Executive Officer has approved a petition from the original responsible official to delegate this authority; or,
 - (ii) the facility employs more than 250 persons or has a gross annual sales or expenditures exceeding \$25 million in second quarter 1980 dollars.
- (B) for a partnership or sole proprietorship: general partner or proprietor, respectively.
- (C) for a municipality, State, federal, or other public agency: a principal executive officer or ranking elected official.
- (D) for Phase II Acid Rain facilities that do not meet the criteria in subparagraphs (b)(29)(A) or (C) of this rule: the designated representative responsible pursuant to Title IV of the federal Clean Air Act.